

# GENERAL INFORMATION PACKAGE (CONSTRUCTION)

<b>I. TYPE OF ASSISTANCE REQUESTED</b>			
Estimated Amount of Assistance Requested: \$			
Project Title:			
<b>II. APPLICANT INFORMATION</b>			
Applicant Name:			
Street Address:	City:	State:	Zip+4 Code:
Type of Ownership: <input type="checkbox"/> Public Ownership <input type="checkbox"/> Private Ownership			
Charter City/County: <input type="checkbox"/> Yes <input type="checkbox"/> No			
Mailing Address:	City:	State:	Zip+4 Code:
Congressional District(s):			
State Senate District(s):			
State Assembly District(s):			
County:	Federal Tax ID No.:		
Water System No.:			
Data Universal Numbering System (DUNS) No.:			
Authorized Representative Name, Title:			
Phone No.: (      )		Email Address:	
Contact Person Name:			
Phone No.: (      )		Email Address:	
Project Engineer and License No:			
Phone No.: (      )		Email Address:	
Environmental Firm Name:			
Phone No.: (      )		Email Address:	
Legal Counsel Name:			
Phone No.: (      )		Email Address:	
<b>III. PROJECT INFORMATION AND PROPOSED SCHEDULES</b>			
Project Description: <i>(Enter a brief description of the project)</i>			

State Use Only	
DWSRF Project #	
Project Manager	
Date Received	

Water System Permit No.:

Current Year Estimated Population Served:

Current Year Estimated Service Connections:

		Estimated or Actual Date
Estimated Project Schedule:	Complete Construction Application	_____
	1) General Information Package	_____
	2) Technical Package	_____
	3) Environmental Package	_____
	4) Financial Security Package	_____
	Complete Project Plans and Specifications	_____
	Advertise Bids	_____
	Issue Notice to Proceed	_____
	Complete Construction	_____

**Consultation with Other Agencies**  
 Please list other Federal and State agencies and local governments that have been involved in this project (e.g. planning, CEQA/NEPA consultation, funding, etc.), their contact information if known, and estimated dates for resolution of any issues.

**Partnering Agencies**  
 Please list all other agencies that have an interest in this project. Provide contact information if known.

**IV. ESTIMATED PROJECT CAPITAL COSTS AND FUNDING SUMMARY**

<u>Cost Classification</u>	<u>Total Project Costs</u>	<u>Other Funding</u>	<u>Requested Financing</u>
A. Facilities Planning (a)	\$ _____	\$ _____	\$ _____
B. Facilities Design (a)	\$ _____	\$ _____	\$ _____
C. Construction Management (a)	\$ _____	\$ _____	\$ _____
D. Engineering (a)	\$ _____	\$ _____	\$ _____
E. Administration (a)	\$ _____	\$ _____	\$ _____
F. Facilities Construction Total	\$ _____	\$ _____	\$ _____
G. Contingency	\$ _____	\$ _____	\$ _____
H. Pre-Purchase Material/Equipment	\$ _____	\$ _____	\$ _____
I. Land and Right-of-Way	\$ _____	\$ _____	\$ _____
J. Other Costs	\$ _____	\$ _____	\$ _____
<i>Explain:</i> _____			
K. Total Project Costs	\$ _____	\$ _____	\$ _____
<i>(a) Soft costs may be provided for planning, design, engineering, construction management, and administration costs.</i>			

## V. MANAGERIAL INFORMATION

1. Classification of Water System

- Community  
 Non-transient non-community  
 Transient non-community  
 Not currently classified as a public water system – Please explain:

2. Indicate the Ownership of the Water System (check all that apply):

Public Ownership

- Municipality  
 County Agency  
 Special District  
 State Agency  
 School  
 Other: \_\_\_\_\_

Private Ownership

- Corporation  
 Limited Liability Company  
 Partnership  
 Sole Proprietorship  
 Non-profit organization  
 Other: \_\_\_\_\_

Please submit the ownership documentation. (See instructions for further information.) (Label as Attachment **G1**)

If the water system is privately-owned what is the name and title of the individual with authority to engage the water system in a DWSRF financing agreement?

If the water system is a Corporation, Limited Liability Company, or Partnership, then the following must be completed:

A. California Secretary of State Entity Number: \_\_\_\_\_

B. Status with California Secretary of State:  Active  Suspended  Forfeited  Dissolved

3. Does the California Public Utilities Commission (CPUC) regulate your system?  Yes  No

If **yes**, please attach a list and description of all matter relating to your water system that are currently pending before the CPUC (label as Attachment **G2**).

4. Indicate the names, titles and duties of key officers. If there are more than three, attach organization chart providing this information (label as Attachment **G3**).

5. Is there any litigation pending relative to the operation of the water system or the proposed project?

Yes  No

If **yes**, attach a description of the litigation and the potential costs (label as Attachment **G4**).

6. Does the applicant have a contract with a private firm or another agency for the operation of the facility to be financed?  Yes  No

If **yes**, provide the name of the firm or agency and term (in years) of the agreement and attach a copy of the agreement (label as Attachment **G5**)

7. Is the applicant leasing land or major water system facilities?  Yes  No

If **yes**, describe the terms of the lease or attach a copy of the lease agreement (label as Attachment **G6**). (NOTE: If the lease is critical to the location or operation of the proposed project facilities, the term of the lease must be equal to or greater than the loan repayment period.)

**CERTIFICATION AND SIGNATURE OF AUTHORIZED REPRESENTATIVE**

To the best of my knowledge and belief, I certify that I am authorized to submit this application; the information provided in this application is true and correct; the documentation has been duly authorized by the governing body of the applicant; and the entity possesses the legal authority to apply for the financing and enter into a financing agreement with the State Water Resources Control Board and to finance and construct the proposed facilities.

Name of Authorized Representative: \_\_\_\_\_ Title: \_\_\_\_\_

Signature of Authorized Representative: \_\_\_\_\_ Date: \_\_\_\_\_

## VI. ATTACHMENT CHECKLIST

Check the box next to each item attached to your application.

- G1 – Ownership Documentation
- G2 – CPUC Documentation (if applicable)
- G3 – Organization Chart (if applicable)
- G4 – Pending Litigation (if applicable)
- G5 – Operation Agreement (if applicable)
- G6 – Lease Agreement (if applicable)