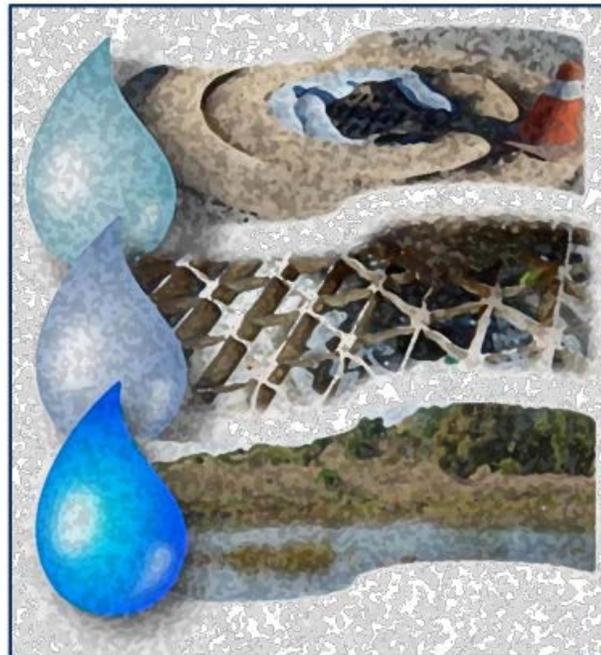




STATEWIDE INDUSTRIAL GENERAL PERMIT

**DISCHARGER'S GUIDE TO THE STORM WATER MULTIPLE APPLICATION AND
REPORT TRACKING SYSTEM (SMARTS) DATABASE**

**NO EXPOSURE CERTIFICATION
ANNUAL CERTIFICATION**



Last Revised: August 19, 2016

No Exposure Certification (NEC) Description

A condition of "No Exposure" means that a Discharger's industrial activities and materials are not exposed to storm water and none of the Industrial Materials or Activities will be exposed to precipitation in the foreseeable future. The NEC is a permit coverage type for the Statewide Industrial General Permit (IGP). NEC requirements can be found in the IGP¹.

