



Clean Water State Revolving Fund

STATE FISCAL YEAR 2008/2009
(July 1, 2008 - June 30, 2009)

ANNUAL REPORT



CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

STATE WATER RESOURCES CONTROL BOARD

DIVISION OF FINANCIAL ASSISTANCE • 1001 I STREET • SACRAMENTO, CA 95814



About the State and Regional Water Boards

The State Water Resources Control Board (State Water Board) was created in 1967. The mission of the State Water Board is to ensure the quality of the state's water while balancing beneficial uses. The joint authority of water allocation and water quality protection enables the State Water Board to provide comprehensive protection of California's waters.

The State Water Board consists of five, full-time salaried Members, each filling a different specialty position. Each board member is appointed to a four-year term by the Governor and confirmed by the Senate.

There are nine Regional Water Quality Control Boards (Regional Water Boards). The mission of the Regional Water Boards is to develop and enforce water quality objectives and implement plans that protect the beneficial uses of the state's waters, recognizing local differences in climate, topography, geology, and hydrology.

Each Regional Water Board has nine, part-time Members also appointed by the Governor and confirmed by the Senate. Regional Water Boards develop "basin plans" for their hydrologic areas, issue waste discharge permits, take enforcement action against violators, and monitor water quality.

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I. INTRODUCTION

This report is the State Water Board's comprehensive Annual Report for its Clean Water State Revolving Fund (CWSRF) program for the period July 1, 2008 through June 30, 2009. This period corresponds with State Fiscal Year (SFY) 2008/2009. This report describes how the State Water Board met the objectives identified in the SFY 2008/2009 Intended Use Plan (IUP) and reflects the actual use of the funds available to the CWSRF.

II. PROGRAM SUMMARY

The federal Clean Water Act (CWA) provides states the opportunity to establish a CWSRF Program to help each state achieve the goal of clean water. The CWSRF is capitalized with federal and state funds. The CWSRF provides affordable financing for 1) construction of publicly owned treatment works (POTW), 2) elimination of non-point sources (NPS) of pollution, and 3) development and implementation of plans to protect important estuaries. Further information about the Program can be found at the State Water Board's – [Grants and Loans web site](#).

The CWSRF has protected and promoted the health, safety, and welfare of Californians since 1989. Many CWSRF financing recipients use their financing to eliminate water quality violations and the associated enforcement actions by the Regional Water Boards. Every project financed by the CWSRF is directly related to improving water quality and/or public health.

The revolving nature of the CWSRF Program creates asset and revenue growth. As of June 30, 2009, the total available to the CWSRF for financial assistance was \$5.079 billion (including funds from the American Recovery and Reinvestment Act of 2009 (ARRA)). About 97 percent of all available funds were committed to projects through an executed financing agreement (binding commitment), and about 83 percent of all available funds were disbursed to financing recipients as of June 30, 2009. Program activity from inception to fiscal year ending June 30, 2009 is shown in Table 1 below. A list of all active and completed CWSRF agreements is provided in Exhibit B.

Table 1: Program Activity ¹ Since Inception	
Activity	Life of Program
Number of Financing Agreements	468
Value of All Financing Agreements	\$4,938,410,957 ²
Disbursements Processed	\$4,221,016,836 ²
Balance of Financing Agreements	\$717,394,121 ²

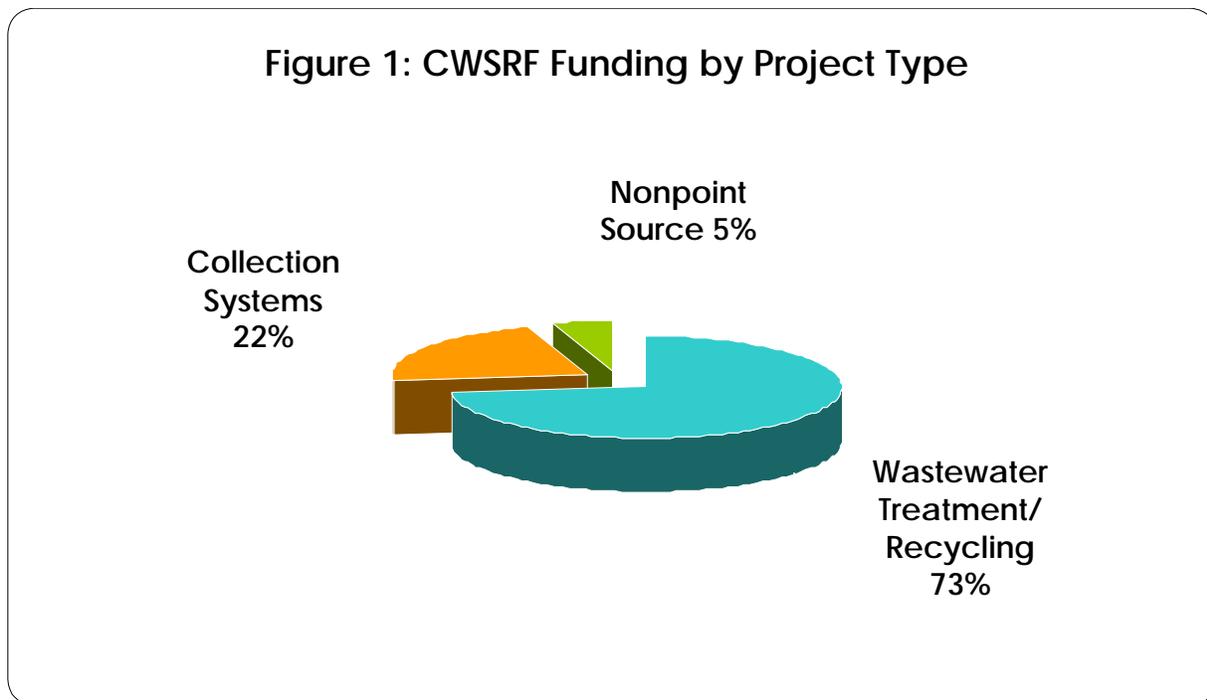
¹ Program activity includes matching funds provided by financing recipients.

² Amount includes ARRA funds.

The outstanding balance of the existing financing agreements will be disbursed over the next one to three years depending on the construction schedules of the projects financed. The CWSRF Program will use assets not restricted for other purposes and new capital contributions to fulfill these commitments. These funds include repayments, federal grants, state matching funds, and investment earnings.

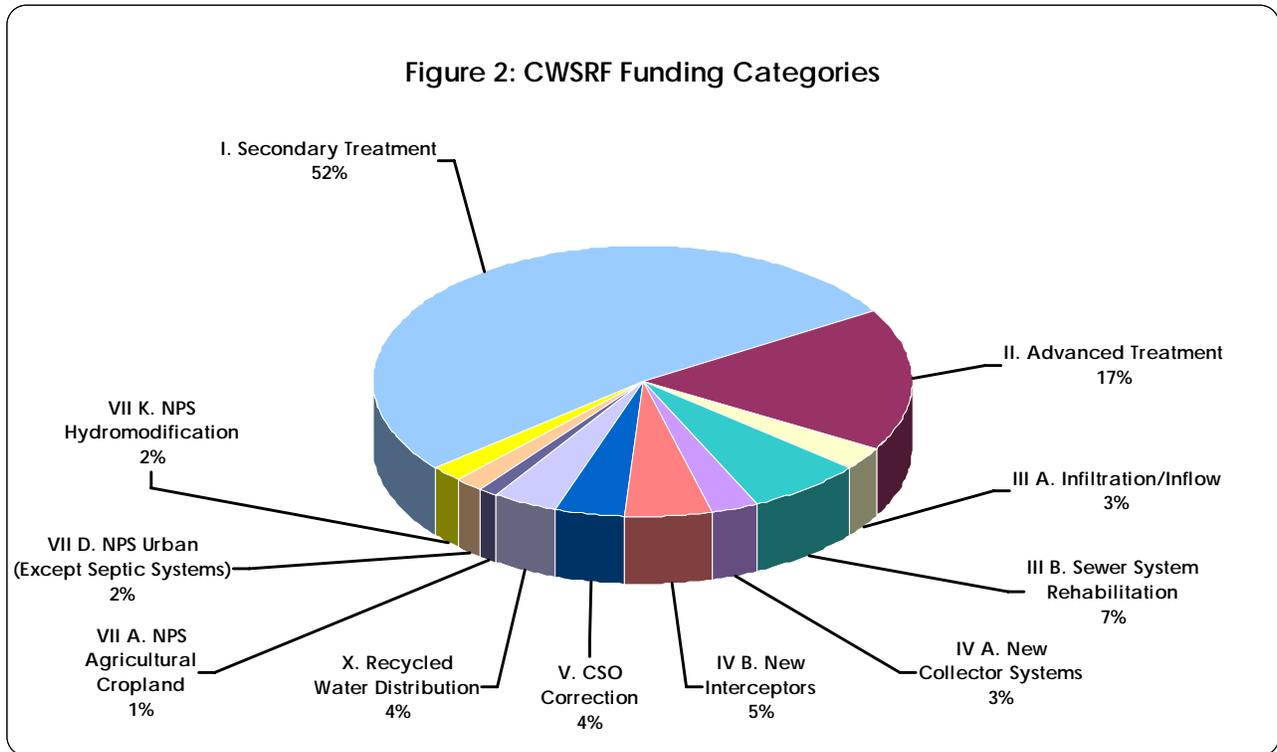
The State Water Board operates the CWSRF Program on a cash flow basis. The State Water Board staff is continually developing, reviewing, and approving applications for new projects. New projects will be financed with uncommitted funds as well as additional revenue or contributions expected in future years. The CWSRF can also leverage its revenue if necessary to finance projects. State Water Board staff is currently working with numerous applicants to make additional commitments in SFY 2009/2010. The estimated value of these projects is about \$1.995 billion based on the SFY 2009/2010 IUP.

The CWSRF is used for a broad range of projects. Figure 1 illustrates the breakdown of funding in three main categories of projects: 1) wastewater treatment and recycling facilities, 2) wastewater collections systems, and 3) non-point source pollution prevention and reduction projects.



As seen in Figure 1, the majority of funds were used to construct and improve wastewater treatment and recycling facilities. Since inception of the program, a little over \$3.6 billion was spent on wastewater treatment and recycling. Funding for wastewater collection systems is the second largest use of CWSRF funds. Approximately \$1.1 billion was spent on collection systems. The State Water Board is also a leader in using CWSRF funds for non-point source (NPS) projects. Although this is currently the smallest overall use of the CWSRF, about \$241 million was spent on NPS projects.

Figure 2 (below) provides a further breakdown of the three main categories of projects. The top six categories, accounting for approximately 85 percent of the funds used, are all infrastructure categories. This demonstrates the CWSRF’s importance to maintaining California’s water quality infrastructure.



Please note that categories VI – Storm Sewers, VII B. – NPS Agricultural Animals, VII C. – NPS Silviculture, and VII L. – NPS Septic Systems are fundable, but are not shown in Figure 2 because they total less than 1 percent.

III. SPECIFIC PROGRAM GOALS AND RESULTS

A. Short-Term Goals

The State Water Board established the following short-term goals in the Amended IUP for SFY 2008/2009 (dated April 17, 2009). This section discusses the results of the CWSRF Program's efforts to meet those goals.

1. Prepare and review monthly cash management reports.

Timing disbursements to receipts requires careful and regular oversight of the cash flow. State Water Board staff receives quarterly management reports at the finance/audit committee meetings.

State Water Board staff also routinely monitors cash flow as part of the financing approval process. The fiscal impact of the expected cash flow of each project is analyzed before the project is approved for financing.

2. Continue quarterly finance/audit committee meetings.

State Water Board staff conducted quarterly finance/audit committee meetings on November 6, 2008, February 23, 2009, and May 12, 2009. Standing topics at these meetings included:

- Reviewing the cash flow of projects under contract, and future cash flow needs and projections
- Status of administrative funds
- Bad debt outlook
- Reporting and data maintenance issues
- Comparing actual performance with target performance measures
- Reviewing audit issues, program control issues, and planning for upcoming audits

3. Complete training with Northbridge Environmental Management Consultant's, Inc.

State Water Board staff in conjunction with US EPA staff completed financial management training with Northbridge in June 2009. Topics covered in the training included:

- Developing a project pipeline
- Developing the IUP
- Cash flow modeling
- Introduction to leveraging
- CWSRF Annual Report
- Understanding CWSRF audits

4. Contract with a professional financial advisor.

Finance staff will periodically need specialized expertise on financial planning and management. State Water Board staff prepared and advertised a Request for Proposals (RFP) to solicit firms that can provide these services. Staff is currently negotiating a contract with the firm selected from the RFP process. The contract for the financial advisor should be executed in November 2009.

5. Accept additional capitalization grants.

The federal fiscal year (FFY) 2008 capitalization grant application was submitted April 21, 2008. The grant was \$48,922,291. The State Water Board provided the necessary state match through Prop. 84 and local match contributions. The Grant was accepted by the Executive Director on September 29, 2008.

6. Apply for and receive the FFY 2009 capitalization grant within the first year of the allotment period.

The FFY 2009 Grant was \$48,667,707. The Grant application was submitted to US EPA on May 1, 2009 within the first year of the allotment period. The Grant was accepted by the Executive Director on September 23, 2009.

7. Apply for federal ARRA grant.

The ARRA grant was \$280,285,800. The Grant application was submitted to US EPA on February 11, 2009. The Grant was accepted by the Executive Director on May 22, 2009.

8. Fund projects to meet ARRA requirements and goals.

State Water Board staff worked quickly in the second half of SFY 2008/2009 to implement ARRA. Preference was given to projects that could quickly start construction and create jobs in order to support ARRA's goals. By June 30, 2009, State Water Board had executed 27 agreements using \$61.6 million in ARRA funds as well as \$124.2 million from the base CWSRF. The projects funded by ARRA and the base CWSRF program were estimated to create or retain 1,300 jobs.

All ARRA funds must be committed by February 17, 2010 to projects with an executed construction contract. At least 50 percent of ARRA funds must be used for additional subsidization (principal forgiveness, negative interest rate loans, or grants), and at least 20 percent of ARRA funds must be committed to green projects. State Water Board continues to implement ARRA in SFY 2009/2010, and expects to meet or exceed all ARRA goals.

9. Solicit green projects and amend IUP, if necessary.

US EPA ARRA guidance indicates that states must make a timely and concerted effort to fund green projects with the ARRA grant. If the IUP submitted with the ARRA grant application fails to identify at least 20 percent green projects, the state must solicit additional green projects. If the state makes a timely and concerted effort to solicit green projects, but fails to achieve the 20 percent goal, the state can certify on or after August 17, 2009, that it is unable to meet the goal and use ARRA funds for non-green projects.

The State Water Board identified projects exceeding the goal of 20 percent green in its ARRA IUP, and did not conduct any further solicitation for green projects. The State Water Board will certify to US EPA that more than 20 percent of ARRA funds were used to fund green projects.

10. Implement sound credit reviews.

The past credit review process provided insufficient information on the credit worthiness of applicants. The State Water Board executed a contract on July 1, 2008 with an outside vendor to perform credit reviews using a process similar to the three national rating agencies (Fitch, Moody's, and Standard and Poor's). State Water Board staff also developed procedures to coordinate the reviews, train staff on the procedures, and implement the new procedures. This was completed in September 2008.

11. Close out previous Capitalization Grants.

No capitalization grants were closed out during SFY 2008/2009.

12. Prepare Annual Report and Audit for SFY 2008/2009.

Audited financial statements dated September 30, 2009, were received from the independent audit firm of Clifton-Gunderson LLP on October 15, 2009.

This report completes the Annual Report requirement for SFY 2008/2009.

13. Obtain authority to offer principal forgiveness, negative interest rates, and grants from the CWSRF to implement ARRA.

SBX3 27 (Negrete-McLeod), authorizing principal forgiveness, negative interest rates, and grants by the CWSRF using ARRA funds, was signed by the Governor and became effective on March 27, 2009.

14. Amend the SFY 2008/2009 IUP and 2008/2009 Project Priority List.

These actions were necessary to apply for the federal ARRA and FFY 2009 grants. The SFY 2008/2009 IUP was amended April 17, 2009 to complete the ARRA grant application.

The SFY 2008/09 Project Priority List was amended three times, April 17, 2009, July 20, 2009, and August 28, 2009, to include projects competing for ARRA funds.

15. Develop SFY 2009/2010 IUP and 2009/2010 Project Priority List.

Business planning for the CWSRF is a regular process. State Water Board staff worked closely with the Division of Water Quality (DWQ), the Regional Water Boards, and stakeholders to ensure that the highest priorities were identified in the SFY 2009/2010 IUP. The 2009/2010 IUP and Priority List will guide the marketing and assistance efforts of the CWSRF in SFY 2009/2010. The State Water Board adopted the SFY 2009/2010 Project Priority List on September 15, 2009, and adopted the SFY 2009/2010 IUP on October 6, 2009.

