

**State Water Resources Control Board Division
of Financial Assistance (Division) Clean Water
State Revolving Fund
Final Budget Approval Form**

Note: The Division recommends (but does NOT require) that the construction contract be awarded after the financing agreement is finalized based on this Final Budget. The applicant should submit this form and ALL attachments as soon as possible after the bid or proposal opening to allow the Division sufficient time to review the Final Budget Approval form and finalize the financing agreement.

1. Agency Name and Address	State Assigned Project No. C-06-
2. Have any protests regarding award of the construction contracts been received? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, give date protests were resolved: _____ Attach copies of the protests and resolutions. All protests must be resolved before submittal of this form. Contractor Debarred? <input type="checkbox"/> Yes <input type="checkbox"/> No (Debarment of Contractor prevents CWSRF funding participation: www.epls.gov)	
3. CWSRF Financing Summary	Actual Project Costs
A. Construction Cost (listed by name of prime contractor or vendor):	
1.	\$
2.	\$
B. Pre-Purchase Material/Equipment	
C. Purchase of Land	
D. Allowances (Soft Costs):	
1. Planning	\$
2. Design	\$
3. Contingencies	\$
4. Construction Management	\$
5. Administration	\$
6. Value Engineering (VE) Was VE Performed? <input type="checkbox"/> Yes <input type="checkbox"/> No	\$
E. Total CWSRF Financing Requested	
\$	
4. Total Financing Summary	
\$	
A. Cash	
\$	
B. Grants, Identify:	
\$	
C. Bond Proceeds, Identify:	
\$	
D. Short term loans or notes, Identify:	
\$	
E. Other Funds, Identify:	
\$	
F. CWSRF Financing	
\$	
G. CWSRF Match Share: Only applicable if Match financing per section V of the CWSRF Policy	
\$	
H. Additional Financing Needed , Identify:	
\$	
I. Total Project Cost	
\$	
5. Final Completion of Construction Date _____ Initiation of Operation Date _____	
6. Also, attach the information requested on page 2.	
The undersigned Authorized Representative of the applicant certifies that the information contained above and in attached documents and material in support thereof are true and correct. In addition, the undersigned Authorized Representative of the applicant certifies that the applicant has completed or will comply with all applicable federal and state laws.	
Signature of Authorized Representative	Date
Name, Title, and Phone Number of Representative (type or print)	

6 (Continued). The recipient must attach the following information to Final Budget Approval Form (if not previously submitted):

- A. Copies of any bid protests and resolution documentation.
- B. As advertised Plans & Specification (P&S) stamped by a Professional Engineer and all Addenda or final Request for Proposals (RFP).
- C. Proof that Davis-Bacon Wage rate determinations were inserted in the Project P&S or RFP used in bidding the Project.
- D. Final appropriate Waste Discharge Requirements (WDR) or updated WDR, if applicable.
- E. Tabulation of all bids or proposals received.
- F. Copy of the bid(s) or proposal(s) selected and purchase orders issued. If the apparent low bid or proposal was rejected, a complete explanation for the rejection must be provided.
- G. Evidence of advertising (submit copies of all advertisement(s) for the project).
- H. All Disadvantaged Business Enterprise (DBE) documentation, as follows:
 - EPA Form 6100-3 DBE Subcontractor Performance Form (with attached DBE Certification); and
 - EPA Form 6100-4 DBE Subcontractor Utilization Form; and
 - Copy of Bidder's list with the following information:
 - Entity's name with point of contact
 - Entity's address and telephone number
 - Project description on which the entity bid
 - Amount of bid
 - Entity's status as DBE
- I. A copy of any Notice(s) to Proceed issued.
- J. Copy of the signed Construction Contract that includes the final Davis-Bacon Wage rate determinations.
- K. Value Engineering (VE) Study with recommendations and responses, if applicable.
- L. If applicable, items required by conditions in the Financing Agreement (Exhibit D), if not previously submitted.
- M. Submit two appraisals plus an independent review of the land purchase dated within six months of the effective date of the Installment Sales Agreement. The State Water Board will be recorded as first lienholder on the deed of trust. The Division may waive these requirements for easements with a purchase price less than \$5,000.

Send this form and all attachments to your assigned Project Manager at:

State Water Resources Control Board
Division of Financial Assistance
ATTN: <Project Manager's Name>
1001 I Street, Sacramento, CA 95814