

Stormwater Multi-Application Reporting & Tracking System (SMARTS)

Patrick Otsuji

potsuji@waterboards.ca.gov

State Water Resources Control Board

Division of Water Quality

Storm Water Section



What is SMARTS?

- **Purpose:**
Provide a platform where dischargers, regulators, and the public can enter, regulate, and/or comment on storm water data including NOIs, NOTs, compliance, and monitoring data.
- Internet-based
- Available 24/7
- Reports
 - SWRCB/RWQCB prioritize regulatory tasks (i.e. inspections, view trends in compliance, provide data to the Legislature).
 - Public reports - NOI, Inspections, Violations, and Enforcement data.

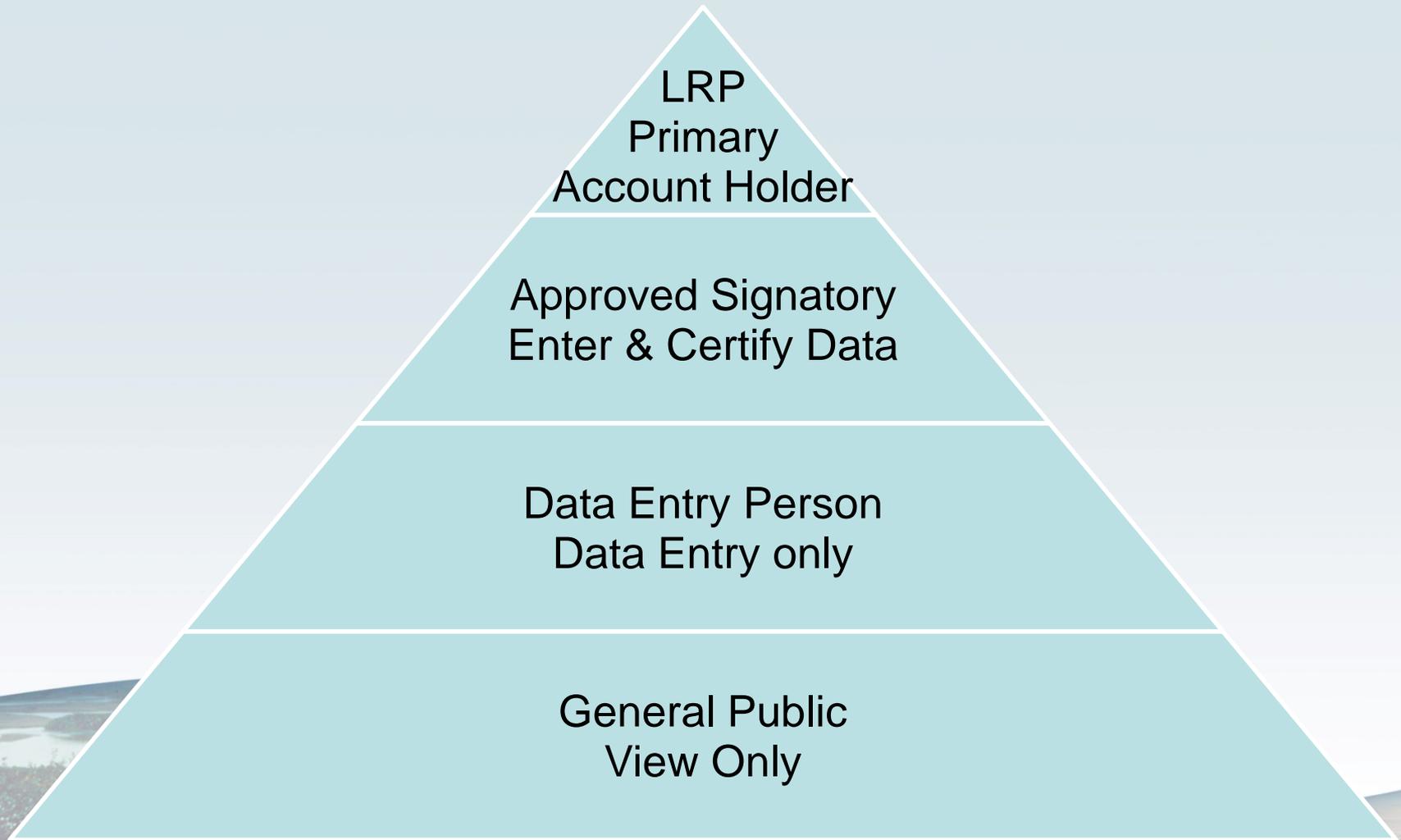


SMARTS Users

- State & Regional Water Board Staff
- External Users
 - Legally Responsible Person (LRP)
 - Approved Signatory
 - Data Entry Person
 - General Public



User Rights



Application Process

- Register for SMARTS Account
- Link Approved Signatories/Data Entry Persons
 - Optional
- Start a New Notice of Intent
- Upload Attachments
- Enter Sampling/Monitoring data
- Notice of Termination



Create a SMARTS Account

Water Boards Storm Water Multiple Application & Report Tracking System 2

Welcome to Storm Water Multiple Application and Report Tracking System - SMARTS!

The Storm Water program regulates storm water discharges from locations such as industrial facilities, construction sites, and small linear projects. The Storm Water program is also responsible for processing, reviewing, updating, terminating Notices of Intent (NOIs), annual reports, and maintaining the billing status of each discharger.

SMARTS has been developed to provide an online tool to assist dischargers in submitting their NOIs, NECs, NOTs, and Annual Reports, as well as, viewing/printing Receipt Letters, monitoring the status of submitted documents, and viewing their application/renewal fee statements. The system will also allow the Regional Board and State Board staff to process and track the discharger submitted documents.

To submit the **Industrial Annual Report** in SMARTS, please fill out the [LRP Registration Form](#) and mail it to:
SWRCB
Storm Water Section
PO Box 1977
Sacramento, CA 95812-1977

SMARTS is a user account and password protected system where a valid user account and password is needed to access the system. To create an account, please click the "Sign Up" button on the right side of the screen.

SMARTS LOGIN

Best Used in Internet Explorer

User ID:

Password:

Login

New User, Start here:

Sign Up Button

[Help](#)

Forgot User ID or password?

[Click here](#)

Public Access to NOI, SWPPPs & Annual Reports data

View SW Data

<https://smarts.waterboards.ca.gov>

Create a SMARTS Account (con't)

Select the Account Type

The first step in the user registration process is the selection of user account type that you want to establish. SMARTS currently allow Entry Person (DEP) and Approved Signatory (AS). Click on the following links to review the definitions of LRP, DEP and AS User accounts: [Construction User Account Definitions](#) [Industrial User Account Definitions](#)

Please select one of the following:

- Legally Responsible Person (LRP): I have read the definition of LRP and wish to establish a LRP User Account.
- Data Entry Person (DEP): I have read the definition of DEP and wish to establish a DEP User Account.
- Approved Signatory (AS): I have read the definition of AS and wish to establish a AS User Account.

If you have any questions or for further assistance, please contact SMARTS Help Desk at: 1-866-563-3107 Monday thru Friday 8:00AM

Back to Previous Step

Continue to Next Step



Create a SMARTS Account (con't)

Legally Responsible Person: Enter User Account Details

The next step in the registration process is to provide your user account details. The User ID along with a Password will be sent to the e-mail address you provide. Please verify that the e-mail address is valid and accurate.

User Account Details: (An * indicates a required field)

First Name:	<input type="text" value="John"/>	*
Middle Name:	<input type="text"/>	
Last Name:	<input type="text" value="Doe"/>	*
E - mail:	<input type="text" value="stormwater@waterboards.ca.gov"/>	* (abc@xyz.com/abc@xyz.net)
Re - Enter E - mail:	<input type="text" value="stormwater@waterboards.ca.gov"/>	* (abc@xyz.com/abc@xyz.net)
Phone:	<input type="text" value="916-341-5536"/>	* Ext: <input type="text"/> (999-999-9999)
User ID:	<input type="text" value="stormwater2012"/>	* <input type="button" value="Check for Availability"/> The User Id is available (Enter user ID between
Password:	System will generate the password and send you via E-mail.	

Business/Agency Details:

Business Type:	<input type="text" value="Private Business"/>	▼
Business Name:	<input type="text" value="Metal Recycling Inc"/>	* (Do Not use Abbreviations, use Legal Business Name)
Business Address:	<input checked="" type="radio"/> USA Address <input type="radio"/> International Address ?	
Street Address:	<input type="text" value="3737 Main Street Suite 500"/>	* (Enter PO Box in Street Address field.)
Address Line 2:	<input type="text"/>	
City, State & Zip:	<input type="text" value="Riverside"/>	* <input type="text" value="California"/> ▼ * Zip: <input type="text" value="92501"/>
Country:	<input type="text" value="USA"/> ▼	
Federal Tax Id:	<input type="text" value="68-0281986"/>	* (99-9999999)

Enter User
Details

Create a SMARTS Account (con't)

Identification Verification Security Questions:

Please select and answer the Identification Verification Security questions below. These questions will be asked in the event you misplace your password.

Security question: *

Answer: *

Server Security Letters:

* Security Image Letters are not case sensitive

* I certify under penalty of law that this document and all attachments were prepared under the direction or supervision in accordance with a system designed to assure the accuracy and completeness of the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, I believe that the information is true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for providing false information.

I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am electronically certifying contains my signature. I understand that if I do not have sufficient knowledge and authority to certify the accuracy of the information, I will not use the system to provide the information and that if I do wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 days of the delegation. I understand that if I do not have sufficient knowledge and authority to certify the accuracy of the information, I will not use the system to provide the information and that if I do wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 days of the delegation. I understand that if I do not have sufficient knowledge and authority to certify the accuracy of the information, I will not use the system to provide the information and that if I do wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 days of the delegation. I understand that if I do not have sufficient knowledge and authority to certify the accuracy of the information, I will not use the system to provide the information and that if I do wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 days of the delegation.

* : Fields preceded by red asterisk (" * ") are mandatory/required fields.

If you have any questions or for further assistance, please contact SMARTS Help Desk at: 1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or email smarts@waterboard.ca.gov

Create a SMARTS Account (con't)

Acknowledgement

This completes your user registration process: Your user account has been successfully created in SMARTS.

Your User ID, Password, and instructions for logging into SMARTS have been sent to you via e-mail.

Please check your e-mail account and log into SMARTS using the User ID and Password provided.

If the e-mail is not received within a reasonable amount of time, please contact the Storm Water Help Desk at the phone number provided below.

To log into SMARTS, enter the following address into your browser or click on the link: <https://smarts.waterboards.ca.gov/>

If you have any questions or for further assistance, please contact SMARTS Help Desk at: 1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or 15:01 AM

[Back to Registration/Login Screen](#)

John Doel
Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

To complete your User Account Registration for the Storm Water Program, you must login at the State Water Boards website at: <https://smarts.waterboards.ca.gov/> using the following User ID and Password.

User ID : stormwater2012
Password : 5tc9F4

After you complete your User Account Registration, you will be able to manage your User Account, file a new NOI or annual report, update existing NOI information, etc. You will also be able to allow other people such as employees and/or consultants to have limited access to your account or to specific NOIs as a "Data Submitter". To do this, the data submitters must first complete their own User Account Registration, and provide you their User IDs so you can link them to your organization.

If you have any questions, please contact SMARTS Help Center : 1-866-563-3107.

Create a SMARTS Account (con't)

Log in & Reset Password

Change Password

Your password has expired, please update your password. This page allows you to reset your password.

User ID:	stormwater2012
Name :	John Doe
Old Password :	<input type="password" value="●●●●●●"/> * Enter your current password.
New Password :	<input type="password" value="●●●●●●●●●●"/> * New Password length should be between 7 and 12.
Confirm New Password :	<input type="password"/> *

Red "*" are required fields

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Main Menu

Water Boards Storm Water Multiple Application & Report Tracking System 2

[Help](#)

[Logout](#)

You are logged-in as: **John Doe - Metal Recycling Inc .**
If this account does not belong to you, please log out.

Navigate To: 

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

You have successfully changed your password.

- **[Recertify Existing NOI\(s\)/ Organizations:](#)**

Recertify/Claim of your NOIs(Facilities)/ Organizations with SMARTS sent SCN.

- **[Approved/Terminated NOIs:](#)**

View complete details of your applications, edit the allowed details, File a NOT for existing NOI and print the applicable documents such as NOI, NOT, receipt letters etc.

- **[Pending Documents:](#)**

Documents that are In - preparation, Submitted to Water Board and Ready to Submit.

- **[Apply for New Notice Of Intent \(NOI\):](#)**

Start a new Industrial, construction or Linear Notice Of Intent.

- **[Annual Reports :](#)**

View the annual reports submitted earlier.

- **[Change Password:](#)**

Change your user account's password.

- **[Update User profile:](#)**

View and update your user account details.

- **[Manage Legal Responsible Person/Approved Signatory/Data Entry Person:](#)**

Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **[Public User Menu](#)**

View the public user Menu Screen.

Link Additional Users

If this account does not belong to you, please log out.