16. Complete quadrennial Clean Watershed Needs Survey (CWNS).

The CWNS provides basic information about the market for CWSRF financing. The State Water Board completed its input of data for the 2008 CWNS on March 20, 2009. US EPA estimates that results from the 2008 CWNS will be published on January 12, 2010.

17. Obtain approval of Extended Term Financing (ETF).

ETF addresses one of the key issues facing small, disadvantaged communities – affordability. ETF reduces debt service by about 25 percent, and should result in more projects being completed by small, disadvantaged communities and a corresponding direct water quality benefit. In October 2008, US EPA approved the State Water Board’s request to implement ETF. Additional guidance from US EPA was received in April 2009.

18. Amend CWSRF Policy.

The CWSRF Policy was amended eight times to address specific issues since it was originally adopted in August 1988. There was never a comprehensive review and update of the Policy, however, since the Program started in 1988. In September 2008, the State Water Board amended the Policy to change or remove a number of outdated and outmoded requirements in the Policy.

A second amendment of the Policy was completed March 17, 2009, to implement the federal ARRA grant requirements.

19. Adopt guidance for implementing ARRA.

Additional guidance was needed to ensure the best use of existing and new capital in the Program. On March 17, 2009, the State Water Board adopted Resolution 2009-0027 to provide guidance on implementing ARRA. The Board’s directions were subsequently modified on June 16, 2009, by Resolution 2009-0051, and on September 1, 2009, by Resolution 2009-0066.

20. Application Process Improvements.

Staff completed an internal application process review. The recommendations were presented to CWSRF management and implemented in coordination with the amended CWSRF Policy.

The CWSRF Web page was revamped in June 2009 to better serve applicants. Necessary documents or information are no more than three clicks from the home page.

21. Adopt Regulations for the CWSRF Program to replace current Policies.

Work on the regulations was suspended due to the workload demands from ARRA and furloughs of state employees starting in February 2009. The State Water Board expects to resume this effort in the second half of SFY 2009/2010.

22. Applicant Training.

Work on applicant training was suspended due to the workload demands from ARRA and furloughs of state employees starting in February 2009. The State Water Board expects to resume this effort in the second half of SFY 2009/2010.

23. Stakeholder Advisory Group (SAG).

State Water Board staff continued to seek input from the SAG on steps to help the Program achieve maximum efficiency. State Water Board staff conducted five meetings with the SAG in person or by conference call on August 26, 2008, October 29, 2008, January 26, 2009, March 23, 2009, and June 23, 2009.

24. Marketing and Outreach.

The CWSRF staff participated in five CFCC funding fairs during SFY 2008/2009.

Public and Regional Water Board workshops were conducted at each regional office between February and April 2009 to provide program information, financial status, policy changes, project eligibility, and ARRA updates.

In addition, the Marketing Unit hosted six informational ARRA workshops for the public throughout the state, including a Webcast, in January and February 2009. The Unit created a new Web site dedicated to the CWSRF ARRA funding and activities. This Web site includes general information for the public, specific information for ARRA recipients, and detailed reports allowing interested parties to track the CWSRF ARRA funds.

B. Long-Term Goals

In its SFY 2008/2009 IUP, California identified the following long-term goals. This section discussed the results of the CWSRF Program's efforts to meet these goals.

1. To achieve statewide compliance with water quality objectives and maximize the environmental benefits from CWSRF funded projects.

The State Water Board continued its efforts to set and enforce water quality objectives. The State Water Board provided financial assistance from the CWSRF to correct both point and non-point source pollution problems to help meet these objectives.

2. Assist with the State Water Board's [Plan For California's Nonpoint Source Pollution Control Program](#) and estuary Comprehensive Conservation and Management Plans.

On December 17, 2008, California's Pooled Money Investment Bank (PMIB) froze all state-bond funding due to the state's budget crisis. ARRA provided an opportunity for the State Water Board to restart these projects while also maximizing job creation and economic benefit. The State Water Board allocated 25 percent of its ARRA principal forgiveness funds in March 2009 to these suspended projects. The Water Board not only saved jobs by doing this, but also leveraged its resources, maintained valuable relationships, and funded many projects from the CWSRF that traditionally can't afford a CWSRF loan.

In addition, the State Water Board adopted Resolution No. 2009-0051 in June 2009, to allocate additional ARRA principal forgiveness funds to urban storm water projects, particularly those serving disadvantaged communities (DACs). ARRA principal forgiveness was key to the CWSRF supporting storm water projects, also an area that traditionally can't afford a CWSRF loan.

3. To bring POTW into compliance with federal and state requirements.

The State Water Board continued to provide funds to municipalities to help them achieve compliance with federal and state waste discharge requirements. Sixty-one (61) percent of funds committed in 2008/2009 went to improve POTW compliance with federal and state requirements.

4. Maximize cash flow management.

State Water Board staff continued efforts to maximize cash flow.

Ideally disbursements should match receipts for the Program, less the minimum \$25 million balance and restricted assets, to get the most water quality benefit from the funds available to the Program. Historically, though, applicants do not request disbursement of funds during construction as quickly as originally planned. This gap tends to cause a buildup of cash in the CWSRF account. The SFY 2008/2009 IUP established a goal to make commitments equal to 105

percent of available funds to compensate for the gap between actual and planned disbursement of funds. Staff monitors the availability of funds through its monthly cash management reports and quarterly finance meetings to ensure that sufficient funds are available to fulfill disbursement requests. Staff also continued efforts to monitor project disbursements to ensure that recipients draw funds in a timely manner.

To assist in maximizing cash flow, staff added two conditions to the CWSRF financing agreements. Recipients must request a change in the completion of construction date 90 days prior to the established date. In addition, recipients must request their final disbursement no later than six months after the completion of construction date.

The State Water Board staff is continuing its marketing and assistance efforts to ensure that an adequate pipeline of projects is ready for financing agreements.

5. Effectively use revenue.

The CWSRF has sizeable revenue that can be leveraged to fund more projects at current costs and address California's tremendous water quality needs. Additional debt should be balanced, though, with the long-term finances of the Program. The Program did not need additional capital this year because it received a large infusion of capital through the ARRA grant from US EPA.

6. Maintain financial integrity.

Financial integrity and effective internal controls are essential to ensure dependable and trustworthy finances. Prudent lending practices and reasonable interest rates ensure the stability and continued growth of the CWSRF.

The State Water Board implemented new credit review procedures this year to ensure that applicants are able to repay CWSRF loans. The State Water Board also changed its procedure to require that applicants approve necessary revenues before receiving disbursements for construction of their projects. The Program also continued its efforts to obtain additional, outside financial expertise.

Financial statements were prepared by the State Water Board staff and audited by an independent auditor. A copy of the audited financial statements is included as Exhibit A to this Annual Report. The audit indicated that the financial statements disclosed no instances of noncompliance or other matters that are required to be reported under Government Auditing Standards.

The annual Performance Evaluation Report (PER) performed by US EPA was also conducted during SFY 2008/2009. The PER indicates that the State of California has administered the program in general compliance with the Capitalization Grant Agreement.

7. Provide good service with a special emphasis on DACs.

The State Water Board is preparing a contract to provide technical assistance and training to small and/or DACs.

The State Water Board allocated up to 32.5 percent (25 percent from adopted Resolution 2009-0027 and an additional 7.5 percent from adopted Resolution 2009-0051) of the ARRA funds to provide principal forgiveness, negative interest rates, or grants to DACs

8. Develop clear, flexible, and innovative application procedures.

Development of a transparent and uniform application with instruction booklet was delayed due to the workload demands from ARRA and furloughs of state employees. The staff will resume development by January 2010.

9. Ensure staff is well trained and ready to help communities resolve technical and financial issues.

The CWSRF management conducted monthly staff meetings to ensure that staff received up-to-date information about the current policies and procedures affecting the CWSRF program. In addition, staff received training sessions regarding ARRA topics and pertinent financing agreement documents.

C. Financial Activity

1. Financial Statements

Audited Financial Statements for the period ending June 30, 2009 are displayed in Exhibit A. Included in the exhibit are the 1) *Statements of Net Assets*, 2) *Statements of Revenues, Expenses and Changes in Net Assets*, 3) *Statements of Cash Flows*, 4) accompanying notes to the financial statements, and 5) reports required by the Single Audit Act Amendments of 1996.

The Financial Statements provide a more detailed view of the CWSRF's financial activity for the year as well as management's analysis of the program's financial condition.

2. Revenues

There are four main sources of funds for the State Water Board's CWSRF based on the Financial Statements. The total contributions for SFY 2008/2009 were \$131.535 million. Contributions for the period of this report were as follows (Page 2 – Exhibit A):

- US EPA's capitalization grant contributions of \$57.965 million (includes ARRA Grant contributions)
- State match revenue of \$13.917 million
- Interest income of \$52.866 million

- Net investment income and other contributions of \$7.057 million

3. Disbursements and Expenses

Total disbursements are based on the Financial Statements and do not include matching funds provided by financing recipients. Total disbursements for SFY 2008/2009 were \$346.302 million.

Disbursements for the period of this report were made as follows (Page 3 – Exhibit A):

- Financing agreement disbursements - \$309.062 million (includes ARRA)
- Interest paid on revenue bonds - \$8.963 million
- Principal paid on revenue bonds - \$22.930 million
- Cash paid to employees and vendors - \$5.335 million
- Bond fees - \$0.012 million

4. Extended Term Financing

The State Water Board received many requests for Extended Term Financing (ETF) over the past few years. In October 2008, US EPA approved the State Water Board's request to implement ETF for DACs. The State Water Board received additional guidance from US EPA in April 2009. As defined by the State Water Board, a DAC is a community with a population of less than 20,000 persons and either 1) a community median household income (MHI) less than 80 percent of the statewide MHI or 2) a community with a sewer rate more than 4.0 percent of the community's MHI. ETF is available to DACs with a MHI less than 80 percent of the Statewide MHI if wastewater rates are 1.5 percent or more of the DAC's MHI. A community with a sewer rate more than 4.0 percent of the community's MHI may request approval of ETF from the State Water Board.

US EPA approved the State Water Board's request to implement ETF on condition that it does not decrease the CWSRF's financing level by more than 10 percent. The State Water Board estimated that providing 20 percent of its financing as ETF will not cause its overall level of financing to drop by more than 10 percent. US EPA's approval also requires that the State Water Board analyze the effect of ETF on the CWSRF in the Annual Report.

During SFY 2008/2009, two DACs received ETF.

- San Andreas Sanitary District; Agreement No. 08-842-550; Project No. C-06-5179-110; \$10,575,496, of which \$4,735,182 is ETF.
- Ventura County Waterworks District No. 16 – Piru; Agreement No. 08-841-550; Project No. C-06-4946-110; \$14,109,542, of which \$5,554,771 is ETF.

The CWSRF provided approximately \$460 million in loan financing during SFY 2008/2009. Based on the analysis done to support its request for ETF, the CWSRF should be able to provide 20 percent of its financing, or approximately \$92 million in SFY 2008/2009, as ETF without reducing its overall financing level

by more than 10 percent. The CWSRF provided about \$10.3 million, or 2.2 percent, of its SFY 2008/2009 loan financing as ETF.

Considering that these are the first two agreements to receive ETF and the fact that the level of ETF is well below the target level, State Water Board staff did not perform a detailed analysis of the effect of ETF on future financing levels. State Water Board staff will analyze the effect of ETF in greater detail in future Annual Reports as the program grows

5. Service Charges

As of January 2008, state law allows the CWSRF to collect a service charge on loans. This provides a backup source of revenue for program administration. The revenue generated by the service charge may only be used for administration of the CWSRF. Application of the service charge on CWSRF financing agreements during SFY 2008/2009 reduced interest earnings by \$7,883,000. As revenue from the service charge is used for administration, the administration allowance from the US EPA capitalization grants can be used to finance projects.

6. Credit Risk of the CWSRF

Each financing recipient must pledge one or more dedicated sources of revenue toward payment of its CWSRF agreement. Dedicated sources of revenue can be sewer rate revenue pledges, general tax pledges, or other contractual income.

As of June 30, 2009, the CWSRF had no defaults, but had one agreement termination. The termination was necessary because the applicant, the Los Osos Community Services District, stopped construction of its project in late 2005. The State Water Board officially terminated the agreement on December 13, 2005. The State Water Board intends to vigorously pursue all legal means to recover the disbursed funds.

D. Assistance Activity

A total of \$522 million was financed in SFY 2008/2009. Approximately 98 percent went to sewers, collection systems, or wastewater recycling; two percent went to NPS projects.

In SFY 2008/2009, 47 of the 87 projects listed in the amended SFY 2008/2009 IUP (dated April 17, 2009) were funded as anticipated (see Exhibit D for funding by needs category). Twenty-four of the 47 were included in the original SFY 2008/2009 IUP (dated September 16, 2008). The remainder of the projects on the amended SFY 2008/2009 IUP list will likely be funded in SFY 2009/10 or future years.

High demand for water quality improvement funding in California requires the State Water Board to fund projects on a ready-to-proceed basis and substitute projects as

needed. The reason(s) projects in the IUP do not get funded is typically one or more of the following:

- Changes of needs or scope,
- Application requires additional studies, more documentation, and/or more hearings,
- Changes in design, and/or
- Delays in the bidding process.

E. Provisions of the Operating Agreement/Conditions of the Capitalization Grant

The State Water Board agreed to a number of conditions in the Operating Agreement and Grant Agreement. The Conditions in the Operating Agreement and Grant Agreement were met.

1. Provide a State Match

The State Water Board met its State Match requirement by identifying state funds equal to at least 20 percent of the capitalization grant amount as detailed in Note 6, Pages 12-14 of Exhibit A. As of the end of SFY 2008/2009, the total capitalization grants awarded were \$2,260.706 million. Of this amount, \$280.285 million did not require the 20 percent match because the funds were from ARRA. As of June 30, 2009, the State Water Board drew \$1,953.564 million in CWSRF federal grants that required a 20 percent match. The required match for the federal grants drawn by the State Water Board was \$390.713 million.

California uses a combination of state general obligation bonds repaid outside the CWSRF and funds contributed by applicants to the CWSRF to meet the 20 percent Match requirement. A total of \$610.070 million in matching funds has been contributed to the CWSRF as seen in Table 2 of Note 6 of Exhibit A. Therefore, California contributed \$219.357 million more to the CWSRF than is required to match grants drawn as of June 30, 2009. (Note: The National Information Management System (NIMS) Report includes all state match contributed to the CWSRF.)

2. Binding Commitments Within One Year

The State Water Board will make binding commitments, in the form of executed financing agreements, to provide assistance in an amount equal to 120 percent of each federal quarterly payment within one year of that payment. By the end of SFY 2008/2009, executed binding commitments totaled \$4,938 million (Note 4, Pages 8-10 of Exhibit A), or 249 percent of the \$1,980 million in federal payments received as of SFY 2008/2009.

3. Expeditious and Timely Expenditure

Numerous factors contribute to meeting this objective. The State Water Board's standard practice is to encumber federal funds before encumbering other sources of funds. The State Water Board also set a goal in its SFY 2008/2009 IUP to over commit available funds at 105 percent. Both factors are aimed at efficiently using cash in the Program.

Expenditure (disbursement) of CWSRF funds begins quickly after a binding commitment is made. Financing recipients request disbursements as costs accrue during the construction of their projects. Financing recipients can request disbursements as often as they want.

Disbursement requests are processed on a strict timeframe to ensure timely payment. State Water Board staff review and approve disbursement requests within seven calendar days after receipt. The request is then transmitted to the State Water Board's accounting department. The accounting department processes the request within seven calendar days after it receives it and transmits the request to the State Controller's Office (SCO). The SCO then has 10 calendar days to issue the warrant to the applicant.

The State Water Board draws federal funds from the US Treasury via the Automated Standard Application for Payments (ASAP) system as warrants are issued by the SCO. Draw requests are made within one week of a warrant being issued. ASAP is a request and delivery system of federal funds developed by the Financial Management Service of the US Treasury and the Federal Reserve Bank. By using ASAP, the State Water Board is able to draw funds from the US EPA for expenditures incurred by the CWSRF in an expeditious and timely manner. Requested funds are deposited electronically the next business day to the account(s) specified by the State Water Board.

Federal draws are requested through ASAP on a monthly basis for the prior month's administration costs.

Another way to measure the expeditious and timely expenditure of funds is to compare the amount of federal funds disbursed (drawn from the US Treasury) with the total federal payments and grant awards to the State Water Board. As of June 30, 2009, \$1,953 million in federal funds were disbursed. The CWSRF Program received \$1,980 million in federal payments and \$2,260 million in federal grant awards. Therefore, as of June 30, 2009, 98.6 percent of the total federal payments were disbursed and 86.4 percent of the total federal grant awards were disbursed (Note 6, Page 13, of Exhibit A).

State Water Board staff also track whether applicants are requesting disbursements consistent with the construction progress of their projects. Applicants are given appropriate reminders if they appear to be falling behind with their projected disbursements.

4. First Use of Funds for Enforceable Requirements

California met the first-use requirement by providing financial assistance to those projects on its National Municipal Policy (NMP) list that do not meet any of the criteria under part III.B.5. (pp 11-12 of US EPA's "Initial Guidance for State Revolving Funds"). The state ensured that all listed projects are on enforceable schedules. California's program has now been expanded to other water quality needs.

5. Eligible Activities

California requires each applicant to submit a detailed application to ensure that US EPA's eligibility requirements are followed. All activities financed were eligible under Section 212, Section 319, or Section 320 of the CWA.

6. Disabled Business Enterprise (DBE) Objective

The State Water Board negotiated a total fair share DBE objective with US EPA beginning FFY 2007 for the CWSRF Program. This objective was 24.0 percent for minority owned business enterprises (MBE) and 6.0 percent for women owned business enterprises (WBE) participation for a combined 30.0 percent goal. The participation on CWSRF financing agreements reported by financing recipients in SFY 2008/2009 was 1.5 percent for MBE and 18.6 percent for WBE. The overall MBE/WBE participation was 20.1 percent. Exhibit C provides a detailed analysis of DBE participation from July 1, 2008 to June 30, 2009.

The State Water Board will continue to monitor participation to ensure that the positive effort process is enforced by financing recipients.

7. Administration of the CWSRF

The federal funding allowable for administration of the program is 4.0 percent of the total capitalization grants awarded. Total capitalization funds granted to date amount to \$2,260,706,676.

The administration costs (administrative expenses) incurred during SFY 2008/2009 was \$4,009,832. This included Personnel Services of \$2,288,213, Operating Expenses of \$1,708,884, and Bond Expense of \$12,735 (Page 2 of Exhibit A).

The cumulative total for administration cost is \$77,545,415. Therefore, 3.45 percent of the capitalization grants awarded to date have been incurred in administering the program leaving a balance of \$12,463,831 available for administrative expenses.

The administrative expenses for SFY 1999/2000 through SFY 2008/09 are shown in Table 2 below.

Table 2: Administrative Expenses for SFY 1999/2000 through 2008/2009

FFY Grant Year	Capitalization Grant Amount	4% Admin. Allowance	Actual Admin. Costs	Difference between Allowance and Expenses	Admin. Surplus	Cumulative Grant Amount including in kind	Cumulative Admin. Costs	Percent of Grant
2000	\$ 95,987,727	\$ 3,839,509	\$ 4,099,786	\$ (260,277)	\$ 10,259,772	\$ 1,329,586,055	\$ 42,923,670	3.23%
2001	\$ 95,134,446	\$ 3,805,378	\$ 4,851,968	\$ (1,046,590)	\$ 9,213,182	\$ 1,424,720,501	\$ 47,775,638	3.35%
2002	\$ 95,346,405	\$ 3,813,856	\$ 5,251,394	\$ (1,437,538)	\$ 7,775,644	\$ 1,520,066,906	\$ 53,027,032	3.49%
2003	\$ 94,726,665	\$ 3,789,067	\$ 4,168,440	\$ (379,373)	\$ 7,396,271	\$ 1,614,793,571	\$ 57,195,472	3.54%
2004	\$ 94,783,887	\$ 3,791,355	\$ 4,274,162	\$ (482,807)	\$ 6,913,464	\$ 1,709,577,458	\$ 61,469,634	3.60%
2005	\$ 82,745,541	\$ 3,309,822	\$ 4,102,135	\$ (792,313)	\$ 6,121,151	\$ 1,792,322,999	\$ 65,571,769	3.66%
2006	\$ 46,383,876	\$ 1,855,355	\$ 3,422,947	\$ (1,567,592)	\$ 4,553,559	\$ 1,838,706,875	\$ 68,994,716	3.75%
2007	\$ 92,791,710	\$ 3,711,668	\$ 4,540,867	\$ (829,199)	\$ 3,724,360	\$ 1,931,498,585	\$ 73,535,583	3.81%
2008	\$ 329,208,091	\$ 13,168,324	\$ 4,009,832	\$ 9,158,492	\$ 12,463,831	\$ 2,260,706,676	\$ 77,545,415	3.45%

IV. ENVIRONMENTAL BENEFITS

The contributions of the CWSRF to water quality are diverse and hard to quantify. This is not a weakness of the program, but instead indicates its strength and versatility in addressing a wide range of water quality issues. The data and graphics below summarize the environmental benefits derived from the CWSRF projects funded during SFY 2008/2009. The data supporting this summary has been transmitted to US EPA via the CWSRF Benefits Reporting (CBR) Database. The data indicate that the CWSRF protected or restored a wide range of beneficial water uses.

A. Projects in 2008/2009 Will Help Achieve and Maintain CWA Goals

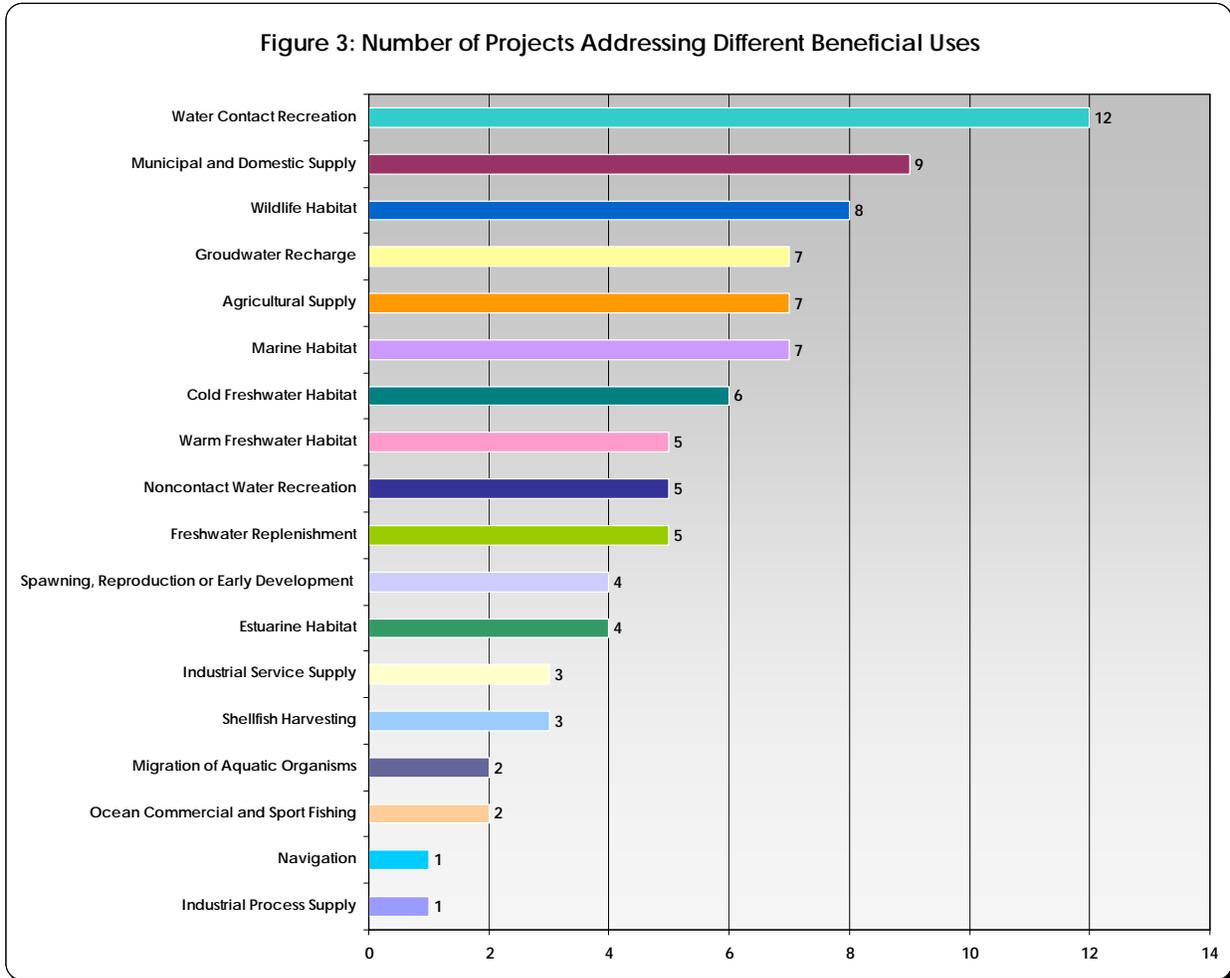
- Funded projects will treat approximately 9,232 million gallons per day (mgd) of wastewater.
- Funded projects will serve 5.8 million people statewide.
- Recipients received more than \$88 million in CWSRF interest rate subsidy (the interest rate subsidy is the amount of funds saved by recipients due to the reduced interest rate on CWSRF financing).

B. CWSRF Projects Achieve Multiple Benefits

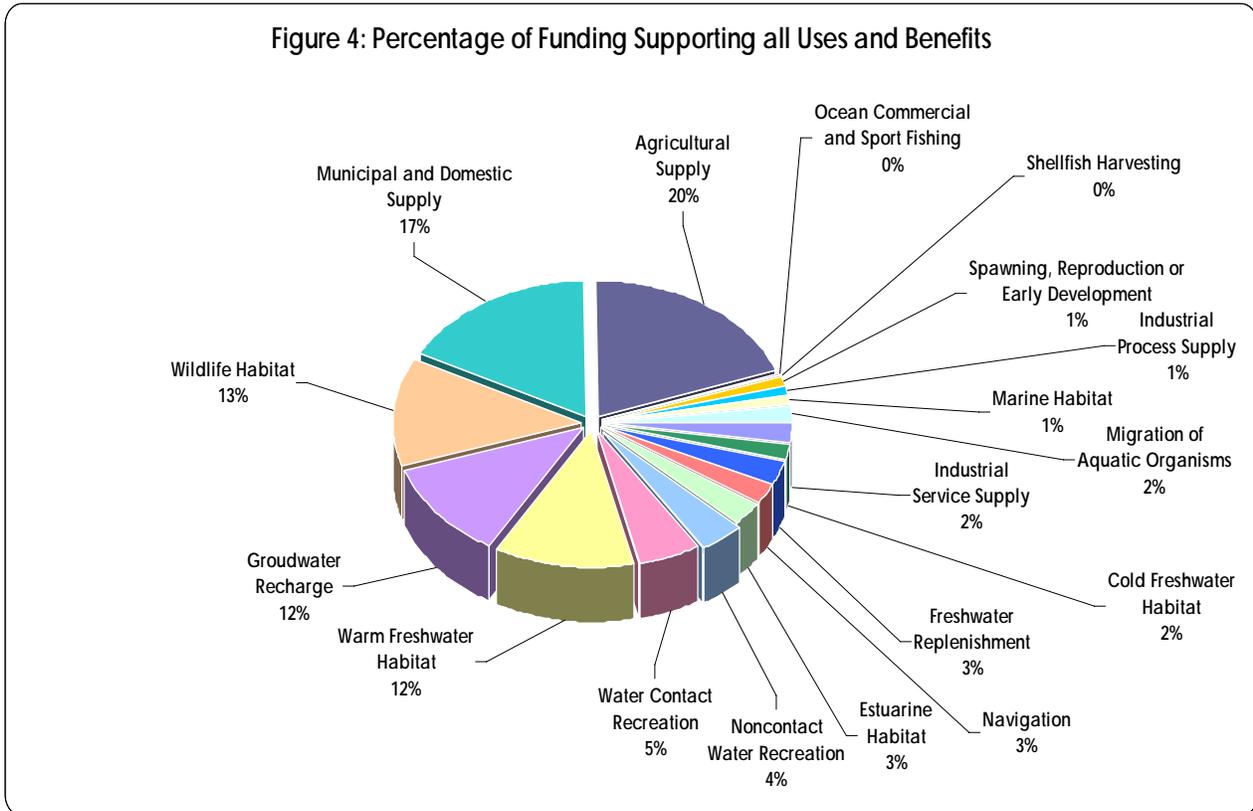
Each CWSRF project quantifiably benefits water quality in more than one way. Each project was evaluated to determine how it benefits water quality. The funding provided for each water quality area in SFY 2008/2009 is shown below.

- Improve water quality: \$233.2 million
- Recycle wastewater: \$243.2 million
- Protect groundwater: \$93.1 million
- Protect municipal and domestic supply: \$220.3 million
- Achieve compliance: \$264.4 million
- Protect and restore drinking water sources: \$25 million
- Protect and restore cold freshwater, estuarine and wildlife habitat: \$224 million

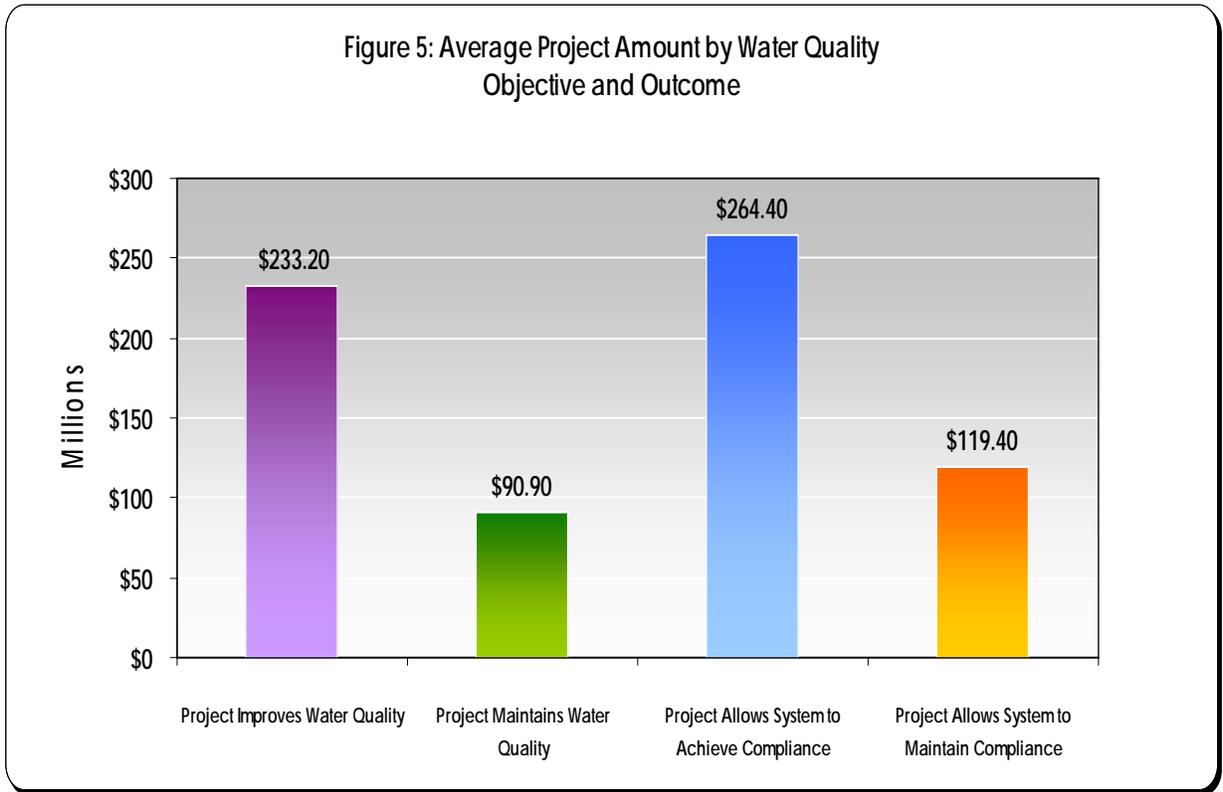
Figure 3 (below) provides a different view of the benefits provided by the CWSRF projects funded in SFY 2008/2009. Figure 3 shows how many projects addressed designated beneficial uses identified in state water quality control plans.



Another way to display the large breadth of environmental benefits from CWSRF projects is shown in Figure 4 (below). Figure 4 shows a breakdown of funding supporting different designated beneficial uses and other water quality benefits in comparison to all the funding provided by the CWSRF in 2008/2009. As can be seen, the CWSRF in SFY 2008/2009 contributed broadly to support and protect many different environmental benefits.



Many of the water quality projects undertaken today are expensive and large. This reflects the level needed to continue to improve water quality. Figure 5 (below) shows the average project cost for different project types. The ability of the CWSRF to fund such large projects is one of its greatest strengths. Many agencies would not be able to secure such large funding levels from one provider if the CWSRF was not available.



C. Highlights of Projects Funded by CWSRF in SFY 2008/2009

City of Soledad received \$52,123,561 to expand its capacity and treat wastewater to recycled water standards. The City will use the recycled water to offset potable water supplies and replenish local groundwater.



City of Soledad – New clarifier and biological nutrient removal basin.

City of La Mesa received \$3,043,754 to replace or reline existing sewer pipes. The project will keep water from seeping into the pipes and overloading the City of San Diego's Point Loma Wastewater Treatment Facility during wet weather.

City of Delano received \$33,418,792 to construct sewer pipelines and increase the treatment and disposal capacity at its treatment plant. The treatment plant will also be upgraded to provide a higher level of treatment to protect groundwater quality.

Lake Arrowhead Community Service received \$7,415,249 to upgrade the Grass Valley Wastewater Treatment Plant to increase production of reclaimed

wastewater. The Project will help offset the use of potable water from Lake Arrowhead and will help protect Grass Valley Creek.

Los Angeles County Sanitation District received \$15,240,682 for equipment that will generate electricity from methane gas recovered during the wastewater treatment process at the Joint Water Pollution Control Plant. The District will also replace 30-year old equipment with modern, more efficient equipment that will use less energy and create fewer green house gasses. In addition, the District is installing odor control facilities to significantly reduce odors surrounding the facility.

Ironhouse Sanitary District received \$62,400,000 to replace the District's outdated wastewater treatment facility with a modern facility that will treat wastewater to a higher standard. Treated wastewater will be used for agricultural irrigation to the maximum extent possible.

City of Fontana received \$9,577,747 to install sewer pipelines and pumps to deliver sewage to Inland Empire Utilities Agency's Regional Plant No. 4. The project will provide the City with a sewer collection capacity of 23 million gallons per day (MGD).

Eastern Municipal Water District received \$38,362,890 to increase wastewater treatment capacity to 30 MGD at the Moreno Valley Regional Water Reclamation Facility. The Project will correct process deficiencies and increase reliability of the Facility.

Los Angeles County Sanitation District received \$4,309,558 to upgrade the Whittier Narrows wastewater treatment plant. The project will reduce the discharge of nitrogen, trihalomethanes, and ammonia to ground and surface waters to meet Regional Water Board Waste Discharge Requirements.

City of Redding received \$18,194,825 to expand and upgrade the Clear Creek Waste Water Treatment Plant. The Project will reduce pollution of the Sacramento River and the River's adjoining groundwater system.



Clear Creek WWTP – New secondary clarifier pump station facility.

Tahoe Resource Conservation District received \$1,478,057 to restore two severely degraded riparian sites. The restoration will improve water quality and improve native fishery by decreasing sedimentation. The project will also increase riparian and meadow habitat.

Rosamond Community Services District received \$8,300,000 to expand treatment capacity at its wastewater treatment plant to ensure adequate capacity for the future.

City of Millbrae received \$34,000,000 to upgrade its existing wastewater facility to accommodate peak flow rates and improve the reliability and efficiency of the facility.

City of Merced received \$34,980,632 to upgrade its existing facility and increase treatment capacity. These improvements are necessary to comply with the City's National Pollution Discharge Elimination System permit to handle anticipated growth and to replace aging facilities and equipment.

Sierra Nevada Alliance received \$196,561 to address common and historic non-point source pollution from land uses affecting the Sierra Nevada range by encouraging community watershed groups, homeowners, and policy-makers to implement corrective measures.

Tomales Bay Watershed Council Foundation received \$799,629 for integrating restoration of the Giacomini Wetland and water quality monitoring. The project will reduce and eliminate existing threats and identify emerging threats that face this critically important watershed.

City of Pismo Beach received \$847,763 to upgrade the Pismo Oaks Lift Station to correct capacity and operational problems. The project will improve the quality and reliability of the City's wastewater discharges.

Inland Empire Utilities Agency received \$1,500,000 to install monitoring equipment to monitor the effect of recycled wastewater discharged to the groundwater basin.

Santa Cruz County Sanitation District received \$168,750 to install sewer pipes, manholes, and sewer service laterals to connect existing homes currently on failing septic systems to the public sewer system.

This will help protect Valencia Creek, a tributary to Aptos Creek.

City of Patterson received \$1,069,602 to rehabilitate the North Oxidation Ditch and related structures to meet higher water quality standards for the City's wastewater treatment facility.



City of Patterson – Rehabilitation of the north oxidation ditch.

Los Angeles County Sanitation District received \$142,090,956 to expand and upgrade the District's Lancaster Water Reclamation Plant. The project will help the District comply with updated Waste Discharge Requirements and eliminate overflows to Rosamond Dry Lake on Edwards Air Force Base.

Kelseyville Waterworks District No.3 received \$3,479,050 to expand the capacity of its wastewater treatment plant. The upgrades are necessary to comply with the District's Waste Discharge Requirements and protect beneficial uses of groundwater.

The Bay Foundation of Morro Bay received \$278,296 to implement the Effectiveness Program for the Morro Bay Watershed. The project will collect and analyze data to evaluate the effectiveness, at both the project and watershed level, of the many water quality actions planned and underway in the Morro Bay watershed.

Mendocino County Department of Transportation received \$149,450 to develop and install a crossing on Cave Creek in Mendocino County. The project will reduce fish mortality and keep sediment, oil, and grease out of the Creek.



Mendocino Co. Dept. of Transportation – Construction of the Tomki Road crossing.

Conservation Corps of North Bay received \$394,468 for watershed activities that eliminate non-point source pollution in the San Francisco Bay and nearby coastal waters.

San Andreas Sanitary District received \$10,575,496 to upgrade its wastewater treatment plant to remove ammonia and provide a higher level of wastewater treatment to meet more stringent discharge standards.

City of Redondo Beach received \$202,000 to design and construct a diversion system that will keep urban runoff from polluting beaches in the City.

Humboldt County Resource Conservation District received \$180,000 to help farmers install waste collection and management systems to keep agricultural pollutants out of local creeks. The project will also restore wetland and riparian habitat.

Truckee River Watershed Council

received \$373,153 to restore two severely degraded riparian areas to improve water quality, decrease sedimentation, increase riparian and meadow habitat, and improve native fishery.

City of Hermosa Beach received \$950,850 to design and construct a storm drain diversion system that will keep urban runoff from polluting the beach near the City's pier.

Santa Cruz County Sanitation District

received \$485,136 to replace old, leaking sewer pipes in the Rio Del Mar Esplanade area between Aptos Beach Drive and Aptos Creek. This project will eliminate the amount of untreated wastewater that leaches out of the sewer pipes into the Creek.

Napa Sanitation District received \$1,931,619 to replace and repair old sewer pipes. This project will eliminate the amount of untreated wastewater that seeps out of the sewer pipes into the groundwater, and eliminate the amount of groundwater that seeps into the pipes, overloading the District's wastewater treatment facility.



Napa Sanitation District – Repair of sewer pipes along Berna Street.

Plumas Corporation received \$158,577 to restore natural channels and floodplains on five tributaries of the Upper Middle Fork of the Feather River. The project will improve water quality in the tributary creeks and the River, restore aquatic and riparian habitat, and improve groundwater recharge by restoring the natural floodplain.

City of Los Angeles received \$4,340,420 to upgrade six storm drain diversions to increase the volume of urban runoff pollution diverted from City beaches to the wastewater treatment plant.

Mission Resource Conservation District received \$294,746 to restore 75 acres bordering approximately 2.2 miles of the San Luis Rey River. The project will reduce flooding and fire risk, improve water quality, and restore critical riparian habitat for three endangered species.

City of Long Beach received \$3,202,977 to install storm water diversion structures and trash separation devices, build a vegetated swale, and clean and modify the existing culvert to improve tidal flushing. These measures will help improve water quality in Colorado Lagoon.

Gold Ridge Resource Conservation

District received \$886,941 to implement agricultural management practices and conduct restoration and mitigation activities that will reduce pollution of the Estero Americano and its tributaries.

County of Ventura received \$8,559,688 to construct a sewer system to replace septic systems in El Rio. The project will eliminate discharges from septic systems that have impaired both present and future beneficial uses of groundwater.

City of Grass Valley received \$1,325,859 to upgrade its wastewater treatment plant to comply with its discharge permit. The project will help protect the beneficial uses of Wolf Creek and the Bear River.



City of Grass Valley – Ultra violet disinfection channel upgrades.

Ventura County Waterworks District No. 16 received \$14,109,542 to upgrade the wastewater treatment facility for the community of Piru to meet Waste Discharge Requirements and take the initial steps toward recycling wastewater in the future.

City of Chico received \$561,109 to restore floodplain, riparian habitat, wetlands, and native grasslands at an 18 acre site on Lindo Channel and enhance the floodplain on Big Chico Creek.

V. PROGRAM CHANGES

The Loans and Grants Tracking System (LGTS) is a database that allows the CWSRF program to efficiently track program and financial information. LGTS allows State Water Board staff to enter information about individual projects, and aggregate the data into program-wide reports for management and stakeholders. In SFY 2008/2009:

1. The State Water Board and Northbridge continued to enhance the system's abilities. LGTS was modified to better track and report on CWSRF business requirements.
2. In the third quarter of SFY 2008/2009, program information for the Water Recycling Funding Program (WRFP) was brought into LGTS. LGTS now provides useful programmatic reports to management and interested stakeholders. Staff continues to work to bring WRFP financials into LGTS.
3. In the fourth quarter of SFY 2008/2009, LGTS began to track ARRA funded projects. Northbridge, under State Water Board direction, built an ARRA module to track ARRA data. Additionally, standard reports were created to provide ARRA data to state and federal oversight agencies. Work on the ARRA module will continue into SFY 2009/2010.

The [*Policy for Implementing the CWSRF for Wastewater Treatment Facilities*](#) (Policy) was amended on September 16, 2008 and March 17, 2009. Changes were made to increase the security of financial agreements. The amended Policy requires a formal credit review be done on each applicant. The State Water Board contracted with California Municipal Securities, Inc. (Calmuni) to analyze each applicant's capacity to incur and repay the proposed debt. Calmuni also determines whether applicants meet the criteria for disadvantaged community status. The amended Policy requires applicants to approve any necessary wastewater service rate increases, consistent with Proposition 218 rate setting requirements, before the CWSRF will disburse funds for construction of a project.

The amended Policy now allows a two-tiered environmental review process consistent with the Operating Agreement between the State Water Board and US EPA. State Water Board staff evaluate each project's environmental impacts exactly as they have in the past, however, the environmental analysis documents for projects are not routinely distributed to the federal environmental agencies for review and concurrence (Tier 1 review). If a project appears to have environmental impacts under the jurisdiction of federal agencies, State Water Board staff works with applicants to ensure that they comply with federal environmental rules and that the necessary review and concurrence is obtained by the applicants either directly or through the State Water Board. If a project does not have any federal environmental impacts, State Water Board staff complete the Board's analysis consistent with California Environmental Quality Act (CEQA) requirements (Tier 2 review). This change greatly sped up funding approvals for a significant number of projects during SFY 2008/2009.

On July 15, 2008, the State Water Board authorized the Executive Director to request approval from US EPA for extended term financing (ETF) for disadvantaged communities

(DAC). An application was submitted to US EPA on August 13, 2008, to offer ETF to DACs through the CWSRF program. US EPA granted the request in October 2008, and provided additional guidance in April 2009. ETF was added to the CWSRF Policy in March 2009. By improving affordability, ETF should increase and accelerate the number of projects completed by DACs. This should directly benefit water quality. Section III.C.4 above provides more detail about the financial effect of ETF on the CWSRF.

VI. PROPOSED IMPROVEMENTS OR FUTURE CHANGES

A number of strategic and procedural efforts or changes will strengthen and improve the CWSRF program's management and make the program more affordable or accessible to applicants in the future.

In September 2008, AB 2356 was signed into law. It requires the State Water Board to take several actions to assist DACs. AB 2356 in part allows the State Water Board to convert up to \$50 million in interest into a fee to be used for grants for DACs. Currently no loans have been assessed this fee. Fees will be applied to new loans that are funded with repayment funds.

State Water Board staff is also finalizing its efforts to hire a financial advisor. A financial advisor will give the CWSRF access to additional financial expertise. The State Water Board expects to have an executed contract with a financial advisor in January 2010.

Although LGTS accurately and efficiently tracks the business requirements of the CWSRF program, there are areas of possible improvement. Staff is working on a Feasibility Study Report (FSR) to upgrade LGTS so that it tracks other State Water Board funding programs, provides Web access to the system, and shares data with other databases within the State Water Board. Many of the proposed changes, listed below, can synergistically benefit the CWSRF program.

1. By incorporating other funding programs into LGTS, a project with multiple funding sources can be tracked fully and accurately by LGTS. Project Managers will enter data into one database, only once, and LGTS will use that information to report on different funding programs. This modification to LGTS will protect data quality and save staff time.
2. The State Water Board will develop a Web based front-end for LGTS so that users can access LGTS via a Web browser. User functionality will increase because users will always have access to the latest version of LGTS. In addition, users outside the State Water Board will be able to use LGTS, enhancing public communication and increasing CWSRF's transparency.
3. The CWSRF program has executed over 480 financing agreements since the program started almost 20 years ago. To showcase funded projects and allow applicants access to information on their projects, LGTS will develop a public reporting tool. This will allow the public to see CWSRF projects in relation to other Water Board activities or track the status of current applications.

State Water Board staff began developing CWSRF Regulations in SFY 2008/2009 to replace the current CWSRF Policies. Regulations will provide a sound framework for the program consistent with state rulemaking laws. These efforts were delayed due to additional work associated with ARRA and employee furloughs, but State Water Board staff intend to return to these efforts during SFY 2009/2010. The major aim of the Regulations will be to streamline and improve the application process, revamp the priority setting and

project selection process, implement reduced interest rates authorized by AB 1742, and ensure that the program supports the State Water Board's policies and priorities.

One of the key goals for SFY 2009/2010 will be to enhance the CWSRF Web site so that it quickly and easily provides pertinent information to applicants and stakeholders.

State Water Board staff is also developing a request for proposals to provide technical assistance to small and disadvantaged communities. Staff intend to contract with community assistance organizations that can provide technical and financial expertise to help small and disadvantaged communities address the problems of complying with water quality requirements or completing projects to address water quality problems in the community.

State Water Board marketing staff will continue their outreach efforts to increase participation in workshops and annual conferences held by community decision-makers throughout the State. This effort is vital to build relationships with the communities and ensure that customer service needs are being met. In addition, the staff will develop new marketing material and use the Intended Use Plan and Annual Report as marketing tools to showcase successful projects and highlight ways the CWSRF can assist potential applicants.

VII. EXHIBITS

- Exhibit A – Audited Financial Statements and Notes
- Exhibit B – Projects Approval Status Report
- Exhibit C – DBE Report for State Fiscal Year 2008/2009
- Exhibit D – 2008/2009 Projects Funded by Needs Category
- Exhibit E – 2008/2009 Non-Funded Projects

