STATE WATER RESOURCES CONTROL BOARD
Division of Financial Assistance
P. O. Box 944212, Sacramento, CA 94244-2120

LEAD SERVICE LINE APPLICATION PACKAGE

The Lead Service Line (LSL) Application and attachments may be submitted in one of three ways. Applicants are encouraged to utilize the Financial Assistance Application Submittal Tool (FAAST) to streamline the application submittal and review process, but if that will create a hardship, email or mail can be utilized instead:

1.) Apply online via the FAAST: https://faast.waterboards.ca.gov

To start an LSL Application in FAAST, you must complete all the tabs in FAAST and attach (at minimum) the "LSL Application Package." (Note: Once the LSL Application Package has been uploaded, you must still submit it and the project information entered in FAAST by clicking on the "Submit" button.) Once the LSL Application Package is submitted in FAAST, a project manager will be assigned to help you complete the application process.

To submit additional documents for the same project, **do not** start a new application. Instead, click on the Submitted Applications link on the Main Menu and choose the project from the list of previously submitted applications. Open the Attachments tab, and then the Post-Submission sub-tab. Choose a document from the Attachment Category dropdown list and then select the file to upload. The project manager will receive an email notification letting them know you have submitted additional information for review.

If you need assistance, you can also contact the FAAST Help Desk, which is staffed Monday through Friday 8am through 5pm, at 1-866-434-1083 or FAAST_ADMIN@waterboards.ca.gov.

2.) To submit an LSL Application via email, please use the following email address:

DFA-LSLR@waterboards.ca.gov

3.) To submit an LSL Application via mail, please use the following address:

State Water Resources Control Board
Division of Financial Assistance
P.O. Box 944212
Sacramento, CA 94244-2120

Lead Service Line Application Instructions

Check the box to indicate the type of project (Lead Service Line Inventory (LSLI) or Lead Service Line Replacement (LSLR)) needing financial assistance.

Section I - Applicant Information

Project Name – Enter the title or name of the project. This name should match that on the California Environmental Quality Act (CEQA) and other environmental documents, resolution, or any other existing documents.

Applicant Name – Enter the entity that will be the legal signatory to a financing agreement.

Water System Number – Enter the seven-digit number assigned to your drinking water system. You can visit Drinking Water Watch to obtain the number for your water system: https://sdwis.waterboards.ca.gov/PDWW/

Water Supply Permit Number – Enter permit number.

Street Address, City, State, Zip – Enter the applicant's physical street address. The Zip+4 Code can be found at https://tools.usps.com/go/ZipLookupAction.

Mailing Address, City, State, Zip – Enter the applicant's mailing address, if different from the street address.

Applicant Total Population – Enter the total population served.

Applicant Total Number of Service Connections – Enter the total number of active service connections that are currently and directly served by the water system. This includes all residential, industrial, commercial, and other connections.

Congressional District(s) – Enter the Congressional district(s) where the project will be physically located. If the project will span multiple Congressional Districts, list all affected districts. A map of California Congressional Districts can be found at https://www.house.gov/representatives/find-your- representative.

State Senate District(s) & State Assembly District(s) – Enter the State Senate district(s) and State Assembly district(s) where the project will be physically located. If the project will span multiple Districts, list all affected districts. A map of California Legislative Districts can be found at http://findyourrep.legislature.ca.gov/.

Unique Entity Identifier – For additional information, visit SAM.gov. The Unique Entity Identifier is required to receive Drinking Water State Revolving Fund (DWSRF) financing.

Federal Tax ID No. – Enter the Federal tax identification number of the applicant.

Authorized Representative Name, Title – Identify the person who has the authority to represent the applicant and sign documents pertaining to the funding application. If the applicant is a public agency or has a governing board, the application must include a copy of a resolution adopted by the governing body designating its authorized representative and authorizing the submission of an application. If the applicant does not have a governing board, then it must provide documentation supporting the authorization of the authorized representative. It is advisable to designate the title of the position authorized to sign and submit an application rather than naming a specific person. The funding application must be signed by the authorized representative.

Auth. Rep. Phone & Email - Enter the authorized representative's telephone number and email address.

Contact Person Name – Enter the name of the person who is the day-to-day contact for the project. This person should be able to answer general questions about the project and application.

Contact Person Phone & Email – Enter the contact person's telephone number and email.

Local Counsel Name – Enter the name of the applicant's general counsel.