User Account Management

This page allows you to manage the Legal Responsible Person/Approved Signatory/Data Entry Person associated with your account.

[Link New Legal Responsible Person/Approved Signatory/Data Entry Person to Your Organization:](#)

The following are the Legal Responsible Person/Approved Signatory/Data Entry Person currently associated with your account. To view/edit/delink the existing user account, click on User ID hyperlink.

Name	User ID	Business Name	Role
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Enter the User ID of the person to link to the LRP account

If this account does not belong to you, please log out.

Link a Legal Responsibility Person/Approved Signatory/Data Entry Person

This page allows you to link a new Legal Responsible Person/Approved Signatory/Data Entry Person to your account.

User ID:

If you have questions regarding linking, please contact us at stormwater@waterboards.ca.gov or 1-866-563-3107.

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Link Additional Users (con't)

Select the Organization and Role to link the user to.

User Account Management Details

This page allows you to maintain the access and privileges of this Legal Responsible Person/Approved Signatory/Data Entry Person with respect with each

User Account details

Name:	Steve Smith
User ID:	dsubmitter
Business Name:	Smith Consulting Services
Contact Phone:	866-563-3107
Email:	stormwater@waterboards.ca.gov

Your Organization(s) linked to dsubmitter

Organization Id	Organization Name	Role
	Organization Name: 618055 - Metal Recycling Inc	Role: Data Entry Person

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.

Link Additional Users (con't)

Click on Organization ID to activate the Application ID field. Then select the application/WDID number to link.

User Account Management Details

This page allows you to maintain the access and privileges of this Legal Responsible Person/Approved Signatory/Data Entry Person with respect with each WDID. You can also delink from your business or specific WDIDs.

User Account details

Name:	Steve Smith
User ID:	dsubmitter
Business Name:	Smith Consulting Services
Contact Phone:	866-563-3107
Email:	stormwater@waterboards.ca.gov

Your Organization(s) linked to dsubmitter

Organization Id	Organization Name	Role	Update	Delink
618055	Metal Recycling Inc	Data Entry Person	Update	Delink

Organization Name: Role:

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.

Facility/Site(s) linked to selected Organization

Application ID	WDID	Operator And Address	Facility And Address	Role	Update	Delink
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Link Additional Users (con't)

The application is successfully linked to the person when it appears in the table.

Your Organization(s) linked to dsubmitter

Organization Id	Organization Name	Role	Update	Delink
618055	Metal Recycling Inc	Data Entry Person	Update	Delink

Organization Name: Role:

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.

Facility/Site(s) linked to selected Organization

Application ID	WDID	Operator And Address	Facility And Address	Role	Update	Delink
425432	8 33MR000001	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Data Entry Person	Update	Delink



Link Additional Users (con't)

To update linked users to add/remove applications or update roles, click on the User ID to view the details screen.

If this account does not belong to you, please log out.

Navigate To:

User Account Management

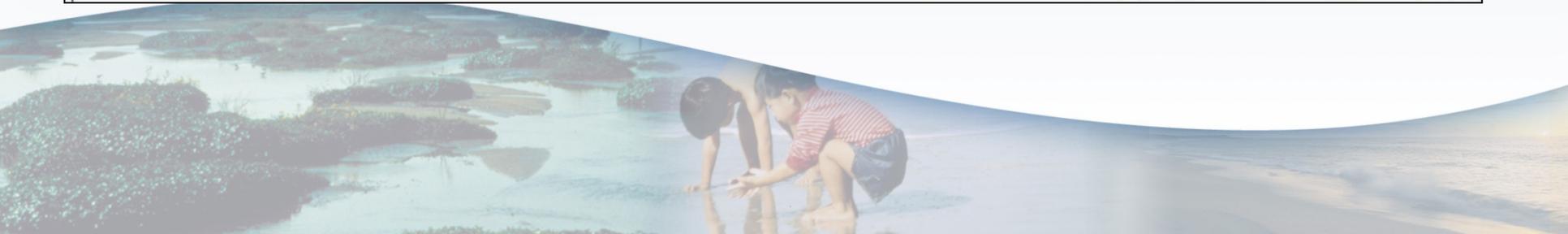
This page allows you to manage the Legal Responsible Person/Approved Signatory/Data Entry Person associated with your account.

[Link New Legal Responsible Person/Approved Signatory/Data Entry Person to Your Organization:](#)

The following are the Legal Responsible Person/Approved Signatory/Data Entry Person currently associated with your account. To view/edit/de

Name	User ID	Business Name
Steve Smith	<u>dsubmitter</u>	Smith Consulting Services

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Start a New Application

You are logged-in as: **John Doe - Metal Recycling Inc.**
If this account does not belong to you, please log out.

Navigate To:

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

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- **Recertify Existing NOI(s)/ Organizations:**

Recertify/Claim of your NOIs(Facilities)/ Organizations with SMARTS sent SCN.

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View complete details of your applications, edit the allowed details, File a NOT for existing NOI and print the applicable documents such as NOI, NOT, receipt letters etc.

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Documents that are In - preparation, Submitted to Water Board and Ready to Submit.

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Start a new Industrial, construction or Linear Notice Of Intent.

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View the annual reports submitted earlier.

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Change your user account's password.

- **Update User profile:**

View and update your user account details.

- **Manage Legal Responsible Person/Approved Signatory/Data Entry Person:**

Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **Public User Menu**

View the public user Menu Screen.

Start a New Application (con't)

Select the permit type

Start New Storm Water Notice Of Intent
Please click on the appropriate link to start an NOI
Select Permit Type
<u>Construction Storm Water General Permit</u>
<u>Caltrans Construction Projects</u>
<u>Region 8 MS4 Capitol Improvement Projects</u>
<u>Region 8 Industrial Scrap Metal Permit</u>
<u>Lake Tahoe Construction Permit</u>

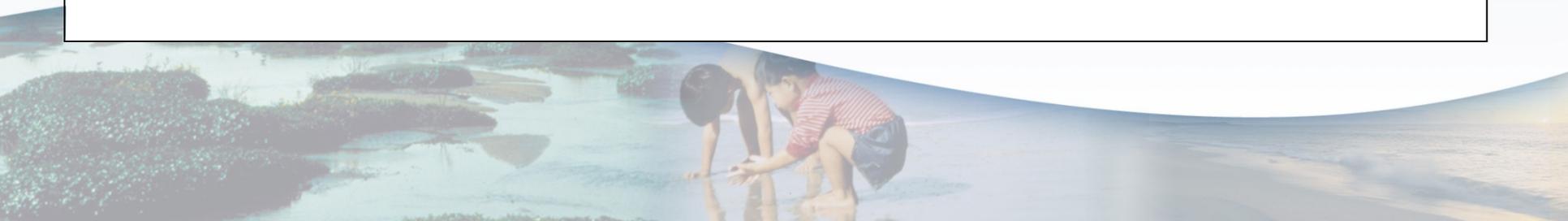
Start a New Application (con't)

Select the Organization

Please select the owner/operator of the new NOI from the following Businesses which you represent.