Exhibit A – Audited Financial Statements and Notes

Exhibit B – Projects Approval Status Report

Exhibit B - Projects Approval Status Report

CWSRF Program - Project Approval Status

Commitment Date	Contract Execution Date	Disbursement Status	Contract Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
06/01/93	11/13/1997	Complete	4082-110	95-816	Los Angeles County Sanitation District	Been, Robert	8,916,554.00	0.00
02/22/96	3/9/1998	Complete	4001-220	97-804	Los Angeles County Sanitation District	Been, Robert	1,176,608.00	0.00
02/22/96	6/10/1999	Complete	4001-230	97-830	Los Angeles County Sanitation District	Been, Robert	95,964,903.00	0.00
02/22/96	7/2/1999	Complete	4001-260	98-817	Los Angeles County Sanitation District	Been, Robert	3,608,121.00	0.00
02/22/96	11/1/1999	Complete	4001-250	98-818	Los Angeles County Sanitation District	Been, Robert	34,353,276.00	0.00
02/22/96	7/7/2000	Complete	4001-270	99-801	Los Angeles County Sanitation District	Been, Robert	19,798,224.00	0.00
02/22/96	1/29/2001	Complete	4001-410	99-821	Los Angeles County Sanitation District	Been, Robert	9,825,312.00	0.00
02/22/96	2/14/2001	Complete	4001-240	99-822	Los Angeles County Sanitation District	Been, Robert	44,310,017.00	0.00
03/07/01	3/27/2001	Complete	4596-110	00-805	Hilmar County Water District	Bare, Kathy	2,492,800.00	0.00
09/21/00	6/25/2001	Complete	4685-110	00-806	Sacramento, City of	Lee, Wing	2,603,365.00	0.00
02/22/96	2/20/2002	Complete	4001-280	00-807	Los Angeles County Sanitation District	Been, Robert	8,998,871.00	0.00
06/18/98	5/20/2003	Complete	4082-120	00-820	Los Angeles County Sanitation District	Been, Robert	38,257,969.00	0.00
06/18/98	5/20/2003	Complete	4082-170	00-832	Los Angeles County Sanitation District	Been, Robert	1,450,968.00	0.00
03/19/04	7/21/2003	Complete	4001-510	01-808	Los Angeles County Sanitation District	Been, Robert	602,437.00	0.00
02/22/96	7/22/2003	Complete	4001-430	00-830	Los Angeles County Sanitation District	Been, Robert	3,463,475.00	0.00
02/22/96	7/22/2003	Complete	4001-450	00-803	Los Angeles County Sanitation District	Been, Robert	7,992,479.00	0.00
02/22/96	7/22/2003	Complete	4001-420	99-832	Los Angeles County Sanitation District	Been, Robert	3,678,689.00	0.00
02/22/96	7/22/2003	Complete	4001-290	00-821	Los Angeles County Sanitation District	Been, Robert	9,720,121.00	0.00
03/19/04	7/22/2003	Complete	4001-530	01-807	Los Angeles County Sanitation District	Been, Robert	3,394,826.00	0.00
03/19/04	7/22/2003	Complete	4001-490	01-806	Los Angeles County Sanitation District	Been, Robert	3,933,164.00	0.00
06/20/02	7/22/2003	Complete	4772-110	02-810	Burlingame, City of	Lee, Wing	10,743,788.00	0.00
02/22/96	7/28/2003	Complete	4001-470	99-828	Los Angeles County Sanitation District	Been, Robert	1,747,661.00	0.00
07/11/03	11/13/2003	Complete	4753-110	02-819	Susanville Sanitary District	Zeichner, Glenn	4,057,700.00	0.00
02/22/96	12/12/2003	Complete	4001-460	02-812	Los Angeles County Sanitation District	Been, Robert	9,536,746.00	0.02
03/19/04	12/12/2003	Complete	4001-500	01-822	Los Angeles County Sanitation District	Been, Robert	1,284,519.00	0.00
07/11/03	2/24/2004	Complete	4657-110	03-804	Tahoe-Truckee Sanitation Agency	Lee, Wing	47,219,706.00	0.00
07/16/03	8/3/2004	Complete	4793-110	03-810	Pismo Beach, City of	Zeichner, Glenn	10,154,284.00	0.00
11/29/05	7/27/2006	Complete	4825-110	05-807	Hayward, City of	Lee, Wing	47,841,950.00	-2,383,784.00
11/29/05	8/31/2006	Complete	4729-110	05-811	La Mesa, City of	Pontureri, Robert	4,674,827.00	0.00
03/17/06	9/12/2006	Complete	4200-110	05-810	Yucaipa Valley Water District	Pontureri, Robert	44,748,356.00	0.00
06/20/06	1/16/2007	Complete	4968-110	06-807	Nevada County Sanitation District #1	Zeichner, Glenn	15,803,329.00	0.00
06/20/06	1/26/2007	Complete	4969-110	06-806	Nevada County Sanitation District #1	Zeichner, Glenn	12,122,824.00	0.00
02/09/06	6/11/2007	Complete	4899-110	06-810	Inland Empire Utilities Agency	Pontureri, Robert	14,752,201.00	0.00
03/05/07	10/10/2007	Complete	5053-110	07-813	Union Sanitary District	Lee, Wing	1,710,471.00	0.00
06/20/02	10/26/2007	Complete	4790-120	07-820	Vallejo Sanitation & Flood Dist.	Zeichner, Glenn	4,406,072.00	0.00
06/20/07	11/8/2007	Complete	4728-120	07-816	South San Francisco, City of	Lam, Pat	9,019,585.00	0.00
11/29/05	2/8/2008	Complete	4729-120	07-818	La Mesa, City of	Pontureri, Robert	2,980,399.00	0.00
09/05/07	4/7/2008	Complete	4779-110	07-830	Tahoe City Public Utility District	Wooldridge, Kyle	2,328,382.00	149,167.00

Commitment Date	Contract		Project Number	Contract Number	Party	Project Manager	Non-local	Non-local
	Execution Date	Disbursement Status					Disbursement Amount	Undisbursed Balance
06/20/02	5/22/2008	Complete	4303-110	07-825	East Bay Municipal Utility District	Badyal, Daman	20,100,000.00	0.00
10/10/07	6/12/2008	Complete	4900-110	07-821	Inland Empire Utilities Agency	Pontureri, Robert	2,846,608.00	0.00
03/19/04	11/5/2008	Complete	4001-540	08-809	Los Angeles County Sanitation District	Been, Robert	4,342,208.00	99,744.00
03/19/04	1/23/2009	Complete	4001-550	08-810	Los Angeles County Sanitation District	Been, Robert	2,456,524.00	0.00
01/14/08	1/28/2009	Complete	5114-120	08-808	Napa Sanitation District	Ochenduszko, Kyle	182,869.00	0.00
11/18/08	9/24/2009	Complete	5172-110	09-801	Antioch, City of	Lam, Pat	2,080,841.00	0.00
09/08/06	6/12/2008	Not Started	4967-110	07-838	Nevada County Sanitation District #1	Zeichner, Glenn	-	282,636.00
03/14/08	3/3/2009	Not Started	5047-110	08-800	Grass Valley, City of	Lee, Wing	-	1,325,859.00
06/04/09	6/5/2009	Not Started	6917-110	08-312	Plumas Corporation	Holmes, Kari	-	158,577.00
11/04/08	6/9/2009	Not Started	4682-110	08-843	Merced, City of	Willis, Jim	-	34,980,632.00
06/04/09	6/10/2009	Not Started	6970-110	08-317	Redondo Beach, City of	Peltier, Tom	-	202,000.00
06/04/09	6/12/2009	Not Started	6915-110	08-310	Conservation Corps North Bay	Holmes, Kari	-	394,468.00
06/08/09	6/16/2009	Not Started	6918-110	08-315	Chico, City of	Holmes, Kari	-	561,109.00
06/04/09	6/23/2009	Not Started	6951-110	08-300	Long Beach, City of	Holmes, Kari	-	3,202,977.00
06/15/09	6/24/2009	Not Started	6975-110	08-319	Mission Resource Conservation District	Danieli, Mina	-	294,746.00
06/15/09	6/25/2009	Not Started	6909-110	08-356	Santa Cruz County Sanitation District	McLean, Laura	-	168,750.00
03/17/09	7/1/2009	Not Started	5176-140	08-851	Inland Empire Utilities Agency	Pontureri, Robert	-	1,568,717.00
06/24/09	7/6/2009	Not Started	6971-110	08-330	Long Beach, City of	Peltier, Tom	-	539,634.00
05/21/09	7/8/2009	Not Started	5150-110	08-847	Kerman, City of	Chase, Bridget	-	6,957,479.00
06/04/09	7/8/2009	Not Started	5177-110	08-819	Delta Diablo Sanitation District	Badyal, Daman	-	8,855,136.00
06/08/09	7/13/2009	Not Started	6956-110	08-321	Inland Empire Utilities Agency	Mora, Ruben	-	773,045.00
07/08/09	7/13/2009	Not Started	6949-110	08-338	Tahoe Resource Conservation District	Albrecht, Jeff	-	220,090.00
07/08/09	7/13/2009	Not Started	6964-110	08-351	Los Angeles Department of Public Works, City of	Peltier, Tom	-	1,766,568.00
06/10/09	7/13/2009	Not Started	5157-110	08-853	Beaumont-Cherry Valley WD	Garcia, James	-	16,108,100.00
06/15/09	7/13/2009	Not Started	6972-110	08-327	Long Beach, City of	Peltier, Tom	-	551,845.00
06/16/09	7/13/2009	Not Started	6963-110	08-320	Long Beach, City of	Peltier, Tom	-	403,200.00
06/16/09	7/15/2009	Not Started	4997-120	08-846	Chico, City of	Rivera, Ibyang	-	5,422,120.00
12/05/08	7/21/2009	Not Started	4502-110	08-848	Brawley, City of	Bare, Kathy	-	24,000,000.00
07/08/09	7/27/2009	Not Started	6924-110	08-348	Napa, County of	Peltier, Tom	-	977,307.00
07/08/09	8/3/2009	Not Started	6922-110	09-306	Marin Resource Conservation District	Holmes, Kari	-	564,577.00
07/31/09	8/3/2009	Not Started	6969-110	08-354	Malibu, City of	Peltier, Tom	-	816,276.00
07/13/09	8/4/2009	Not Started	5042-110	09-802	Live Oak, City of	Siebal, Danielle	-	16,000,000.00
07/14/09	8/12/2009	Not Started	6954-110	08-336	Western Municipal Water District	Mora, Ruben	-	4,479,326.00
07/07/09	8/13/2009	Not Started	5098-110	09-800	Linda County Water District	Rivera, Ibyang	-	54,304,000.00
06/24/09	8/13/2009	Not Started	4926-110	08-758	Garberville Sanitary District	Brown, Meghan	-	2,951,173.00
07/23/09	8/19/2009	Not Started	6202-110	09-807	Santa Barbara, City of	Kashkoli, Ahmad	-	1,789,388.00
07/29/09	8/19/2009	Not Started	6207-110	09-808	Santa Barbara, City of	Kashkoli, Ahmad	-	1,652,197.00
08/05/09	8/20/2009	Not Started	6921-110	09-305	Association of Bay Area Governments	Holmes, Kari	-	1,801,010.00
08/10/09	8/25/2009	Not Started	6199-110	09-811	Oakland, City of	Magtoto, Mark	-	3,450,000.00
06/24/09	8/25/2009	Not Started	5327-110	08-837	Inland Empire Utilities Agency	Pontureri, Robert	-	27,579,000.00
07/29/09	8/26/2009	Not Started	6919-110	09-304	Community Alliance with Family Farmers Foundation	Holmes, Kari	-	519,780.00
07/29/09	8/27/2009	Not Started	6920-110	09-302	San Mateo County Resource Conservation District	Holmes, Kari	-	221,984.00

Commitment Date	Contract		Project Number	Contract Number	Party	Project Manager	Non-local	Non-local
	Execution Date	Disbursement Status					Disbursement Amount	Undisbursed Balance
07/31/09	8/28/2009	Not Started	5063-110	08-757	Wasco, City of	Chase, Bridget	-	2,000,000.00
07/07/09	8/28/2009	Not Started	4922-110	08-756	Rio Dell, City of	Brown, Meghan	-	2,254,714.00
07/15/09	9/1/2009	Not Started	6914-110	08-344	California Sportfishing Protection Alliance	Danieli, Mina	-	89,963.00
07/08/09	9/10/2009	Not Started	6959-110	08-306	Inland Empire Utilities Agency	Mora, Ruben	-	298,244.00
06/16/09	9/10/2009	Not Started	5139-110	08-838	Hughson, City of	Wooldridge, Kyle	-	23,100,000.00
11/29/05	9/15/2009	Not Started	4729-140	08-854	La Mesa, City of	Pontureri, Robert	-	3,376,922.00
09/02/09	9/21/2009	Not Started	6302-110	09-818	South Lake Tahoe, City of	Danieli, Mina	-	1,675,000.00
08/25/09	9/22/2009	Not Started	6443-110	09-816	Oakland, City of	Magtoto, Mark	-	1,300,000.00
08/20/09	9/25/2009	Not Started	6430-110	09-817	Placer, County of	Holmes, Kari	-	3,000,000.00
07/29/09	9/28/2009	Not Started	6960-110	09-300	Inland Empire Utilities Agency	Mora, Ruben	-	1,471,491.00
09/21/09	9/28/2009	Not Started	4556-120	09-312	Plymouth, City of	Brown, Meghan	-	2,250,000.00
09/17/09	9/29/2009	Not Started	6420-110	09-837	Thousand Oaks, City of	Kashkoli, Ahmad	-	907,500.00
09/17/09	9/29/2009	Not Started	4965-110	09-311	Amador Water Agency	Rivera, Ibyang	-	367,612.00
09/03/09	9/29/2009	Not Started	4971-250	09-824	Redding, City of	Been, Robert	-	18,865,000.00
09/25/09	9/30/2009	Not Started	5710-110	09-316	Big Bear Lake, City of	Brown, Meghan	-	708,945.00
09/25/09	9/30/2009	Not Started	5948-110	09-315	Big Bear Lake, City of	Brown, Meghan	-	1,285,700.00
08/28/09	9/30/2009	Not Started	4680-120	09-842	Ventura, County of	Siebal, Danielle	-	18,700,000.00
09/17/09	9/30/2009	Not Started	7034-110	09-310	Del Norte County Service Area No. 1	Chase, Bridget	-	695,255.00
09/24/09	9/30/2009	Not Started	5379-110	09-313	Fresno, City of	Chase, Bridget	-	999,468.00
09/24/09	9/30/2009	Not Started	5554-110	09-314	Covelo CSD	Siebal, Danielle	-	1,323,264.00
09/29/09	9/30/2009	Not Started	5385-110	09-317	Colfax, City of	Siebal, Danielle	-	3,627,000.00
09/21/09	9/30/2009	Not Started	5706-110	09-812	Angels, City of	Chase, Bridget	-	3,372,800.00
09/16/09	10/1/2009	Not Started	6117-110	09-841	Alameda, City of	Kashkoli, Ahmad	-	750,000.00
09/22/09	10/6/2009	Not Started	6444-110	09-839	Redondo Beach, City of	Mora, Ruben	-	2,200,000.00
09/24/09	10/6/2009	Not Started	6166-110	09-845	Selma, City of	Fong, Mark	-	3,918,620.00
09/08/09	10/6/2009	Not Started	6440-110	09-819	Association of Bay Area Governments	Schmitz, Lori	-	392,000.00
09/25/09	10/12/2009	Not Started	6418-110	09-846	Mission Viejo, City of	Kashkoli, Ahmad	-	1,045,000.00
09/15/09	10/12/2009	Not Started	6135-110	09-840	Hermosa Beach, City of	Mora, Ruben	-	1,265,000.00
09/21/09	10/14/2009	Not Started	6431-110	09-838	Anaheim, City of	Kashkoli, Ahmad	-	230,000.00
09/15/09	10/15/2009	Not Started	6441-110	09-823	Association of Bay Area Governments	Schmitz, Lori	-	5,000,000.00
09/30/09	10/19/2009	Not Started	6222-110	09-847	Santa Monica Bay Restoration Foundation	Mora, Ruben	-	1,889,662.00
09/24/09	10/20/2009	Not Started	6433-110	09-843	American Rivers	Fong, Mark	-	375,000.00
01/20/09	10/21/2009	Not Started	5017-110	09-835	Millbrae, City of	Lee, Wing	-	34,000,000.00
09/15/09	10/26/2009	Not Started	6350-110	09-836	Fresno Metropolitan Flood Control District	Fong, Mark	-	3,892,600.00
01/07/09	10/27/2009	Not Started	5044-110	09-814	Palo Alto, City of	Chase, Bridget	-	8,500,000.00
09/23/09	10/29/2009	Not Started	6500-110	09-844	Literacy for Environmental Justice	Schmitz, Lori	-	350,160.00
09/30/09	10/30/2009	Not Started	4831-110	09-848	Santa Cruz County Sanitation District	Toney, Jennifer	-	16,725,699.00
09/21/95	6/16/1997	Ongoing	6036-110	01-813	Santa Cruz, County of	Magtoto, Mark	268,018.00	1,931,982.00
09/06/02	10/7/2003	Ongoing	4633-110	98-843	Tomales Village Community Services District	Lam, Pat	296,838.00	54,485.00
01/25/06	6/23/2006	Ongoing	4955-110	05-802	Placerville, City of	Bare, Kathy	35,409,406.00	345,840.00
01/26/06	8/25/2006	Ongoing	4693-110	05-803	Petaluma, City of	Lam, Pat	113,237,879.00	12,726,375.00
11/15/06	5/3/2007	Ongoing	4829-110	06-811	Lompoc, City of	Lam, Pat	76,226,786.00	262,230.00