Local Counsel Phone & Email – Enter the local counsel's telephone number and email.

Section II - Project Information and Proposed Schedule

Amount of Financial Assistance Requested – Provide the amount of financial assistance requested.

Other Funding Sources – Provide a list of other funding sources for this project. Include the amount and an estimated date on which the funding will be available.

For Lead Service Line Inventory:

Scope of Work (Attachment L1) – Provide a Scope of Work (SOW) that includes each task to be performed during the Lead Service Line Inventory project and label as Attachment L1. The SOW should outline all tasks and contain a time schedule and cost estimate broken down by task. The contents of the SOW must include, but are not limited to, the following:

1. **Project Background** – The SOW must include a brief description of the water system and a description of the problem being addressed. Include number of service lines to be included in the inventory.

2. **Tasks (Milestones)** – The applicant is responsible for determining the tasks that must be completed as part of the Lead Service Line Inventory project which would lead to the submittal of a complete Lead Service Line Replacement application including all necessary parts of the Environmental, and Financial Packages. The Environmental Package for an LSL Replacement application must include the necessary documentation to meet the requirements of the Federal Environmental Cross-Cutting laws. (https://www.waterboards.ca.gov/drinking_water/services/funding/dwsrf_requirements.html)

   The SOW must include a public outreach program and the tasks related to obtaining the consent or agreement from homeowners in the project area to conduct the lead service line inventory on the customer-side of the service line and the method of documenting cases where customers do not consent or agree to an inventory of their service lines.

3. **Deliverables** – The applicant must determine appropriate deliverables for each task and complete those accurately and in a timely manner. The applicant, in coordination with the assigned project manager, must determine the format of the deliverables and the appropriate entity to which each deliverable needs to be submitted. Often, deliverables are required to be sent to the Division of Financial Assistance, the Division of Drinking Water District Office, or a Local Primacy Agency (LPA). It may be necessary to send deliverables to a combination of these entities.

4. **Time Schedule** – The applicant must provide a project schedule. The project schedule should outline the expected completion time for each task. The timeframes should be expressed in months from the assumed date of execution of a financing agreement, rather than specific dates. The applicant should note that tasks may need to be developed sequentially to ensure all deliverables are up to date. The time schedule must represent the timing of tasks required to complete a project. The applicant should determine the best methodology to present the time schedule of the project. Common project scheduling tools, including a Gantt chart, can be used to present the proposed project schedule.

5. **Cost Estimate** – The applicant is responsible for effectively estimating, controlling, and managing the project budget to ensure the project moves forward in a timely manner. The applicant must provide a detailed cost breakdown of the entire project by task. The cost estimate must represent the best prediction of the cost considering the quantities, resources, deliverables, risks, and uncertainties in the scope of work. In addition, the cost estimate must be consistent with the project information available at the time the cost estimate is developed. (The applicant may provide an updated cost estimate as the project advances through the approval process to ensure that the agreement provides adequate funding to complete the project.) A contingency in the budget to account for uncertainties and risks is subject to approval by the Division of Financial Assistance.

<u>For Lead Service Line Replacement:</u>

Technical Memorandum (Attachment L2) – The applicant must submit a Technical Memorandum (TM) appropriate to the project. The contents of the TM are intended to provide the Division with adequate information to evaluate whether the project meets applicable requirements. The contents of the TM must include the following:

1. **Introduction** Provide brief description of the water system including age, population, number of service connections, and service area.
2. **Method Used for Lead Service Line Inventory** Provide a description of the method that was used to identify the number of lead service lines.
3. **Summary of Lead Service Line Inventory** – Include a summary of lead and galvanized pipelines and fittings to be replaced. Distinguish between applicant-owned and customer-owned components.
4. **Proposed Project** Describe the proposed project. Include 1) the number of LSLs to be replaced and who will perform the work (internal staff, contractor, or both). If contractors will be used, describe how bids will be prepared and solicited; 2) public outreach program and describe necessary activities to obtain customer-side access consent/agreement or documentation of customers declining to consent or agree to participation in lead service line replacement; and 3) post replacement flushing guidance and/or temporary filters/pitchers that will be provided.
5. **Detailed Cost Estimate for the selected project** – Attach a detailed cost breakdown for the project which lists: all major construction components, non-construction costs, applicable fees, contingency, and projected annual operations and maintenance costs.
6. **Proposed Schedule** – Include a project schedule. Construction projects are expected to be completed no later than three years from the funding agreement execution date.
7. **Map of system's proposed project** – Include a map that shows all the affected areas of the project described above.

