

EVIDENCE LIST—RIVIERA WEST MUTUAL WATER COMPANY, 18 DECEMBER 2011

| DATE | DOCUMENT |
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| Case File: Orders, Technical Reports, and Correspondence | |
| 5/3/1996 | Order 96-099 Waste Discharge Requirements/Monitoring & Reporting Program/NPDES Permit No. CA0083925 Adopted |
| 5/8/1996 | Notice of Adoption and WDRs Order 96-099 |
| 11/15/1999 | Request for Modification of Fee Invoice |
| 2/21/2000 | Public Solicitation of Water Quality Information |
| 3/3/2000 | Email address update |
| 2/21/2001 | Public Solicitation of Water Quality Information |
| 5/1/2001 | Application/Report of Waste Discharge |
| 8/7/2001 | Notice of Incomplete Application/Report of Waste Discharge |
| 9/10/2001 | Requirement to Submit Monitoring Data |
| 9/28/2001 | Requirement to Submit Monitoring Data |
| 11/6/2001 | Inspection Report |
| 11/21/2001 | Notice of Violation—Failure to Submit Complete Report of Waste Discharge |
| 1/3/2002 | Email from Beth Thayer to Richard McHenry |
| 1/11/2002 | Application /Report of Waste Discharge |
| 4/10/2002 | Chrystyl Escarda email to Beth Thayer—Clear Lake fish |
| 4/25/2002 | Janis Cooke email to Beth Thayer Re: Mercury in Clear Lake |
| 5/13/2002 | Tentative Waste Discharge Requirements and Tentative Cease and Desist Order |
| 5/22/2002 | Notice of Public Hearing |
| 6/3/2002 | Beth Thayer email to Richard McHenry—Riviera West Mutual Water Co. |
| 7/15/2002 | Proof of Posting of Notice of Public Hearing |
| 7/19/2002 | CVRWQCB Public Meeting Agenda |
| 7/19/2002 | Order R5-2002-0130 Waste Discharge Requirements/Monitoring & Reporting Program/NPDES Permit No. CA0083925 Adopted |
| 7/19/2002 | Order R5-2002-0131 Cease and Desist Order Adopted |
| 8/1/2002 | Notice of Adoption and WDRs Order R5-2002-0130 and CDO R5-2002-0131 |
| 12/19/2003 | Transmittal of copies of WDRs and CDO to Riviera West |
| 1/9/2004 | Facsimile from Brelje & Race letter Re: Cease and Desist Order and stating improvements are being addressed |
| 1/9/2004 | Brelje & Race letter Re: Cease and Desist Order and stating improvements are being addressed |
| 2/9/2004 | Brelje & Race request for information about reporting requirements |
| 6/16/2004 | CVRWQCB Order for Unfiltered Methylmercury Discharge Data to Riviera West |
| 12/29/2004 | Invitation to Attend CIWQS Q/A session |
| 6/29/2007 | Notice of Violation, Monitoring Report Submittal (Returned, not delivered) |
| 7/13/2007 | Notice of Violation, Monitoring Report Submittal (Delivered) |
| 7/29/2008 | Notice of Violation and Draft Record of Violations |
| 8/5/2008 | Letter from Jerry Lykken, Watermaster, to Anne Olson in response to a telephone call |
| 8/26/2008 | Request for Report of Waste Discharge |
| 9/2/2008 | Notice of Public Hearing: Recission of NPDES Permit |
| 9/9/2008 | Proof of Posting of Notice of Public Hearing |
| 9/12/2008 | Riviera West Letter authorizing Stuart Engineering and Dennis Smith, Western Engineering to represent it at hearing. |
| 10/14/2008 | Notice of Violation and Compliance Evaluation Inspection |
| 10/28/2008 | Western Engineering response to NOV (letter and fax) |