Commitment Date	Contract		Project Number	Contract Number	Party	Project Manager	Non-local	Non-local
	Execution Date	Disbursement Status					Disbursement Amount	Undisbursed Balance
06/20/07	9/14/2007	Ongoing	4632-110	07-815	Alturas, City of	Albrecht, Jeff	1,437,664.00	162,336.00
05/22/07	10/23/2007	Ongoing	4132-110	07-814	Palo Alto, City of	Pontureri, Robert	7,161,547.00	338,423.00
05/22/07	10/23/2007	Ongoing	5051-110	07-810	Reedley, City of	Lee, Wing	26,292,818.00	672,103.00
05/22/07	11/2/2007	Ongoing	4897-110	07-811	Fontana, City of	Bare, Kathy	6,935,226.00	1,339,020.00
07/17/07	11/20/2007	Ongoing	6093-110	07-817	St Helena, City of	Kashkoli, Ahmad	2,290,410.00	6,809,590.00
04/18/07	2/7/2008	Ongoing	4903-110	07-824	Novato Sanitary District	Lee, Wing	59,532,030.00	21,797,053.00
05/22/07	2/13/2008	Ongoing	4699-110	07-812	Crescent City, City of	Ochenduszko, Kyle	33,813,674.00	10,000,502.00
09/07/07	3/10/2008	Ongoing	4438-110	07-828	Colusa, City of	Brown, Meghan	13,950,000.00	1,550,000.00
12/04/07	3/27/2008	Ongoing	4997-110	07-827	Chico, City of	Lam, Pat	35,940,405.00	4,684,456.00
06/05/07	4/18/2008	Ongoing	4470-110	07-836	Colfax, City of	Siebal, Danielle	7,086,948.00	398,917.00
12/11/07	4/24/2008	Ongoing	5085-110	07-829	Union Sanitary District	Lee, Wing	8,006,685.00	2,276,636.00
09/18/07	5/20/2008	Ongoing	5045-110	07-835	Union Sanitary District	Lee, Wing	1,629,069.00	583,363.00
12/04/07	6/3/2008	Ongoing	4111-220	07-833	Chico Urban Area Joint Powers Financing Authority	Lam, Pat	1,259,829.00	30,406,711.00
10/10/07	6/10/2008	Ongoing	4900-130	07-823	Inland Empire Utilities Agency	Pontureri, Robert	1,014,361.00	17,963.00
10/10/07	6/26/2008	Ongoing	4900-120	07-822	Inland Empire Utilities Agency	Pontureri, Robert	4,460,971.00	711,892.00
08/24/07	7/2/2008	Ongoing	4971-220	07-826	Redding, City of	Been, Robert	15,802,917.00	2,391,908.00
01/14/08	8/7/2008	Ongoing	5114-110	08-802	Napa Sanitation District	Ochenduszko, Kyle	650,177.00	776,630.00
11/29/05	9/12/2008	Ongoing	4729-130	08-804	La Mesa, City of	Pontureri, Robert	1,562,980.00	1,480,774.00
03/18/08	9/22/2008	Ongoing	4743-110	08-803	Soledad, City of	Ochenduszko, Kyle	31,530,380.00	11,905,747.00
05/22/07	9/29/2008	Ongoing	4897-220	08-807	Fontana, City of	Bare, Kathy	3,987,957.00	476,943.00
05/22/07	9/29/2008	Ongoing	4897-210	08-805	Fontana, City of	Bare, Kathy	2,824,774.00	691,750.00
09/06/06	10/20/2008	Ongoing	4701-410	08-801	Los Angeles County Sanitation District	Been, Robert	1,407,005.00	2,184,279.00
04/07/08	11/2/2008	Ongoing	4352-110	08-814	Lake Arrowhead Community Services Dist.	Garcia, James	5,218,691.00	2,196,558.00
03/19/04	11/5/2008	Ongoing	4001-610	08-812	Los Angeles County Sanitation District	Been, Robert	2,424,926.00	57,370.00
03/19/04	12/19/2008	Ongoing	4001-600	08-811	Los Angeles County Sanitation District	Been, Robert	3,254,344.00	65,402.00
09/05/07	1/7/2009	Ongoing	4680-110	08-815	Ventura, County of	Siebal, Danielle	3,280,049.00	5,279,639.00
12/05/08	1/15/2009	Ongoing	4148-110	08-817	Rosamond Community Services Dist	Zeichner, Glenn	7,851,089.00	448,911.00
05/22/07	2/9/2009	Ongoing	4916-110	08-816	Los Angeles County Sanitation District	Siebal, Danielle	58,823,129.00	83,267,827.00
06/19/08	2/23/2009	Ongoing	5055-110	08-813	Patterson, City of	Ochenduszko, Kyle	-	1,069,602.00
02/02/09	4/28/2009	Ongoing	5046-110	08-820	Ironhouse Sanitary District	Ochenduszko, Kyle	9,648,461.00	42,351,331.00
08/13/08	5/21/2009	Ongoing	4242-110	08-836	Delano, City of	Zeichner, Glenn	12,810,009.00	20,608,783.00
11/14/08	5/27/2009	Ongoing	5179-110	08-842	San Andreas SD	Chase, Bridget	355,500.00	10,219,996.00
06/04/09	6/4/2009	Ongoing	6935-110	08-302	Gold Ridge Resource Conservation District	Magtoto, Mark	119,292.70	100,198.30
06/04/09	6/4/2009	Ongoing	6936-110	08-301	Gold Ridge Resource Conservation District	Magtoto, Mark	130,036.73	537,413.27
06/04/09	6/5/2009	Ongoing	6944-110	08-305	Mendocino County Department of Transportation	Magtoto, Mark	5,301.59	144,148.41
06/04/09	6/5/2009	Ongoing	6926-110	08-304	Tombales Bay Watershed Council Foundation	Holmes, Kari	149,471.50	650,157.50
08/03/07	6/5/2009	Ongoing	4946-110	08-841	Ventura County Waterworks Dist 16	Siebal, Danielle	3,414,958.00	10,694,584.00
06/04/09	6/12/2009	Ongoing	6934-110	08-309	Morro Bay, of The Bay Foundation	Fong, Mark	48,576.89	229,719.11
06/15/09	6/17/2009	Ongoing	6906-110	08-318	Santa Cruz County Sanitation District	McLean, Laura	-	485,136.00
06/16/09	6/17/2009	Ongoing	6946-110	08-323	Tahoe Resource Conservation District	Albrecht, Jeff	23,592.61	1,454,464.39
06/15/09	6/17/2009	Ongoing	6942-110	08-331	Humboldt County Resource Conservation District	Magtoto, Mark	43,046.38	136,953.62
05/21/09	6/19/2009	Ongoing	5332-110	08-823	Inland Empire Utilities Agency	Pontureri, Robert	60,586.00	1,439,414.00

Commitment Date	Contract		Project Number	Contract Number	Party	Project Manager	Non-local	Non-local
	Execution Date	Disbursement Status					Disbursement Amount	Undisbursed Balance
07/30/09	6/23/2009	Ongoing	4593-110	08-821	Kelseyville CWWDD #3	Brown, Meghan	72,668.00	3,702,440.00
05/07/09	6/23/2009	Ongoing	5100-110	08-845	Eastern Municipal Water District	Garcia, James	3,472,715.00	34,890,175.00
06/15/09	6/24/2009	Ongoing	6962-110	08-342	Hermosa Beach, City of	Peltier, Tom	9,916.58	940,933.42
06/15/09	6/25/2009	Ongoing	6950-110	08-313	Truckee River Watershed Council	Danieli, Mina	11,924.12	361,228.88
06/15/09	6/25/2009	Ongoing	6907-110	08-311	Los Angeles, City of	Peltier, Tom	57,420.00	4,283,000.00
06/15/09	6/30/2009	Ongoing	6948-110	08-341	Sierra Nevada Alliance	Danieli, Mina	27,394.99	169,166.01
03/18/09	7/1/2009	Ongoing	5176-110	08-835	Inland Empire Utilities Agency	Pontureri, Robert	483,524.00	10,034,707.00
03/17/09	7/1/2009	Ongoing	5176-130	08-850	Inland Empire Utilities Agency	Pontureri, Robert	1,391,906.00	9,392,888.00
03/17/09	7/1/2009	Ongoing	5176-120	08-849	Inland Empire Utilities Agency	Pontureri, Robert	828,785.00	10,057,839.00
06/15/09	7/6/2009	Ongoing	6902-110	08-322	University of California, Regents of the	Albrecht, Jeff	187,740.58	2,456,659.42
06/15/09	7/6/2009	Ongoing	6933-110	08-314	Central Coast Vineyard Team	Fong, Mark	51,503.92	86,798.08
06/15/09	7/8/2009	Ongoing	6923-110	08-329	Western Shasta Resource Conservation District	Holmes, Kari	342.35	102,642.65
07/08/09	7/13/2009	Ongoing	6913-110	08-326	Santa Cruz, City of	McLean, Laura	51,560.85	437,747.15
06/23/09	7/13/2009	Ongoing	6927-110	08-332	Central Coast Agricultural Water Quality Coalition	Fong, Mark	19,558.60	410,710.40
06/18/09	7/13/2009	Ongoing	6973-110	08-355	Trinidad Rancheria	Peltier, Tom	64,240.29	1,586,206.71
07/08/09	7/15/2009	Ongoing	6931-110	08-334	Santa Cruz, County of Resource Conservation Distri	Fong, Mark	20,815.97	153,663.03
07/15/09	7/15/2009	Ongoing	6932-110	08-335	Santa Cruz, County of Resource Conservation Distri	Fong, Mark	33,040.75	1,345,733.25
06/23/09	7/15/2009	Ongoing	6952-110	08-339	Western Riverside County Agriculture Coalition	Mora, Ruben	59,051.51	56,101.49
07/08/09	7/15/2009	Ongoing	6930-110	08-333	Santa Cruz, County of	Fong, Mark	23,476.74	264,055.26
06/16/09	7/29/2009	Ongoing	6974-110	08-316	Los Penasquitos Lagoon Foundation	Danieli, Mina	2,332.50	969,833.50
07/08/09	8/3/2009	Ongoing	6925-110	09-307	California Land Stewardship Institute	Holmes, Kari	62,352.30	300,189.70
08/03/09	8/31/2009	Ongoing	6439-110	09-810	Gateway IRWM Authority	Magtoto, Mark	144,565.26	9,855,434.74
07/06/09	9/15/2009	Ongoing	5311-110	09-809	Eastern Municipal Water District	Garcia, James	6,495,890.00	39,819,523.00
08/25/09	9/17/2009	Ongoing	5675-110	09-309	London Community Services District	Chase, Bridget	12,799.00	1,124,401.00
Commitment Status:	Complete		Loan Status:	No Contract				
01/17/03		Not Started	4395-110		San Diego, City of	Pontureri, Robert	-	0.00
02/25/09		Not Started	5115-130	09-805	Upper San Gabriel Valley Municipal Water District	Wong, Rich	-	0.00
02/25/09		Not Started	5115-120	09-804	Upper San Gabriel Valley Municipal Water District	Wong, Rich	-	0.00
02/25/09		Not Started	5115-110	09-803	Upper San Gabriel Valley Municipal Water District	Wong, Rich	-	0.00
09/03/09		Not Started	5220-110	09-813	Union Sanitary District	Lee, Wing	-	0.00
09/16/09		Not Started	6109-110	09-820	South Lake Tahoe, City of	Danieli, Mina	-	1,500,000.00
09/06/06		Not Started	4701-420		Los Angeles County Sanitation District	Been, Robert	-	0.00
07/07/09		Not Started	4746-120		Los Angeles County Sanitation District	Garcia, James	-	0.00
09/08/09		Not Started	6320-110	09-822	Hillsborough, Town of	Fong, Mark	-	300,000.00
10/15/09		Not Started	4688-110		Oakdale, City of	Chase, Bridget	-	0.00
07/07/09		Not Started	4746-110		Los Angeles County Sanitation District	Garcia, James	-	0.00
03/19/04		Not Started	4001-480		Los Angeles County Sanitation District	Been, Robert	-	0.00
07/08/09		Not Started	6947-110	08-303	San Francisco, Port of	Holmes, Kari	-	1,548,645.00
07/07/09		Not Started	4746-140		Los Angeles County Sanitation District	Garcia, James	-	0.00
02/25/09		Not Started	5115-140	09-806	Upper San Gabriel Valley Municipal Water District	Wong, Rich	-	0.00
07/08/09		Not Started	6966-110	08-353	Friends of the Santa Clara River	Danieli, Mina	-	165,018.00

Commitment Date	Contract Execution Date	Disbursement Status	Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
07/07/09		Not Started	4746-130		Los Angeles County Sanitation District	Garcia, James	-	0.00
Commitment Status:	Incomplete		Loan Status:	Executed Contract				
04/27/09	10/1/2009	Not Started	4655-140	09-815	Piedmont, City of	Zeichner, Glenn	-	4,171,500.00
Commitment Status:	Incomplete		Loan Status:	No Contract				
12/01/09		Not Started	7237-110		East Palo Alto, City of	Bare, Kathy	-	0.00
		Not Started	6131-110		Mendocino City Community Services Dist.	Magtoto, Mark	-	0.00
		Not Started	6221-110		Plumas Corporation	Fong, Mark	-	0.00
		Not Started	6212-110		Redondo Beach, City of	Mora, Ruben	-	0.00
09/01/09		Not Started	5490-110		Del Mar, City of	Bare, Kathy	-	0.00
04/12/09		Not Started	4966-110		Amador Water Agency	Lee, Wing	-	0.00
		Not Started	6442-110		Vallejo Sanitation & Flood Dist.	Fong, Mark	-	0.00
10/06/09		Not Started	4049-110		Williams, City of	Lawrence, Andrew	-	0.00
03/01/10		Not Started	6374-110		Pismo Beach, City of	Bare, Kathy	-	0.00
		Not Started	6435-110		Plumas Corporation	Fong, Mark	-	0.00
12/31/09		Not Started	4730-110		San Juan Capistrano, City of	Balgobin, David	-	0.00
		Not Started	6429-110		California Land Stewardship Institute	Fong, Mark	-	0.00
		Not Started	6182-110		Alameda County Water District (ACWD)	Fong, Mark	-	0.00
03/01/10		Not Started	5796-110		Oakland, City of	Wooldridge, Kyle	-	0.00
		Not Started	6239-110		Selma, City of	Fong, Mark	-	0.00
11/19/09		Not Started	4001-560		Los Angeles County Sanitation District	Been, Robert	-	0.00
		Not Started	6241-110		Selma, City of	Fong, Mark	-	0.00
03/01/10		Not Started	5836-110		Oakland, City of	Wooldridge, Kyle	-	0.00
03/01/10		Not Started	5838-110		Oakland, City of	Wooldridge, Kyle	-	0.00
		Not Started	6434-110		Watsonville Wetlands Watch	Kashkoli, Ahmad	-	0.00
01/30/10		Not Started	5061-110		Banning, City of	Badyal, Daman	-	0.00
		Not Started	6201-110		Plumas Corporation	Fong, Mark	-	0.00
		Not Started	6187-110		Pacific Coast Fish, Wildlife and Wetlands Restorat	Kashkoli, Ahmad	-	0.00
		Not Started	6436-110		Gold Ridge Resource Conservation District	Magtoto, Mark	-	0.00
		Not Started	4654-110		Sacramento, City of	Lee, Wing	-	0.00
09/30/09		Not Started	5092-110		Goleta Sanitary District	Zeichner, Glenn	-	0.00
		Not Started	6392-110		Gold Ridge Resource Conservation District	Magtoto, Mark	-	0.00
		Not Started	6133-110		Mendocino City Community Services Dist.	Magtoto, Mark	-	0.00
10/15/09		Not Started	4188-110		Yucaipa Valley Water District	Pontureri, Robert	-	0.00
		Not Started	6228-110		The River Exchange	Fong, Mark	-	0.00
09/04/09		Not Started	6113-110		Bioengineering Institute	Schmitz, Lori	-	0.00
		Not Started	6438-110		Orange, County of	Mora, Ruben	-	0.00
		Not Started	6168-110		Brea, City of	Mora, Ruben	-	0.00
11/18/09		Not Started	4998-110		North Coast CWD	Badyal, Daman	-	0.00
11/20/09		Not Started	7245-110		El Toro Water District	Toney, Jennifer	-	0.00
		Not Started	6423-110		Napa County Flood Control and Water Conservation D	Fong, Mark	-	0.00
11/01/11		Not Started	7309-110		Consolidated Fire Protection District of Los Angel	Lam, Pat	-	0.00

Commitment Date	Contract Execution Date	Disbursement Status	Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
		Not Started	5348-110		Yurok Tribe	Schmitz, Lori	-	0.00
		Not Started	5380-110		Redding, City of	Been, Robert	-	0.00
		Not Started	5835-110		Redding, City of	Been, Robert	-	0.00
		Not Started	7456-110		Redding, City of	Been, Robert	-	0.00
12/30/09		Not Started	7502-110		Glendora, City of	Wong, Rich	-	0.00
12/20/09		Not Started	5911-110		Elsinore Valley Municipal Water District	Badyal, Daman	-	0.00
01/08/10		Not Started	5491-110		Los Angeles Department of Water and Power	Pontureri, Robert	-	0.00
01/15/10		Not Started	7476-110		Yucaipa Valley Water District	Pontureri, Robert	-	0.00
10/30/09		Not Started	5513-110		Sacramento, City of	Lee, Wing	-	0.00
05/31/11		Not Started	5233-140		South County Regional Wastewater Authority	Lam, Pat	-	0.00
01/10/10		Not Started	5977-110		Elsinore Valley Municipal Water District	Badyal, Daman	-	0.00
		Not Started	5563-110		Tehachapi, City of	Toney, Jennifer	-	0.00
		Not Started	5913-110		Ventura County Waterworks Dist 1	Garcia, James	-	0.00
		Not Started	7433-110		Tehachapi, City of	Wong, Rich	-	0.00
12/20/09		Not Started	5373-110		Ontario, City of Utilities Department	Wong, Rich	-	0.00
		Not Started	7451-110		Pasadena, City of	Unassigned	-	0.00
		Not Started	5973-110		Sacramento Regional County Sanitation Dist.	Toney, Jennifer	-	0.00
		Not Started	7463-110		La Puente, City of	Zeichner, Glenn	-	0.00
02/18/10		Not Started	7085-110		Stockton, City of	Chase, Bridget	-	0.00
02/05/10		Not Started	5713-110		Stockton, City of	Chase, Bridget	-	0.00
12/30/09		Not Started	5571-110		Delta Diablo Sanitation District	Badyal, Daman	-	0.00
		Not Started	5531-110		Marin Municipal Water District	Wong, Rich	-	0.00
12/31/09		Not Started	7342-110		Las Gallinas SD	Lam, Pat	-	0.00
12/01/09		Not Started	5610-110		Santa Ana Watershed Project Authority	Zeichner, Glenn	-	0.00
		Not Started	7341-110		Laguna Beach, City of	Toney, Jennifer	-	0.00
03/01/10		Not Started	5985-110		Beverly Hills, City of	Toney, Jennifer	-	0.00
		Not Started	6497-110		San Diego, County of	Unassigned	-	0.00
		Not Started	6416-110		Santa Cruz, Ecology Action of	Kashkoli, Ahmad	-	0.00
		Not Started	6499-110		Sutter County	Unassigned	-	0.00
02/01/10		Not Started	5136-110		Shafter, City of	Brown, Meghan	-	0.00
11/19/09		Not Started	7058-110		Pismo Beach, City of	Johnston, Paul	-	0.00
12/20/09		Not Started	4313-110		Elsinore Valley Municipal Water District	Badyal, Daman	-	0.00
12/15/09		Not Started	5827-110		Chino Hills, City of	Badyal, Daman	-	0.00
11/30/09		Not Started	5471-110		Marina Coast Water District	Balgobin, David	-	0.00
		Not Started	6428-110		Alameda, City of	Kashkoli, Ahmad	-	0.00
02/28/10		Not Started	4732-110		Moulton Niguel Water District	Balgobin, David	-	0.00
10/30/09		Not Started	5872-110		Los Angeles County Waterworks District 40	Johnston, Paul	-	0.00
12/21/09		Not Started	5211-110		North Marin Water District	Wong, Rich	-	0.00
07/07/10		Not Started	6092-110		Sacramento, City of	Schmitz, Lori	-	0.00
		Not Started	5670-110		San Bruno, City of	Zeichner, Glenn	-	0.00
		Not Started	5602-110		Turlock, City of	Toney, Jennifer	-	0.00
		Not Started	5256-110		Turlock, City of	Toney, Jennifer	-	0.00