Standard Plans (Attachment L3) – Provide public work standard plans or drawing used for service line and fitting component replacement.

Estimated Project Schedule – Provide an estimated or actual date for the following:

- Adopt Environmental Documents

- Start of Construction/Implementation*
- Complete Construction/Implementation*

*Note that construction of the funded Project may not begin without approval from the State Water Board.

Lead Service Lines Inventory – Provide the total number of eligible lead service lines, galvanized service lines, and/or lead/galvanized fitting/connectors to be replaced in the Project.

For All Projects:

Technical, Managerial, and Financial (TMF) Assessment (Attachment L4) – Fill out and submit the TMF Assessment Certification Form for LSL funding (**Attachment L4**).

Section III – Managerial Information

Classification of Water System – Check the box that represents your type of system. If you are unsure of the classification of your system, refer to the system's domestic water supply permit or refer to the *Decision Tree for Classification of Water Systems* flow chart located at https://www.waterboards.ca.gov/drinking_water/certlic/drinkingwater/docs/class_dec_tree.pdf.

Ownership of the Water System – Check the box(es) that corresponds to the ownership of your water system.

Privately-owned entities - Non-community water systems are only eligible for DWSRF funding if they qualify as a non-profit entity. Non-profit owners of non-community water systems must include the appropriate IRS non-profit ID number and Tax-Exempt Status form IRS 501(c). Privately owned systems must include a copy of the fictitious name statement, if they are operated under a name that is different than their owner's legal name, and must provide a copy of their (1) owner's organizational documents (label as **Attachment L5-A**); (2) federal tax returns or other financial document (label as **Attachment L5-B**); (3) a list of officers, director, shareholders, members, partners, as applicable (label as **Attachment L5-C**); and (4) a list of water system components and land in the name of the system's owner showing location of each item, including the APN, and corresponding documents demonstrating water system property rights (e.g., deeds, title reports, quitclaims on land, easements, etc.) (label as **Attachment L5-D**).

The following is a list of (1) organizational and (2) financial documents for different types of for-profit or non-profit private water systems:

Limited Liability Company

- Applicable federal tax return for the last 3 years (e.g., Form 1040 (sole proprietor), Form 1065 (partnership), or Form 1120 (corporation))
- Articles of Organization, with all amendments, certified by Secretary of State (CA)
- Executed Operating Agreement
- Fictitious Business Name (FBN) Statement (if using any name other than the exact name that is on record with the Secretary of State's Office)
- Secretary of State Entity/File Number

Partnership

- Partnership Agreement(s)
- Statement of Partnership Authority (Form GP-1), filed with Secretary of State
- Federal tax return for the last 3 years (e.g., Form 1065)
- Majority owner's last three years of personal tax returns
- Fictitious Business Name (FBN) Statement (if using a name that does not include the surname of each general partner or a name that suggests the existence of additional owners such as "Company," "& Company," "& Son," "& Sons," "& Associates," "Brothers," and the like)

For-Profit Corporation

- Articles of Incorporation, with all amendments, certified by Secretary of State (CA)
- Bylaws
- Fictitious Business Name (FBN) Statement (if using any name other than the exact name that is on record with the Secretary of State's Office)
- Federal tax return for the last 3 years (e.g., Form 1120 (C-Corp) or Form 1120S (S-Corp))

Non-Profit Corporation

- Articles of Incorporation, with all amendments, certified by Secretary of State (CA)
- Bylaws
- IRS Tax Exempt Determination IRS 501(c)
- Latest Annual Report filed with the California Registry of Charitable Trusts
- Filed Fictitious Business Name Certificate (DBA) (if applicable)
- Federal tax return of organization exempt from income tax Form 990 – most recent 3 years

Sole Proprietorship

- Filed Fictitious Business Name (FBN) Statement (if using any name that does not include the last name (surname) of the owner, or which implies additional owners)
- Federal tax return Form 1040, including schedules – most recent 3 years

Trusts

- Trust or Certification of Trust, signed by all currently acting trustees – discuss with DFA prior to submitting
- For revocable trust, federal tax return of grantor Form 1040, including schedules – most recent 3 years
- For irrevocable trust, Form 1041 – most recent 3 years

Corporations, LLCs, and Partnerships – If your water system is a Corporation (e.g. mutual water company; incorporated homeowners association), Limited Liability Company, or Limited Partnership, list your water system's California Secretary of State Entity Number as well as your water system's filing status with the California Secretary of State. Information relating to a Corporation's, Limited Liability Company's, or Partnership's filings with the Secretary of State can be found at the following website: https://sos.ca.gov/business- programs/business-entities/.