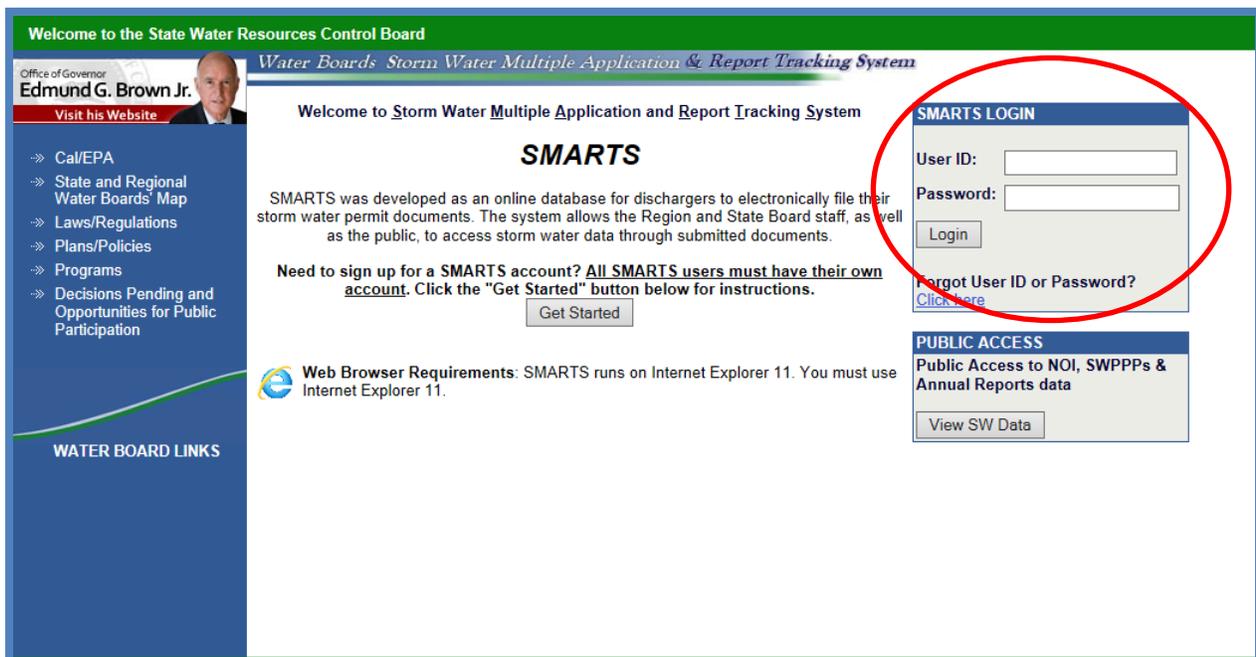
NEC Coverage

A Discharger must electronically certify and submit No Exposure Certification (NEC) Permit Registration Documents (PRDs) via State Water Resources Control Board (State Water Board) Storm Water Multi-Application and Report Tracking System (SMARTS) to obtain NEC coverage. A Discharger must maintain a condition of "No Exposure" at the facility for the conditional exclusion to remain applicable.

NEC Annual Certification in SMARTS

By October 1 of each reporting year, the Legally Responsible Person (LRP) must annually electronically re-certify the NEC via SMARTS demonstrating that the facility has been evaluated, and that none of the Industrial Materials or Activities are, or will be in the foreseeable future, exposed to precipitation. If conditions change resulting in the exposure of materials and activities to storm water, the LRP must electronically certify and submit PRDs via SMARTS for Notice of Intent (NOI) coverage under the Industrial General Permit (IGP).

1. Please log into SMARTS: <https://smarts.waterboards.ca.gov>
Please use SMARTS in Internet Explorer 11



¹ NOTE: Industrial General Permit link
http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/industrial/2014indgenpermit/wqo2014_0057_dwq_revmar2015.pdf

- From the Main menu select "Recertification":

Welcome to the Storm Water Multiple Application and Report Tracking System - SMARTS

Select Program to Access	Last 10 Records Previously Saved				
	Application ID	Permit Type	Document Type	Facility Name	Status
Construction General Permit					
Industrial General Permit					
Municipal Phase I Permit					
Municipal Phase II Permit					
Caltrans MS4 Permit					
Documents Ready for Certification					
Reports					
Manage Linked Users					
Outstanding Invoices					
Replace LRP					
Recertification					
Update User Profile					
Public Search Menu					

- From the Recertification menu, select "Industrial":

Recertification Menu

Select the appropriate Storm Water Program to recertify the application.

[Industrial](#)

[Construction \(coming soon\)](#)

[Municipal \(coming soon\)](#)

[Back to Main Menu](#)

- Select the WDID hyperlink to open the application for recertification²:

Recertification Menu

Click on the WDID link to open the application for recertification. Only application(s) that still need to be recertified will show.

App. ID	WDID/NEC ID	Status	Operator Name	Operator Address	Facility Name	Facility Address	Due Date	Recertification Complete
465188	5S34NEC001815	Active	Test Owner Company	1001 I Street Sacramento, CA 95814	Test Owner Company	1001 I Street Sacramento, CA 95814	10/1/2016	No

[Back](#)

² NOTE: Only application(s) that are pending to be recertified will be displayed.

- Review the NEC details, complete the checklist of 11 questions, and review the recertification statement. The LRP certifies and submits the NEC Recertification by selecting the check box, entering their password, answering a security question, then selecting the "Recertify" button³:

NEC Recertification

Please review your No Exposure Certification (NEC) details below. If you are the Legally Responsible Person for this NEC, please continue to certify.

NEC Checklist for: NEC ID: 5S34NEC001815
Test Owner Company
1001 I Street
Sacramento, CA, 95814

1. Using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain and are exposed.	Select <input type="button" value="v"/>
2. Materials or residuals on the ground or in storm water inlets from spills/leaks.	Select <input type="button" value="v"/>
3. Materials or products from past industrial activity.	Select <input type="button" value="v"/>
4. Material handling equipment (except adequately maintained vehicles).	Select <input type="button" value="v"/>
5. Materials or products during loading/unloading or transporting activities.	Select <input type="button" value="v"/>
6. Materials or products stored outdoors (except final products intended for outside use, e.g., new cars, where exposure to storm water does not result in the discharge of pollutants).	Select <input type="button" value="v"/>
7. Materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers	Select <input type="button" value="v"/>
8. Materials or products handled/stored on roads or railways owned or maintained by the Discharger.	Select <input type="button" value="v"/>
9. Waste material (except waste in covered, non-leaking containers, e.g., dumpsters).	Select <input type="button" value="v"/>
10. Application or disposal of processed wastewater (unless already covered by an NPDES permit).	Select <input type="button" value="v"/>
11. Particulate matter or visible deposits of residuals from roof stacks/vents evident in the storm water outflow.	Select <input type="button" value="v"/>

I certify under penalty of law that I have read and understand the eligibility requirements for claiming a condition of 'no exposure' and obtaining an exclusion from NPDES storm water permitting; and that there are no discharges of storm water contaminated by exposure to industrial activities or materials from the industrial facility identified in this document (except as allowed in subsection C above). I understand that I am obligated to submit a no exposure certification form annually to the State Water Board and, if requested, to the operator of the local Municipal Separate Storm Sewer System (MS4) into which this facility discharges (where applicable). I understand that I must allow the Water Board staff, or MS4 operator where the discharge is into the local MS4, to perform inspections to confirm the condition of no exposure and to make such inspection reports publicly available upon request. I understand that I must obtain coverage under an NPDES permit prior to any point source discharge of storm water from the facility. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based upon my inquiry of the person or persons who manage the system, or those persons directly involved in gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Please enter your password and answer to the security question before recertifying the document.

What is your mother's maiden name? *

Please enter your password: *

³ **NOTE:** If there is no eAuthorization form on file the recertification process cannot be complete. You while logged into SMARTS and going to the 'update user profile'. From that screen you will see a link under your associated organizations to print this form. (you need one for every associated organization). It will need to be signed with blue ink and sent in to the address provided on the form. (original forms only) Once it has been received and processed, you will receive an e-mail confirmation that you can certify documents.

6. A confirmation screen will then confirm recertification of the NEC in SMARTS and you will be able to print a copy for your records:

NEC Recertification Confirmation

The application was successfully received by the State Water Resources Control Board.

NEC ID	5S34NEC001815
Certifier Name	Storm Water Administration
Certified Date	08/10/2016
Certification ID	6581

Please print out this screen as proof of certification. The confirmation details was also email to the address on file

[Download Copy of Record](#)

[Print NEC Receipt](#)

[Back To Industrial Recertification List](#)

If you forget to print and need a copy of the record it can also be found when viewing the NEC and selecting the "Print" tab:

- SMARTS Main Menu
- Industrial General Permit
- Active NOIs, NECs
- Select Application ID/ WDID hyperlink for facility

NEC ID:	5S34NEC001815	Owner/Operator:	Test Owner Company	Certified Date:	08/10/2016
Application ID:	465188		1001 I Street Sacramento CA 95814	Processed Date:	02/02/2016
Status:	Active	Site/Facility:	Test Owner Company	NOT Effective Date:	
Previous ID:	5S34I026430 - Terminated		1001 I Street Sacramento CA 95814	Permit Type:	Industrial - NEC

[Operator Info](#) [Facility Info](#) [NEC Checklist](#) [Billing Info](#) [Attachments](#) [Certification](#) [Inspections](#) [Print](#) [Status History](#) [Linked Users](#) [NOTs](#) [COIs](#)

Print NOI Copy

Fee Statement	Fee Statement
Original NEC	Initially Submitted NEC
Submitted NEC	Submitted NEC
Current NEC	Current NEC
Receipt Letter	Receipt Letter
Return Letter	Return Letter
Waiver Letter	Waiver Letter

You will be able to print⁴ current NEC which will have the date you completed recertification on the bottom:

Certification

Name: Storm Water Administration Date: August 10, 2016

Title: Representative

⁴ NOTE: If "Original NEC" is selected it will display the original submittal date of the first time the NEC application was accepted in SMARTS.