Commitment Date	Contract Execution Date	Disbursement Status	Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
		Not Started	5811-110		San Leandro, City of	Zeichner, Glenn	-	0.00
		Not Started	5815-110		San Leandro, City of	Zeichner, Glenn	-	0.00
		Not Started	5813-110		San Leandro, City of	Zeichner, Glenn	-	0.00
		Not Started	7001-110		San Leandro, City of	Zeichner, Glenn	-	0.00
		Not Started	7002-110		San Leandro, City of	Zeichner, Glenn	-	0.00
		Not Started	5625-110		Salinas, City of	Bare, Kathy	-	0.00
12/01/09		Not Started	5956-110		East Palo Alto, City of	Bare, Kathy	-	0.00
09/01/09		Not Started	5376-110		Victor Valley Wastewater Rec Authority	Wooldridge, Kyle	-	0.00
01/31/11		Not Started	5405-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
08/12/09		Not Started	5152-110		Burlingame, City of	Lee, Wing	-	0.00
		Not Started	5400-110		Paso Robles, City of	Willis, Jim	-	0.00
		Not Started	5411-110		Willits, City of	Siebal, Danielle	-	0.00
		Not Started	6197-110		Pacific Coast Fish, Wildlife and Wetlands Restorat	Kashkoli, Ahmad	-	0.00
09/01/11		Not Started	6310-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
08/13/09		Not Started	5160-110		Sunnyslope County Water District	Lee, Wing	-	0.00
03/01/11		Not Started	5410-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
01/15/11		Not Started	5409-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
03/01/11		Not Started	5408-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
02/13/10		Not Started	5603-110		Santa Clara, City of	Lee, Wing	-	0.00
02/01/11		Not Started	5406-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
10/07/09		Not Started	5219-110		Union Sanitary District	Lee, Wing	-	0.00
04/01/11		Not Started	5404-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
06/01/11		Not Started	5403-110		Hillsborough, Town of	Lam, Pat	-	0.00
08/01/11		Not Started	5402-110		Hillsborough, Town of	Lam, Pat	-	0.00
		Not Started	6278-110		Trout Unlimited	Magtoto, Mark	-	0.00
12/30/09		Not Started	5354-110		Sausalito, City of	Lee, Wing	-	0.00
		Not Started	6282-110		Trout Unlimited	Magtoto, Mark	-	0.00
10/13/09		Not Started	4352-210		Lake Arrowhead Community Services Dist.	Garcia, James	-	0.00
		Not Started	6247-110		Trout Unlimited	Magtoto, Mark	-	0.00
12/11/09		Not Started	5351-110		Castro Valley Sanitary District	Wooldridge, Kyle	-	0.00
11/13/09		Not Started	5159-110		Eastern Municipal Water District	Garcia, James	-	0.00
08/01/11		Not Started	5407-110		San Francisco Public Utilities Commission	Lam, Pat	-	0.00
		Not Started	5539-110		Los Angeles County Sanitation District	Been, Robert	-	0.00
12/01/09		Not Started	7445-110		South Tahoe Public Utility District	Ochendusko, Kyle	-	0.00
12/01/09		Not Started	5606-110		South Tahoe Public Utility District	Ochendusko, Kyle	-	0.00
12/01/09		Not Started	5478-110		South Tahoe Public Utility District	Ochendusko, Kyle	-	0.00
12/01/09		Not Started	5608-110		South Tahoe Public Utility District	Ochendusko, Kyle	-	0.00
12/01/09		Not Started	5611-110		South Tahoe Public Utility District	Ochendusko, Kyle	-	0.00
11/30/09		Not Started	5377-110		Fontana, City of	Wong, Rich	-	0.00
11/01/09		Not Started	6492-110		Regents of the University of California, San Diego	Bare, Kathy	-	0.00
		Not Started	6916-110		California Land Stewardship Institute	Holmes, Kari	-	0.00
		Not Started	5599-110		Lathrop, City of	Wooldridge, Kyle	-	0.00

Commitment Date	Contract Execution Date	Disbursement Status	Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
		Not Started	6205-110		Pacific Coast Fish, Wildlife and Wetlands Restorat	Kashkoli, Ahmad	-	0.00
03/15/11		Not Started	5233-120		South County Regional Wastewater Authority	Lam, Pat	-	0.00
		Not Started	6098-110		Merced, County of	Willis, Jim	-	0.00
12/30/09		Not Started	4829-120		Lompoc, City of	Lam, Pat	-	0.00
		Not Started	6406-110		Los Angeles & San Gabriel Rivers Watershed Council	Mora, Ruben	-	0.00
		Not Started	6900-110		California Certified Organic Farmers Foundation	Kashkoli, Ahmad	-	0.00
		Not Started	6181-110		Alameda County Water District (ACWD)	Fong, Mark	-	0.00
03/01/10		Not Started	5030-110		East Bay Municipal Utility District	Toney, Jennifer	-	0.00
02/15/10		Not Started	5105-110		East Bay Municipal Utility District	Toney, Jennifer	-	0.00
12/15/09		Not Started	5859-110		Santa Barbara, City of	Toney, Jennifer	-	0.00
12/15/09		Not Started	6401-110		Santa Barbara, City of	Toney, Jennifer	-	0.00
		Not Started	6391-110		Costa Mesa Sanitary District	Bare, Kathy	-	0.00
12/31/10		Not Started	7301-110		Rialto, City of	Lam, Pat	-	0.00
11/01/09		Not Started	5617-110		Salinas, City of	Bare, Kathy	-	0.00
09/01/09		Not Started	5833-110		Sacramento Regional County Sanitation Dist.	Bare, Kathy	-	0.00
11/19/09		Not Started	4001-640		Los Angeles County Sanitation District	Been, Robert	-	0.00
09/01/09		Not Started	5173-110		Modesto, City of	Wooldridge, Kyle	-	0.00
		Not Started	6368-110		Salinas, City of	Kashkoli, Ahmad	-	0.00
		Not Started	6398-110		Santa Cruz, Ecology Action of	Kashkoli, Ahmad	-	0.00
09/01/09		Not Started	5151-110		Ross Valley Sanitation District	Wooldridge, Kyle	-	0.00
		Not Started	6213-110		American Rivers	Fong, Mark	-	0.00
		Not Started	6104-110		South Lake Tahoe, City of	Unassigned	-	0.00
		Not Started	6343-110		Napa Resource Conservation District	Fong, Mark	-	0.00
10/01/09		Not Started	6220-110		Westminster, City of	Bare, Kathy	-	0.00
		Not Started	5175-110		Modesto, City of	Wooldridge, Kyle	-	0.00
10/01/10		Not Started	5208-110		Fairfield-Suisun Sewer District	Bare, Kathy	-	0.00
		Not Started	5174-110		Modesto, City of	Wooldridge, Kyle	-	0.00
02/17/11		Not Started	5233-130		South County Regional Wastewater Authority	Lam, Pat	-	0.00
		Not Started	5228-110		Monterey, City of	Toney, Jennifer	-	0.00
04/01/10		Not Started	7462-110		Wheatland, City of	Wooldridge, Kyle	-	0.00
		Not Started	6426-110		Los Angeles Department of Water and Power	Mora, Ruben	-	0.00
11/15/09		Not Started	4971-260		Redding, City of	Been, Robert	-	0.00
		Not Started	6427-110		Contra Costa County Flood Control and Water Conser	Fong, Mark	-	0.00
12/15/09		Not Started	4971-240		Redding, City of	Been, Robert	-	0.00
12/15/09		Not Started	4971-230		Redding, City of	Been, Robert	-	0.00
		Not Started	6192-110		Contra Costa Resource Conservation District	Fong, Mark	-	0.00
03/01/10		Not Started	5837-110		Oakland, City of	Wooldridge, Kyle	-	0.00
11/19/09		Not Started	4001-630		Los Angeles County Sanitation District	Been, Robert	-	0.00
		Not Started	6419-110		Solano Resource Conservation District	Kashkoli, Ahmad	-	0.00
		Not Started	5350-110		Santa Rosa, City of	Willis, Jim	-	0.00
		Not Started	5187-110		Santa Rosa, City of	Willis, Jim	-	0.00

Commitment Date	Contract Execution Date	Disbursement Status	Project Number	Contract Number	Party	Project Manager	Non-local Disbursement Amount	Non-local Undisbursed Balance
		Not Started	5186-110		Santa Rosa, City of	Willis, Jim	-	0.00
		Not Started	5185-110		Santa Rosa, City of	Willis, Jim	-	0.00
		Not Started	5310-110		Seal Beach, City of	Willis, Jim	-	0.00
11/13/09		Not Started	5388-110		South San Luis Obispo County Sanitation Dist.	Ochenduszko, Kyle	-	0.00
11/30/10		Not Started	5230-110		San Luis Obispo, County of	Ochenduszko, Kyle	-	0.00
09/18/09		Not Started	5384-110		West Basin Municipal Water District	Johnston, Paul	-	0.00
10/15/09		Not Started	5383-110		West Basin Municipal Water District	Johnston, Paul	-	0.00
		Not Started	5175-210		Modesto, City of	Wooldridge, Kyle	-	0.00
06/05/07		Not Started	4470-120		Colfax, City of	Siebal, Danielle	-	0.00
12/31/09		Not Started	4167-110		South Orange County WW Auth.	Balgobin, David	-	0.00
		Not Started	6150-110		Mattole Restoration Council	Kashkoli, Ahmad	-	0.00
05/31/11		Not Started	5233-110		South County Regional Wastewater Authority	Lam, Pat	-	0.00
		Not Started	6421-110		Los Angeles Department of Water and Power	Mora, Ruben	-	0.00
		Not Started	6422-110		Sonoma County WA	Fong, Mark	-	0.00
12/31/09		Not Started	4516-110		San Clemente, City of	Balgobin, David	-	0.00
		Not Started	6136-110		Malibu, City of	Mora, Ruben	-	0.00
		Not Started	4986-110		Graton County Service Area #2	Lee, Wing	-	0.00
12/20/09		Not Started	5020-110		East Bay Municipal Utility District	Badyal, Daman	-	0.00
		Not Started	5091-110		Morro Bay, City of	Willis, Jim	-	0.00
		Not Started	6371-110		Salinas, City of	Kashkoli, Ahmad	-	0.00
		Not Started	5353-110		San Mateo, County of	Willis, Jim	-	0.00
							1,196,947,368	\$ 834,814,207

Exhibit C – DBE Report for State Fiscal Year 2008/2009

Exhibit C - DBE Report for State Fiscal Year 2008/2009

DBE Annual Report - SFY 2008/2009

Loan No.	Recipient	Bid Amount	PAID TOTALS TO DATE			4th Quarter			1st Quarter			2nd Quarter			3rd Quarter		
			*Paid Totals Include MBE/WBE & Non MBE/WBE Subs			July-Sept. (2007 FY)			Oct-Dec (2008 FY)			Jan-Mar (2008 FY)			April-June (2008 FY)		
			Totals*	MBE	WBE	Awarded	MBE	WBE	Awarded	MBE	WBE	Awarded	MBE	WBE	Awarded	MBE	WBE
	2006 Cap Grant																
4729-110	La Mesa, City of	\$4,622,309	\$3,254,776	\$14,565	\$0	\$5,130	\$4,688	\$0	\$612,420	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4729-120	La Mesa, City of	\$2,714,837	\$920,861	\$18,173	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$920,861	\$18,173	\$0	\$920,861	\$18,173	
4846-160	Inland Empire Util. Dist.	\$1,513,291	\$1,925,872	\$1,598,338	\$10,968	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4825-110	Hayward, City of	\$48,770,000	\$48,024,707	\$274,326	\$8,211,982	\$5,878,127	\$2,088	\$1,229,294	\$4,359,108	\$118,350	\$1,176,430	\$2,722,882	\$8,250	\$671,098	\$0	\$0	
4462-130	Orange Co. Water Dist.	\$16,084,005	\$16,762,433	\$156,274	\$110,199	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
	2006 Cap = \$64,801,235	\$73,704,442	\$70,888,649	\$2,061,676	\$8,333,149	\$5,883,257	\$6,776	\$1,229,294	\$4,971,528	\$118,350	\$1,176,430	3,643,743	\$26,423	\$671,098	\$920,861	\$18,173	
	2007 Cap Grant																
4955-110	Placerville, City of	\$38,635,000	\$17,906,043	\$0	\$0	\$4,818,344	\$0	\$0	\$2,005,094	\$0	\$0	\$4,583,952	\$0	\$0	\$6,498,653	\$0	
4968-110	Nevada County SD	\$16,251,476	\$14,156,580	\$0	\$0	\$6,732,209	\$0	\$0	\$4,997,828	\$0	\$0	\$0	\$0	\$0	\$2,426,543	\$0	
4969-110	Nevada County SD	\$10,325,300	\$1,802,932	\$80,113	\$14,102	\$1,802,932	\$80,113	\$14,102	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4971-110	Redding, City of	\$3,650,000	\$1,738,304	\$0	\$0	\$1,445,438	\$0	\$0	\$292,866	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4971-210	Redding, City of	\$4,185,250	\$3,863,990	\$26,355	\$26,833	\$548,792	\$0	\$478	\$1,689,050	\$19,418	\$19,418	\$719,484	\$0	\$0	\$906,664	\$6,937	
4971-220	Redding, City of	\$18,194,825	\$2,528,637	\$0	\$32,951	\$0	\$0	\$0	\$285,907	\$0	\$0	\$527,571	\$0	\$0	\$1,715,159	\$0	
4632-110	Alturas, City of	\$2,899,877	\$2,742,332	\$283,376	\$114,811	\$0	\$0	\$1,087,009	\$60,771	\$84,440	\$774,541	\$0	\$19,099	\$880,782	\$222,605	\$11,272	
5051-110	Reedley, City of	\$24,092,650	\$8,102,606	\$47,372	\$252,684	\$0	\$0	\$0	\$2,337,335	\$35,750	\$110,052	\$2,534,445	\$10,615	\$125,106	\$3,230,826	\$1,007	
	2007 Cap = \$92,791,710	\$118,234,378	\$52,841,424	\$437,216	\$441,381	\$15,347,715	\$80,113	\$14,580	\$12,695,089	\$115,939	\$213,910	\$9,139,993	\$10,615	\$144,205	\$15,658,627	\$230,549	
	2008 Cap Grant																
4200-110	Yucaipa V.W.D.	\$38,549,886	\$3,337,742	\$151,377	\$0	2,234,590	\$151,377	\$0	\$845,329	\$0	\$0	\$0	\$0	\$0	\$257,823	\$0	
4438-110	Colusa, City of	\$15,354,302	\$5,038,184	\$990	\$98,129	3,417,473	\$990	\$82,127	1,620,711	0	8,001	\$0	\$0	\$8,001	\$0	\$0	
4462-150	Orange Co. Water Dist.	\$292,060,086	\$5,714,819	\$0	\$4,725,824	\$5,365,390	\$0	\$4,725,824	\$349,429	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4699-110	Crescent City, City of	\$37,739,453	\$9,407,343	\$117,316	\$0	\$4,016,311	\$77,594	\$0	\$3,462,207	\$7,608	\$0	\$0	\$7,608	\$0	\$1,928,825	\$24,506	
4693-110	Petaluma, City of	\$110,329,000	\$7,112,311	\$12,115	\$400,560	\$3,915,108	\$12,115	\$0	\$3,197,203	\$0	\$200,280	\$0	\$0	\$200,280	\$0	\$0	
4829-110	Lompoc, City of	\$79,442,373	\$23,167,293	\$37,300	\$257,180	11,967,279	0	0	11,200,014	18,650	128,590	\$0	\$18,650	\$128,590	\$0	\$0	
4897-110	Fontana, City of	\$9,973,960	\$2,170,020	\$50,400	\$0	\$2,170,020	\$50,400	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4900-120	Inland Empire Util. Dist.	\$8,088,000	\$3,946,929	\$49,741	\$2,383,449	\$2,857,067	\$13,741	\$2,383,449	\$1,089,862	\$18,000	\$0	\$0	\$18,000	\$0	\$0	\$0	
	2008 Cap = \$92,791,710	\$591,537,060	\$59,894,641	\$419,239	\$7,865,142	\$35,943,238	\$306,217	\$7,191,400	\$21,764,755	\$44,258	\$336,871	\$0	\$44,258	\$336,871	\$2,186,648	\$24,506	
	2009 Cap Grant																
4971-220	Redding, City of	\$18,194,825	\$6,525,039	\$0	\$643,086	\$2,723,325	\$0	\$369,946	\$3,801,714	\$0	\$136,570	\$0	\$0	\$136,570	\$0	\$0	
5051-110	Reedley, City of	\$24,092,650	\$28,492,832	\$51,038	\$52,991	\$0	\$0	\$0	\$6,100,112	\$19,526	\$20,463	\$2,150,865	\$26,437	\$29,638	\$20,241,855	\$5,075	
4823-110	Sonoma Valley CSD	\$5,957,000	\$223,623	\$0	\$0	\$223,623	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4900-130	Inland Empire Util. Dist.	\$1,568,010	\$369,913	\$6,930	\$8,441	\$369,913	\$6,930	\$8,441	\$338,553	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4955-110	Placerville, City of	\$38,635,000	\$710,762	\$0	\$0	\$710,762	\$0	\$0	\$2,062,650	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
4900-110	Inland Empire Util. Dist.	\$4,577,250	\$894,667	\$0	\$0	\$894,667	\$0	\$0	\$370,419	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
5114-110	Napa Sanitation District	\$1,441,791	\$578,700	\$0	\$0	\$578,700	\$0	\$0	\$364,363	\$0	\$0	\$364,363	\$0	\$0	\$0	\$0	
	2009 Cap = \$48,922,291	\$94,466,526	\$37,795,536	\$57,968	\$704,518	\$5,500,990	\$6,930	\$378,387	\$13,037,811	\$19,526	\$157,033	\$2,515,228	\$26,437	\$166,208	\$20,241,855	\$5,075	

Note: The data covers DBE participation from July 1, 2008 to June 30, 2009. The participation for CWSRF contracts reported by local agencies in SFY 2008/2009 was 1.5 percent for MBE and 18.6 percent for WBE. The overall DBE participation was 20.1 percent.

Exhibit D – 2008/2009 Projects Funded by Needs Category

Exhibit D - 2008/2009 Projects Funded by Needs Category

**2008/2009 Intended Use Plan Projects
List of Funded Projects by Needs Category**

Loan Recipient Name	Project Number	IUP Projected Amount	Agreement Date	Priority Class	Cat. I	Cat. II	Cat. III-A	Cat. III-B	Cat. IV-A	Cat. IV-B	Cat. VI	Cat. VII-B	Cat. VII-D	Cat. VII-K	Cat. VII-L	Cat X	Total Agreement Amount
Los Angeles County Sanitation District	4001-540	\$5,330,364	11/5/08	B	\$5,330,364												\$5,330,364
Los Angeles County Sanitation District	4001-550	\$2,947,840	1/23/09	B	\$2,947,840												\$2,947,840
Los Angeles County Sanitation District	4001-600	\$3,983,711	12/19/09	B	\$3,983,711												\$3,983,711
Los Angeles County Sanitation District	4001-610	\$2,978,767	11/5/08	B	\$2,978,767												\$2,978,767
Delano, City of	4242-110	\$33,418,792	5/21/09	C	\$21,053,839					\$6,683,758						\$5,681,195	\$33,418,792
Kelseyville CWWID #3	4593-110	\$3,479,050	6/23/09	A	\$3,479,050												\$3,479,050
Merced, City of	4682-110	\$34,980,632	6/9/09	C	\$31,482,569	\$3,498,063											\$34,980,632
Los Angeles County Sanitation District	4701-410	\$4,309,558	10/20/08	B	\$4,309,558												\$4,309,558
Pismo Beach, City of	4793-130	\$847,763	5/21/09	C	\$847,763												\$847,763
Ventura County Waterworks Dist 16	4946-110	\$14,109,542	6/5/09	C	\$14,109,542												\$14,109,542
Redding, City of	4971-220	\$18,194,825	7/2/08	D	\$14,555,860	\$3,638,965											\$18,194,825
Millbrae, City of	5017-110	\$34,000,000	6/5/09	C	\$34,000,000												\$34,000,000
Patterson, City of	5055-110	\$1,069,602	2/23/09	C	\$1,069,602												\$1,069,602
Eastern Municipal Water District	5100-110	\$38,362,890	6/23/09	C	\$38,362,890												\$38,362,890
San Andreas SD	5179-110	\$10,575,496	5/27/09	C	\$10,575,496												\$10,575,496
Rosamond Community Services District	4148-110	\$8,300,000	1/15/09	C		\$8,300,000											\$83,000,000
Soledad, City of	4743-110	\$52,123,561	9/22/08	B	\$52,123,561												\$52,123,561
Los Angeles County Sanitation District	4916-110	\$142,090,956	2/9/09	C		\$127,881,860										\$14,209,096	\$142,090,956
Ironhouse Sanitary District	5046-110	\$62,400,000	4/28/09	D		\$62,400,000											\$62,400,000
Grass Valley, City of	5047-110	\$1,325,859	3/3/09	C		\$1,325,859											\$1,325,859
La Mesa, City of	4729-130	\$3,043,754	9/12/08	C			\$3,043,754										\$3,043,754
Napa Sanitation District	5114-110	\$1,712,175	8/7/08	C			\$1,712,175										\$1,712,175
Napa Sanitation District	5114-120	\$219,444	1/28/09	C			\$219,444										\$219,444
Morro Bay, of The Bay Foundation	6934-110	\$278,296	6/12/09	D				\$69,574					\$69,574				\$278,296
Ventura County of	4680-110	\$8,559,688	1/7/09	B					\$8,559,688								\$8,559,688
Fontana, City of	4897-210	\$4,219,846	9/29/08	C						\$4,219,846							\$4,219,846
Fontana, City of	4897-220	\$5,357,901	9/29/08	D						\$5,357,901							\$5,357,901
Santa Cruz County Sanitation District	6906-110	\$485,136	6/17/09	D							\$485,136						\$485,136
Los Angeles, City of	6907-110	\$4,340,420	6/25/09	B							\$4,340,420						\$4,340,420
Santa Cruz County Sanitation District	6909-110	\$168,750	6/25/09	D							\$168,750						\$168,750
Hermosa Beach, City of	6962-110	\$950,850	6/24/09	B							\$950,850						\$950,850
Redondo Beach, City of	6970-110	\$202,000	6/10/09	B							\$202,000						\$202,000
Lake Arrowhead Community Services Dist	4352-110	\$7,415,249	11/2/08	C												\$7,415,249	\$7,415,249
Inland Empire Utilities Agency	5332-110	\$1,500,000	6/19/09	C												\$1,500,000	\$1,500,000
Humboldt County Resource Conservation District	6942-110	\$180,000	6/17/09	D								\$180,000					\$180,000
Gold Ridge Resource Conservation District	6935-110	\$219,491	6/4/09	D									\$219,491				\$219,491
Gold Ridge Resource Conservation District	6936-110	\$667,450	6/4/09	D									\$667,450				\$667,450
Mendocino County Department of Transportation	6944-110	\$149,450	6/5/09	D										\$149,450			\$149,450
Tahoe Resource Conservation District	6946-110	\$1,478,057	6/17/09	D									\$1,478,057				\$1,478,057
Conservation Corps North Bay	6915-110	\$394,468	6/12/09	D										\$394,468			\$394,468
Plumas Corporation	6917-110	\$158,577	6/5/09	D										\$158,577			\$158,577
Chico, City of	6918-110	\$561,109	6/16/09	D										\$561,109			\$561,109
Tomales Bay Watershed Council Foundation	6926-110	\$799,629	6/5/09	D										\$799,629			\$799,629
Sierra Nevada Alliance	6948-110	\$196,561	6/30/09	D										\$196,561			\$196,561
Truckee River Watershed Council	6950-110	\$373,153	6/25/09	D										\$373,153			\$373,153
Long Beach, City of	6951-110	\$3,202,977	6/23/09	B						\$1,601,489				\$1,601,488			\$3,202,977
Mission Resource Conservation District	6975-110	\$294,746	6/24/09	D										\$294,746			\$294,746
Total		\$521,958,385			\$189,086,851	\$259,237,882	\$5,044,947	\$69,574	\$8,559,688	\$17,862,994	\$6,147,156	\$180,000	\$2,584,022	\$4,379,731	\$0	\$28,805,540	\$521,958,385

Priority Class

- A- Public Health Problems
- B- Pollution of Impaired Water Bodies
- C- Compliance With Requirements and Water Recycling Projects
- D- Projects Serving as Preventative Measures

Need Categories

- I - Secondary Treatment
- II - Advance Treatment
- III-A - Infiltration/Inflow Correction
- III-B - Major Sewer System Rehabilitation
- VI - Storm Sewers
- VII-B - NPS Agricultural Animals
- VII-D - NPS Urban (Except Septic Systems)
- VII-K - NPS Hydromodification

Note:

In SFY 2008/2009 there were 47 binding commitments for a total of \$521,958,385. In addition to the above, there was a total positive adjustment of \$2,929,220 for encumbrances and disencumbrances to previous binding commitments. Therefore, total committed was \$524,887,605 as reported to NIMS

Exhibit E – 2008/2009 Non-Funded Projects

Exhibit E - 2008/2009 Non-Funded Projects

2008/2009 Intended Use Plan Projects List of Non-Funded Projects

Loan Recipient Name	Project Number	IUP Projected Amount	Priority Class	Reason For Delay
LOS ANGELES COUNTY SANITATION DISTRICT	4001-480	\$13,117,000	B	1
LOS ANGELES COUNTY SANITATION DISTRICT	4001-560	\$36,640,000	B	1
LOS ANGELES COUNTY SANITATION DISTRICT	4001-630	\$10,000,000	B	1
LOS ANGELES COUNTY SANITATION DISTRICT	4001-640	\$20,000,000	B	1
WILLIAMS, CITY OF	4049-110	\$12,293,406	C	1
SOUTH ORANGE COUNTY WW AUTH.	4167-110	\$15,000,000	C	1
YUCAIPA VALLEY WATER DISTRICT	4188-110	\$5,030,450	C	1
CITY OF SAN DIEGO	4395-110	\$46,500,000	B	1, 2
COLFAX, CITY OF	4470-120	\$5,700,811	C	1
BRAWLEY, CITY OF	4502-110	\$25,000,000	B	1
SAN CLEMENTE, CITY OF	4516-110	\$13,778,700	C	1
PIEDMONT, CITY OF	4655-140	\$3,520,000	D	1
PIEDMONT, CITY OF	4655-150	\$4,733,080	C	1
VENTURA COUNTY SERVICE AREA 34	4680-120-140	\$18,700,000	B	1, 2
OAKDALE, CITY OF	4688-110	\$12,000,000	D	1
LOS ANGELES COUNTY SANITATION DISTRICT	4701-420	\$10,350,000	B	1
LOS ANGELES COUNTY SANITATION DISTRICT	4746-110	\$135,000,000	C	1
LOS ANGELES COUNTY SANITATION DISTRICT	4746-120	\$18,000,000	C	1
LOS ANGELES COUNTY SANITATION DISTRICT	4746-130	\$15,000,000	C	1
LOS ANGELES COUNTY SANITATION DISTRICT	4746-140	\$11,400,000	C	1
RIO DELL, CITY OF	4922-110	\$1,989,900	C	1, 2
GARBERVILLE SANITARY DISTRICT	4926-110	\$2,972,471	C	1
CITY OF TULELAKE	4930-110	\$2,589,413	C	1, 2
AMADOR WATER AGENCY	4965-110	\$506,776	C	1
REDDING, CITY OF	4971-230	\$8,389,000	D	1
REDDING, CITY OF	4971-240	\$11,517,154	D	1
REDDING, CITY OF	4971-250	\$1,216,179	D	1
WOODLAKE, CITY OF	4973-110	\$17,064,000	C	5
CHICO, CITY OF	4997-120	\$5,600,000	C	2
NORTH COAST CWD	4998-110	\$4,100,000	C	1
EAST BAY MUNICIPAL UTILITY DISTRICT	5020-110	\$35,226,616	C	1
ORANGE COVE, CITY OF	5039-110	\$3,777,440	B	1, 2
LIVE OAK, CITY OF	5042-110	\$22,000,000	B	1
GALT, CITY OF	5043-110	\$36,000,000	A	1
PALO ALTO, CITY OF	5044-110	\$10,841,443	D	1
BANNING, CITY OF	5061-110	\$32,600,000	C	1
WASCO, CITY OF	5063-110	\$2,000,000	C	1
LINDA COUNTY WATER DISTRICT	5098-110	\$58,000,000	C	2
UPPER SAN GABRIEL VALLEY MUNICIPAL WATER DISTRICT	5115-110	\$11,060,000	C	1
UPPER SAN GABRIEL VALLEY MUNICIPAL WATER DISTRICT	5115-120	\$3,800,000	C	1
HUGHSON, CITY OF	5139-110	\$23,100,000	C	1
KERMAN, CITY OF	5150-110	\$8,250,000	C	1
ROSS VALLEY SANITATION DISTRICT	5151-110	\$7,163,000	C	1
BURLINGAME, CITY OF	5152-110	\$5,200,000	C	1
MAXWELL PUBLIC UTILITIES DISTRICT	5156-110	\$11,754,360	B	2
BEAUMONT-CHERRY VALLEY WD	5157-110	\$17,500,000	C	1
EASTERN MUNICIPAL WATER DISTRICT	5159-110	\$240,000,000	C	1
ANTIOCH, CITY OF	5172-110	\$2,500,000	C	1
INLAND EMPIRE UTILITIES AGENCY	5176-110	\$33,690,000	C	
DELTA DIABLO SANITATION DISTRICT	5177-110	\$9,749,878	C	1
CITY OF FERNDALE	5180-110	\$8,542,998	C	5
SAN LUIS OBISPO COUNTY	5230-110	\$80,000,000	A	1, 2, 3

Exhibit E - 2008/2009 Non-Funded Projects

2008/2009 Intended Use Plan Projects List of Non-Funded Projects

Loan Recipient Name	Project Number	IUP Projected Amount	Priority Class	Reason For Delay
SAN LUIS OBISPO COUNTY	5230-120	\$30,000,000	A	1, 2, 3
SAN LUIS OBISPO COUNTY	5230-130	\$47,000,000	A	1, 2, 3
SOUTH COUNTY REGIONAL WASTEWATER AUTHORITY	5233-110	\$100,000,000	C	1
EASTERN MUNICIPAL WATER DISTRICT	5311-110	\$54,000,000	C	1
INLAND EMPIRE UTILITIES AGENCY	5327-110	\$27,579,000	C	1
CITY OF WILLITS	5411-110	\$19,000,000	C	2
COVELO COMMUNITY SERVICES DISTRICT	5554-110	\$2,328,865	C	1, 2
COSTA MESA SANITARY DISTRICT	6391-110	\$2,620,000	D	1
MALAGA COUNTY WATER DISTRICT	SCG-1002-030	\$392,489	D	1
MALAGA COUNTY WATER DISTRICT	SCG-1002-030	\$392,489	D	5
CITY OF SAN JOAQUIN	SCG-912-030	\$1,791,382	C	1
CITY OF SAN JOAQUIN	SCG-912-030	\$1,791,382	C, 2009-10 PPL	5
Total		\$1,437,359,682		

Reason For Delay

1. Addition/Changes of Needs or Scope
2. Application Requires Additional Studies, More Documents, and/or More Hearings
3. Changes of Design
4. Delays in the Bidding Process

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CALIFORNIA ENVIRONMENTAL PROTECTION AGENCY

STATE WATER RESOURCES CONTROL BOARD

DIVISION OF FINANCIAL ASSISTANCE • 1001 I STREET • SACRAMENTO, CA 95814