Select	Address
<input type="radio"/>	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501
<input type="radio"/>	Business not found in the list. I would like to register a new business

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Start a New Application (con't)

Enter the Facility details

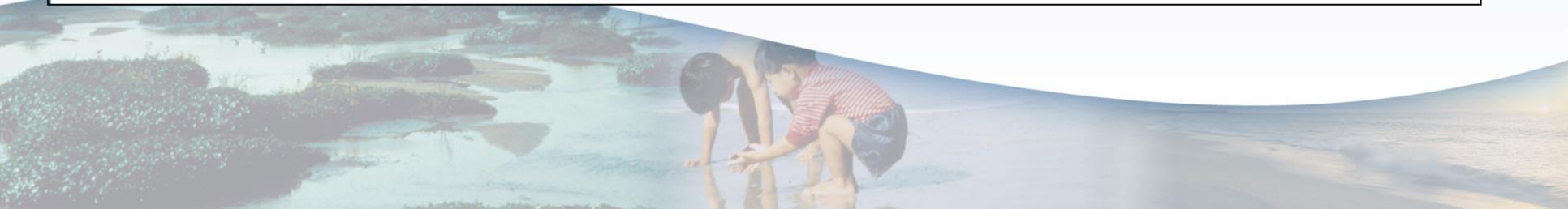
Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Print	Status History
Site Information		Same as Operator Info	Clear Info	If Different, enter below			
Facility Name:	<input type="text" value="Metal Recycling Inc"/> *	Contact First Name:	<input type="text" value="John"/> *				
Street Address:	<input type="text" value="3737 Main Street Suite 500"/> *	Contact Last Name:	<input type="text" value="Doe"/> *				
Address Line 2:	<input type="text"/>	Title:	<input type="text"/>				
City:	<input type="text" value="Riverside - Riverside - Region 8"/> *	Phone:	<input type="text" value="916-341-5536"/> * Ext: <input type="text"/> (999-999-9999)				
County:	<input type="text" value="Riverside"/> *	Emergency Phone:	<input type="text"/> Ext: <input type="text"/> (999-999-9999)				
Regional Board:	<input type="text" value="Region 8 - Santa Ana"/> *	E-mail:	<input type="text" value="stormwater@waterboards.ca.gov"/> *(abc@xyz.com)				
State/Zip	CA <input type="text" value="92501"/> *						
Total Site Size:	<input type="text" value="2"/> * <input checked="" type="radio"/> Acres <input type="radio"/> Sqft	Latitude:	<input type="text" value="33.98244"/> * Longitude: <input type="text" value="-117.37409"/> * <small>2 (Decimal degrees of 5 significant digits! Ex: 99.99999)</small>				
Percent of Site Imperviousness:	<input type="text" value="30"/> %						
SIC Codes							
Primary SIC Code:	<input type="text" value="Select"/> *						
Secondary SIC Code:	<input type="text" value="5093 - Scrap and Waste Materials"/>						
Tertiary SIC Code:	<input type="text" value="Select"/>						
<input type="button" value="Save & Exit"/>		<input type="button" value="Save & Continue"/>					
Fields marked with * are mandatory fields.							

Start a New Application (con't)

Enter additional facility information

Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Print	Status History
---------------	---------------	----------------------------	--------------	-------------	---------------	-------	----------------

Receiving Water Information	
Does your facility's storm water flow directly or indirectly into waters of the US such as river, lake, ocean, etc?	<input type="radio"/> Directly <input type="radio"/> Indirectly
Name of the receiving water:	<input type="text"/>
Qualified SWPPP Developer	
First Name:	<input type="text"/> *
Last Name:	<input type="text"/> *
<input type="button" value="Save & Exit"/>	<input type="button" value="Save & Continue"/>
Fields marked with * are mandatory fields.	



Start a New Application (con't)

Enter the Billing Address information.

Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Print	Status History
Billing Information		Same as Operator	Same as Facility	Clear Billing Info	If different enter below.		Bill.Month: Bill.Hold:
Billing Name:	<input type="text" value="Metal Recycling Inc"/> *			Contact First Name:	<input type="text" value="John"/> *		
Street Address:	<input type="text" value="3737 Main Street Suite 500"/> *			Contact Last Name:	<input type="text" value="Doe"/> *		
Address Line 2:	<input type="text"/>			Title:	<input type="text"/>		
City/State/Zip	<input type="text" value="Riverside"/>	<input type="text" value="CA"/> ▼	<input type="text" value="92501"/> *	Phone:	<input type="text" value="916-341-5536"/>	* Ext: <input type="text"/>	(999-999-9999)
				E-mail:	<input type="text" value="stormwater@waterboards.ca.gov"/> *(abc@xyz.com)		
<input type="button" value="Save & Exit"/>		<input type="button" value="Save & Continue"/>					
<small>Fields marked with * are mandatory fields.</small>							



Start a New Application (con't)

Operator Info | Facility Info | Addl. Facility Info | Billing Info | **Attachments** | Certification | Print | Status History

Please click on Upload Attachment button to upload the corresponding files. **Upload Attachment**

Attached files: The following are the current documents related to the NOI. Click on the link to view them.

Attachments SMARTS File Upload Close Window

WDID: N/A Owner: Metal Recycling Inc
3737 Main Street Suite 500 Riverside, CA 92501

Please provide the following details to upload the corresponding files.

Attachment File Type: SWPPP *

Attachment Title: SWPPP table of contents *

File Description: enter if necessary

If Partial Document, Part No: 1 * of Total Parts 2 *

Click "Browse" to locate the file and then click "Upload File"

File Name: D:\Desktop\cgp_r_factor.pdf Browse... Upload File

File size should be less than 75MB. Those greater than 75MB will not be uploaded.
MS Office 2003, PDF, and Picture files are accepted. (PDF is recommended)

Please be advised that preliminary tests of the upload function suggest that large files could take a long time to upload. Our estimated upload times for a FAST connection is as follows:

File Size	Estimated Time
5 MB	3 - 5 min.
25 MB	15 - 20 min.
75 MB (max size)	25 - 30 min.

Attached files: The following are the current documents related to the NOI. Click on the link to view them.

Attachment ID	File Type	File Title	File Desc	Part #
1099552	SWPPP	SWPPP table of contents		1/2

Fields marked with * are mandatory fields.

Start a New Application (con't)

Refresh screen to view uploaded attachments

Permit 3737 Main Street Suite 500 Riverside CA 92501

Operator Info Facility Info Addl. Facility Info Billing Info **Attachments** Certification Print Status History

Please click on Upload Attachment button to upload the corresponding files.

Attached files: The following are the current documents related to the NOI. Click on the link to view them.

Attachment Id	Attachment For	File Type	File Title	File Desc	Part #	Date Attached	Delete
1099552	NOI	SWPPP	SWPPP table of contents		1/2	03/22/2012	Delete



Start a New Application (con't)

Run the completion check and fix and errors.

Operator Info Facility Info Addl. Facility Info Billing Info Attachments Certification Print Status History

~~Before certifying your Notice of Intent, the system must verify that all required sections have been completed. To perform th~~

Perform Completion Check

Fields marked with * are mandatory fields.

Operator Info Facility Info Addl. Facility Info Billing Info Attachments Certification Print Status History

Notice Of Intent Completion Check Results

Error Message	Tab to Correct
SITE/FACILITY Information (PRIMARY SIC CODE) may not be complete! NOI Application checked for completeness and appears to be Incomplete. You cannot certify this NOI now. Please correct the errors shown above.	FACILITY

Fields marked with * are mandatory fields.

Start a New Application (con't)

Operator Info Facility Info Addl. Facility Info Billing Info Attachments **Certification** Print Status History

You are required to print and sign the Notice of Intent, print the invoice, enclose the required payment and mail the complete package to the fee statement using the link below:

[Preview Fee Statement](#) [Preview NOI](#)

NOI Application checked for completeness and appears to be Complete.

You can now certify this Notice of Intent by completing the form below:

Approve	Certification & Submission check list
<input checked="" type="checkbox"/> *	I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am signature is the legal equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is not shared with any other person. Should I wish to delegate such authority, I will do so formally in writing and electronic days of the delegation. I further certify that I will protect my electronic signature from unauthorized use, and that I will certify my electronic signature has been lost, stolen, or otherwise compromised.
<input checked="" type="checkbox"/> *	I certify under penalty of law that this document and all attachments were prepared under the direction or supervision of someone who has gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are civil and criminal penalties for knowing violations.

Certifier Name: *

Certifier Title:

Date: *

[Certify Notice of Intent](#)

[Certify Later](#)

On Clicking the Certify Later button, the status of the document is updated to Ready to Submit. You can later certify it in bulk by going to Read

Print out the Fee Statement and mail with a check for \$1359 to the Water Boards.

To submit the application click Certify Notice of Intent.



State Water Resources Control Board

Matthew Rodriguez

Secretary for
Environmental Protection

Division of Water Quality

1001 I Street • Sacramento, California 95814 • 1-866-663-3107
Mailing Address: P.O. Box 1977 • Sacramento, California • 95812-1977
FAX (916) 341-5543 • <http://www.waterboards.ca.gov>



Edmund G. Brown Jr.
Governor

March 22, 2012

Fee Statement Application Id # 425432

Facility/Site

Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

Thank you for submitting the Permit Registration Documents (PRD) for the facility/site referenced above. Before a WDID number is assigned an Application Fee of \$1,359.00 must be received by May 21, 2012. If the Storm Water Section does not receive your application fee of \$1,359.00 by May 21, 2012 your PRDs will be returned.

Please make checks payable to: SWRCB

Mail this Fee Statement with an original signature and \$1,359.00 to:

Regular Mailing Address:

SWRCB
Storm Water Section
PO Box 1977
Sacramento, CA 95812-1977

Overnight Mailing Address:

SWRCB
Storm Water Section
1001 I Street – 15th Floor
Sacramento, CA 95814

I certify under penalty of law that this document and all attachments were prepared under the direction or supervision in accordance with a system designed to assure that qualified persons properly gather and evaluate the information submitted. Based on my inquiry of the persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Printed Name - Title

Signature

- Fee Statement
- Must have Adobe Acrobat Reader installed



Start a New Application (con't)

On screen and email conformation the NOI was submitted.

NOTICE OF INTENT - Certification

The Notice of Intent (NOI) is organized into different sections. Please complete all applicable sections before submitting the form. If you want to complete the NOI at a later time, please click on "Save & Exit".

WDID: Operator: Metal Recycling Inc Status: Submitted to Water Board Processed Date:
3737 Main Street Suite 500 Riverside CA 92501 Certified Date: 03/22/2012 NOT Effective Date:
Business Type: Region 8 - Scrap Metal Permit Facility: Metal Recycling Inc
3737 Main Street Suite 500 Riverside CA 92501

Operator Info Facility Info Addl. Facility Info Billing Info Attachments Certification Print Status History NOTs COIs

Your electronic "Notice of Intent" has been successfully received by the State Water Resources Control Board's database. Your confirmation information for this certification is as follows:

Application Id	425432
Type	Region 8 - Scrap Metal Permit
Submission/Certify Date	03/22/2012
Certifier Name	John Doe
Certifier Title	

Please print out this screen as proof of certification. You will not be allowed to make any further changes to the certified report. If you need to correct any information you must contact your Regional Board re
All records must be retained for 3 years from the date of the report or monitoring activity.

Submission Date: 03/22/2012

Your NOTICE OF INTENT with the above details was submitted to the Water Boards

Water Boards will be reviewing the submitted documents. To view the status of this application, please login to your account at: <http://smarts.waterboards.ca.gov/>.

If you have any questions, please contact the SMARTS Help Center at stormwater@waterboards.ca.gov or 1-866-563-3107. You can also contact the Regional Water Board at r8_stormwater@waterboards.ca.gov.

Thank you,
Storm Water Section

Start a New Application (con't)

Check status of submitted application.

Water Boards Storm Water Multiple Application & Report Tracking System 2 [Help](#) [Logout](#)

You are logged-in as: **John Doe - Metal Recycling Inc.**
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Navigate To:

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

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Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.
- **Public User Menu**
View the public user Menu Screen.

Pending Applications

Select In-Progress & Submitted NOI/NOTs.

If this account does not belong to you, please log out.

Pending Documents Menu

- **In-Progress & Submitted NOIs/NOTs:**

NOIs/NOTs that are In preparation or Submitted to Water Board.

- **Ready to Submit NOIs/NOTs/Annual/Adhoc/COIs:**

NOIs/NOTs/Annual/Adhoc/COIs whose data entry, perform completion check is complete and are Ready to Submit to Water Board by the LRP/AS.

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Pending Applications (con't)

If this account does not belong to you, please log out.

Pending NOI/NOT

The following are NOIs and NOTs that are associated with your user account. Please click on the Identifier of the record to view/edit details.

NOI List

Application Id	WDID	Type	Waiver	Linear	Status	Status Date	Operator Name and Address	Facility Name and Address	Entry By
425432		Region 8 - Scrap Metal Permit	N	N	Submitted to Water Board	03/22/2012	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	discharger

[First](#) [Prev](#) [Next](#) [Last](#)

Current Page:1 Total Pages:1

NOT List

NOT ID	WDID	NOI Type	Waiver	Linear	Status	Status Date	Facility Info	Termination Reason	Entry By	Delete NOT
--------	------	----------	--------	--------	--------	-------------	---------------	--------------------	----------	------------

[First](#) [Prev](#) [Next](#) [Last](#)

Current Page:1 Total Pages:0

No NOTs found for your search criteria!



Pending Applications (con't)

NOI application approved email conformation.

From: <smarts@waterboards.ca.gov>

To: Stormwater

CC: r8_stormwater@waterboards.ca.gov

Subject: Your submitted Notice of Intent Review - Status update

Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

WDID: 8 33MR000001

ApplicationId: 425432

Permit Type: Region 8 - Scrap Metal Permit

Status: Active

Submission Date: 03/22/2012

Your NOTICE OF INTENT is Active.

Login to your account at: <https://smarts.waterboards.ca.gov/>

If you have any questions, please contact the SMARTS Help Center at stormwater@waterboards.ca.gov or 1-866-563-3107.

You can also contact the Regional Water Board at r8_stormwater@waterboards.ca.gov.

Thank you,
Storm Water Section

Print Receipt Letters

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View and update your user account details.

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- **Public User Menu**

View the public user Menu Screen.

Print Receipt Letters (con't)

Select the Application ID to open the NOI.

Active/Terminated NOIs

The following are NOIs and NOTs that are associated with your user account. Please click on the Identifier of the record to view/edit details.

NOI List

<u>Application Id</u>	<u>WDID</u>	<u>Type</u>	<u>Waiver</u>	<u>Linear</u>	<u>Status</u>	<u>Status Date</u>	<u>Operator Name and Address</u>	<u>Facility Name and Address</u>	<u>Entry By</u>	<u>File NOT</u>	<u>Del NOI</u>
425432	8 33MR000001	Region 8 - Scrap Metal Permit	N	N	Active	03/22/2012	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	discharger	File	NOT

[First](#) [Prev](#) [Next](#) [Last](#)

Current Page:1 Total Pages:1



Print Receipt Letters (con't)

Click on the Print tab.

NOTICE OF INTENT - Owner Information

The Notice of Intent (NOI) is organized into different sections. Please complete all applicable sections before submitting the form. If you want to complete the NOI at a later time, please click on "Save & Exit".

WDID:	8 33MR000001	Operator:	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Status:	Active	Processed Date:	03/22/2012
Business Type:	Region 8 - Scrap Metal Permit	Facility:	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Certified Date:	03/22/2012	NOT Effective Date:	

Operator Info | Facility Info | Addl. Facility Info | Billing Info | Attachments | Certification | **Print** | Status History | NOTs | COIs

Facility Operator Information Populate Contact Info: Select

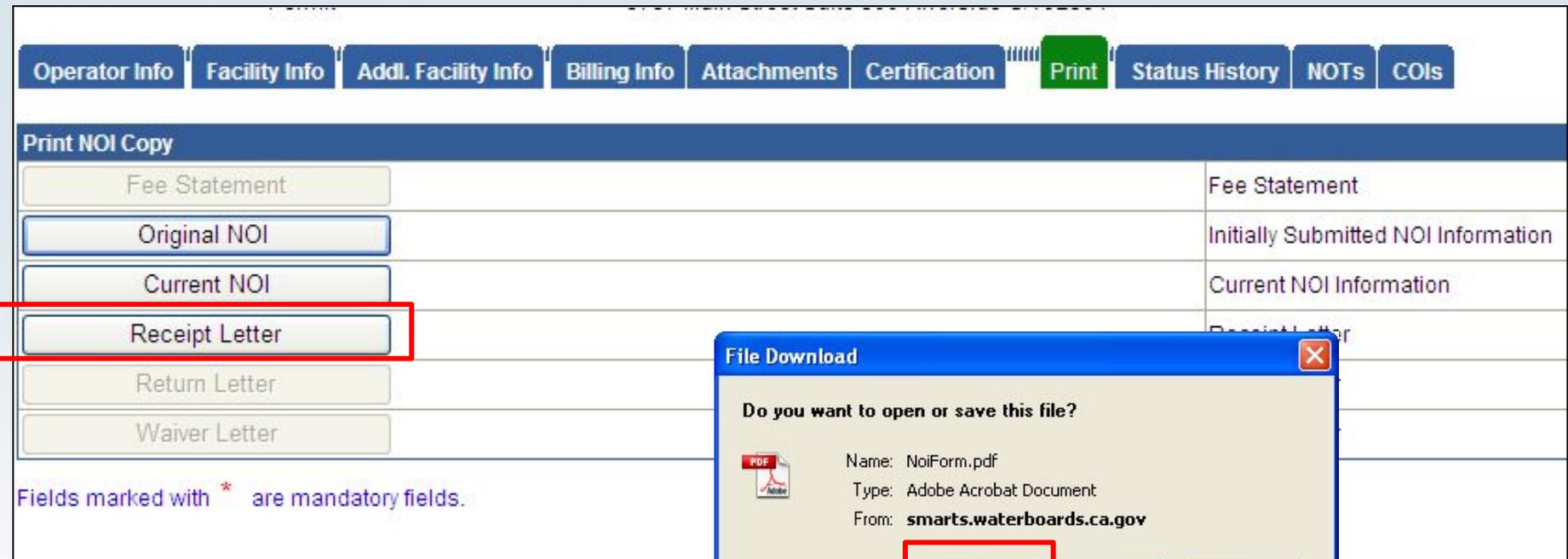
Operator/Owner Name:	Metal Recycling Inc *2	Contact First Name:	John *
Street Address:	3737 Main Street Suite 500 *2	Contact Last Name:	Doe *
Address Line 2:		Title:	
City/State/Zip:	Riverside CA 92501 *2	Phone:	916-341-5536 * Ext: (999-999-9999)
Type:	Private Business ? *2	E-mail:	stormwater@waterboards.ca.gov *(abc@xyz.com)
Federal Tax Id:	68-0281986 ?		

Save & Exit | Save & Continue

Note: By clicking on the other tabs you can update the contact information. If you need to update the Facility address click on the COI – Change of Information tab. .

Print Receipt Letters (con't)

Click on the Receipt Letter button



The screenshot shows a web application interface with a navigation bar at the top containing buttons for 'Operator Info', 'Facility Info', 'Addl. Facility Info', 'Billing Info', 'Attachments', 'Certification', 'Print', 'Status History', 'NOTs', and 'COIs'. The 'Print' button is highlighted in green. Below the navigation bar is a section titled 'Print NOI Copy' with a table of options:

Print NOI Copy	
Fee Statement	Fee Statement
Original NOI	Initially Submitted NOI Information
Current NOI	Current NOI Information
Receipt Letter	Receipt Letter
Return Letter	
Waiver Letter	

The 'Receipt Letter' button is highlighted with a red border. Below the table, there is a note: 'Fields marked with * are mandatory fields.'

Overlaid on the bottom right is a 'File Download' dialog box with the following information:

- Do you want to open or save this file?
- Name: NoiForm.pdf
- Type: Adobe Acrobat Document
- From: **smarts.waterboards.ca.gov**

The 'Open' button in the dialog box is highlighted with a red border. At the bottom of the dialog box, there is a warning icon and text: 'While files from the Internet can be useful, some files can potentially harm your computer. If you do not trust the source, do not open or save this file. [What's the risk?](#)'



California Regional Water Resources Control Board

Santa Ana Region

3737 Main Street, Suite 500, Riverside, California 92501-3388

Phone (951) 782-4130. Fax (951)781-6288

<http://www.waterboards.ca.gov/santaana>



Edmund G. Brown Jr.

Governor

Matthew Rodriguez

Secretary for
Environmental Protection

Receipt Letter

Approved Date: March 22, 2012

John Doe
Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

RECEIPT OF YOUR NOTICE OF INTENT (NOI)

The State Water Resources Control Board has received and processed your NOI to comply with the terms of the Sector-Specific General Permit for Storm Water Runoff Associated with Industrial Activities from Scrap Metal Recycling Facilities within the Santa Ana Region. Accordingly, you are required to comply with the permit requirements.

The Waste Discharger Identification (WDID) number is: **8 33MR0000**. Please use this number in any future communication regarding this permit.

OPERATOR:	FACILITY DESCRIPTION
FACILITY INFORMATION:	Metal Recycling Inc
	Metal Recycling Inc
	3737 Main Street Suite 500
COUNTY:	Riverside
SIC/NAIC CODES:	5093

Upon cessation of industrial activities or if the ownership changes, you are required to submit a Notice of Termination (NOT) electronically to the Santa Ana Regional Water Quality Control Board. Until a NOT is filed, you will continue and are responsible to pay the annual fee invoiced each January.

If you have any questions regarding permit requirements, please contact your Regional Water Board at 951-782-4130 . Please visit the storm water web site at http://www.waterboards.ca.gov/santaana/water_issues/programs/stormwater/index.shtml to view related storm water scrap metal information.

Sincerely,

Santa Ana Regional Water Quality Control Board

Annual Report/Monitoring

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- **Public User Menu**

View the public user Menu Screen.

Annual Report/Monitoring (con't)

Select the Reporting Year & search

Storm Water Annual Report Monitoring (SWARM) Facility / Site Search

Choose a reporting year from the Reporting Period drop down and click on the search button to continue

Reporting Period: *
WDID:
Application Id:
Region:
Report Status:

Verify the Reporting Period before you begin working on the Annual Report. Search for the correct Reporting Period using the above criteria.

Industrial Annual Reports

Facility Name	WDID	Facility Address	Report Period	Status	Receipt Date	Entry By	Remand	Delete	Required?
No Records Found									

Construction Annual Reports

Site Name	WDID	Site Address	Report Period	Status	Receipt Date	Remand	Delete	Required?
Test Construction Site	5S34C360043		07/01/2011-06/30/2012	In-Progress				N
Test	5S34C363269	1001 I St Sac	07/01/2011-06/30/2012	Future				Y

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Annual Report/Monitoring (con't)

General Info	Sampling	Mon Locs	Raw Data	Data Summary	Quarterly	Monthly	Evaluation	Attachments	Certify	Notes	Back To NC
D. Sampling and Analysis Exemptions E. Sampling and Analysis Details											
<input type="button" value="Save"/>											
E1.	How many storm events did you sample?:	<input type="text"/>									
	If less than 2, enter explanation:	<input type="text"/>									
E2.	Did you collect storm water samples from the first storm of the wet season that produced a discharge during scheduled facility operating hours?	<input type="text" value="Select"/>									
	If no, enter explanation:	<input type="text"/>									
E3.	How many storm water discharge locations are at your facility?	<input type="text"/>									
E4.	For each storm event sampled, did you collect and analyze a sample from each of the facility's storm water discharge locations?	<input type="text" value="Select"/>	If "Yes", proceed to E.6.								
E5.	Was sample collection or analysis reduced in accordance with Section B.7.d of the General Permit?	<input type="text" value="Select"/>									
	If yes, enter explanation:	<input type="text"/>									
	Date facility's drainage areas were last evaluated:	<input type="text"/>	<input type="button" value="Calendar"/>								
E6.	Were all samples collected during the first hour of discharge?	<input type="text" value="Select"/>									
	If no, enter explanation:	<input type="text"/>									
E7.	Was all storm water sampling preceded by three (3) working days without a storm water discharge?	<input type="text" value="Select"/>									
	If no, enter explanation:	<input type="text"/>									
E8.	Were there any discharges of stormwater that had been temporarily stored or contained? (such as from a pond)	<input type="text" value="Select"/>	If "No", proceed to E.10.								
E9.	Did you collect and analyze samples of temporarily stored or contained storm water discharges from two storm events? (or one storm event if you checked item D.2.i or D.2.iii above)	<input type="text" value="Select"/>									

Answer narrative questions

Annual Report/Monitoring (con't)

General Info | Sampling | **Mon Locs** | Raw Data | Data Summary | Quarterly | Monthly | Evaluation | Attachments | Certify | Notes | Back To NOI S

Add/Edit Monitoring Location

Facility: Fabricated Extrusion Co*

Identifier:

Type: Select *

Waterbody: Select

Name: *

Description:

Latitude: ? (Decimal degrees only, minimum 5 significant digits! Ex: 99.99999)

Longitude: ? (Decimal degrees only, minimum 5 significant digits! Ex: 99.99999)

Scale: Select

Source/Method: Select

Accuracy: Select

Datum: Select

Status: ACTIVE *

* - Indicates required.

Create
monitoring
locations

Annual Report/Monitoring (con't)

Enter the sampling results

General Info
Sampling
Mon Locs
Raw Data
Data Summary
Quarterly
Monthly
Evaluation
Attachments
Certify
Notes
Back To NOI Summary

The following are Sampling Event Details along with Entered measurement (lab results).

Save & Stay
Save & Add New Event
Save & Back To List
Delete Event

Monitoring Location: *

Name of Person Collecting Samples: *

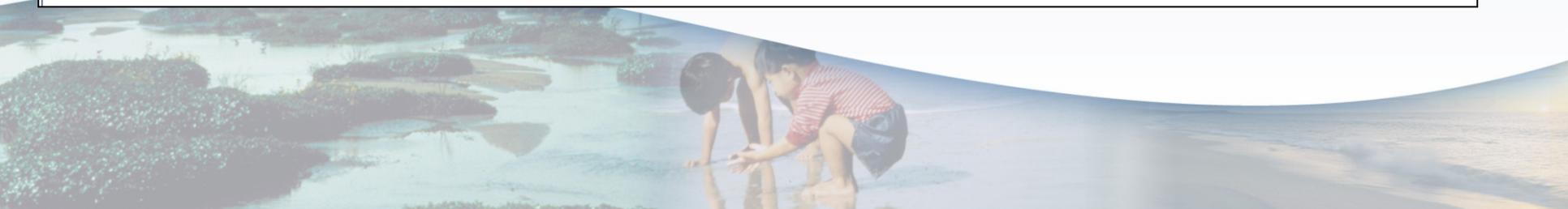
Sampling Event Date/Time: * Enter format

Title: *

Time Discharge Started: * (hh24:mm) Enter mid-night time as 23:59

Parameter	ND Entry Result Qualifier	Result	Unit Conversions Units	Analytical Method	Method Detection Limit	Analyzed By	Delete
Electrical Conductivity @ 25 Deg. C	= <input type="text" value=""/>	<input type="text"/>	umhos/cm	A2510B <input type="text"/>	<input type="text"/>	LAB <input type="text"/>	<input type="text"/>
Oil and Grease	= <input type="text" value=""/>	<input type="text"/>	mg/L	A5520B <input type="text"/>	<input type="text"/>	LAB <input type="text"/>	<input type="text"/>
pH	= <input type="text" value=""/>	<input type="text"/>	SU	A4500HB <input type="text"/>	<input type="text"/>	LAB <input type="text"/>	<input type="text"/>
Total Suspended Solids (TSS)	= <input type="text" value=""/>	<input type="text"/>	mg/L	A2540D <input type="text"/>	<input type="text"/>	LAB <input type="text"/>	<input type="text"/>

Select Parameter
Save & Stay
Save & Add New Event
Save & Back To List
Delete Event



Notice of Termination

Water Boards Storm Water Multiple Application & Report Tracking System 2 [Help](#) [Logout](#)

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Notice of Termination (con't)

Click on File NOT

Active/Terminated NOIs

The following are NOIs and NOTs that are associated with your user account. Please click on the Identifier of the record to view/edit details.

NOI List

<u>Application Id</u>	<u>WDID</u>	<u>Type</u>	<u>Waiver</u>	<u>Linear</u>	<u>Status</u>	<u>Status Date</u>	<u>Operator Name and Address</u>	<u>Facility Name and Address</u>	<u>Entry By</u>	<u>File NOT</u>	<u>Del NOI</u>
425432	8 33MR000001	Region 8 - Scrap Metal Permit	N	N	Active	03/22/2012	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501	discharger	File NOT	

First Prev Next Last Current Page:1 Total Pages:1



Notice of Termination (con't)

Fill out the form and upload attachments.

NOT Form	NOT Attachments	NOT Certify/Review	NOT STATUS	NOT Print	Back To NOI Summary
Please fill in this section where you want the confirmation letter sent to. Populate Operator/Owner Information					
Organization Name:	<input type="text"/>	Contact Person:(First Name)	<input type="text"/>	*	
Street Address:	<input type="text"/>	Last Name	<input type="text"/>	*	
Line#2:	<input type="text"/>	Title:	<input type="text"/>		
City/ State/ Zip:	<input type="text"/>	CA	<input type="text"/>	Phone:	<input type="text"/>
					*(ex:999-999-9999) Ext <input type="text"/>
				Email:	<input type="text"/>
					*(abc@xyz.com)
Basis of Termination(Must select one option below)					
<input type="radio"/> Closed Facility: The facility is closed and all closure, moving and clean-up activities are complete					
Date of Closure: <input type="text"/> (mm/dd/yyyy) *					
Are you moving to a new location in California? <input type="radio"/> Yes <input type="radio"/> No *					
If Yes, start date at new location: <input type="text"/> (mm/dd/yyyy)					
Will you file new NEC or NOI? <input type="radio"/> Yes <input type="radio"/> No *					
New Facility Information					
Business Name:	<input type="text"/>	First Name	<input type="text"/>		
Street Address:	<input type="text"/>	Last Name	<input type="text"/>		
Line#2:	<input type="text"/>	Title:	<input type="text"/>		
City/ State/ Zip:	<input type="text"/>	CA	<input type="text"/>	Phone:	<input type="text"/>
					999-999-9999
				Email:	<input type="text"/>
					(abc@xyz.com)
<input type="radio"/> Light Industry Exemption: Exposure of industrial activities, materials, and equipment to storm water has been eliminated (Applies only to certain facilities - see instructions).					
Date of Evaluation: <input type="text"/> (mm/dd/yyyy) * Date exposure eliminated: <input type="text"/> (mm/dd/yyyy) *					
Planned date of next evaluation: <input type="text"/> (mm/dd/yyyy)					
<input type="radio"/> No Storm Water Discharge: Storm water does not discharge to waters of the United States because:					
<input type="checkbox"/> a. The storm water is retained on site (such as in evaporation or percolation ponds).					
<input type="checkbox"/> b. The storm water is discharged to either a municipal sanitary or municipal combined sewer system.					
<input type="checkbox"/> c. The storm water is retained off site (such as in evaporation or percolation ponds).					

SMARTS Help Desk

- Santa Ana Regional Water Board
 - Michelle Beckwith
 - r8_stormwater@waterboards.ca.gov
 - (951) 782-4433
- State Water Resources Control Board
 - stormwater@waterboards.ca.gov
 - (866) 563-3107



Questions on Presentation

- **Patrick Otsuji**
- potsuji@waterboards.ca.gov
- (916) 341-5292