| DATE | DOCUMENT |
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| 11/19/2008 | Sign in sheet of meeting between CVRWQCB and Riviera West |
| 11/21/2008 | ACLC R5-2008-0625 issued to Riviera West |
| 12/4-5/2008 | Regional Board Meeting Minutes removing NPDES Rescission from agenda |
| 12/12/2008 | Waiver of Hearing (Downey/Brand, Attorneys) |
| 12/14/2008 | Cal SPA ACLC Commentary |
| 12/31/2008 | Report of Waste Discharge |
| 1/2/2009 | CIWQS RWD Routing form |
| 1/9/2009 | Lake County News Article regarding Riviera West fine |
| 1/15/2009 | Riviera West facsimile transmittal of copy of 9 December 2008 memorandum from Dennis Smith to Jerry Lykken of Riviera West |
| 1/21/2009 | Alpha Analytical Work Order, Chain of Custody, and analytical results |
| 3/20/2009 | Penzonella Associates Geotechnical Investigation |
| 4/24/2009 | Western Engineering letter enclosing check for ROWD |
| 7/7/2009 | Letter entitled Incomplete ROWD from CVRWQCB |
| 7/14/2009 | Email— change of contact |
| 7/29/2009 | Request to review Riviera West file from Richard McHenry |
| 8/14/2009 | Email from Dennis Smith stating that Western Engineering is closed and a response to letter of incomplete ROWD |
| 2/22/2010 | Notice of Completion & Environmental Document Transmittal |
| 3/22/2010 | Lake County Planning Request for Review for Use Permit & Initial Study |
| 3/30/2010 | CVRWQCB Response to Notice of Intent to Adopt Negative Declaration |
| 8/19/2010 | Lake County Planning Request for Review for the Use Permit and Initial Study |
| 8/20/2010 | SB 1284 Senate Bill Analysis 8/26/10, printed on February 15, 2011 (6 pages) |
| 8/20/2010 | SB 1284 Senate Bill Analysis August 20, 2010, printed on February 15, 2011 (3 pages) |
| 11/8/2010 | Notice of Completion and Environmental Document transmittal |
| 12/21/2010 | Lake County Planning Mitigated Negative Declaration and Use Permit |
| 12/29/2010 | Email from Wendy Wyels to Melissa Thorme: Incomplete ROWD |
| 3/2/11 | Notice of Violation and Order for Technical Reports for unpermitted discharge |
| 4/15/2011 | Determination of Violations, ACLC R5-2008-0625. Included Monitoring Report Reconciliation Forms |
| 4/25/2011 | Riviera West Response to 2 March 2011 Notice of Violation |
| 7/6/2011 | Record of Communication—Riviera West Treatment Plant Upgrade Status |
| 8/5/2011 | Notice of Adoption, for Rescinding Order R5-2002-0130 |
| 8/5/2011 | Order R5-2011-0063 Rescinding NPDES/WDR Rescissions |
| 10/20/2011 | Riviera West letter and drawings for Backwash Water Disposal System |
| 11/16/11 | Tentative WDRs mailed for public comment |
| 11/18/2011 | Julie Macedo email to Melissa Thorme regarding Hearing Procedure Objections |
| 11/22/2011 | David Coupe email to Julie Macedo RE: Riviera West Hearing Procedure time extension |
| 11/30/2011 | Riviera West Objections to Hearing Procedures—Downey Brand letter |
| 11/30/2011 | LA Regional Board Prosecution Team Rebuttal for ACLC R5-2008-0199-M |
| 11/30/2011 | Julie Macedo email to David Coupe RE: Hearing Procedure Objections |
| 12/13/2011 | Email: Lani Andam to Thomas Smythe: Comment period ends on 12/16/2011 |
| 12/15/11 | Pre-Hearing Ruling from Advisory Team |
| 12/15/11 | Comments by Downey Brand on the tentative WDRs |

Self-Monitoring Report File: Chemical analyses

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| 9/18/2001 | North Coast Laboratories—Unknown sample name |
| 9/26/2001 | Sequoia Analytical—Unknown sample name |

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| 3/1/2004 | Backwash Tank Chemical Analysis (dated facsimile) |
| 10/27/2008 | Alpha Analytic Laboratory Backwash Tank analytical data reports, various dates from 12/23/2003 through 1/5/2009 |