

EDMUND G. BROWN JR.
GOVERNOR

MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

Santa Ana Regional Water Quality Control Board

March 14, 2016

Dieu Ngu Temple
14472 Chestnut Street
Westminster, CA 92683
(By Certified Mail)

CERTIFIED MAIL
RETURN RECEIPT REQUESTED

Thich Ly
thichvienhuy@gmail.com
(By Email Only)

TRANSMITTAL OF MANDATORY MINIMUM PENALTY (MMP) COMPLAINT NO. R8-2016-0021, DIEU NGU TEMPLE

Dear Thich Ly:

Enclosed is a certified copy of Mandatory Minimum Penalty Complaint No. R8-2016-0019 (Complaint). The Complaint alleges that you have violated the State's General Permit for Storm Water Discharges Associated with Construction and Land Disturbance Activities, Order No. 2009-0009-DWQ as amended by Order No. 2010-0014-DWQ as amended by Order No. 2012-0006-DWQ, NPDES No. CAS000002 (General Permit) by failing to submit the 2014-2015 annual report by September 1, 2015 for the Dieu Ngu Temple project in the City of Westminster.

The Complaint proposes that a penalty in the amount of one thousand nine hundred dollars (\$1,900) be imposed. The penalty amount is comprised of mandatory minimum penalties and staff costs as authorized by California Water Code Sections 13399.33(c) and 13399.33(d).

A public hearing on this matter is scheduled for the Regional Board meeting on June 10, 2016. A tentative order, the staff report regarding this Complaint and the meeting agenda will be mailed to you not less than 10 days prior to the hearing. A meeting agenda will also be available at: http://www.waterboards.ca.gov/santaana/board_info/agendas/.

Pursuant to California Water Code Section 13323, Dieu Ngu Temple has the option to waive its rights to a hearing. Should Dieu Ngu Temple waive its rights to a hearing and pay the proposed assessment, the Regional Board may not hold a public hearing on this matter. If Dieu Ngu Temple chooses to waive its rights to a hearing, please sign and submit the enclosed Waiver Form by April 11, 2016. Please make the check payable to

the Waste Discharge Permit Fund for one thousand nine hundred dollars (\$1,900) and include the Complaint Number on the memo line. Please send the following information to the appropriate location:

Mail Waiver Form to:
RWQCB
Attn: Michael Kashak
3737 Main Street, Suite 500
Riverside, CA 92501

Mail Payment to:
SWRCB – Accounting Office
Attn: Storm Water MMP Payment
P.O. Box 1888
Sacramento, CA 95812-1888

If Dieu Ngu Temple does not wish to waive its rights to a hearing, a pre-hearing meeting is recommended. Should you wish to schedule a pre-hearing meeting, please submit your request to Michael Kashak by phone at (951) 782-4469 or by email at Michael.Kashak@waterboards.ca.gov prior to April 4, 2016.

A Hearing Procedure pertaining to this Complaint is also enclosed. The Hearing Procedure sets forth important requirements and deadlines for participation in the hearing. Additionally, a Fact Sheet describing the Complaint process is available at:

http://www.waterboards.ca.gov/santaana/public_notices/enforcement_actions.shtml

The Fact Sheet describes the complaint process and explains what Dieu Ngu Temple can expect and its obligations as the process proceeds. If preferred, a hard copy of the Fact Sheet may be obtained by contacting Michael Kashak at (951) 782-4469.

Please read the Hearing Procedure carefully. The Board may adopt an Order requiring that you pay a penalty.

If you have any questions regarding the Complaint or the enclosed documents, please contact Michael Kashak by phone at (951) 782-4469 or by email at Michael.Kashak@waterboards.ca.gov. All legal questions should be directed to David Boyers, Office of Enforcement, by phone at (916) 341-5276 or by email at David.Boyers@waterboards.ca.gov.

Sincerely,



Hope A. Smythe
Division Chief
Regional Board Prosecution Team

Enclosures: MMP Complaint No. R8-2016-0021
Hearing Procedures
Waiver Form
Exhibit 1: 1st Notice of Non-Compliance, dated 10/1/15
Exhibit 2: 2nd Notice of Non-Compliance, dated 11/2/15

cc: Regional Board
Mr. Kurt Berchtold, Executive Officer, RWQCB, Riverside (Regional Board
Advisory Team)
Mr. David Rice, Office of Chief Counsel, SWRCB, Sacramento (Regional Board
Advisory Team Attorney)
Mr. David Boyers, Office of Enforcement, SWRCB, Sacramento
Ms. Diana Messina, Division of Water Quality, SWRCB, Sacramento
Mr. Richard Boon, Orange County Public Works
Mr. Daniel Hsieh, City of Westminster NPDES Coordinator

**State of California
California Regional Water Quality Control Board
Santa Ana Region**

IN THE MATTER OF:

Dieu Ngu Temple)	Complaint No. R8-2016-0021
14472 Chestnut Street)	for
Westminster, CA 92683)	Mandatory Minimum Penalty and Staff
Attn: Thich Ly)	Costs
_____)	

YOU ARE HEREBY GIVEN NOTICE THAT:

1. You are alleged to have violated provisions of law for which the California Regional Water Quality Control Board, Santa Ana Region (hereinafter Regional Board), must impose liability under Section 13399.33 of the California Water Code.
2. Unless waived, a hearing concerning this Complaint will be held before the Regional Board at its regular meeting on June 10, 2016, at a to be determined location. Dieu Ngu Temple, or its representative, will have an opportunity to appear and be heard, and to contest the allegations in this Complaint and the imposition of mandatory penalties by the Regional Board. An agenda for the meeting will be mailed to Dieu Ngu Temple not less than 10 days before the hearing date. A meeting agenda will also be available at: http://www.waterboards.ca.gov/santaana/board_info/agendas/.
3. Dieu Ngu Temple can waive its right to a hearing to contest the allegation contained in this Complaint by signing and submitting the enclosed waiver and paying the liability in full or by taking other actions as described in the waiver form. If this matter proceeds to a hearing, the Prosecution Team reserves the right to seek an increase in the penalty amount to cover the costs of enforcement incurred subsequent to the issuance of this Complaint through hearing. The enforcement costs may be recovered pursuant to Water Code Section 13399.33(d).
4. At the hearing, the Regional Board will consider whether to affirm, reject, or modify the proposed mandatory minimum penalties and assessment of costs pursuant to Water Code section 13399.33, or whether to refer the matter to the Attorney General for recovery of judicial civil liability.

THIS COMPLAINT IS BASED ON THE FOLLOWING FACTS:

5. Dieu Ngu Temple, located at 14472 Chestnut Street, in the City of Westminster, is regulated under the State's General Permit for Storm Water Discharges Associated with Construction and Land Disturbance Activities, Order No. 2009-0009-DWQ as amended by Order No. 2010-0014 as amended by Order No. 2012-0006, NPDES No. CAS000002 (General Permit). The site's WDID Number is 8 30C369619. The General Permit requires submittal of an annual report by September 1 of each year.
6. Dieu Ngu Temple's 2014-2015 annual report was not received by Regional Board staff by the September 1, 2015 deadline. Therefore a Notice of Non-Compliance (NNC) was issued to Dieu Ngu Temple, by certified mail, on October 1, 2015. The NNC requested that Dieu Ngu Temple submit the 2014-2015 annual report by October 30, 2015 to the Regional Board. The return receipt showed the NNC was received on October 5, 2015. The NNC and return receipt is attached to this complaint as Exhibit #1.
7. On October 19, 2015, Mr. David Crane, Thich Ly's representative, left a voicemail with Board staff indicating that he didn't believe the 2014-2015 annual report should be required to be submitted due to a "miscategorization". Mr. Crane did not elaborate on the matter. Thich Ly is Dieu Ngu Temple's contact on file.
8. On November 2, 2015, Regional Board staff did not receive an adequate response to the first NNC, therefore a second NNC was issued. The return receipt showed it was received on November 6, 2015. The second NNC requested that Dieu Ngu Temple submit the 2014-2015 annual report by November 29, 2015. The second NNC and return receipt is attached to this complaint as Exhibit #2.
9. On November 13, 2015, Mr. Crane left a voicemail with Board staff stating that he spoke to someone who told him to disregard the above-mentioned Notices of Non-Compliance.
10. On November 17, 2015, Board staff spoke to Mr. Crane regarding Dieu Ngu Temple's 2014-2015 annual report. Mr. Crane stated that someone from the Santa Ana Regional Water Quality Control Board office told him to disregard the Notices of Non-Compliance. Mr. Crane did not know who he spoke to, but was under the impression that the 2014-2015 annual report was not required to be submitted. Board staff told Mr. Crane that the information he received was incorrect and reiterated the final annual report submittal deadline of November 29, 2015.
11. On November 17, 2015, following the above-mentioned conversation, Board staff contacted Mr. Crane and Thich Ly via email, again reiterating the deadline and impending monetary penalties. Included in the email were instructions for

submitting the 2014-2015 annual report and copies of the Notices of Non-Compliance dated October 1, 2015 and November 2, 2015.

12. On November 23, 2015, Mr. Crane told Board staff that Thich Ly was out of town, but that the 2014-2015 annual report would be completed by November 29, 2015. Board staff informed Mr. Crane that they would be available to provide assistance in submitting the 2014-2015 annual report.
13. On November 25, 2015 Board staff contacted Mr. Crane via phone and explained that this day was Board staff's last opportunity to provide assistance with submitting the 2014-2015 annual report due to the state holiday. Mr. Crane indicated that he would follow-up with Board staff at a later time.
14. No additional correspondence with Board staff was received from the discharger.
15. To date, the 2014-2015 annual report from Dieu Ngu Temple has not been received by the Santa Ana Regional Water Quality Control Board.

LEGAL AUTHORITY

16. Section 13399.33(c) of the California Water Code requires that the Regional Board impose a minimum penalty of \$1,000 for any person who fails to submit an annual report in accordance with Section 13399.31 of the Water Code. Section 13399.33(d) of the California Water Code further requires that the Regional Board recover the costs incurred by the Regional Board with regard to those persons.

PROPOSED MANDATORY MINIMUM PENALTIES AND ASSESSMENT OF COSTS

17. Pursuant to Water Code section 13399.33(c), the Regional Board shall administratively impose a penalty in an amount that is not less than one thousand dollars (\$1,000) for the violation cited above. The Regional Board shall impose this mandatory minimum penalty unless it makes express findings setting forth the reasons for its failure to do so, based on the specific factors required to be considered pursuant to 13399.33(a)(2).
18. Based on the non-submittal of the 2014-2015 annual report by September 1, 2015, Dieu Ngu Temple is alleged to have violated the General Permit for 195 days (from September 2, 2015 to March 14, 2016, the issuance date of this Complaint).
19. Water Code section 13399.33(d) allows for the recovery of costs incurred by the Regional Board for enforcement actions against dischargers who fail to submit the required annual report. Staff spent approximately six (6) hours for this enforcement action resulting in total Staff costs of \$900 (6 hrs @ \$150/hr= \$900).

The total liability, including staff costs, is \$1,900 (\$1,000 mandatory penalty+\$900 in staff costs).

20. Issuance of this Complaint is an enforcement action and is therefore exempt from the provisions of the California Environmental Quality Act (Pub. Res. Code Section 21000 et seq.) pursuant to title 14, California Code of Regulations Sections 15308 and 15321, subdivision (a), paragraph (2).

WAIVER OF HEARING

Dieu Ngu Temple may waive its right to a hearing. If Dieu Ngu Temple chooses to do so, please sign the enclosed Waiver Form and return it, together with a check for **\$1,900**. **Indicate "R8-2016-0021" on the check and make it payable to the Waste Discharge Permit Fund.** Send the check to the following address:

State Water Resources Control Board
Division of Administrative Services
Accounting Branch
P.O. Box 1888
Sacramento, CA 95814

The waiver and a copy of the check shall also be mailed to the Regional Board at the following address:

Santa Ana Regional Water Quality Control Board
3737 Main Street, Suite 500
Riverside, CA 92501-3348
Attention: Michael Kashak

If Dieu Ngu Temple waives its right to a hearing and pays the assessed amount, the Regional Board may not hold a hearing regarding this Complaint.

If you have any questions, please contact Michael Kashak at (951) 782-4469. For legal questions, contact David Boyers, Office of Enforcement, at (916) 341-5276.

3/14/16
Date



Hope A. Smythe
Division Chief
Regional Board Prosecution Team

Santa Ana Regional Water Quality Control Board

California Regional Water Quality Control Board
Santa Ana Region

HEARING PROCEDURE
FOR MANDATORY MINIMUM PENALTY COMPLAINT

NO. R8-2016-0021

ISSUED TO

Dieu Ngu Temple
14472 Chestnut Street
Westminster, CA 92683
Orange County

SCHEDULED FOR JUNE 10, 2016

PLEASE READ THIS HEARING PROCEDURE CAREFULLY. FAILURE TO COMPLY WITH THE DEADLINES AND OTHER REQUIREMENTS CONTAINED HEREIN MAY RESULT IN THE EXCLUSION OF YOUR DOCUMENTS AND/OR TESTIMONY.

Background

On March 14, 2016, the Division Chief, acting as head of the Santa Ana Regional Water Quality Control Board (Regional Board) Prosecution Team, issued a Mandatory Minimum Penalty Complaint (Complaint) pursuant to California Water Code (Water Code) Section 13323 against Dieu Ngu Temple alleging that it has violated the State's General Permit for Storm Water Discharges Associated with Construction and Land Disturbance Activities, Order No. 2009-0009-DWQ as amended by Order No. 2010-0014-DWQ as amended by Order No. 2012-0006-DWQ, NPDES No. CAS000002 (General Permit) by failing to submit the 2014-2015 annual report by September 1, 2015.

The Complaint proposes that the Regional Board impose a mandatory minimum penalty and staff costs in the amount of **one thousand nine hundred dollars (\$1,900)** pursuant to Water Code Section 13399.33. Unless Dieu Ngu Temple pays the proposed liability, a hearing will be held before the Regional Board during its **June 10, 2016** meeting.

Purpose of Hearing

The purpose of the hearing is to consider relevant evidence and testimony regarding the Complaint and any proposed Order. At the hearing, the Regional Board will consider whether to issue an administrative civil liability order assessing the proposed liability, or a higher or lower amount, or reject the proposed liability.

The public hearing will be held on **June 10, 2016**, and will commence no earlier than 9:00 a.m. or as soon thereafter as practical, or as announced in the Regional Board meeting agenda. The meeting will be held at a to be determined location.

Any proposed Order and an agenda for the meeting will be issued at least ten days before the meeting and posted on the Regional Board's web page at:

http://www.waterboards.ca.gov/santaana/board_info/agendas/

Hearing Procedure

The hearing will be conducted in accordance with this Hearing Procedure, which has been approved by the Board Chair for the adjudication of such matters. The procedures governing adjudicatory hearings before the Regional Board may be found at California Code of Regulations, title 23, section 648 et seq., and are available at:

<http://www.waterboards.ca.gov>

Copies will be provided upon request. In accordance with Section 648(d), any procedure not provided by this Hearing Procedure is deemed waived. Except as provided in Section 648(b) and herein, Chapter 5 of the Administrative Procedures Act (Gov. Code, § 11500 et seq.) does not apply to this hearing.

Dieu Ngu Temple shall attempt to resolve objections to this Hearing Procedure with the Prosecution Team BEFORE submitting objections to the Advisory Team.

Separation of Prosecutorial and Advisory Functions

To help ensure the fairness and impartiality of this proceeding, the functions of those who will act in a prosecutorial role by presenting evidence for consideration by the Board (the Prosecution Team) have been separated from those who will provide legal and technical advice to the Board (the Advisory Team). Members of the Advisory Team are: Kurt Berchtold, Executive Officer and David Rice, Staff Counsel. Members of the Prosecution Team are: Hope Smythe, Division Chief; Michelle Beckwith, Senior Environmental Scientist, Michael Kashak, Environmental Scientist, and David Boyers, Office of Enforcement.

Any members of the Advisory Team who normally supervise any members of the Prosecution Team are not acting as their supervisors in this proceeding, and vice versa. Other members of the Prosecution Team act or have acted as advisors to the Regional Board in other, unrelated matters, but they are not advising the Regional Board in this proceeding. Members of the Prosecution Team have not had any ex parte communications with the members of the Regional Board or the Advisory Team regarding this proceeding.

Hearing Participants

Participants in this proceeding are designated as either "Designated Parties" or "Interested Persons." Designated Parties may present evidence and cross-examine witnesses and are subject to cross-examination. Interested Persons may present non-evidentiary policy statements, but may not cross-examine witnesses and are not subject to cross-examination. Interested Persons generally may not present evidence (e.g., photographs, eye-witness testimony, monitoring data). At the hearing, both Designated Parties and

Interested Persons may be asked to respond to clarifying questions from the Regional Board, staff, or others, at the discretion of the Board Chair.

The following participants are hereby designated as Designated Parties in this proceeding:

1. Regional Board Prosecution Team
2. Dieu Ngu Temple

Requesting Designated Party Status

Persons who wish to participate in the hearing as a Designated Party must request designated party status by submitting a request in writing so that it is received no later than the deadline listed under "Important Deadlines" below. The request shall include an explanation of the basis for status as a Designated Party (i.e., how the issues to be addressed at the hearing affect the person, the need to present evidence or cross-examine witnesses), along with a statement explaining why the parties listed above do not adequately represent the person's interest. Any objections to these requests for designated party status must be submitted so that they are received no later than the deadline listed under "Important Deadlines" below.

Primary Contacts

Advisory Team:

David Rice, Staff Counsel
State Water Resources Control Board
P.O. Box 100, Sacramento, CA 95812
Phone: (916) 341-5182
David.Rice@waterboards.ca.gov

Prosecution Team:

Michael Kashak, Environmental Scientist
Regional Water Quality Control Board – Santa Ana Region
3737 Main Street, Suite 500, Riverside, CA 92501
Phone: (951) 782-4469
Michael.Kashak@waterboards.ca.gov

Discharger:

Thich Ly
Dieu Ngu Temple
14472 Chestnut Street
Westminster, CA 92683
thichvienhuy@gmail.com

Ex Parte Communications

Designated Parties and Interested Persons are forbidden from engaging in ex parte communications regarding this matter. An ex parte communication is a written or verbal communication related to the investigation, preparation, or prosecution of the Complaint between a Designated Party or an Interested Person and a Board Member or a member of the Board's Advisory Team (see Gov. Code, § 11430.10 et seq.). However, if the communication is copied to all other persons (if written) or is made in a manner open to all other persons (if verbal), then the communication is not considered an ex parte communication. Communications regarding non-controversial procedural matters are also not considered ex parte communications and are not restricted.

Hearing Time Limits

To ensure that all participants have an opportunity to participate in the hearing, the following time limits shall apply: each Designated Party shall have a combined **20** minutes to present evidence (including evidence presented by witnesses called by the Designated Party), to cross-examine witnesses (if warranted), and to provide a closing statement. Each Interested Person shall have 3 minutes to present a non-evidentiary policy statement. Participants with similar interests or comments are requested to make joint presentations, and participants are requested to avoid redundant comments. Participants who would like additional time must submit their request to the Advisory Team so that it is received no later than the deadline listed under "Important Deadlines" below. Additional time may be provided at the discretion of the Advisory Team (prior to the hearing) or the Board Chair (at the hearing) upon a showing that additional time is necessary. Such showing shall explain what testimony, comments, or legal argument requires extra time, and why it could not have been provided in writing by the applicable deadline.

A timer will be used, but will not run during Board questions or the responses to such questions, or during discussions of procedural issues.

Submission of Evidence and Policy Statements

The Prosecution Team and all other Designated Parties (including Dieu Ngu Temple) must submit the following information in advance of the hearing:

1. All documentary evidence and exhibits to be offered at the hearing.
2. All legal and technical arguments or analysis.
3. The name of each witness, if any, whom the Designated Party intends to call at the hearing, the subject of each witness' proposed testimony, and the estimated time required by each witness to present direct testimony. Alternatively, the testimony of any witness may be presented by declaration, so long as that witness will be available for cross-examination at the hearing.
4. The qualifications of each expert witness, if any.

Prosecution Team: The Prosecution Team's information must include the legal and factual basis for its claims against Dieu Ngu Temple; a list of all evidence on which the Prosecution Team relies, which must include, at a minimum, all documents cited in the Complaint, Staff Report, or other material submitted by the Prosecution Team; and the witness information required under items 3-4 for all witnesses, including Board staff.

Designated Parties (including Dieu Ngu Temple): All Designated Parties shall submit comments regarding the Complaint along with any additional supporting evidence not cited by the Regional Board's Prosecution Team no later than the deadline listed under "Important Deadlines" below.

Rebuttal: Any Designated Party that would like to submit evidence, legal analysis, or policy statements to rebut information previously submitted by other Designated Parties shall submit this rebuttal information so that it is received no later than the deadline listed under "Important Deadlines" below. "Rebuttal" means evidence, analysis or comments offered to disprove or contradict other submissions. Rebuttal shall be limited to the scope of the materials previously submitted. Rebuttal information that is not responsive to information previously submitted may be excluded.

Copies: For each evidentiary deadline, each Designated Party shall send one electronic copy of the above materials to each of the other Designated Parties at the address or addresses provided above by 5:00 p.m. on the deadline described above.

Interested Persons: Interested Persons who would like to submit written non-evidentiary policy statements are encouraged to submit them to the Advisory Team as early as possible, but they must be received by the deadline listed under "Important Deadlines" to be included in the Board's agenda package. Interested Persons do not need to submit written comments in order to speak at the hearing.

Prohibition on Surprise Evidence: In accordance with California Code of Regulations, title 23, section 648.4, the Regional Board endeavors to avoid surprise testimony or evidence. Absent a showing of good cause and lack of prejudice to the parties, the Board Chair may exclude evidence and testimony that is not submitted in accordance with this Hearing Procedure. Excluded evidence and testimony will *not* be considered by the Regional Board and will not be included in the administrative record for this proceeding.

Presentations: Power Point and other visual presentations may be used at the hearing, but their content shall not exceed the scope of other submitted written material. These presentations must be provided to the Advisory Team at or before the hearing both in hard copy and in electronic format so that they may be included in the administrative record.

Witnesses: All witnesses who have submitted written testimony shall appear at the hearing to affirm that the testimony is true and correct, and shall be available for cross-examination.

Questions

Questions concerning this proceeding may be addressed to the Advisory Team attorney (contact information above).

IMPORTANT DEADLINES

All required submissions must be received by 5:00 p.m. on the respective due date.

March 14, 2016	<ul style="list-style-type: none"> ▪ Prosecution Team issues Complaint and Hearing Procedure on Dieu Ngu Temple and other parties.
April 11, 2016	<ul style="list-style-type: none"> ▪ Dieu Ngu Temple's deadline to submit 90-Day Hearing Waiver Form and payment ▪ Objections due on Hearing Procedure. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested</p>

	<p>Persons, Prosecution Team Attorney, Advisory Team Attorney</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team Primary Contact, Advisory Team Primary Contact</p>
April 21, 2016	<ul style="list-style-type: none"> ▪ Prosecution Team's deadline for submission of information required under "Submission of Evidence and Policy Statements," above. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons</p> <p><u>Electronic and Hard Copies to:</u> Advisory Team Primary Contact, Advisory Team Attorney</p>
April 22, 2016	<ul style="list-style-type: none"> ▪ Deadline to request "Designated Party" status. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Prosecution Team Attorney, Advisory Team Attorney</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team Primary Contact, Advisory Team Primary Contact</p>
April 26, 2016*	<ul style="list-style-type: none"> ▪ Deadline to submit opposition to requests for Designated Party status. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Prosecution Team Attorney, Advisory Team Attorney</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team Primary Contact, Advisory Team Primary Contact</p>
May 3, 2016	<ul style="list-style-type: none"> ▪ Advisory Team issues decision on Hearing Procedure objections. ▪ Advisory Team issues decision on requests for designated party status.
May 11, 2016*	<ul style="list-style-type: none"> ▪ Remaining Designated Parties' (including Dieu Ngu Temple's) deadline to submit all information required under "Submission of Evidence and Policy Statements" above. This includes all written comments regarding the Order, and any rebuttal evidence, any rebuttal to legal arguments and/or policy statements, and all evidentiary objections. ▪ Interested Persons' comments are due. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Prosecution Team Attorney, Advisory Team Attorney</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team Primary Contact, Advisory Team Primary Contact</p>
May 20, 2016*	<ul style="list-style-type: none"> ▪ Prosecution Team's deadline to submit any rebuttal evidence, any rebuttal to legal arguments and/or policy statements, and all evidentiary objections. ▪ Deadline to submit requests for additional time. ▪ If rebuttal evidence is submitted, all requests for additional time (to respond to the rebuttal at the hearing) must be made within 3 working days of <i>this</i> deadline. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons, Prosecution Team Attorney, Advisory Team Attorney</p> <p><u>Electronic and Hard Copies to:</u> Prosecution Team Primary Contact, Advisory Team Primary Contact</p>
May 31, 2016* [†]	<ul style="list-style-type: none"> ▪ Prosecution Team submits Hearing binder on the parties and Board. <p><u>Electronic or Hard Copies to:</u> All other Designated Parties, All known Interested Persons</p> <p><u>Electronic and Hard Copies to:</u> Advisory Team Primary Contact, Advisory Team Attorney</p>
June 10, 2016*	Hearing

* Dieu Ngu Temple has the right to a hearing before the Board within 90 days of receiving the Complaint, but this right can be waived (to facilitate settlement discussions, for example). By submitting the waiver form, the Dieu Ngu Temple is not waiving the right to a hearing; unless a settlement is reached, the Board will hold a

hearing prior to imposing civil liability. However, if the Board accepts the waiver, all deadlines marked with an “” will be revised if a settlement cannot be reached.*

† This deadline is set based on the date that the Board compiles the Board Members’ agenda packages. Any material received after this deadline will not be included in the Board Members’ agenda packages.

Santa Ana Regional Water Quality Control Board

**WAIVER FORM
FOR MANDATORY MINIMUM PENALTY COMPLAINT NO. R8-2016-0021**

By signing this waiver, I affirm and acknowledge the following:

I am duly authorized to represent Dieu Ngu Temple in connection with Mandatory Minimum Penalty Complaint No. R8-2016-0021 (Complaint). I am informed that California Water Code section 13323, subdivision (b), states that, "a hearing before the regional board shall be conducted within 90 days after the party has been served with the complaint. The person(s) who have been issued a complaint may waive the right to a hearing."

- (OPTION 1: Check here if Dieu Ngu Temple waives the hearing requirement and will pay the liability in full.)**
- a. I hereby waive any right Dieu Ngu Temple may have to a hearing before the Regional Board.
 - b. I certify that Dieu Ngu Temple will remit payment for the proposed penalty in the full amount of **one thousand nine hundred dollars (\$1,900)** by submitting a check made payable to the "*Waste Discharge Permit Fund*," that references "Complaint No. R8-2016-0021." Payment must be received by the State Board by **May 9, 2016** or the State Board may adopt an Order requiring payment.
 - c. I understand the payment of the above amount constitutes a proposed settlement of the Complaint, and that any settlement will not become final until after the 30-day public notice and comment period. Should the Regional Board receive significant new information or comments from any source (excluding the Water Board's Prosecution Team) during this comment period, the Regional Board's Division Chief may withdraw the complaint, return payment, and issue a new complaint. I understand that this proposed settlement is subject to approval by the Regional Board (or the Regional Board's delegee), and that the Regional Board may consider this proposed settlement in a public meeting or hearing. I also understand that approval of the settlement will result in Dieu Ngu Temple having waived the right to contest the allegations in the Complaint and the imposition of civil liability.
 - d. I understand that payment of the above amount is not a substitute for compliance with applicable laws and that continuing violations of the type alleged in the Complaint may subject the Discharger to further enforcement, including additional civil liability.

- (OPTION 2: Check here if Dieu Ngu Temple waives the 90-day hearing requirement in order to extend the hearing date and/or hearing deadlines. Attach a separate sheet with the amount of additional time requested and the rationale.)***

I hereby waive any right Dieu Ngu Temple may have to a hearing before the Regional Board within 90 days after service of the Complaint. By checking this box, Dieu Ngu Temple requests that the Regional Board delay the hearing and/or hearing deadlines so that Dieu Ngu Temple may have additional time to prepare for the hearing. It remains within the discretion of the Regional Board to approve the extension.

(Print Name and Title)

(Signature)

(Date)



EDMUND G. BROWN JR.
GOVERNOR

MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

Santa Ana Regional Water Quality Control Board

October 1, 2015

THICH LY
14472 CHESTNUT STREET
WESTMINSTER CA 92683

Certified Mail
Return Receipt Required

NOTICE OF NON-COMPLIANCE: FAILURE TO COMPLY WITH THE GENERAL PERMIT FOR STORM WATER DISCHARGES ASSOCIATED WITH CONSTRUCTION AND LAND DISTURBANCE ACTIVITIES, ORDER NO. 2009-0009-DWQ AS AMENDED BY ORDER NO. 2010-0014-DWQ AS AMENDED BY ORDER NO. 2012-0006-DWQ, NPDES NO. CAS000002 (GENERAL PERMIT) WDID NO. 8 30C369619

Dear Thich Ly:

Your construction site located at 14472 Chestnut Street, in the city of Westminster, is regulated under the General Permit and is identified by WDID No. 8 30C369619. Section XVI.A of the General Permit requires that you prepare and electronically submit an annual report by September 1 of each year. According to our records, we have not received your 2014-2015 annual report.

Currently you are in violation of the General Permit, the California Water Code and the federal Clean Water Act for failure to submit a complete 2014-2015 annual report. We request that you submit a complete 2014-2015 annual report via the Storm Water Multiple Application and Report Tracking System (SMARTS) no later than 30 days from the date of this letter.

You must also provide a statement explaining why the annual report was not submitted by the September 1 deadline, and the measures you have taken to insure timely submittals in the future. This statement must be uploaded to SMARTS as an attachment under the Annual Report Attachments tab. Failure to submit the requested information will result in further enforcement action, which may include civil monetary penalties of up to \$10,000 for each day of violation. Please note that Section 13399.33 of the California Water Code stipulates a mandatory minimum penalty of \$1,000 to those permittees that do not submit their annual reports after notification.

If you need assistance in completing the annual report, please contact the SMARTS Help Desk at (866) 563-3107. If you have any questions specific to your site, please contact Michael Kashak by phone at (951) 782-4469 or by e-mail at Michael.Kashak@waterboards.ca.gov.

Sincerely,

Hope A. Smythe
Division Chief

U.S. Postal Service™
CERTIFIED MAIL® RECEIPT
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For delivery information, visit our website at www.usps.com™.

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Certified Mail Restricted Delivery \$ _____

Adult Signature Restricted \$ _____

Adult Signature Restricted Delivery \$ _____

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Postage \$ _____

Total Paid \$ _____

Send To
 Street #
 City, State

**DIEU NGU TEMPLE
 14472 CHESTNUT STREET
 WESTMINSTER, CA
 WDID NO. 8 30C369619**

PS Form

actions

SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:
**Dieu Ngu Temple
 14472 Chestnut Street
 Westminster, CA
 WDID No. 8 30C369619**



9590 9403 0570 5183 1979 82

2. Article Number (Transfer from service label)

7015 1520 0002 2637 3947

COMPLETE THIS SECTION ON DELIVERY

A. Signature
 x *Mao Nguyen* Agent Addressee

B. Received by (Printed Name) *Mao Nguyen* C. Date of Delivery *10/5/15*

D. Is delivery address different from item 1? Yes
 If YES, enter delivery address below: No

3. Service Type

Adult Signature Priority Mail Express®

Adult Signature Restricted Delivery Registered Mail™

Certified Mail® Registered Mail Restricted Delivery

Certified Mail Restricted Delivery Return Receipt for Merchandise

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Collect on Delivery Restricted Delivery Signature Confirmation Restricted Delivery

PS Form 3811, April 2015 PSN 7530-02-000-9053

Domestic Return Receipt

UNITED STATES POSTAL SERVICE



First-Class Mail
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• Sender: Please print your name, address, and ZIP+4® in this box•

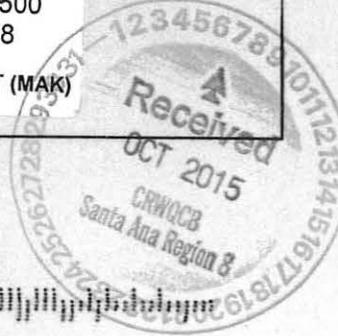
**SANTA ANA REGIONAL
 WATER QUALITY CONTROL BOARD ARD
 3737 MAIN STREET, SUITE 500
 RIVERSIDE, CA 92501-3348**

ATTN: COASTAL STORM WATER UNIT (MAK)

USPS TRACKING#



9590 9403 0570 5183 1979 82





EDMUND G. BROWN JR.
GOVERNOR

MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

Santa Ana Regional Water Quality Control Board

November 2, 2015

DIEU NGU TEMPLE
14472 CHESTNUT STREET
WESTMINSTER, CA 92683

Certified Mail
Return Receipt Required

NOTICE OF NON-COMPLIANCE: FAILURE TO COMPLY WITH THE GENERAL PERMIT FOR STORM WATER DISCHARGES ASSOCIATED WITH CONSTRUCTION AND LAND DISTURBANCE ACTIVITIES, ORDER NO. 2009-0009-DWQ AS AMENDED BY ORDER NO. 2010-0014-DWQ AS AMENDED BY ORDER NO. 2012-0006-DWQ, NPDES NO. CAS000002 (GENERAL PERMIT) WDID NO. 8 30C369619

SECOND NOTICE

Dear Thich Ly:

Your construction site located at 14472 Chestnut Street, in the city of Westminster, is regulated under the General Permit and is identified by WDID No. 8 30C369619. Section XVI.A of the General Permit requires that you prepare and electronically submit an annual report by September 1 of each year. According to our records, we have not received your 2014-2015 annual report.

Currently you are in violation of the General Permit, the California Water Code and the federal Clean Water Act for failure to submit a complete 2014-2015 annual report. We request that you submit a complete **2014-2015 annual report** via the Storm Water Multiple Application and Report Tracking System (SMARTS) by November 29, 2015.

This is your second notice. The first notice was sent on October 1, 2015 and to date, we have not received an adequate response to that notice. Please note that Section 13399.33 of the California Water Code stipulates failure to submit the requested information by the November 29, 2015 due date will result in a mandatory minimum penalty of \$1,000 to those permittees that do not submit their annual reports after notification. Further enforcement action, including civil monetary penalties of up to \$10,000 for each day of violation, may result.

If you need assistance in completing the annual report, please contact the SMARTS Help Desk at (866) 563-3107. If you have any questions specific to your site, please contact Michael Kashak by phone at (951) 782-4469 or by e-mail at Michael.Kashak@waterboards.ca.gov.

Sincerely,

Hope A. Smythe
Division Chief

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Adult Signature Required \$ _____

Adult Signature Restricted Delivery \$ _____

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Postage

DIEU NGU TEMPLE
 14472 CHESTNUT STREET
 WESTMINSTER, CA 92683
 WDID# 8 30C369619

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

7015 1520 0002 2646 3822

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- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

DIEU NGU TEMPLE
 14472 CHESTNUT STREET
 WESTMINSTER, CA 92683
 WDID# 8 30C369619



9590 9403 0567 5173 6363 05

2. Article Number (Transfer from service label)

7015 1520 0002 2646 3822

PS Form 3811, April 2015 PSN 7530-02-000-9053

COMPLETE THIS SECTION ON DELIVERY

A. Signature *[Signature]* Agent Addressee

B. Received by (Printed Name) *CUONG NGUYEN* C. Date of Delivery *6/11/15*

D. Is delivery address different from item 1? Yes No
 If YES, enter delivery address below:

Received
 NOV 2015
 CRWQCB
 Santa Ana Region

3. Service Type
- Adult Signature
 - Adult Signature Restricted Delivery
 - Certified Mail®
 - Certified Mail Restricted Delivery
 - Collect on Delivery
 - Collect on Delivery Restricted Delivery
 - Insured Mail
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 - Signature Confirmation™
 - Signature Confirmation Restricted Delivery

UNITED STATES POSTAL SERVICE



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• Sender: Please print your name, address, and ZIP+4® in this box•

CA REGIONAL WATER QUALITY CONTROL BOARD
 SANTA ANA REGION
 3737 MAIN STREET, SUITE 500
 RIVERSIDE, CA 92501-3348

USPS TRACKING#



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SANTA ANA REGIONAL
 WATER QUALITY CONTROL BOARD
 3737 MAIN STREET, SUITE 500
 RIVERSIDE, CA 92501-3348

ATTN: COASTAL STORM WATER UNIT (MAK)

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<input type="checkbox"/> Return Receipt (hardcopy)	\$ _____
<input type="checkbox"/> Return Receipt (electronic)	\$ _____
<input type="checkbox"/> Certified Mail Restricted Delivery	\$ _____
<input type="checkbox"/> Adult Signature Required	\$ _____
<input type="checkbox"/> Adult Signature Restricted Delivery	\$ _____
Postage	\$ _____
Total Postage and Fees	\$ _____

Postmark
Here

mark

Sent To	<i>Dem Ngn Temple</i>
Street and Apt. No., or PO Box No.	<i>1442 Chestnut Street</i>
City, State, ZIP+4®	<i>Westminster, CA 92683</i>
PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions	