

## Attachment A

Compilation of Violations

Rio Dell City WWTF

WDID No. 1B83134OHUM NPDES No. CA0022748

**Effluent Limitation Violations Requiring Mandatory Minimum Penalties**

#	Violation Number	Violation Date	Constituent	Pollutant Group	Limitation Period	Limit	Result/Average	Units	Exempted from MMP?	Exempt Reason or otherwise not subject of MMPs	% Over Limit	Date 180 Days Prior	Serious, Chronic or Exempt Violation?	Type of Exceedance	Mandatory Fine?	Water Code	Penalty
1	964207	01/31/2014	Ammonia, Total (as N)	Group 1	Monthly Average	2.8	4.7	mg/L	N		68%	08/04/2013	Serious	Ct. 1	Y	13385(h)	\$ 3,000
2	966053	02/28/2014	Ammonia, Total (as N)	Group 1	Monthly Average	2.4	14	mg/L	N		483%	09/01/2013	Serious	Ct. 2	Y	13385(h)	\$ 3,000
3	966054	02/28/2014	Nitrogen, Total (as N)	Group 1	Monthly Average	10	14	mg/L	N		40%	09/01/2013	Serious	Ct. 3	Y	13385(h)	\$ 3,000
4	1011622	02/28/2014	Ammonia, Total (as N)	Group 1	Daily Maximum	5.6	14	mg/L	N		150%	09/01/2013	Serious	>Ct. 3	Y	13385(h)	\$ 3,000
5	969664	04/15/2014	Total Coliform	Other	Daily Maximum	230	1600	MPN/100	N		N/A	10/17/2013	Chronic	>Ct. 3	Y	13385(i)	\$ 3,000
6	969662	04/15/2014	Ammonia, Total (as N)	Group 1	Daily Maximum	7.9	15	mg/L	N		90%	10/17/2013	Serious	>Ct. 3	Y	13385(h)	\$ 3,000
7	969663	04/30/2014	Nitrogen, Total (as N)	Group 1	Monthly Average	10	18	mg/L	N		80%	11/01/2013	Serious	>Ct. 3	Y	13385(h)	\$ 3,000
8	1011625	04/30/2014	Ammonia, Total (as N)	Group 1	Monthly Average	2.3	15	mg/L	N		552%	11/01/2013	Serious	>Ct. 3	Y	13385(h)	\$ 3,000
9	985047	12/30/2014	Nitrate, Total (as NO3)	Group 1	Monthly Average	8	9.6	mg/L	N/A	a	12%	7/3/2014	Exempt	Ct. 1	N		\$ 0
10	985048	12/30/2014	Nitrogen, Total (as N)	Group 1	Monthly Average	10	11	mg/L	N/A	a	10%	7/3/2014	Exempt	Ct. 2	N		\$ 0
11	989522	3/31/2015	Nitrogen, Total (as N)	Group 1	Monthly Average	10	13	mg/L	N/A	a	30%	11/1/2014	Exempt	Ct. 3	N		\$ 0
12	989523	03/31/2015	Nitrate, Total (as NO3)	Group 1	Monthly Average	8	12	mg/L	N		50%	10/02/2014	Serious	>Ct. 3	Y	13385(h)	\$ 3,000
															<b>Total Penalty</b>		\$ 27,000

Legend of Table

a The first three violations in a six month period shall not receive MMP assesment unless serious.

Ct. Count - The number that follows represents the number of exceedances in the past 180 days, including this violation. A count >Ct. 3 means that a penalty under Water Code section 13385 (i) applies.

1 - Violation occurs on sample date or last date of averaging period.

2 - For Group I pollutants, a violation is serious when the limit is exceeded by more than 40%

- For Group II pollutants, a violation is serious when the limit is exceeded by more than 20%

3 - When a serious violation occurs on the same day as a chronic, the serious violation is only assessed an MMP once and is counted last for the day when determining the number of chronic violations to be assessed a penalty.

**Violation period ending the last day of April 2016**

Group I Violations Assessed MMP: 8

Group II Violations Assessed MMP: 0

Other Effluent Violations Assessed MMP: 1

Violations Exempt from MMP: 3

Total Violations Assessed MMP: 12

**Mandatory Minimum Penalty = (8 Serious Violations + 1 Non-Serious Violations) x \$3,000 = \$27,000**