

CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD
SAN FRANCISCO BAY REGION

ORDER NO. R2-2004-0032

REVISION TO FINAL SITE CLEANUP REQUIREMENTS AND RESCISSION OF ORDERS NOS. 94-064 (AS AMENDED), 98-070, AND 91-006 FOR:

UNITED TECHNOLOGIES CORPORATION

for the property located at
**600 METCALF ROAD
SANTA CLARA COUNTY**

The California Regional Water Quality Control Board, San Francisco Bay Region (hereinafter called the Water Board), finds that:

1. **Site Location:** United Technologies Corporation (UTC), hereinafter also referred to as the discharger, owns and operates the San Jose facility in Santa Clara County as shown in Figure 1. The site is located in an unincorporated area of Santa Clara County approximately five miles south of San Jose and four miles east of U.S. Highway 101. The site is located in an area of rolling hills and relatively broad valleys. The two main valleys within the developed portion of the site are Shingle Valley and Mixer Valley. Elevations range from 680 to 1400 feet above mean sea level. Four seasonal creeks flow through the site and converge near the southeastern corner of the site. The combined flow of these creeks discharges into Anderson Reservoir, Santa Clara County's largest drinking water reservoir. Water released from Anderson Reservoir flows to Coyote Creek, which flows northward across the Santa Clara Valley and empties to San Francisco Bay.

Land usage surrounding the UTC site is primarily agricultural. Ranch lands are located to the north, east, and southeast of the site. To the northwest and west are two regional parks and some open public land. The nearest residences are a few ranch houses or other dwellings located within 3,000 feet to the north, northeast, and southeast of the site boundaries.

2. **Site History:** UTC began operations at the 5,113-acre site in 1959. The facility now includes over 200 stations used for laboratories, research, testing, manufacturing, storage, maintenance, and administration. UTC develops, manufactures, and tests space and missile propulsion systems at the site. Solid rocket motors are filled with propellants designed to cause a controlled oxidation reaction that releases large amounts of energy and gas. Solid rocket propellants produced at the UTC facility are typically composed of synthetic rubber with the reactive materials (primarily aluminum and ammonium perchlorate) suspended in the rubber matrix. Aluminum serves as the fuel while ammonium perchlorate is the oxidizer for the reaction. Typical solid rocket propellant consists of approximately 16% aluminum fuel, 12% polymer binder, 2% epoxy curing agent, and about 70% ammonium perchlorate. Large amounts of ammonium perchlorate were used at the site until operations were discontinued in August 2003.

Other materials used in the operations at UTC include epoxies, paints, and insulating materials. Chlorinated and non-chlorinated degreasing agents were also used to dissolve polymers from hardware. Degreasers included trichloroethene (TCE) and 1,1,1-trichloroethane (TCA). Other volatile organic compounds (VOCs) used at the site included chlorofluorocarbons such as Freon 11 and Freon 113. Historically, spent solvents were collected for evaporation in on-site surface impoundments or shipped off-site for recycling or disposal.

There are three Resource Conservation and Recovery Act (RCRA) Class I surface impoundments at the facility, all of which have been closed. Surface Impoundment 0250 was a 110,540-gallon surface impoundment in Upper Shingle Valley that received metal-finishing wastewater. Surface Impoundment 0635 was a 179,500-gallon surface impoundment in Mixer Valley that received wastewater from a polybutadiene acrylic acid acrylonitrile (PBAN) polymer plant. Surface Impoundment 0706 was a four-cell 42,964-gallon surface impoundment in Mixer Valley that received waste solvents, paint sludges, and ammonium perchlorate washwater. The California Department of Toxic Substances Control (DTSC) certified closure of the three surface impoundments on November 25, 1991. Because the surface impoundments were closed with groundwater contamination left in place, the units will have to be monitored under a post-closure permit. DTSC is in the process of adopting a RCRA Post-Closure Permit for the impoundments.

The former Open Burning Facility (OBF) located in the eastern portion of the facility was a RCRA-regulated treatment facility historically used for open burning of waste propellants and explosives. Wastes were ignited within burn units, which were constructed of earthen berms. Thermal treatment at this facility was discontinued on October 18, 1996. The OBF was certified closed on June 2, 2000. Like the three surface impoundments, the OBF must be monitored under RCRA post-closure permit because of contamination left in place.

3. **Named Discharger:** UTC is named as the sole discharger because it is the current property owner, and because it owned and occupied the property during the time of the activities that resulted in the discharges, has knowledge of the discharges or the activities that caused the discharges, and has the legal ability to prevent future discharges. The results of investigations have confirmed the presence of chemicals used by UTC in soil and groundwater in several areas of the site.

If additional information is submitted indicating that other parties caused or permitted any waste to be discharged on site where it entered or could have entered waters of the State, the Water Board will consider adding that party's name to this order.

4. **Regulatory Status:** The site has been under Water Board oversight since 1965. The site has been regulated under several Water Board orders, including Site Cleanup Requirements (SCR) orders, Waste Discharge Requirements (WDR) orders, and a Water Reclamation Requirements order. To facilitate regulatory oversight, the site was divided in the 1990s into two operable units based on the status of environmental characterization. The fully characterized portion of the site (Mixer Valley and most of Shingle Valley) was designated Operable Unit 1 (OU1); the remainder of the site was designated Operable Unit 2 (OU2). The SCR for OU1, Order No. 94-064, was adopted May 18, 1994, and amended on May 24, 1995, September 13, 1995, and May 21, 1997. The original SCR for OU2, Order No. 95-193, was adopted September 14, 1995 and was later replaced by Order No. 98-070 which was adopted on July 15, 1998. This Order rescinds and supercedes Orders 94-064 (along with its amendments) and 98-070; combines the two operable units into one; and regulates cleanup of soil and groundwater for the entire site.

In 1991, the Water Board issued a Water Reclamation Requirements order, Order No. 91-006, which permitted the facility to reuse treated groundwater for irrigation and dust control. This Order rescinds Order 91-006; however, on-site reuse of reclaimed water is still permitted as discussed in Finding 15, subject to the requirements specified in Section D of this order (page 25).

The site is also regulated under a Waste Discharge Requirements order, Water Board Order No. 95-190, which was adopted on September 13, 1995. This WDR regulates discharge of treated water from the site's sanitary wastewater treatment plant. This Order does not affect or rescind the WDR. The site also manages storm water runoff under the State General Permit for Storm Water Discharges Associated with Industrial Activities, Permit No. CAS000001.

In addition to the Water Board Orders, the four closed waste management units at the site are regulated under RCRA Post-Closure Permits administered by the DTSC. As discussed in Finding #2, the OBF and three closed surface impoundments (stations 250, 635, and 706) will be regulated under post-closure because the units were not clean-closed due to residual volatile organic compound (VOC) and perchlorate contamination. These units under DTSC oversight are subject to specific RCRA monitoring requirements in addition to the regional groundwater monitoring requirements mandated by the Water Board. The RCRA monitoring requirements are incorporated into the Self-Monitoring Program attached to this Order.

5. **Study Area:** This order pertains to the entire UTC site. The site has been divided into eight geographic/investigative areas as follows:

- Upper Shingle Valley (USV)
- Middle Shingle Valley (MSV)
- Lower Shingle Valley (LSV)
- Motor Test Area (MTA)
- Research and Advanced Technology Area (R&AT)
- Motor Assembly Area and Component Test Area (MAA/CTA)
- Mixer Valley
- Open Burning Facility (OBF).

These areas are identified on the site map (Figure 2). More information on these areas is provided below.

6. **Surface Hydrology:** Three riparian systems, Shingle Creek, Mixer Creek, and Las Animas Creek, exist within the site boundaries. Shingle Creek and Mixer Creek flow in a southeasterly direction through Shingle Valley and Mixer Valley, respectively. Las Animas Creek flows southward through the site, passing between the OBF and the main developed portion of the site. A fourth creek, San Felipe Creek, traverses the eastern side of the site before flowing into Las Animas Creek southeast of the facility boundary. Shingle Creek, Mixer Creek, and San Felipe Creek all flow into Las Animas Creek, and this combined flow empties into Anderson Reservoir. Shingle and Mixer creeks, along with Las Animas Creek above its confluence with San Felipe Creek, are generally small, ephemeral streams with highly variable flows. A characteristic of the creeks is the existence of subsurface stream flow in some reaches. Hydrologic studies have confirmed that some stretches of the streams are gaining, or receive a contribution of their flow from groundwater discharge. The direct communication between groundwater and surface water

and the documented discharge of contaminated water into the creeks at the site requires that UTC conduct surface water monitoring at the site. Creeks are currently sampled on a monthly schedule at specified sampling stations both on the site and outside the property boundaries.

The creeks flowing through the site provide a pathway for the potential transport of VOCs and perchlorate to Anderson Reservoir. Preventing or minimizing contaminants in surface waters is a high priority to prevent the spread of contaminants and protect water quality in Anderson Reservoir, which is used as a source of drinking water for several hundred thousand Santa Clara County residents. VOCs and perchlorate are routinely detected in surface waters at the site, particularly during the wetter winter months. Studies have shown this results from the transport of contaminants from surface soils via storm water runoff, as well as from the discharge of contaminated groundwater at creek gaining sections. Perchlorate is occasionally detected, at low concentrations, in Las Animas creek between the site boundary and Anderson Reservoir. Neither VOCs nor perchlorate have been detected to date in the reservoir, which is sampled monthly for perchlorate and quarterly for VOCs by the Santa Clara Valley Water District. It is appropriate to prohibit detectable concentrations of contaminants in surface waters at or beyond the property boundary to assure protection of the existing beneficial use downstream. Tasks 1 and 2 of this Order require the discharger to improve its storm water monitoring program to allow better quantification of the mass of contaminants entering the creeks during and after storms, and to design and implement measures in and downgradient of on-site source areas to eliminate the discharge of contaminants into the creeks.

7. **Site Hydrogeology**

Hydrogeologic Units: Developed portions of the site are located either in stream valleys filled with young, unconsolidated alluvium, or on hilly areas underlain by exposed or thinly buried bedrock. Bedrock at the site generally consists of poorly consolidated, non-marine sediments of the Santa Clara Formation, which is of Plio-Pleistocene age. The Santa Clara Formation is a heterogeneous assemblage of discontinuous fluvial deposits ranging from clays to sandy gravels, and dips to the northeast. The alluvium that fills the stream valleys consists of lenses and layers of clay, silt, sand and gravel. Santa Clara Formation underlies the stream valleys.

The Santa Clara Formation's ability to store and transmit water is variable, but it typically has a lower hydraulic conductivity than the alluvium. Hydraulic conductivities measured in the alluvium range from 3×10^{-2} cm/sec to 2×10^{-4} cm/sec, while hydraulic conductivities measured in the Santa Clara Formation range from 2×10^{-3} to 1×10^{-8} cm/sec. In general, the alluvium serves as an aquifer where it is saturated, whereas the Santa Clara Formation is believed to act as a barrier to vertical (and in some places, lateral) groundwater migration. Isolated lenses of groundwater have been identified in the deeper Santa Clara Formation between 50 and 70 feet below the ground surface.

Hydrogeology of the Valleys: In Shingle and Mixer valleys, groundwater occurs primarily in the alluvium, but also occurs in limited portions of the Santa Clara Formation in the upper portions of each valley. The alluvium attains a maximum thickness of approximately 50 feet. In Shingle Valley, the water table typically occurs between 15 to 40 feet below the ground surface. In Mixer Valley, groundwater is encountered in the alluvium at shallower depths, typically between 4 and 20 feet. Groundwater in the alluvium appears to be unconfined in some portions of the valleys and semi-confined in others. This situation is characteristic of fluvial deposits containing interbedded, laterally varying materials with contrasting permeability. Saturated alluvium

generally overlies tighter Santa Clara deposits described as moist. However, in some areas saturated coarse-grained Santa Clara materials appear to be in contact with saturated alluvium, suggesting there may be localized hydraulic connections between the alluvial and Santa Clara Formation deposits.

Hydrogeology of the Hills: The other developed areas of the site are located in hilly areas underlain primarily by Santa Clara Formation bedrock. These areas include the Motor Assembly Area and Component Test Area (MAA/CTA), the Research and Advanced Technology Area (R&AT) area, the Motor Test Area (MTA), and the Open Burning Facility (OBF).

The MAA/CTA is located in the hills upland of Shingle Valley. Groundwater in the MAA/CTA is generally first encountered in the Santa Clara Formation at depths between 25 and 70 feet. The R&AT and the MTA are located in side valleys adjacent to seasonal creeks that drain into Shingle Valley. The R&AT area and MTA are located either on alluvial soils or directly on Santa Clara Formation. Groundwater at the R&AT and MTA area is encountered at various depths ranging from approximately 14 to 42 feet in alluvium and Santa Clara Formation. Because of the geologic complexity of the Santa Clara Formation, it is difficult to determine groundwater flow pathways in the area. Available data suggest that the groundwater flow direction and orientation of the pollution plumes reflects the orientation of surface drainages in the tributary valleys. These tributary drainages contain surface flow only during the winter months.

The OBF is located on a north-sloping ridge of exposed Santa Clara Formation. Surface drainage is toward both the northwest and east. A thin layer of colluvium varying from 1 to 5 feet thick overlies the Santa Clara Formation. Some alluvium occurs in isolated locations along the two small drainages that trend north and west of the OBF. Groundwater flow at the OBF is extremely variable because of the lateral discontinuity of Santa Clara Formation deposits, and because of surface topography associated with the nearby Calaveras fault.

8. **Remedial Investigation and Remedial Measures:** Remedial investigations at the site began in the 1980s, and continue to be performed as needed to facilitate remedial efforts. Remedial measures to remove VOCs and other chemicals from soil and groundwater began in the late 1980s, and continue to the present. Earlier efforts at the site were focused on remediation of VOCs, while the current focus is on perchlorate remediation. Remedial investigations conducted since 1998 have generally established the extent of perchlorate contamination. Perchlorate has been detected in groundwater in most developed portions of the site, and the distribution generally coincides with the VOC plumes. The highest levels of perchlorate in soil and groundwater occur in the Oxidizer Road area, followed by Mixer Valley and the OBF.

Because of the size and complexity of the site, summaries of the remedial investigations and remedial measures are provided for each main area of the site.

Shingle Valley

Investigations: Shingle Valley extends about 14,000 feet (2.5 miles) within the site property boundaries, and includes the most heavily developed areas of the facility. UTC has divided the valley into three investigative areas (Upper, Middle and Lower Shingle Valley). Investigations in Shingle Valley began in 1984, and have included 370 soil borings and installation of 148 monitoring wells.

Shingle Valley contains several VOC plumes and minor fuel hydrocarbon plumes that originated from numerous potential sources including historical drum storage areas, sumps, spills, fuel tanks, and abandoned open burning areas. Ten VOC source areas have been identified in Shingle Valley, including six in Upper Shingle Valley (USV), three in Middle Shingle Valley (MSV), and one in Lower Shingle Valley (LSV). The main VOC plume in USV is approximately 2,600 feet in length and approximately 600 feet wide. The maximum concentration of total VOCs in Shingle Valley groundwater (15,000 µg/L) occurs in the USV plume. The VOC plume in MSV is approximately 4,200 feet in length and 700 feet in width. VOC concentrations in MSV are lower than those in USV, with a single well containing total VOCs at a concentration exceeding 1,000 ug/L.

The VOC plume in Lower Shingle Valley is approximately 1,300 feet long and up to 500 feet wide. Total VOC concentrations in the LSV plume are generally below 50 ug/L. The VOC plume extends to the property boundary, but extraction wells at the boundary have been effective at preventing offsite migration of VOCs. Since 1998, there has been only one detection of VOCs in one of the five offsite monitoring wells just outside the property boundary, and that detection was at a level well below the drinking water standard.

Shingle Valley also includes one major diesel fuel plume, near Station 0710. The plume extends approximately 400 to 500 feet downgradient of the station and is estimated to be about 250 feet wide. Concentrations of TPH-diesel as high as 700,000 µg/L in groundwater have been detected in the presence of floating product. In August 1996, the Station 0710 air-injection biosparge system was started to remediate groundwater impacted by diesel fuel by stimulating aerobic degradation of diesel by naturally occurring microorganisms. Floating product is removed by periodic manual bailing. The maximum concentration of TPH-diesel in groundwater in 2002 was 85,000 ug/L.

Perchlorate plumes are also present in Shingle Valley, but are smaller and contain lower concentrations than the perchlorate plumes in Mixer Valley and the OBF. The most significant perchlorate plume in USV, near Station 1950, has contained concentrations in groundwater as high as 1,900 µg/L. This plume is no longer than 900 feet. The MSV perchlorate plume is approximately 3500 feet in length and 600 feet in width. The highest perchlorate concentrations in Shingle Valley (9,600 µg/L) occur in the MSV plume.

The perchlorate plume in LSV extends to property boundary, and perchlorate is occasionally detected in two of the five offsite groundwater monitoring wells that lie just outside the boundary. LSV perchlorate concentrations have been measured as high as 227 µg/L. In 2002, the highest perchlorate concentration detected in LSV groundwater was 59 ug/L.

Remedial Measures: Soil vapor extraction (SVE) is implemented at source areas with soil VOC concentrations greater than 1 mg/kg total VOCs. Soil cleanup by SVE is currently conducted at 7 areas in Shingle Valley.

The discharger operates 33 extraction wells in Shingle Valley (14 in USV, 9 in MSV, and 10 in LSV) to reduce contaminant concentrations, limit plume migration, and to limit the discharge of contaminated groundwater into Shingle Creek. Groundwater extraction in LSV has the additional goal of preventing off-site migration of contaminants. Groundwater extracted from

Upper and Middle Shingle Valley is treated for VOCs and perchlorate at groundwater treatment station (GTS) 2405. Groundwater extracted from Lower Shingle Valley is treated at GTS 2403. Effluent from the treatment systems is routed to holding ponds for on-site reuse.

Research and Advanced Technology Area (R&AT)

Investigations: The R&AT lies in a tributary valley to the west and south of Upper Shingle Valley, and drains into the upper part of USV. Characterization in the R&AT has included 46 soil borings and installation of 5 monitoring wells. A small groundwater plume containing low levels of VOCs (up to 68 µg/L of TCE) was identified in the vicinity of a basement sump at Station 1710. Perchlorate was detected near the sump at concentrations up to 690 mg/kg in soil and 370 µg/L in groundwater.

Remedial Measures: UTC conducts groundwater extraction and treatment for VOCs and perchlorate at Station 1710. Water extracted from this area is treated at GTS 1710, a small-capacity treatment unit used specifically for Station 1710. VOC concentrations have generally remained below MCLs since 1992.

Motor Test Area (MTA)

Investigations: The MTA occupies a relatively large side valley that drains into Shingle Valley from the southwest. Investigations at the MTA have included 69 soil borings and installation of 9 monitoring wells. Solvent handling areas have been identified as a potential source of VOC pollution in the MTA area. Although soil impacts appear to be relatively minor, groundwater has been significantly impacted. Historic maximum groundwater concentrations include 2,300 µg/L TCE, 29,000 Freon 11, and 8,300 µg/L Freon 113. Perchlorate has been detected in groundwater at 130 µg/L. The groundwater pollution plume migrates through saturated alluvium northeastward toward Shingle Valley. Concentrations attenuate rapidly with distance from the source area. Monitoring wells were installed in both alluvium and within the Santa Clara Formation.

Remedial Measures: CSD operates one groundwater extraction well near the MTA source area. Extracted water is treated at GTS 2406, a small-capacity treatment unit specifically used for one well in the MTA. The treatment unit was shut down in 1998, when perchlorate was detected at GTS 2406. The unit was restarted again in 2003 after modifying operation of the unit to treat perchlorate. Because groundwater pumping rates in the area are low (less than 0.5 gpm), it is unclear whether groundwater in this area can be successfully remediated through extraction. Task 6 of this Order requires the discharger to evaluate whether alternative remedial technologies (such as in-situ chemical oxidation) might achieve better remedial results than groundwater extraction for VOCs. Despite the intermittent nature of remediation in the area, migration of the MTA plume appears to be minimal due to the slow groundwater flow rates.

Motor Assembly Area/Component Test Area (MAA/CTA)

Investigations: The MAA/CTA is situated in a hilly area underlain by Santa Clara Formation bedrock on the south side of Middle Shingle Valley. Investigations here have included 164 soil borings and installation of 17 monitoring wells. Three source areas were identified. Observed soil impacts were not particularly significant for VOCs other than methylene chloride and acetone, and no perchlorate was detected in soil in the area. However, high VOC concentrations were detected in groundwater within the Santa Clara Formation. TCE has been detected at a maximum concentration of 43,000 µg/L, Freon 11 at a maximum concentration of 330,000 µg/L, and Freon 113 at a maximum concentration of 8,500 µg/L. Perchlorate was detected at a maximum

concentration of 102 µg/L in groundwater. Groundwater plumes migrating from each of the three MAA/CTA source areas appear to follow local surface drainage toward Shingle Valley. However, the MAA/CTA plumes do not appear to have migrated extensively, as VOC concentrations attenuate rapidly to less than 10 ug/L before reaching Shingle Valley alluvium.

Remedial Measures: Soil vapor extraction is currently performed at one source area to remediate acetone and methylene chloride contamination in soil. Groundwater extraction is performed in the MAA/CTA, however, because groundwater flow rates in the area are generally very low, it is unclear whether groundwater in this area can be remediated through extraction. Task 6 of this Order requires the discharger to evaluate whether alternative remedial technologies (such as in-situ chemical oxidation) might achieve better remedial results than groundwater extraction for VOCs.

Mixer Valley

Investigations: A series of groundwater investigations in Mixer Valley began in 1981 and ultimately resulted in 420 soil borings and the installation of 122 ground water monitoring wells with depths of up to 100 feet. Contaminant plumes originated from many potential sources including historical locations of drummed storage of various solvents, the Station 0706 Class I surface impoundment, and various inadvertent chemical releases. Six VOC source areas have been identified in Mixer Valley.

The major Mixer Valley groundwater plume currently contains concentrations of total VOCs up to 32,460 µg/L. The high concentrations suggest dense non-aqueous phase liquids (DNAPL) may be present in places, but DNAPL has not been identified. TCA and TCE are the major VOCs present, but vinyl chloride, 1,2-DCE, 1,1-DCE, and 1,1-DCA have also been detected. The Mixer Valley VOC plume is approximately 3,200 feet in length and 600 feet in width.

The Mixer Valley perchlorate plume is approximately 5,500 feet in length and 600 feet in width. Concentrations of perchlorate in Mixer Valley groundwater are highly elevated. The highest concentration of perchlorate in groundwater was measured at 1,282,000 µg/L in the Oxidizer Road area. Several monitoring wells in the Oxidizer Road area contain perchlorate at concentrations that routinely exceed 10,000 ug/L. Surface and shallow soils in the Oxidizer Road area also contain elevated levels of perchlorate, which is picked up by storm water runoff and transported into Mixer Creek. Storm water runoff samples from the Oxidizer Road drainages collected in a 2001 study yielded perchlorate at levels up to 82,000 ug/L. A significant mass of perchlorate is transported into surface water from contaminated soil areas into surface water during storms. In addition to the problem with storm water runoff, hydrologic studies have confirmed that groundwater containing high concentrations of perchlorate discharges into surface water in Mixer Creek. The surface water monitoring program for the site needs to be expanded to allow better monitoring and quantification of perchlorate discharge to streams during and after storm events.

In addition to the VOC and perchlorate plumes, Mixer Valley also contains a station that has been impacted by polychlorinated biphenyls (PCBs) and non-fuel petroleum hydrocarbons (TPH).

Remedial Measures: Five of the six VOC source areas in Mixer Valley are being remediated by SVE; remedial alternatives are still being evaluated for the sixth. Groundwater plumes are being remediated through extraction and treatment. The discharger currently operates 14 extraction wells in Mixer Valley. All extracted water is treated for VOCs and perchlorate at GTS 2404, then routed to a holding pond for on-site reuse.

The primary remedial strategy to reduce the discharge of perchlorate-contaminated groundwater to surface water is to lower the groundwater elevations in the dominant pathway areas. Reduction of perchlorate concentrations in soil is another remedial goal in Mixer Valley. A successful pilot test of anaerobic soil composting conducted in 2001 demonstrated an effective technology for treating perchlorate-impacted soils to less than 1 mg/kg of perchlorate. Task 4 of this Order requires the discharger to evaluate remedial technologies for perchlorate contamination in surface and subsurface soils.

The PCB source area in Mixer Valley has been treated through soil excavation and groundwater extraction and treatment. Approximately 2,400 cubic yards of PCB-contaminated soil was excavated in October 1995. Soil was excavated to a total depth of 25 feet and soil cleanup goals were met. PCB-contaminated groundwater is being remediated by a groundwater treatment system consisting of 3 extraction wells and an aqueous granular activated carbon treatment unit.

Open Burn Facility (OBF)

Investigations: Extensive investigations have been conducted in and around the OBF, including 197 soil borings and installation of 60 groundwater monitoring wells. Several sources of soil and groundwater pollution have been identified, including several open burn units (OBUs) and areas designated as the Areas 1, 3, and 7, and the "Debris Area."

The most significantly impacted soils were found in OBU-3 and OBU-5, with levels of up to 1,300 mg/kg total VOCs. Low levels of PCBs were also detected in the OBUs. Areas 1, 3, and 7 contained metals, VOCs, semi-volatile organic compounds (SVOCs), PCBs, and other chemicals. Low levels of VOCs were also detected at the Debris Area. Surface soil sampling to assess the potential for perchlorate runoff impact to surface water yielded a maximum perchlorate concentration of 0.44 mg/kg.

Groundwater impacts at the OBF are significant. TCE was detected in groundwater at a maximum of 260,000 µg/L and TCA at a maximum of 14,000 µg/L. Perchlorate has been detected in most OBF groundwater monitoring wells, with a maximum concentration in groundwater of 52,000 µg/L. PCBs have not been detected in groundwater.

Hydrogeologic investigations suggest that groundwater at the OBF may be physically isolated to some extent. There is little evidence to date of extensive migration of contaminated groundwater away from the OBF area. The OBF is underlain by Santa Clara Formation bedrock of varying lithology and permeability. Three distinct water-bearing zones have been delineated. The water-bearing materials in the top two zones appear to pinch-out or be truncated by unconformities to the north, south, and west and to be truncated by the Calaveras Fault to the east/northeast.

Remedial Measures: UTC excavated and removed most contaminated soils in and around the OBF prior to 1995. Areas excavated include OBU-1 and 2, Areas 1, 3, and 7, and the Debris Area. Soils

excavated from OBU-1 and OBU-2 were placed in OBU-5 for treatment by SVE. A portion of impacted soil at the OBU-3 containing visible ash was also removed from the site and transported to an offsite permitted hazardous waste facility. The remainder of the impacted soils in OBU-3 and OBU-5 are currently being cleaned up utilizing SVE systems.

Groundwater in the OBF is currently being remediated by the operation of 15 extraction wells. Extracted groundwater is pumped to GTS 2404 in Mixer Valley where it is treated for VOCs and perchlorate. UTC's evaluation of the performance of the groundwater extraction system in 1996, along with subsequent groundwater monitoring, suggests the groundwater extraction system is reducing chemical concentrations and generally preventing migration of the VOC plume. Because of perchlorate's greater mobility, the ability of the extraction system to control perchlorate migration is less certain. Two monitoring wells (RI-45W and RI-46W) located along the UTC property boundary on the north side of the OBF have shown high concentrations of perchlorate (4,660 ug/L and 1,700 ug/L, respectively) in recent years, suggesting that perchlorate is migrating northward into the offsite area. In 2003, these monitoring wells were converted to extraction wells to provide additional plume migration control at the property boundary. UTC is required to install new monitoring wells in the offsite area north of the property boundary to allow downgradient monitoring of the new extraction wells' effectiveness. These new wells should provide information on the extent to which contaminated groundwater may have migrated into this area.

Remedial Effectiveness Evaluation: Between 1998 and 2002, a total of 238 pounds of VOCs were removed from site groundwater by the five GTS systems. Very little perchlorate was removed by the treatment systems prior to 2001 - 2002, when ion exchange resin beds were installed at GTS 2403 and 2404. Perchlorate is currently removed in GTS 2405 by performing more frequent carbon change-outs. The following table shows the amount of chemicals (in pounds) removed from groundwater by each groundwater treatment system in 2002.

Table 1: Pounds of Chemicals Removed from Groundwater in 2002

Chemical	GTS 1710	GTS 2403	GTS 2404	GTS 2405	GTS 2406
VOCs	0.003	1.9	25.0	53.8	0
Perchlorate	0	0.3	450	13.1	0

9. **Adjacent sites:** The area surrounding the UTC facility is undeveloped ranch land. No chemical sources have been identified in the areas adjacent to UTC that have impacted the UTC site.

Two offsite areas may potentially be impacted by releases from the UTC site. One of these is the area on the north side of the OBF, as discussed above (Finding 8). Surface drainage patterns limit the extent to which the property north of the OBF has been impacted by contaminated surface runoff or groundwater migration from the OBF. Of greater concern are the potential impacts to Anderson Reservoir, which receives the combined surface flow from all the creeks that pass through the site. As discussed in Finding 6, all of these creeks periodically contain chemicals (especially perchlorate) released from the UTC site. Anderson Reservoir is located approximately 800 to 4000 feet south of the point where Shingle Creek crosses the site boundary, depending on the volume of water stored in the reservoir.

10. **Environmental Risk Assessment:** In 1992, UTC performed a baseline risk assessment and a human health and environmental health evaluation. Primary chemicals of interest and their toxicity were determined, and potential exposure pathways were identified. Risks were identified for carcinogenic and noncarcinogenic chemicals in soil, water, and air, and compared to the acceptable risk range.

In the report entitled *Proposed Final Remedial actions and Cleanup Standards for Operable Unit 2* (December 1997), UTC provided a risk assessment for current industrial cleanup exposures to chemicals of concern, including VOCs and SVOCs. The report also evaluated risk from potential residential exposure to current site conditions, which reflects more health-protective criteria. For industrial receptors, the pathway for exposure to carcinogenic and potentially carcinogenic chemicals is inhalation of vapors and dermal contact with soil. For residential receptors, ingestion of groundwater is the primary pathway for exposure. A less significant pathway is inhalation of dust. Exposure to TCE represented the greatest cancer risk. Although the current estimated potential increased health risks to industrial receptors did not exceed the EPA guidelines, the current risks to potential residential receptors was found to be excessive. Assuming chemical concentrations proposed for soil and groundwater cleanup standards are achieved before the site would be developed for residential occupancy, the estimated carcinogenic risks would be below acceptable levels.

In December 2003, UTC submitted an addendum to the 1997 risk assessment that evaluated the human health risk posed by two additional chemicals, perchlorate and 1,4-dioxane (1,4-dioxane was used as a stabilizer in some blends of 1,1,1-TCA). On the basis of the perchlorate risk assessment, the addendum proposed cleanup standards for perchlorate of 0.02 mg/kg in soil and 6 ug/L in water. The addendum proposed cleanup standards for 1,4-dioxane of 0.002 mg/kg in soil and 3 ug/L in groundwater. The low soil cleanup standards for these chemicals reflect the ease with which these chemicals are leached from soil, and were driven primarily by the goal of protecting groundwater quality rather than limiting human exposure.

The Water Board considers the following risks to be acceptable at remediation sites: a hazard index of 1.0 or less for non-carcinogens, and an excess cancer risk of 10^{-4} or less for carcinogens.

Due to excessive risk that will be present at the site pending full remediation, the discharger has implemented institutional controls to limit on-site exposure to acceptable levels. Institutional constraints include a deed restriction, and measures to maintain site security and require worker notification of potential health and safety concerns due to the presence of hazardous chemicals in the environment. The deed restriction, approved by the Water Board's Executive Officer and recorded with Santa Clara County in 2002, prohibits the use of shallow groundwater for drinking water at the site. The deed restriction also prohibits residential development at the OBF and restricts use of the OBF for sensitive uses such as hospitals or day care centers.

11. **Feasibility Study:** The 1991 *RCRA Facility Investigation/Corrective Measures Study* included an evaluation of remedial alternatives for groundwater and soil at the UTC site. Also, a list of possible alternatives was developed and evaluated in the discharger's report titled *Proposed Final Remedial Actions and Cleanup Standards for Operable Unit 2* (dated December 1997). Additional alternatives for perchlorate remediation have been considered since 2000.

The objectives for soil remediation are to reduce chemical concentrations in vadose-zone soils to below the cleanup goals and to prevent further impacts to groundwater. The objectives for groundwater remediation are to stop migration of the leading edges of the plumes, minimize migration of the high mass of contamination at source areas, and to reduce chemical concentrations in groundwater within the plumes to below the groundwater cleanup goals.

Remedial actions considered for contaminated soils include no action, soil vapor extraction, soil leaching, biodegradation, excavation and offsite disposal, high temperature incineration, low temperature thermal stripping, and onsite soil washing. Newer technologies were also evaluated, including phytoremediation, in-situ soil flushing, enhanced soil vapor extraction, and anoxic soil composting.

Remedial actions considered for groundwater include no action, subsurface barriers, gradient control, and groundwater extraction and treatment. New groundwater remedial technologies were also evaluated, including in-well air stripping, reactive wells, reactive barriers, oxygen-reducing zones. The use of ion exchange resin beds has been evaluated as a means of removing perchlorate from extracted groundwater.

12. **Remedial Action Plan:** The discharger's report entitled *RCRA Facility Investigation/ Corrective Measures Study* and its addenda (dated June 1991 and June 1993, respectively) proposed a final cleanup plan for VOCs in Shingle Valley and Mixer Valley. The report entitled *Proposed Final Remedial Actions and Cleanup Standards for Operable Unit 2* (dated December 1997) proposed a final cleanup plan for VOCs in the Research and Advanced Technology area, Motor Test Area, Motor Assembly Area/Component Test Area, and the Open Burning Facility.

The *Five-Year Status Report and Remediation Effectiveness Evaluation* (July 2003) contained an evaluation of the remedial actions that have been implemented at the site between 1998 and 2002 and a summary of new, alternate technologies currently under consideration. This report determined that the remedial actions currently implemented for soil and groundwater cleanup are effective and recommended that these actions be continued.

Final Remedial Action Plan for VOCs: The final remedial actions currently implemented to address VOC contamination at the site are as follows:

Groundwater and surface water: The discharger plans to continue operation of the existing groundwater extraction and treatment systems to remove chemical mass, reduce concentrations, prevent vertical or lateral migration of contaminants, to prevent seepage of contaminated groundwater into creeks, and to restore groundwater quality. Extracted groundwater is treated at several treatment (GTS) units located throughout the site. These include GTS 2403 and 2405 in Shingle Valley, GTS 1710 in the R&AT area, GTS 2406 in the MTA, and GTS 2404 in Mixer Valley. Water extracted from the OBF area is piped to Mixer Valley for treatment at GTS 2404. Each treatment unit consists of an air stripper and carbon adsorption units with the exception of GTS 1710, 2405, and 2406, which use aqueous-phase carbon only. PCB-contaminated groundwater at Station 0535 in Mixer Valley is treated with aqueous-phase carbon at GTS 0535, then routed to GTS 2404 for further treatment.

Soil: Continuation of the existing soil vapor extraction systems to prevent leaching of volatile chemicals from the soil to the underlying groundwater, and to prevent volatilization to the

atmosphere. UTC currently operates 15 stationary SVE units, and uses 2 mobile, trailer-mounted SVE units. All identified sources will be treated to achieve soil cleanup standards. A source is defined as soils containing one or more chemicals at concentrations above the cleanup standards established for those chemicals.

Alternate remedial measures: The performance of the current soil and groundwater remedial actions will be re-evaluated periodically and if necessary, modifications to the remedial measures will be proposed and implemented.

Interim Remedial Actions for Perchlorate: The final cleanup plan summarized above was approved and implemented in the 1990s, prior to the recognition of perchlorate as a significant environmental and human health hazard. For this reason, the final remedial plan was focused on removal of VOCs. Since 2000, UTC has augmented its site cleanup strategies to include measures to reduce perchlorate concentrations in soil and groundwater; to control the migration of groundwater containing perchlorate; and to minimize the discharge of perchlorate into creeks. Anaerobic soil composting is successfully being used to reduce perchlorate concentrations in soil. Measures currently being implemented to address perchlorate contamination in groundwater include:

- operation of extraction wells to collect and treat perchlorate-contaminated water, and to depress the water table and inhibit discharge into creeks;
- addition of ion exchange resin beds to the treatment systems at GTS 2403 and 2404 to remove perchlorate from extracted groundwater;
- increasing the frequency of carbon change-outs at GTS 1710, 2405, and 2406 to prevent perchlorate breakthrough.

13. **Basis for Cleanup Standards:**

- a. **General:** State Board Resolution No. 68-16, "Statement of Policy with Respect to Maintaining High Quality of Waters in California," applies to this discharge and requires attainment of background levels of water quality, or the highest level of water quality which is reasonable if background levels of water quality cannot be restored. Cleanup levels other than background must be consistent with the maximum benefit to the people of the State, not unreasonably affect present and anticipated beneficial uses of such water, and not result in exceedence of applicable water quality objectives. The previously cited remedial action plan confirms the Board's initial conclusion that background levels of water quality cannot be restored. This order and its requirements are consistent with Resolution No. 68-16.

State Board Resolution No. 92-49, "Policies and Procedures for Investigation and Cleanup and Abatement of Discharges Under Water Code Section 13304," applies to this discharge. This order and its requirements are consistent with the provisions of Resolution No. 92-49, as amended.

- b. **Beneficial Uses:** The Water Board adopted a revised Water Quality Control Plan for the San Francisco Bay Basin (Basin Plan) on June 21, 1995. This updated and consolidated plan represents the Water Board's master water quality control planning document. The

revised Basin Plan was approved by the State Water Resources Control Board and the Office of Administrative Law on July 20, 1995, and November 13, 1995, respectively. A summary of regulatory provisions is contained in Title 23, California Code of Regulations, Section 3912. The Basin Plan defines beneficial uses and water quality objectives for waters of the State, including surface waters and groundwaters.

Board Resolution No. 89-39, "Sources of Drinking Water," defines potential sources of drinking water to include all groundwater in the region, with limited exceptions for areas of high TDS, low yield, or naturally high contaminant levels. Groundwater underlying and adjacent to the site qualifies as a potential source of drinking water.

The Basin Plan designates the following potential beneficial uses of groundwater underlying and adjacent to the site:

- a. Municipal and domestic water supply
- b. Industrial process water supply
- c. Industrial service water supply
- d. Agricultural water supply
- e. Freshwater replenishment to surface waters

At present, there is no known use of groundwater underlying the site for the above purposes other than replenishment to the creeks that flow through the site.

A drinking water reservoir, Anderson Reservoir, is located 800 to 4000 feet south of the point where Shingle Creek leaves the discharger's property. The existing and potential beneficial uses of Anderson Reservoir include:

- a. Municipal supply
- b. Groundwater recharge
- c. Non - contact water recreation
- d. Warm and cold water habitat
- e. Wildlife habitat
- f. Fish spawning

- c. **Basis for Groundwater and Surface Water Cleanup Standards:** The groundwater cleanup standards for the site are based on applicable water quality objectives and are the more stringent of USEPA and California primary maximum contaminated levels (MCLs) for each chemical of concern. For chemicals that do not have established MCLs, current California provisional action levels or public health goals are used, if such exist. Secondary drinking water standards, based on taste and odor characteristics, were not used in setting cleanup standards at this site.

Because groundwater discharges to creeks that flow through the site, and these creeks discharge into Anderson Reservoir, which is used as a source of drinking water, the same cleanup standards are generally applied to surface water at the site. To protect aquatic life, surface water cleanup standards for some chemicals are lower than drinking water standards. Groundwater and surface water cleanup standards for the site are summarized in Table 2 (page 17). Cleanup to these levels will result in acceptable residual risk to humans.

- d. **Basis for Soil Cleanup Standards:** The soil cleanup standards for the site are summarized in Table 3 (page 18). Cleanup to these levels is intended to minimize leaching of contaminants to groundwater and will result in acceptable residual risk to humans.
14. **Future Cleanup Standards:** The goal of this remedial action is to restore the beneficial uses of groundwater underlying and adjacent to the site. Results from other sites suggest that full restoration of beneficial uses to groundwater as a result of active remediation at this site may not be possible. If full restoration of beneficial uses is not technologically or economically achievable within a reasonable period of time, then the discharger may request modification to the cleanup standards or establishment of a non-attainment area, a limited groundwater pollution zone where water quality objectives are exceeded. Conversely, if new technical information indicates that cleanup standards can be surpassed, the Water Board may decide that further cleanup actions should be taken.
15. **Water Reclamation:** California Water Code Section 13512 declares it is the intention of the Legislature that the State undertake all possible steps to encourage development of water reclamation facilities so that reclaimed water may be made available to help meet the growing water demands of the State. State Water Board Resolution No. 88-160 allows discharges of extracted, treated groundwater from site cleanups to surface waters only if it has been demonstrated that neither reclamation nor discharge to the sanitary sewer is technically and economically feasible.

Consistent with this policy, treated effluent has been used extensively throughout the site, under a Water Reclamation Requirements order issued by the Water Board in 1991 (Order 91-006). UTC reclaims groundwater that is extracted for remediation purposes throughout the site, and reuses it for various purposes such as dust control, landscape irrigation and pasture irrigation. Treated water from GTS 2403, 2404, and 2405 is discharged to Ponds 2140 and 2130 for storage prior to reuse. At times in the past (such as during extended droughts), treated groundwater was also used (as permitted in Order 91-006) for dust control at nearby offsite areas such as the motorcycle park on Metcalf Road, and for dust control and soil compaction during construction of the Silver Creek Country Club.

Prior to 2001, the approved groundwater treatment and reuse systems were designed to treat groundwater containing VOCs, and had a limited capability to remove perchlorate. Because of this limitation, variable concentrations of perchlorate were present in the treated water. Through the practice of using treated effluent for water reclamation purposes, perchlorate was released at the site and to nearby offsite areas at low concentrations. Since the installation of new treatment technologies to remove perchlorate, the treated effluent from the GTS units is now free of detectable perchlorate.

This Order rescinds Order 91-006 and prohibits the use of reclaimed water outside the UTC property. However, on-site reuse of reclaimed water is still permitted, subject to the requirements specified in Section D of this order (page 25).

16. **Basis for 13304 Order:** The discharger has caused or permitted waste to be discharged or deposited where it is or probably will be discharged to waters of the State and creates or threatens to create a condition of pollution or nuisance.

17. **Cost Recovery:** Pursuant to California Water Code Section 13304, the discharger is hereby notified that the Water Board is entitled to, and may seek reimbursement for, all reasonable costs actually incurred by the Water Board to investigate unauthorized discharges of waste and to oversee cleanup of such waste, abatement of the effects thereof, or other remedial action required by this order.
18. **CEQA:** This action is an Order to enforce the laws and regulations administered by the Water Board. This action is categorically exempt from the provisions of the California Environmental Quality Act (CEQA) pursuant to Section 15321 of the Resources Agency Guidelines.
19. **Notification:** The Water Board has notified the discharger and all interested agencies and persons of its intent under California Water Code Section 13304 to prescribe Site Cleanup Requirements for the discharger and has provided them with an opportunity for a public hearing and an opportunity to submit their written views and recommendations.
20. **Public Hearing:** The Water Board, at a public meeting, heard and considered all comments pertaining to this discharge.

IT IS HEREBY ORDERED, pursuant to Sections 13304 and 13523 of the California Water Code, that the discharger (or its agents, successors, or assigns) shall cleanup and abate the effects described in the above findings as follows:

A. PROHIBITIONS

1. The discharge, storage, or treatment of wastes or materials in a manner that will degrade groundwater or surface water quality or adversely affect beneficial uses of the waters of the State is prohibited.
2. Further significant migration of pollutants through surface or subsurface transport to waters of the State is prohibited.
3. Activities associated with the subsurface investigation and cleanup that will cause significant adverse migration of wastes or hazardous substances are prohibited.
4. The discharge of contaminated groundwater into creeks and surface water is prohibited. Specifically, no detectable concentrations of contaminants shall be allowed in surface waters or underflow at or beyond the property boundary, and no concentrations of contaminants in excess of cleanup standards shall be allowed in on-site surface waters.

B. CLEANUP PLAN AND STANDARDS

1. **Implement Cleanup Plan:** The discharger shall implement the remedial action plan described in Finding 12.
2. **Groundwater and Surface Water Cleanup Standards:** The groundwater cleanup standards specified in Table 2 shall be met in all wells. These same standards shall apply to surface waters in drainages and streams because these streams discharge into Anderson Reservoir.

Table 2: Groundwater / Surface Water Cleanup Standards

Chemical	Groundwater / Surface Water Cleanup Goal ($\mu\text{g/L}$) ^a
Acetone	700
Benzene	1
Chlorobenzene	50 (25 for surface water ^b)
Chloroform	100
Carbon tetrachloride	0.5
1,1-Dichloroethene	6
1,1-Dichloroethane	5
<i>cis</i> -1,2-Dichloroethene	6
1,2-Dichloroethane	0.5
1,4-Dioxane	3
Freon 11	150
Freon 113	1,200
Methylene chloride (Dichloromethane)	5
Tetrachloroethene (PCE)	5
Perchlorate	6 ^c
Phenol	4,200 (1,300 for surface water ^b)
Polychlorinated biphenyls (PCBs)	0.5
TPH-diesel	1,000 (200 for surface water ^b)
Trichloroethene (TCE)	5
1,1,1-Trichloroethane (TCA)	200 (60 for surface water ^b)
Toluene	150
Vinyl chloride	0.5
Xylenes	1,750 (13 for surface water ^b)

^a Groundwater cleanup standards are set at the primary Maximum Contaminant Level (MCL) or drinking water standard for each chemical. For chemicals that do not have an established MCL, the State of California provisional action level or Public Health Goal (PHG) is used as the cleanup standard.

^b For most chemicals, the surface water cleanup standards are the same as for groundwater. To protect aquatic life, surface water cleanup standards for chlorobenzene, phenol, 1,1,1-TCA, xylenes, and TPH-diesel are set lower than drinking water standards. The surface water standard for these chemicals also applies to groundwater within 75 feet of surface water bodies.

^c Cal/EPA issued a Public Health Goal of 6 ug/L for perchlorate in March 2004. Cal/EPA will use the PHG to establish an MCL for perchlorate. The groundwater cleanup standard is currently set equal to the PHG. If the MCL differs from the PHG, Water Board staff will consider changing the cleanup standard for perchlorate to the MCL.

3. **Soil Cleanup Standards:** The soil cleanup standards specified in Table 3 shall be met in all source areas.

Table 3: Soil Cleanup Standards

Chemical	Cleanup Goal, mg/kg
Total VOCs	1
1,4-Dioxane	0.002 ^a
PCBs less than 3 feet deep	3
PCBs greater than 3 feet deep	10
Perchlorate	0.020 ^a
TPH-diesel	500

^a Based on results of 2003 Risk Assessment addendum. These cleanup standards reflect the tendency of these chemicals to leach from soil into groundwater, and will be protective of groundwater quality.

C. TASKS

1. ENHANCED SURFACE WATER MONITORING PROGRAM

1a. WORK PLAN FOR ENHANCED SURFACE WATER MONITORING

COMPLETION DATE: July 3, 2004

Submit a technical report acceptable to the Executive Officer that provides a detailed plan to expand the surface water monitoring program beyond the current program of monthly creek sampling to a program that includes real-time storm water monitoring and sampling. The plan must include, at a minimum:

- an evaluation of the hydrology of the Shingle Creek, Mixer Creek, and Las Animas Creek watersheds;

- a discussion of techniques to be implemented at the site to provide automated measurement of stream discharge during and after storm events and collection of flow-activated creek samples during peak flows; and
- a schedule for implementation of the new monitoring techniques.

The program must facilitate the quantification of dissolved contaminant mass and mass flux at designated creek sampling stations during and after storm events, especially in Las Animas Creek between the site boundary and Anderson Reservoir. Once the enhanced surface water monitoring plan is approved, UTC shall amend the Environmental Monitoring Program Plan (EMPP) reflecting the changes to the monitoring program.

1b. IMPLEMENTATION OF ENHANCED SURFACE WATER MONITORING PROGRAM

COMPLETION DATE: According to Schedule in Task 1a, as approved by Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 1a. Henceforth, surface water and storm water monitoring results are to be reported to the Regional Water Quality Control Board, San Francisco Bay Region. Storm water monitoring results will also be submitted to the State Water Board's storm water monitoring program in Sacramento.

2. ELIMINATION OF PERCHLORATE DISCHARGE TO CREEKS

2a. WORK PLAN FOR ELIMINATION OF PERCHLORATE DISCHARGE TO CREEKS

COMPLETION DATE: September 1, 2004

Submit a technical report acceptable to the Executive Officer that provides a detailed plan for remedial measures that will be implemented to promptly reduce, and ultimately eliminate, the amount of perchlorate entering creeks and drainages from known source areas of historical perchlorate contamination at the site. The report must:

- list and compare remedial methods that were evaluated for use at the site to reduce perchlorate discharge;
- describe any remedial methods that have been pilot-tested or implemented as interim remedial actions;
- summarize available results of any interim remedial actions implemented to date; and
- provide a detailed plan and schedule of how and when the selected remedial methods will be implemented as final remedial method(s) across the site.

2b. **IMPLEMENTATION OF REMEDIAL MEASURES TO ELIMINATE PERCHLORATE DISCHARGE TO CREEKS**

COMPLETION DATE: According to Schedule in Task 2a, as approved by Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 2a.

3. **ENHANCED LAS ANIMAS AREA GROUNDWATER MONITORING**

3a. **WORK PLAN FOR ENHANCED LAS ANIMAS AREA GROUNDWATER MONITORING**

COMPLETION DATE: September 30, 2004

Submit a technical report acceptable to the Executive Officer that provides a detailed plan to enhance the groundwater monitoring program along Las Animas Creek in the vicinity of the downgradient property boundary. The goal of the enhanced monitoring is to ensure that an adequate array of sentry wells exists in the Las Animas Creek corridor beyond the leading edge of the Lower Shingle Valley contaminant plumes. An additional goal is to ensure that stream baseflow is adequately monitored. Should additional wells be necessary to achieve these goals, the report must:

- propose suitable locations for the new groundwater monitoring wells;
- provide a schedule for installation of the wells; and
- provide a schedule for measuring water levels and collecting representative samples from the wells.

Alternatively, a detailed plan may be provided that enhances control of the LSV contaminant plumes such that existing wells function satisfactorily as sentry wells. Once the plan and schedule are approved by the Water Board, UTC shall submit an addendum to the EMPP reflecting any changes to the monitoring program.

3b. **IMPLEMENTATION OF ENHANCED LAS ANIMAS AREA GROUNDWATER MONITORING PLAN**

COMPLETION DATE: According to Schedule in Task 3a, as approved by Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 3a.

4. **CHARACTERIZATION AND REMEDIATION OF PERCHLORATE CONTAMINATION IN SOIL**

4a. **SUBMIT WORK PLAN FOR PERCHLORATE CHARACTERIZATION IN SOIL**

COMPLETION DATE: June 30, 2004

Submit a technical report acceptable to the Executive Officer that discusses the work plan for characterization of the extent of perchlorate contamination in surface soil at the site.

4b. **SUBMIT PERCHLORATE CHARACTERIZATION REPORT FOR SURFACE SOIL**

COMPLETION DATE: January 31, 2005

Submit a technical report acceptable to the Executive Officer that documents characterization of the extent of perchlorate contamination in surface soil. Soil characterizations should be performed using the best achievable detection limit.

4c. **SUBMIT WORK PLAN FOR PILOT TESTING OF SURFACE SOIL TREATMENT TECHNOLOGIES**

COMPLETION DATE: September 1, 2004

Submit a technical report acceptable to the Executive Officer that discusses the work plan for pilot testing of perchlorate treatment technologies in surface soil at the site.

4d. **COMPLETION OF PILOT TESTING OF SURFACE SOIL TREATMENT TECHNOLOGIES**

COMPLETION DATE: February 28, 2005

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in Task 4b.

4e. **SUBMIT PERCHLORATE CHARACTERIZATION REPORT FOR SUBSURFACE SOIL**

COMPLETION DATE: March 31, 2005

Submit a technical report acceptable to the Executive Officer that documents characterization of the extent of historical perchlorate contamination at the site in subsurface soil. Soil characterizations should be performed using the best achievable detection limit.

4f. **SUBMIT EVALUATION OF ALTERNATIVE REMEDIAL TECHNOLOGIES AND REMEDIAL ACTION PLAN SUPPLEMENT FOR PERCHLORATE IN SOIL**

COMPLETION DATE: August 30, 2005

Submit a technical report acceptable to the Executive Officer evaluating the feasibility of using remedial alternatives such as anoxic bioremediation, to achieve significant chemical mass reductions in source area soils, and proposes a final action plan for remediation of perchlorate in soil. The report should include:

- A comparison of all alternative remediation methods that were considered, pilot-tested, or implemented as interim remedial actions in the evaluation;
- A detailed summary of the results of the evaluation; and
- Recommendations based on the evaluation results.
- A detailed plan and schedule of how and when the selected remedial methods will be implemented as final remedial method(s) across the site.

4g. **IMPLEMENTATION OF FINAL REMEDIAL MEASURES FOR PERCHLORATE CONTAMINATION IN SOIL**

COMPLETION DATE: According to Schedule in Task 4e, as approved by the Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 4e.

5. **CHARACTERIZATION AND REMEDIATION OF PERCHLORATE CONTAMINATION IN GROUNDWATER**

5a. **SUBMIT WORK PLAN FOR PERCHLORATE CHARACTERIZATION IN GROUNDWATER**

COMPLETION DATE: June 30, 2004

Submit a technical report acceptable to the Executive Officer that discusses the work plan for characterization of the extent of perchlorate contamination in groundwater at the site.

5b. **COMPLETE CHARACTERIZATION OF PERCHLORATE CONTAMINATION IN GROUNDWATER**

COMPLETION DATE: January 31, 2005

Submit a technical report acceptable to the Executive Officer that documents complete characterization of the extent of perchlorate contamination at the site in groundwater. Groundwater characterizations should be performed using the best available method detection limit to define the extent of perchlorate contamination. Characterizations should

extend off-site as necessary to define the full, lateral extent of plumes. The report must include concentration contour maps showing the lateral extent of perchlorate plumes.

5c. **SUBMIT EVALUATION OF REMEDIAL TECHNOLOGIES AND REMEDIAL ACTION PLAN SUPPLEMENT FOR PERCHLORATE IN GROUNDWATER**

COMPLETION DATE: April 30, 2005

Submit a technical report acceptable to the Executive Officer evaluating the feasibility of using alternative remedial methods, such as in-situ chemical oxidation or enhanced anoxic bioremediation, to achieve significant chemical mass reductions in source areas, and proposes a final action plan for remediation of perchlorate in groundwater. The report should include:

- A summary of all source areas and other portions of the site where groundwater extraction may fail to achieve target remediation goals;
- A comparison of all remediation methods that were considered, pilot-tested or implemented as interim remedial actions in the evaluation;
- A detailed summary of the results of the evaluation; and
- Recommendations based on the evaluation results.
- A detailed plan and schedule of how and when the selected remedial methods will be implemented as final remedial method(s) across the site.

5d. **IMPLEMENTATION OF FINAL REMEDIAL MEASURES FOR PERCHLORATE IN GROUNDWATER**

COMPLETION DATE: According to Schedule in Task 5b, as approved by the Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 5.

6. **EVALUATION OF ALTERNATIVE REMEDIAL TECHNOLOGIES FOR VOCS**

6a. **SUBMIT EVALUATION OF ALTERNATIVE REMEDIAL TECHNOLOGIES FOR VOCS**

COMPLETION DATE: May 31, 2006

Submit a technical report acceptable to the Executive Officer evaluating the feasibility of using alternative remedial methods, such as in-situ chemical oxidation or enhanced anaerobic bioremediation, to achieve significant VOC mass reductions in source areas where groundwater extraction has been shown to be incapable of fully achieving target remediation goals. Examples of such areas include the area near Station 1710 in the R & AT area, and the MTA. The report should include:

- A summary of all source areas and other portions of the site where groundwater extraction has failed to achieve target remediation goals;
- A comparison of all alternative remediation methods that were considered in the evaluation;
- A detailed summary of the results of the evaluation; and
- Recommendations based on the evaluation results.

6b. IMPLEMENTATION OF ALTERNATIVE REMEDIAL TECHNOLOGIES FOR VOCS

COMPLETION DATE: According to Schedule in Task 6a, as approved by the Executive Officer

Submit a technical report acceptable to the Executive Officer that documents the completion of the tasks identified in the technical report submitted for Task 6a.

7. FIVE-YEAR STATUS REPORT AND EFFECTIVENESS EVALUATION

COMPLETION DATE: April 30, 2009, and every five years thereafter

Submit a technical report acceptable to the Executive Officer which includes a summary of the results of any additional investigation; an evaluation of the effectiveness of installed final cleanup measures and cleanup costs for the prior 5-year period; additional recommended measures to achieve final cleanup levels, if necessary; and the tasks and time schedule necessary to implement any additional final cleanup measures. This report shall also describe the reuse of extracted groundwater and evaluate and document the cleanup of contaminated groundwater. If cleanup standards in this Order have not been achieved on-site and are not expected to be achieved through continued groundwater extraction and/or soil remediation, this report shall also contain an evaluation addressing whether it is technically practicable to achieve the cleanup standards, and if so, a proposal for procedures to do so. This report shall also include cumulative stream discharge, groundwater level, and analytical data for the five-year period.

8. SOIL VAPOR EXTRACTION CURTAILMENT

8a. SOIL VAPOR EXTRACTION CURTAILMENT PROPOSAL

COMPLETION DATE: 90 Days Prior To Proposed Curtailment of Any Soil Vapor Extraction Well

Submit a technical report and implementation schedule acceptable to the Executive Officer containing a proposal for curtailing operation from any soil vapor extraction wells or piping and the criteria used to justify each curtailment. This report shall include a proposal indicating the locations of borings and sampling intervals to determine concentrations of VOCs remaining in soil. The proposal may include the temporary termination of vapor

extraction well operation for an extended period of time to study the effects on chemical migration prior to well destruction.

If the discharger claims that it is not practicable to achieve soil cleanup standards through continued soil vapor extraction in all or any portion of the soil plume area and that significant quantities of chemicals are not being removed through soil vapor extraction, the discharger shall evaluate the reductions in chemical concentrations and the alternative soil cleanup standards that can be practically achieved. The report shall evaluate alternative means of achieving soil cleanup standards and whether conditions for waiving these standards are met (e.g., that meeting the soil cleanup standards is technically impracticable from an engineering perspective) and that the alternative soil cleanup standards proposed will be protective of human health and the environment.

8b. COMPLETION OF SOIL VAPOR EXTRACTION CURTAILMENT

COMPLETION DATE: According to Schedule in Task 8a as approved by the Executive Officer

Document in a technical report acceptable to the Executive Officer the completion of the necessary tasks identified in Task 8a. This report should include the results of chemical analyses of appropriate verification samples from the source areas, and copies of well destruction completion notices.

9. SOIL BIOREMEDIATION

9a. SOIL BIOREMEDIATION CURTAILMENT PROPOSAL

COMPLETION DATE: 90 Days Prior To Proposed Curtailment of Any Bioremediation System

Submit a technical report and implementation schedule acceptable to the Executive Officer containing a proposal for curtailing bioremediation and the criteria used to justify curtailment. This report shall include a proposal indicating the locations of verification borings and sampling intervals to determine concentrations of contaminants of concern (TPH, VOCs, or perchlorate) remaining in soil. The proposal may include the temporary termination of bioremediation operation for an extended period of time to study the effects on chemical migration prior to system abandonment.

If the discharger claims that it is not practicable to achieve groundwater and/or soil cleanup standards through continued bioremediation in all or any portion of the plume area and that significant quantities of chemicals are not being removed through bioremediation, the discharger shall evaluate the reductions in chemical concentrations and the alternative cleanup standards that can be practically achieved. The report shall evaluate alternative means of achieving cleanup standards and whether conditions for waiving these standards are met (e.g., that meeting the cleanup standards is technically impracticable from an engineering perspective) and that the alternative cleanup standards proposed will be protective of human health and the environment.

9b. COMPLETION OF BIOREMEDIATION CURTAILMENT

COMPLETION DATE: According to Schedule in Task 9a Approved by the Executive Officer

Document in a technical report acceptable to the Executive Officer the completion of the necessary tasks identified in Task 8a. This report should include the results of chemical analyses of appropriate verification samples from the source areas, and copies of well destruction completion notices.

10. GROUNDWATER EXTRACTION CURTAILMENT

10a. PROPOSAL TO CURTAIL GROUNDWATER EXTRACTION

COMPLETION DATE: 90 Days Prior To Proposed Extraction Well Pumping Curtailment

Submit a technical report and implementation schedule acceptable to the Executive Officer containing a proposal for curtailing pumping from groundwater extraction well(s) and the criteria used to justify such curtailment. Curtailment of groundwater extraction may include, but is not limited to: final shutdown of the system, phased approach to shutdown, pulsed pumping, or a significant change in pumping rates. The report shall include the rationale for curtailment or modifying the system. This report shall also include data to show that cleanup standards for chemicals of concern have been achieved and have stabilized or are stabilizing, and that the potential for contaminant levels rising above cleanup standards is minimal. This report shall also include an evaluation of the potential for contaminants to migrate into the creeks surface or subsurface flow, and downwards to the Santa Clara Formation aquifers.

All system modifications to the extraction and treatment systems are subject to approval by the Executive Officer. This requirement may be waived by the Executive Officer if deemed appropriate.

If the discharger claims that it is not technically feasible to achieve cleanup standards through groundwater extraction and treatment, the report shall evaluate the alternative standards that can be achieved, and demonstrate that the alternative cleanup standards proposed will be protective of human health and the environment.

10b. COMPLETION OF EXTRACTION WELL CURTAILMENT

COMPLETION DATE: According to Schedule in Task 10a Approved by the Executive Officer

Submit a technical report acceptable to the Executive Officer documenting completion of the necessary tasks identified in the technical report submitted for Task 10a.

11. **EVALUATION OF NEW HEALTH CRITERIA**

COMPLETION DATE: 90 Days After Request Made by the Executive Officer

Submit a technical report acceptable to the Executive Officer which contains an evaluation of how the final plan and cleanup standards would be affected, if the concentrations as listed in Tables 2 and 3 change as a result of promulgation of revised drinking water standards, maximum contaminant levels or action levels or other health based criteria.

12. **EVALUATION OF NEW TECHNICAL INFORMATION**

COMPLETION DATE: 90 Days After Request Made by the Executive Officer

Submit a technical report acceptable to the Executive Officer that contains an evaluation of new technical and economic information that indicates that cleanup standards or cleanup technologies in some areas may be considered for revision. Such technical reports shall not be required unless the Executive Officer determines that such new information indicates a reasonable possibility that the Order may need to be changed.

13. **DELAYED COMPLIANCE**

If the discharger is delayed, interrupted, or prevented from meeting one or more of the completion dates specified for the above tasks, the discharger shall notify the Executive Officer and the Water Board may consider revision to this Order.

D. **WATER RECLAMATION SPECIFICATIONS**

1. **Limits:** Reclaimed water as applied shall meet the following limits:

Constituent	Instantaneous Maximum Limit (µg/L)	Analytical Method
Volatile Organic Compounds Vinyl Chloride Benzene All others, per constituent	0.5 0.5 5.0	U.S. EPA Method 8260, 8021 or equivalent
Semi Volatile Organic PCBs All others, per constituent	0.5 5.0	U.S. EPA Method 8270, 8081, 8082 or equivalent
Total Petroleum Hydrocarbons	50	U.S. EPA Method 8015 or equivalent
Perchlorate	6.0*	U.S. EPA Method 300.0 or equivalent

* Cal/EPA issued a Public Health Goal of 6 ug/L for perchlorate in March 2004. Cal/EPA will use the PHG to establish an MCL for perchlorate. The groundwater cleanup standard is currently set equal to the PHG. If the MCL differs from the PHG, Water Board staff will consider changing the cleanup standard for perchlorate to the MCL.

2. **Runoff Control:** No reclaimed water shall be allowed to escape from the authorized use areas by airborne spray, nor by surface flow except in minor amounts associated with good irrigation practice, nor from conveyance facilities.
3. **Application Limitations:** No treated groundwater shall be applied to areas of reuse during rainfall, or when soils are saturated to a point where runoff is likely to occur.
4. **Public Contact:** Adequate measures shall be taken to minimize public contact with the reclaimed water, and to inform the public by placing legible conspicuous warning signs with proper wording at adequate intervals around the use and storage areas.
5. **Cross Connection:** There shall be no cross-connection between potable water supply and any piping containing treated groundwater.
6. **Freeboard:** The storage ponds shall be operated to have a minimum of 2 feet of freeboard to prevent overflows.
7. **Violation Notification:** In the event that the discharger is unable to comply with any of the specifications that apply to groundwater reclamation, the discharger shall notify the Water Board by telephone within 24 hours of the incident and confirm it in writing within one week of the telephone notification.
8. **Change in Reclamation:** In accordance with Section 13260 of the California Water Code, the discharger shall file a report with the Water Board of any material change or proposed change in the character, location or volume of the reclaimed water.
9. **No Consumption:** Treated groundwater shall not be used for public consumption.
10. **Vehicle Signs:** Vehicles used for carrying or spraying the reclaimed water shall be identified as such with legible signs.

E. PROVISIONS

1. **No Nuisance:** The storage, handling, treatment, or disposal of polluted soil or groundwater, including groundwater reclamation, shall not create a nuisance as defined in California Water Code Section 13050(m).
2. **Good Operation and Maintenance:** The discharger shall operate and maintain in good working order, and operate efficiently as possible, any facility or control system installed to achieve compliance with the requirements of this Order, including groundwater reclamation.

3. **Cost Recovery:** The discharger shall be liable, pursuant to California Water Code Section 13304, to the Water Board for all reasonable costs actually incurred by the Water Board to investigate unauthorized discharges of waste and to oversee cleanup of such waste, abatement of the effects thereof, or other remedial action, required by this order. If the site addressed by this Order is enrolled in a State Board-managed reimbursement program, reimbursement shall be made pursuant to this Order and according to the procedures established in that program. Any disputes raised by the discharger over reimbursement amounts or methods used in that program shall be consistent with the dispute resolution procedures for that program.

4. **Access to Site and Records:** In accordance with California Water Code Section 13267(c), the discharger shall permit the Water Board or its authorized representative:
 - a. Entry upon premises in which any pollution source exists, or may potentially exist, or in which any required records are kept, which are relevant to this Order.
 - b. Access to copy any records that must be kept under the requirements of this Order.
 - c. Inspection of any monitoring or remediation facilities installed in response to this Order.
 - d. Sampling of any groundwater or soil that is accessible, or may become accessible, as part of any investigation or remedial action program undertaken by the discharger.

5. **Self-Monitoring Program:** The discharger shall submit, on an annual basis, an Environmental Monitoring Program Plan (EMPP) that describes in detail the monitoring program that will be conducted the following calendar year. The EMPP shall include, in a separate section, all monitoring required by DTSC for any waste management units under RCRA Post-Closure permit.

The discharger shall also submit an annual environmental monitoring report and three quarterly monitoring reports. The annual monitoring report will provide a summary of data collected during the four quarters of the year. Each monitoring report will provide a summary of the results of any investigations conducted during the period covered, provide notice of any unusual results from environmental monitoring, and summarize any remedial actions implemented during the period covered.

6. **Contractor/Consultant Qualifications:** All technical documents shall be signed by and stamped with the seal of a registered California geologist, a California certified engineering geologist or a California registered civil engineer.

7. **Lab Qualifications:** All samples shall be analyzed by State certified laboratories or laboratories accepted by the Water Board using approved EPA methods for the type of analysis to be performed or other methods approved by the Water Board. All laboratories shall maintain quality assurance/quality control records for Water Board review. The

provision does not apply to analyses that can only reasonably be performed on-site (e.g. temperature).

8. **Document Distribution:** A copy of all correspondence, reports, and documents pertaining to compliance with this Order shall be provided in full, to the following agencies:
 - a. Santa Clara Valley Water District
 - b. Department of Toxic Substances Control, Geological Services Unit (Monitoring Reports only)
 - c. U.S. EPA, Region IX

The discharger shall provide a copy of cover letters, title pages, table of contents and the executive summaries of above compliance reports (except for the annual progress reports and workplans for soil or groundwater remediation, which shall be submitted in full) to the following agencies:

- a. Santa Clara County Department of Environmental Health
- b. California EPA/DTSC Site Mitigation Branch

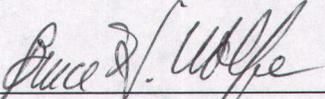
The Executive Officer may modify this distribution list as needed.

9. **Reporting of Changed Owner or Operator:** The discharger shall file a technical report on any changes in site occupancy and ownership associated with the property described in this Order.
10. **Reporting of Hazardous Substance Release:** If any hazardous substance is discharged in or on any waters of the State, or discharged and deposited where it is, or probably will be discharged in or on any waters of the State, the discharger shall report such discharge to this Board, by calling (510) 622-2300 during regular office hours (Monday through Friday, 8:00 AM to 5:00 PM). A written report shall be filed with the Water Board within five (5) working days. The report shall describe the nature of the quantity involved, duration of incident, cause of release, estimated size of affected area, nature of effect, corrective actions taken or planned, and persons/agencies notified.

This reporting is in addition to the reporting to the Office of Emergency Services required pursuant to the Health and Safety Code.

11. **Rescission of Existing Orders:** This Order rescinds and supercedes all previous Site Cleanup Requirements Orders (94-064, 95-112, 95-194, 97-065, and 98-070). This Order also rescinds Water Reclamation Requirements Order 91-006. This Order does not rescind or supercede the existing Waste Discharge Requirements (Order 95-190) or other applicable permits or orders.
12. **Periodic SCR Review:** The Water Board will review this Order periodically and may revise the requirements when necessary.

I, Bruce H. Wolfe, Executive Officer, do hereby certify that the foregoing is a full, true and correct copy of an Order adopted by the California Regional Water Quality Control Board, San Francisco Bay Region, on May 19, 2004.



Bruce H. Wolfe
Executive Officer

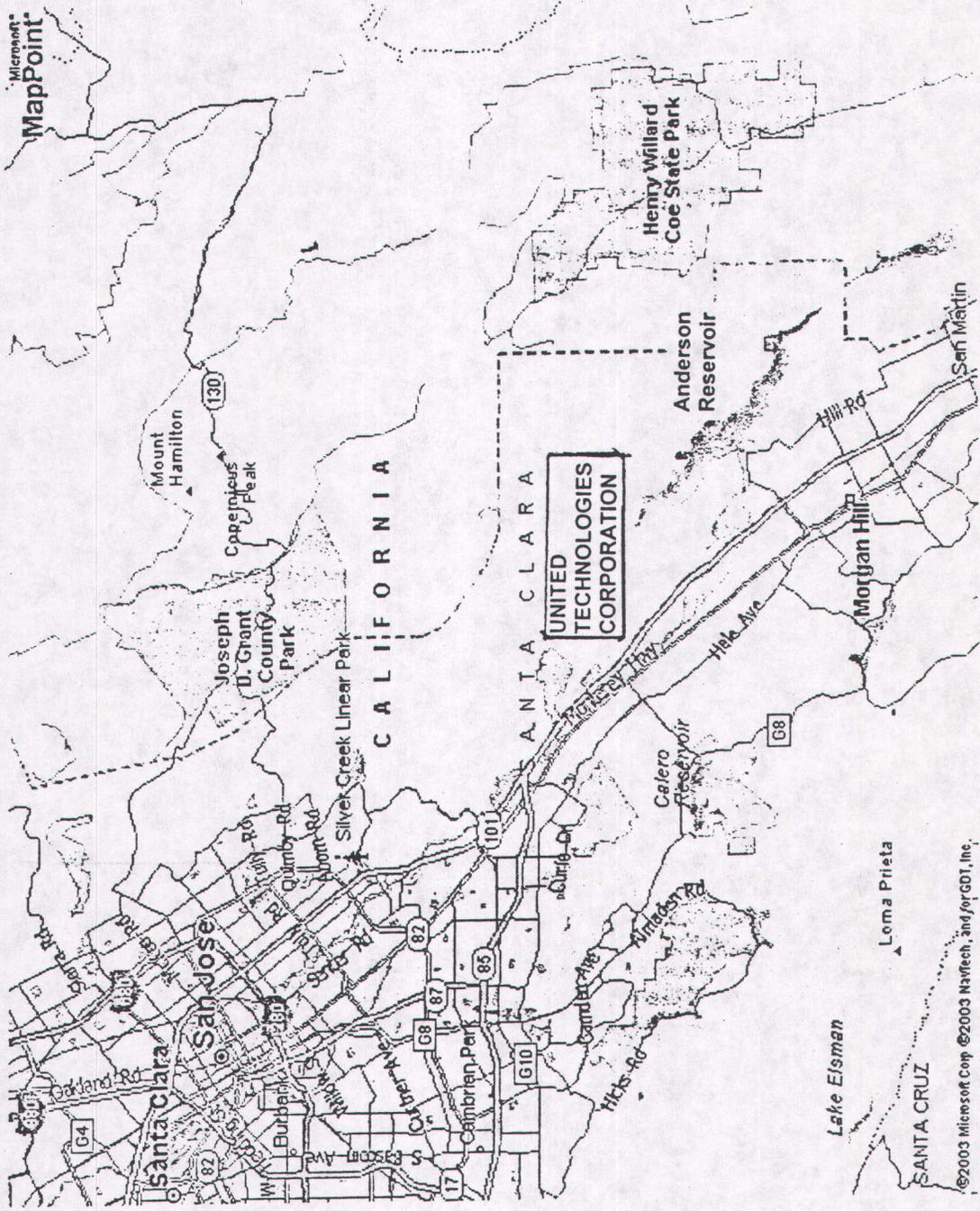
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FAILURE TO COMPLY WITH THE REQUIREMENTS OF THIS ORDER MAY SUBJECT YOU TO ENFORCEMENT ACTION, INCLUDING BUT NOT LIMITED TO: IMPOSITION OF ADMINISTRATIVE CIVIL LIABILITY UNDER WATER CODE SECTIONS 13268 OR 13350, OR REFERRAL TO THE ATTORNEY GENERAL FOR INJUNCTIVE RELIEF OR CIVIL OR CRIMINAL LIABILITY

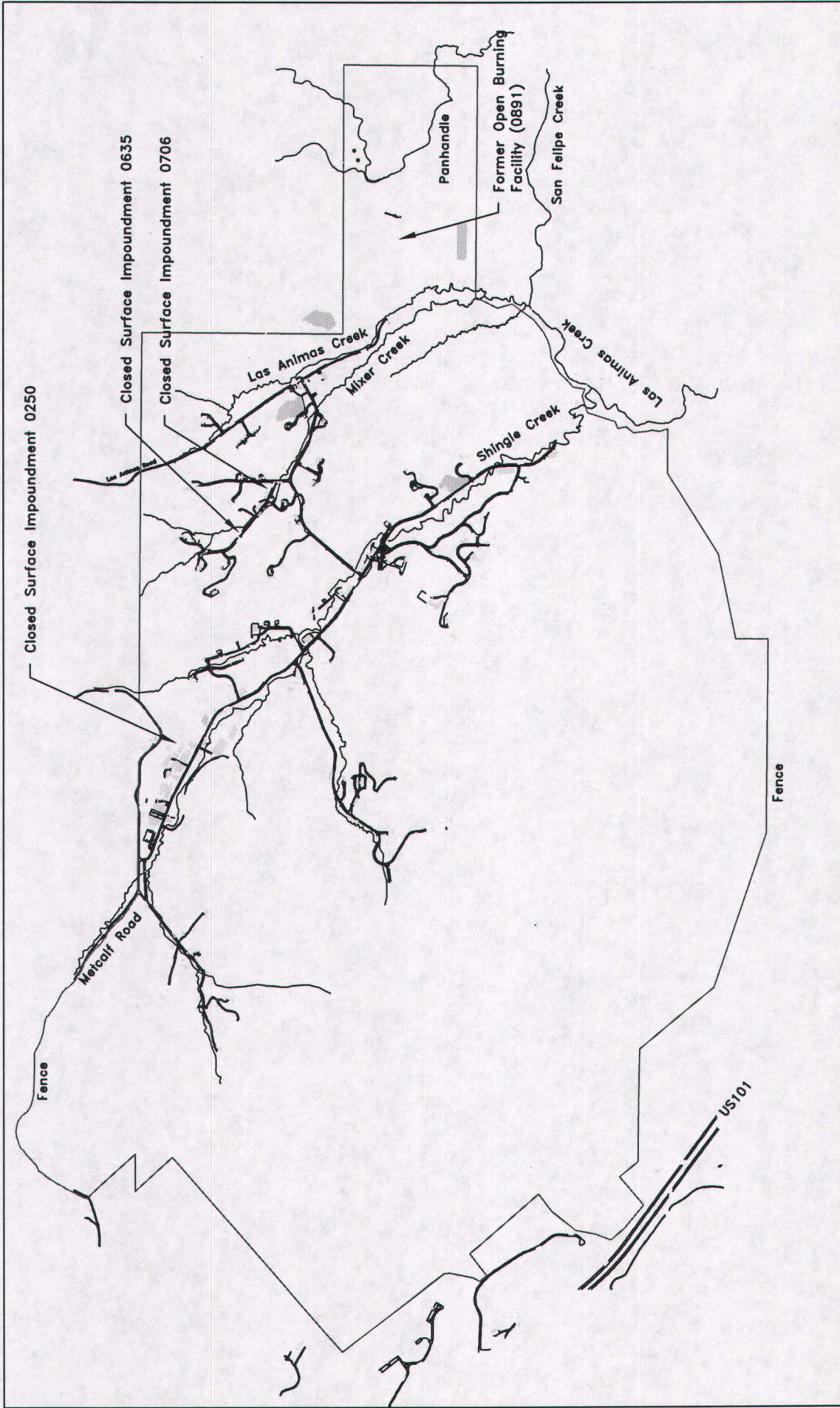
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Attachments: Regional Map
 Site Map
 Self-Monitoring Program

General Location Map United Technologies Corporation



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November 2003

Facility Map



CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD
SAN FRANCISCO BAY REGION

SELF-MONITORING PROGRAM FOR:

UNITED TECHNOLOGIES CORPORATION

for the property located at
600 METCALF ROAD
SANTA CLARA COUNTY

1. **Authority and Purpose:** The Water Board requests the technical reports required in this Self-Monitoring Program pursuant to Water Code Sections 13267 and 13304. This Self-Monitoring Program is intended to document compliance with Board Order No. RB2-2004-0032 (site cleanup requirements).
2. **Monitoring:** The discharger shall measure groundwater elevations in monitoring wells and shall collect and analyze representative samples of groundwater, surface water and reclaimed water according to the Environmental Monitoring Program Plan (EMPP) acceptable to the Executive Officer and submitted annually by October 1.
3. **Annual Monitoring Reports:** The discharger shall submit an annual monitoring report to the Water Board by no later than March 1 following the end of the year. Reports from other Self-Monitoring Programs required Water Reclamation Requirements, and Waste Discharge Requirements may be combined with the annual reports. The report shall include:
 - a. **Transmittal Letter:** The transmittal letter shall discuss any violations during the reporting period and actions taken or planned to correct the problem. The letter shall be signed by the dischargers' principal executive officer or his/her duly authorized representative, and shall include a statement by the official, under penalty of perjury, that the report is true and correct to the best of the official's knowledge.
 - b. **Groundwater Elevations:** Groundwater elevation data shall be presented in tabular form. Groundwater elevation map should be prepared for the wet and dry seasons for each monitored water-bearing zone. Historical groundwater elevations should be included with each annual report.
 - c. **Groundwater Analyses:** All new wells shall be sampled on a quarterly basis for the first year. After the first year, the monitoring schedule shall be set at a frequency appropriate for the purpose of the well. The appropriate EPA methods, pH, and turbidity tests shall be required for all new monitoring and extraction wells. Other tests shall be required for some wells, depending on the well location. Groundwater sampling data shall be presented in tabular form, and an isoconcentration map should be prepared for one or more key contaminants for each monitored water-bearing zone, as appropriate. The annual report shall indicate the analytical method used, detection limits obtained for each reported

constituent, and a summary of QA/QC data. Historical groundwater sampling results shall also be included. Supporting data, such as lab data sheets, need not be included (however, see "Record Keeping" below).

- d. Groundwater Extraction and Treatment: The report shall include groundwater extraction results in tabular form, for each groundwater treatment system and for the site as a whole, expressed in gallons per week and total groundwater volume for the year. The report shall also include contaminant removal results, from groundwater extraction and treatment systems expressed in units of chemical mass for the year. Historical mass removal results for groundwater extraction and treatment systems shall be included in the annual report. Contaminant removal results for the SVE systems in units of chemical mass shall be reported annually. Vapor concentrations for startup at each new SVE site visited during the year shall be reported. The report shall also include contaminant concentrations for influent and effluent flows at all the groundwater treatment systems at the site.
 - e. Status Report: The annual report shall describe relevant work completed during the reporting period (e.g. site investigation, interim remedial measures) and work planned for the following year.
4. **Quarterly Monitoring Reports**: The dischargers shall submit a quarterly monitoring report to the Water Board by no later than May 1 for the first quarter, August 1 for the second quarter, and November 1 for the third quarter. The quarterly report shall present and discuss (1) any violations during the reporting period and actions taken or planned to correct the problem, (2) problems with monitoring, investigative, or remedial activities conducted during the reporting period, and (3) status of new investigative or remedial actions that have not yet been reported.
 5. **RCRA Post-Closure Compliance Monitoring**: Scheduled monitoring of groundwater at closed Resource Conservation and Recovery Act (RCRA) impoundments 0250, 0635, and 0706 and the former OBF is required under post-closure. This portion of the monitoring program is administered by the Department of Toxic Substances Control (DTSC). The sampling and analysis program proposed for these RCRA units is summarized in Table 1. Point of Compliance (POC) wells for former Surface Impoundments 0250, 0635, and 0706 and the OBF were selected from existing downgradient wells and are also shown in Table 1.

The groundwater monitoring plan for the units under RCRA post-closure permit will include, at a minimum, those analyses and frequency of analyses for those wells listed in Table 1. UTC will report the results of the RCRA sampling on an annual basis in a specific section of the Annual Monitoring Reports. DTSC may request that the RCRA monitoring data be submitted in separate reports or on a more frequent schedule. The RCRA post-closure monitoring program can only be changed with concurrence from DTSC. Other parts of the monitoring program performed under the EMPP can be changed by the RWQCB without concurrence from DTSC.

Former Surface Impoundment 0250 RCRA Monitoring: Previous groundwater sampling showed the presence of VOCs, perchlorate and cyanide in former Surface Impoundment 0250 groundwater. Therefore, the proposed RCRA monitoring for former Surface Impoundment 0250 includes VOCs, perchlorate, and total cyanides. Former Surface Impoundment 0250 was used to hold metal finishing wastewater. Therefore, the proposed monitoring will also include the 17 California Assessment Manual (CAM) metals. Because metals and cyanides have not been

detected in groundwater above MCLs, sampling for these parameters will only be performed annually.

Former Surface Impoundment 0635 RCRA Monitoring: Previous groundwater sampling showed the presence of VOCs and perchlorate in former Surface Impoundment 0635 groundwater. A pesticide, beta-BHC, was found at a maximum of 0.74 µg/L. Although beta-BHC was not part of the Station 0635 waste stream and may be an artifact (the concentration is too low to confirm using Method 8270), the level is above the California action level of 0.025 µg/L. Therefore, the proposed monitoring for former Surface Impoundment 0635 includes VOCs, perchlorate, and organochlorine pesticides. Due to the recent detection of beta-BHC, pesticides will be measured annually.

Former Surface Impoundment 0706 RCRA Monitoring: Previous groundwater sampling showed the presence of VOCs and perchlorate in former Surface Impoundment 0706 groundwater. Therefore, the proposed RCRA monitoring for former Surface Impoundment 0706 includes VOCs and perchlorate.

Former Open Burning Facility (OBF) RCRA Monitoring: Previous groundwater sampling showed the presence of VOCs, perchlorate, and cyanide in OBF groundwater. Historically, soil contamination with VOCs, semi-volatile organic compounds (SVOCs), perchlorate, metals and polychlorinated biphenyls (PCBs) were removed from the OBF. Therefore, the proposed RCRA monitoring for the OBF includes VOCs, perchlorate, cyanide, SVOCs, 17 CAM metals, and PCBs. Because cyanide, SVOCs, metals, and PCBs have not been detected in groundwater at concentrations exceeding MCLs, sampling for these parameters will only be performed on a 3 to 5-year cycle.

6. **Miscellaneous Requirements:**

- a. Well depths shall be determined on an annual basis and compared to the depth of the well as constructed. If greater than twenty-five percent of the well screen is covered, the discharger shall clear the screen by the next sampling.
- b. Chemical detection limits shall be lower than cleanup standards established in the Order, unless it is technically impractical to achieve detection limits lower than cleanup standards.

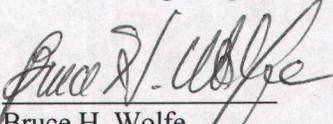
7. **Violation Reports:** If the discharger violates requirements in the Site Cleanup Requirements, then the discharger shall notify the Water Board office by telephone as soon as practicable once the discharger has knowledge of the violation. Water Board staff may, depending on violation severity, require the discharger to submit a separate technical report on the violation within five working days of telephone notification.

8. **Other Reports:** The discharger shall notify the Water Board in writing prior to any site activities, such as construction or underground tank removal, which have the potential to cause further migration of contaminants or which would provide new opportunities for site investigation.

9. **Record Keeping:** The discharger or his/her agent shall retain data generated for the above reports, including lab results and QA/QC data, for a minimum of five years after origination and shall make them available to the Water Board upon request.

10. **SMP Revisions:** Revisions to the Self-Monitoring Program or the EMPP may be ordered by the Executive Officer, either on his/her own initiative or at the request of the discharger. Prior to making SMP or EMPP revisions, the Executive Officer will consider the burden, including costs, of associated self-monitoring reports relative to the benefits to be obtained from these reports.

I, Bruce H. Wolfe, Executive Officer, hereby certify that this Self-Monitoring Program was adopted by the Board on May 19, 2004.


Bruce H. Wolfe
Executive Officer

Attachment: Table 1, RCRA Post-Closure Groundwater Monitoring Plan

Table 1
RCRA Post-Closure Groundwater Monitoring Plan

RCRA Unit	RCRA Well ID	COC	Frequency	Next Sampling	USEPA Method
0250	18P-01R*	Perchlorate	6 MO		314.0
	18P-01R*	17 CAM Metals	A		6010
	18P-01R*	VOCs	6 MO		8260
	18P-01R*	Total Cyanides	A		9010
	18P-02	Perchlorate	6 MO		314.0
	18P-02	17 CAM Metals	A		6010
	18P-02	VOCs	6 MO		8260
	18P-02	Total Cyanides	A		9010
	AI-06	Perchlorate	6 MO		314.0
	AI-06	17 CAM Metals	A		6010
	AI-06	VOCs	6 MO		8260
	AI-06	Total Cyanides	A		9010
0635	20C-13	Perchlorate	6 MO		314.0
	20C-13	OC Pesticides	A		8081A
	20C-13	VOCs	6 MO		8260
	20C-14*	Perchlorate	6 MO		314.0
	20C-14*	OC Pesticides	A		8081A
	20C-14*	VOCs	6 MO		8260
	20C-17	Perchlorate	6 MO		314.0
	20C-17	OC Pesticides	A		8081A
	20C-17	VOCs	6 MO		8260
0706	20C-25	Perchlorate	6 MO		314.0
	20C-25	VOCs	6 MO		8260
	20C-35*	Perchlorate	6 MO		314.0
	20C-35*	VOCs	6 MO		8260
	20G-15	Perchlorate	6 MO		314.0
	20G-15	VOCs	6 MO		8260
OBF UPZ	RI-05W*	Perchlorate	6 MO		314.0
	RI-05W*	VOCs	6 MO		8260
	RI-05W*	17 CAM Metals	3 YR	2004	6010
	RI-05W*	PCBs	3 YR	2004	8082
	RI-05W*	SVOCs	3 YR	2004	8270
	RI-05W*	Total Cyanides	3 YR	2004	9010
	RI-12W*	Perchlorate	6 MO		314.0
	RI-12W*	VOCs	6 MO		8260
	RI-12W*	17 CAM Metals	3 YR	2004	6010
	RI-12W*	PCBs	3 YR	2004	8082
	RI-12W*	SVOCs	3 YR	2004	8270
	RI-12W*	Total Cyanides	3 YR	2004	9010
	RI-54W*	Perchlorate	6 MO		314.0
	RI-54W*	VOCs	6 MO		8260
	RI-54W*	17 CAM Metals	3 YR	2004	6010
	RI-54W*	PCBs	3 YR	2004	8082
	RI-54W*	SVOCs	3 YR	2004	8270
RI-54W*	Total Cyanides	3 YR	2004	9010	

**Table 1 (cont.)
RCRA Post-Closure Groundwater Monitoring Plan**

RCRA Unit	RCRA Well ID	COC	Frequency	Next Sampling	USEPA Method
OBF LUZ	RI-03W	Perchlorate	6 MO		314.0
	RI-03W	VOCs	6 MO		8260
	RI-03W	17 CAM Metals	3 YR	2004	6010
	RI-03W	PCBs	3 YR	2004	8082
	RI-03W	SVOCs	3 YR	2004	8270
	RI-03W	Total Cyanides	3 YR	2004	9010
	RI-25W	Perchlorate	6 MO		314.0
	RI-25W	VOCs	6 MO		8260
	RI-25W	17 CAM Metals	3 YR	2004	6010
	RI-25W	PCBs	3 YR	2004	8082
	RI-25W	SVOCs	3 YR	2004	8270
	RI-25W	Total Cyanides	3 YR	2004	9010
	RI-30W	Perchlorate	6 MO		314.0
	RI-30W	VOCs	6 MO		8260
	RI-30W	17 CAM Metals	3 YR	2004	6010
	RI-30W	PCBs	3 YR	2004	8082
	RI-30W	SVOCs	3 YR	2004	8270
	RI-30W	Total Cyanides	3 YR	2004	9010
	RI-32W	Perchlorate	6 MO		314.0
	RI-32W	VOCs	6 MO		8260
	RI-32W	17 CAM Metals	3 YR	2004	6010
	RI-32W	PCBs	3 YR	2004	8082
	RI-32W	SVOCs	3 YR	2004	8270
	RI-32W	Total Cyanides	3 YR	2004	9010
	RI-45W	Perchlorate	6 MO		314.0
	RI-45W	VOCs	6 MO		8260
	RI-45W	17 CAM Metals	3 YR	2004	6010
	RI-45W	PCBs	3 YR	2004	8082
	RI-45W	SVOCs	3 YR	2004	8270
	RI-45W	Total Cyanides	3 YR	2004	9010
	RI-46W	Perchlorate	6 MO		314.0
	RI-46W	VOCs	6 MO		8260
	RI-46W	17 CAM Metals	3 YR	2004	6010
	RI-46W	PCBs	3 YR	2004	8082
	RI-46W	SVOCs	3 YR	2004	8270
	RI-46W	Total Cyanides	3 YR	2004	9010
	RI-51W	Perchlorate	6 MO		314.0
	RI-51W	VOCs	6 MO		8260
	RI-51W	17 CAM Metals	3 YR	2004	6010
	RI-51W	PCBs	3 YR	2004	8082
	RI-51W	SVOCs	3 YR	2004	8270
	RI-51W	Total Cyanides	3 YR	2004	9010

**Table 1 (cont.)
RCRA Post-Closure Groundwater Monitoring Plan**

RCRA Unit	RCRA Well ID	COC	Frequency	Next Sampling	USEPA Method
OBF SQsc (LCZ)	BC-03W	Perchlorate	6 MO		314.0
	BC-03W	VOCs	6 MO		8260
	BC-03W	17 CAM Metals	5 YR	2004	6010
	BC-03W	PCBs	5 YR	2004	8082
	BC-03W	SVOCs	5 YR	2004	8270
	BC-03W	Total Cyanides	5 YR	2004	9010
	RI-16W	Perchlorate	6 MO		314.0
	RI-16W	VOCs	6 MO		8260
	RI-16W	17 CAM Metals	5 YR	2004	6010
	RI-16W	PCBs	5 YR	2004	8082
	RI-16W	SVOCs	5 YR	2004	8270
	RI-16W	Total Cyanides	5 YR	2004	9010
	RI-17AW	Perchlorate	6 MO		314.0
	RI-17AW	VOCs	6 MO		8260
	RI-17AW	17 CAM Metals	5 YR	2004	6010
	RI-17AW	PCBs	5 YR	2004	8082
	RI-17AW	SVOCs	5 YR	2004	8270
	RI-17AW	Total Cyanides	5 YR	2004	9010
	RI-19W	Perchlorate	6 MO		314.0
	RI-19W	VOCs	6 MO		8260
	RI-19W	17 CAM Metals	5 YR	2004	6010
	RI-19W	PCBs	5 YR	2004	8082
	RI-19W	SVOCs	5 YR	2004	8270
	RI-19W	Total Cyanides	5 YR	2004	9010

*Point of Compliance (POC) well

6 MO: Monitoring parameters sampled every 6 months

A: Sampled once each year

3 YR: Sampled every three years

5 YR: Sampled every five years

OBF: Open Burning Facility

UPZ: Upper Perched Zone of the Santa Clara Formation

LUZ: Lower Unconfined Zone of the Santa Clara Formation

LCZ: Lower Confined Zone of the Santa Clara Formation

SQsc: Standard Santa Clara Formation