Other Entity Type - If you are a limited partnership, general partnership or sole proprietor, please identify your authority for designating an Authorized Representative and include relevant governance documents that provide for that authority. (label as **Attachment L13**)

Municipalities – If the Applicant is a Municipality, indicate if the Applicant is a Charter City.

Does the California Public Utility Commission (CPUC) regulate your system? – Indicate whether your water system is regulated by the CPUC. Include (1) the CPUC resolution that authorized acquisition of your system (label as **Attachment L6-A**); (2) the most recent annual report filed with the CPUC (label as **Attachment L6-B**); (3) the most recent general rate case documents (label as **Attachment L6-C**); (4) CPUC audit reports, if any (label as **Attachment L6-D**); and (5) a list of all actions or matters associated with your system that are currently pending before the CPUC, as well as all filings associated with those actions or matter (label as **Attachment L6-E**). Water systems regulated by the CPUC must obtain CPUC approval to enter into a repayable funding agreement for LSL financing, and must demonstrate that they have notified the CPUC of a non-repayable funding agreement.

Names, titles and duties of key officers or decision-making personnel – Provide the name, title, and duties of key officers or decision-making personnel of the water system. (label as **Attachment L7-A**). For private entities, also submit an organizational chart showing parent, subsidiary, or otherwise affiliated entity structures, or submit a certification that there are none (label as **Attachment L7-B**).

Include a general map of the service area/boundaries (label as Attachment L8)

Prior to the State Water Board's approval of the project, specific water conservation and urban water management requirements must be achieved.

1. Urban Water Suppliers – Urban Water Suppliers (defined as a water supplier, either publicly or privately owned, that directly or indirectly provides potable municipal water to more than 3,000 customers or that supplies more than 3,000 acre-feet of potable water annually at retail for municipal purposes) must submit proof of Urban Water Management Plan (UWMP) submittals to the Department of Water Resources (label as **Attachment L9**).

2. Certification for Compliance with Water Metering Form – Water Code section 529.5 requires urban water suppliers to meet metering requirements. If you are an urban water supplier (i.e., supply to more than 3,000 customers or supplying more than 3,000 acre-feet annually), you must comply with this requirement. Please consult with your legal counsel and review section 529.5 of the Water Code before completing this certification (**Attachment L10**).

Potential Flags Worksheet – To avoid potential delays later in the application process, it is required that the applicant complete and submit this worksheet with the Lead Service Line Application Package to alert the Division staff of any issues that may potentially affect the application review (Attachment L11).

Section IV – Comprehensive Response to Climate Change

The purpose of this section is for the applicant to consider the effects that climate change may have on their project. A link is provided in this section to the LSLR Funding Program Comprehensive Response to Climate Change analysis that the Division's staff has prepared. Please follow this link and review the entirety of the Comprehensive Response to Climate Change document. If you agree that the Division's analysis is applicable and adequate for your project, then check the box and acknowledge that you have read the analysis and that you commit to considering the climate change risk and adaptation measures in relation to your project. If you determine that an independent analysis is necessary for your project, please attach it as **Attachment L12**. Further instructions on how to prepare and submit your own Comprehensive Response to Climate Change are provided below:

Independent Response to Climate Change – Include a detailed description on climate change preparedness. Provide detailed description on vulnerability, adaptation, and mitigation.

1. **Vulnerability** – Provide a detailed description of all effects of climate changes that the proposed facilities are susceptible to. Include critical threshold conditions that may cause damage to the facility or result in loss of services.
2. **Adaptation** – Provide a detailed description of all applied adaptation measures considered by the applicant. Include adaptation measures deemed unnecessary and explain why such measures were eliminated.
3. **Mitigation** – Provide a detailed description of all mitigation measures considered by the applicant. Include mitigation measures deemed unnecessary and explain why such measures were eliminated.
4. **Definitions** – Climate change vulnerability, mitigation, and adaptation are defined below:
   a. <u>Vulnerability:</u> This term is used to identify effects of climate change that the facility may be susceptible to. Some effects overlap. For example, a treatment facility built on the coast may be severely vulnerable to sea level rise. It would be a poor investment for the State to invest in a treatment facility with an expected useful life of 50 years when the facility is projected to be under water in 20 years due to sea level rise.

Coincidentally, as sea level rises, the neighboring groundwater aquifers may be vulnerable to saltwater intrusion and water quality issues. The two effects are related, and both should be discussed in the attachment. Other examples of vulnerability include, water supply depletion, adverse water supply quality, flooding/storm surges, drought, and wildfires.

b. Adaptation: This term is used to identify measures taken as a direct response to climate change effects. Multiple measures can be taken in response to a single vulnerability. For example, in response to sea level rise an agency may investigate constructing sea walls or levees in order to prevent flooding. Flood contingencies should also be explored to protect the facility if the levees fail or in the event of severe storm surges.

c. Mitigation: This term is used to identify measures taken to slow or stop changes caused by greenhouse gas emissions in the atmosphere. Measures identified in adaptation may also be used for mitigation. For example, water conservation may be an adaptation response to drought vulnerability but a mitigation measure by reducing the energy consumed to move excessive volumes of water. Green roofing as an adaptation measure will help to reduce the heat island effect of an urban community, and as a mitigation measure will reduce the energy consumed to heat and cool the building.

Section V – Attachments

L1 – Scope of Work (if applicable)
L2 – Technical Memorandum (if applicable)
L3 – Standard Plans (if applicable)
L4 – TMF Assessment Certification Form
L5-A, L5-B, L5-C, L5-D – Ownership Documentation, for private entities
L6-A, L6-B, L6-C, L6-D, L6-E – CPUC Documentation (if applicable)
L7-A, L7-B – Key Officers or Decision-Making Personnel/Organization Chart
L8 – Service Area Map
L9 – Urban Water Supplier Conservation Document (if applicable)
L10 – Certification for Compliance with Water Metering Form (if applicable)
L11 – Potential Flags Worksheet
L12 – Independent Response to Climate Change Analysis (if applicable)
L13 – Other Entity Type

Certification and Signature of Authorized Representative

- ✓ Print the name and title of the authorized representative.
- ✓ Sign and date the application.

Lead Service Line Application Package

☐ INVENTORY (PLANNING) ☐ REPLACEMENT (CONSTRUCTION)

I. APPLICANT INFORMATION			
Project Name:			
Applicant (Entity) Name:			
Water System Number:			
Water Supply Permit Number:			
Street Address: Mailing Address:	City: City:	State: State:	Zip+4 Code: Zip+4 Code:
Applicant Total Population: Applicant Total Number of Service Connections:	Residential: Industrial:	Commercial: Other:	
Congressional District(s):			
State Senate District(s):			
State Assembly District(s):			
Unique Entity ID:		Federal Tax ID No.:	
Authorized Representative Name:		Title:	
	Phone No.:	Email Address:	
Contact Person Name:			
	Phone No.:	Email Address:	
Local Counsel Name:			
	Phone No.:	Email Address:	
II. PROJECT INFORMATION AND PROPOSED SCHEDULE			
Estimated Amount of Financial Assistance Requested:			
Other Funding Sources List any other funding sources for this project, along with the amount of additional funding and date of availability			
For Lead Service Line Inventory Include Scope of Work (Label as **Attachment L1**)			
For Lead Service Line Replacement Include Technical Memorandum (Label as **Attachment L2**) Include Standard Plans (Label as **Attachment L3**)			

Currently Estimated Project Schedule:	**Estimated or Actual Date** Adopt Environmental Documents: Start of Construction/Implementation: Complete Construction/Implementation:

LSL Inventory. List the number of lead service lines to be replaced:

	System-owned	**Customer-owned**	**Sizes**
Service Lines:			
Lead			
Galvanized Requiring Replacement (GRR)			
Galvanized downstream of lead fittings/connectors			
Lead Fittings/Connectors:			
Connected to a galvanized line			
Not connected to galvanized			
Galvanized Fittings/Connectors:			

For All Projects

Include a copy of the Technical, Managerial, and Financial (TMF) Assessment Certification Form (Label as **Attachment L4**)

III. MANAGERIAL INFORMATION

Classification of Water System:

☐Community ☐Not-for-Profit, Non-transient non-community

Indicate the Ownership of the Water System (check all that apply):

- Include the ownership documentation (See instructions for further information) (label as **Attachment L5-A, L5-B, L5-C, and L5-D**)

Public Ownership

☐Municipality ☐County Agency ☐Special District ☐State Agency

☐Public School ☐Other:

Private Ownership

☐Corporation ☐Limited Liability Corporation ☐Partnership ☐Sole Proprietorship

☐Non-profit Organization ☐Other:

- If the water system is privately-owned, indicate the name and title of the individual with authority to engage the water system in a DWSRF financing agreement. Click or tap here to enter text.
- If the Water System is a Municipality, is the Water System a Charter City? ☐Yes ☐No
- If the Water System is a Corporation, Limited Liability Company, or Partnership, complete the following:

A. California Secretary of State Entity Number:

B. Status with California Secretary of State:

☐Active ☐Suspended ☐Forfeited ☐Dissolved

Is the Water System regulated by the California Public Utilities Commission (CPUC)? ☐Yes ☐No

If **yes**, the Water System must obtain CPUC approval or provide notice (see instructions). Attach the documentation identified in the Application instructions (label as **Attachment L6-A, L6-B, L6-C, L6-D, and L6-E**).

List the names, titles and duties of key officers and attach an organization chart providing this information (label as **Attachment L7-A**).

For private entities, list the names and affiliation of all parent, subsidiary, or otherwise affiliated entities and attach a chart providing this information (label as **Attachment L7-B). If none, indicate that here.** ☐ N/A

Include a general map of the service area/boundaries (label as **Attachment L8**):

Water Conservation and Urban Water Management

1. Are you an urban water supplier as defined in Water Code Section 10617?

   ☐Yes ☐No

   Urban water suppliers must submit the following (Label as **Attachment L9**):
   ☐Urban Water Management Plan per Water Code Section 10653

2. Attach Certification for Compliance with Water Metering Form (label as **Attachment L10**).

Include a Potential Flags Worksheet (label as **Attachment L11**).

IV. COMPREHENSIVE RESPONSE TO CLIMATE CHANGE

The Division of Financial Assistance's (Division) staff has prepared a "Comprehensive Response to Climate Change" for the LSLR Funding Program. The Division's analysis can be found at: https://www.waterboards.ca.gov/drinking_water/services/funding/documents/2023/lslr-climate-change.pdf.
After reviewing the entirety of the analysis, if you determine that the Division's analysis is adequate for your project, you may check the acknowledgement box below:

☐ The applicant has reviewed the LSLR Funding Program Comprehensive Response to Climate Change and considers the Division's climate change vulnerability, adaptation, and mitigation analysis adequate for the project described in this application. The applicant agrees to consider the climate change risk and adaptation measures discussed in the Division's analysis when designing the project.

If you determine that an independent analysis is necessary for your project, please prepare and submit your own Comprehensive Response to Climate Change in accordance with the Application Instructions.

☐ Independent Response to Climate Change attached (label as **Attachment L12**).

V. ATTACHMENTS	
☐	L1 – Scope of Work
☐	L2 – Technical Memorandum (if applicable)
☐	L3 – Standard Plans (if applicable)
☐	L4 – TMF Assessment Form
☐	L5-A, L5-B, L5-C, L5-D – Ownership Documentation, for private entities
☐	L6-A, L6-B, L6-C, L6-D, L6-E – CPUC Documentation (if applicable)
☐	L7-A, L7-B – Key Officers or Decision-Making Personnel/Organization Chart
☐	L8 – Service Area Map
☐	L9 – Urban Water Supplier Conservation Document (if applicable)
☐	L10 – Certification for Compliance with Water Metering Form (if applicable)
☐	L11 – Potential Flags Worksheet
☐	L12 – Independent Response to Climate Change (if applicable)
☐	L13 – Other Entity Type

CERTIFICATION AND SIGNATURE OF AUTHORIZED REPRESENTATIVE

To the best of my knowledge and belief, I certify that I am authorized to submit this application; the information provided in this application is true and correct; the documentation has been duly authorized by the governing body of the applicant; and the entity possesses the legal authority to apply for the financing and enter into a financing agreement with the State Water Resources Control Board and to finance and construct the proposed facilities.

Name of Authorized Representative: Title:

Signature of Authorized Representative: Date: