

**STATE OF CALIFORNIA  
CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD  
SANTA ANA REGION**

**IN THE MATTER OF:**

JP Plastics Inc )  
2500 South Susan Street )  
Santa Ana, CA 92704 )  
Attention: Gloria Palacios )

**Affirming Order No. R8-2016-0035**  
**for**  
**Mandatory Minimum Penalty**

**INTRODUCTION**

This Affirming Order No. R8-2016-0035 (Order) is entered into by and between the Division Chief of the California Regional Water Quality Control Board, Santa Ana Region (Regional Board) on behalf of the Regional Board Prosecution Team and JP Plastics Inc (Discharger) (the Regional Board and the Discharger are collectively referred to as the Parties) and is presented to the Regional Board or its delegee, for adoption as an Order by settlement, pursuant to Government Code 11415.60. This Order accepts the penalties assessed to the Discharger for violations subject to administrative civil liability pursuant to California Water Code (hereinafter Water Code) sections 13399.33, subdivisions (c) and (d).

**RECITALS**

1. The Discharger operates an industrial facility engaged in plastic product manufacturing located at 2500 South Susan Street, in the city of Santa Ana. Plastic product manufacturing is an industrial activity as described in Standard Industrial Classification (SIC) code 3089. The Discharger is currently regulated under the State's General Permit for Storm Water Discharges Associated with Industrial Activities, Order No. 2014-0057-DWQ, NPDES No. CAS000001 (General Permit) under WDID Number 8 30I024106. The General Permit requires submittal of an annual report by July 1 of each year. Due to a technical issue that affected the bandwidth of the Storm Water Multiple Application and Report Tracking System (SMARTS) that restricted access to the database, the July 1, 2015 deadline for submittals under the expired General Permit 97-03-DWQ was extended to Friday, August 14, 2015.
2. The Discharger's 2014-2015 annual report was not received by Regional Board staff by the August 14, 2015 deadline. Therefore a Notice of Non-Compliance (NNC) was issued to the Discharger, by certified mail, on September 3, 2015. The NNC requested that the Discharger submit the 2014-2015 annual report by October 2, 2015 to the Regional Board. The return receipt showed it was received on September 8, 2015.
3. On October 5, 2015, Regional Board staff received no response to the first NNC, therefore a second NNC was issued. The return receipt showed the second NNC was received on October 7, 2015. The second NNC requested that the Discharger submit the 2014-2015 annual report by October 30, 2015.

4. On October 22, 2015, Regional Board staff contacted Mr. Carlos Nava, the Discharger's contact on file, via email reiterating the final annual report submittal deadline of October 30, 2015.
5. On October 26, 2015, Regional Board staff left a voice mail for Mr. Nava, again reiterating the deadline and impending monetary penalties.
6. On October 29, 2015, Regional Board staff spoke with Ms. Gloria Palacios, the Discharger's office manager, regarding the situation. Ms. Palacios indicated that the facility employed a consultant and that she would contact them.
7. On October 30, 2015, Regional Board staff again spoke with Ms. Palacios and was informed that the facility consultant was currently working on the annual report and would submit the report by the October 30, 2015 deadline.
8. The Regional Board received the 2014-2015 annual report from the Discharger via email on November 5, 2015.

#### LEGAL AUTHORITY

9. Pursuant to California Water Code section 13399.33(c), the Regional Board is required to impose a minimum mandatory penalty of \$1,000 for any person who fails to submit an annual report in accordance with section 13399.31 of the Water Code. Section 13399.33(d) of the Water Code further requires that the Regional Board recover the costs incurred by the Regional Board with regard to those persons (\$750 in staff costs).

#### SETTLEMENT

10. The Discharger waived its rights to a hearing and has paid the total assessment of \$1,750.
11. The Regional Board Prosecution Team believes that the resolution of the alleged violation is fair and reasonable and fulfills its enforcement objectives and that no further action is warranted concerning the violation.

#### STIPULATIONS

This Affirming Order is entered into by the Parties to resolve by consent and without further administrative proceedings alleged violations of Complaint No. R8-2016-0007. The Parties incorporate Paragraphs 1 through 11 by this reference, as set forth fully herein and recommend that the Regional Board issue this Affirming Order:

12. **Public Notice:** The Parties acknowledge that the Affirming Order, as signed by the Parties, was noticed for a 30-day public comment period prior to being presented to the Regional Board, or its delegee, for adoption in the Order.
13. **Appeals:** Upon adoption of this Affirming Order, the Discharger waived their right to appeal this Order to the State Board, a California Superior Court and/or any California appellate level court. Nothing in this Order, however, shall be construed to prevent the

Discharger from participating as parties or interveners in any appeal of this Order brought by a third party before any California court of law or the State Board.

14. **Water Boards not Liable:** Neither the Regional Board members nor the Regional Board staff, attorneys, or representatives shall be liable for any injury or damage to persons or property resulting from the negligent or intentional acts or omissions by the Discharger or their respective directors, officers, employees, agents, representatives or contractors in carrying out activities pursuant to this Order.
15. **Authority to Enter Stipulated Order:** Each person executing this Affirming Order in a representative capacity represents and warrants that he or she is authorized to execute this Order on behalf of and to bind the entity on whose behalf he or she executes the Order.
16. **Third Party Claims.** Nothing in this Affirming Order shall be deemed to create any rights in favor of, or to inure to the benefit of, any third party or parties, or to waive or release any defense or limitation against third party claims.
17. **Effective Date:** The effective date of the Affirming Order shall be the date on which it is adopted by the Executive Officer

**HAVING CONSIDERED THE PARTIES' STIPULATIONS, THE SANTA ANA REGIONAL WATER QUALITY CONTROL BOARD, BY AND THROUGH ITS EXECUTIVE OFFICER, FINDS THAT:**

18. In adopting this Order, the Santa Ana Regional Water Quality Control Board or its Delegee has assessed a penalty in accordance with Water Code section 13385(c) and the Enforcement Policy.
18. The Affirming Order resolves an action brought to enforce the laws and regulations administered by the Santa Ana Regional Water Quality Control Board. The Santa Ana Regional Water Quality Control Board, acting through its Executive Officer, finds that issuance of this Order is exempt from the provisions of the California Environmental Quality Act (Public Resources Code section 21000 et seq.), in accordance with sections 15061(b)(3) and 15321(a)(2), of Title 14 of the California Code of Regulations.

PURSUANT TO SECTION 13385 OF THE CALIFORNIA WATER CODE AND SECTION 11415.60 OF THE CALIFORNIA GOVERNMENT CODE, THE EXECUTIVE OFFICER HEREBY ADOPTS THIS ORDER.

Kurt V. Berchtold

Kurt V. Berchtold  
Executive Officer  
Santa Ana Regional Water Quality Control Board

5/11/16

Date