



**2008**

**WATER CODE SECTION 13385(o)**

**QUARTERLY ENFORCEMENT REPORT**

**September 30, 2009 UPDATE**



STATE WATER RESOURCES CONTROL BOARD  
REGIONAL WATER QUALITY CONTROL BOARDS

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**“September 30, 2009 Quarterly Update”**

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## Table of Contents

|  |           |
|--|-----------|
| <b>I. Introduction</b>   | <b>5</b>  |
| <b>II. Violations of Waste Discharge Requirements</b>  | <b>7</b>  |
| NPDES Wastewater   | 7         |
| NPDES Stormwater   | 10        |
| Compliance and Enforcement at Federal Facilities   | 13        |
| <b>III. Summary of Enforcement Actions Taken for Violations</b>  | <b>14</b> |
| NPDES Wastewater   | 14        |
| NPDES Stormwater   | 15        |
| <b>IV. Mandatory Minimum Penalties (MMPs) Update</b>   | <b>16</b> |
| <i>Compliance Rates for Major Facilities</i>   | 23        |
| <b>VI. Recommendations and Actions for Future Reports: UPDATE</b>  | <b>24</b> |
| <b>Appendix A: List of Regional Water Board Offices</b>  | <b>25</b> |
| <b>Appendix B: Listing and Description of Violation Types Used in the CIWQS Data System</b>  | <b>26</b> |
| <b>Appendix C: Types and Classification of Enforcement Actions</b>   | <b>27</b> |
| <b>Appendix D: List of Facilities with Violations Subject to MMP Actions and Violations Receiving Penalties by Regional Board Office January 2000 – September 2009</b> | <b>28</b> |

---

**“September 30, 2009 Quarterly Update”**

---

**List of Figures**

|  |    |
|--|----|
| Figure 1: NPDES Wastewater MMP Violations 2000-2009 _____  | 17 |
| Figure 2: Distribution of Facilities by Number of MMP Violations _____                                       | 20 |
| Figure 3: NPDES Wastewater MMP Effluent Violations 2000-2009 by Enforcement Type _____                       | 21 |
| Figure 4: NPDES Wastewater Late Report Serious Violations 2004-2008 with Level of Enforcement Received _____ | 22 |
| Figure 5: Number of NPDES Wastewater Major Facilities 2000-2009. Compliance Rates _____                      | 23 |

**List of Tables**

|   |    |
|---|----|
| Table 1: NPDES Wastewater Permits by Category and Regional Office _____                                       | 7  |
| Table 2: Number of Violations of NPDES Wastewater Permits 2000 to 2009 _____                                  | 8  |
| Table 3: Number of Violations per Wastewater Facility for 2008 _____  | 8  |
| Table 4: NPDES Wastewater Violations by Category for 2008 _____   | 9  |
| Table 5: NPDES Stormwater Permittees by Permit Type and Regional Office _____                                 | 11 |
| Table 6: Number of Violations of NPDES Stormwater Permits 2000 to 2009 _____                                  | 11 |
| Table 7: NPDES Stormwater Violations by Category for 2008 _____   | 12 |
| Table 8: Federal Facilities Regulated Under the NPDES Program by Region _____                                 | 13 |
| Table 9: NPDES Wastewater Violations Compared to Completed Enforcement Actions _____                          | 14 |
| Table 10: NPDES Stormwater Violations Compared to Completed Enforcement Actions _____                         | 15 |
| Table 11: Status of Violations Subject to MMPs from January 2000 to September 2009 _____                      | 16 |
| Table 12: Status of Violations Subject to MMPs from January 2000 to September 2009 _____                      | 18 |
| Table 13: Facilities with MMP Violations and Pending Enforcement Actions January 2000 to September 2009 _____ | 19 |

**“September 30, 2009 Quarterly Update”**

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**Acronyms**

|              |   |
|--------------|---|
| ACL          | Administrative Civil Liability                        |
| CAA          | (State Water Pollution) Cleanup and Abatement Account |
| Cal EPA      | California Environmental Protection Agency            |
| CAFO         | Concentrated Animal Feeding Operations                |
| CAO          | Cleanup and Abatement Order                           |
| CDO          | Cease and Desist Order                                |
| CIWQS        | California Integrated Water Quality System            |
| CTR          | California Toxics Rule                                |
| CWA          | Clean Water Act                                       |
| DA           | District Attorney                                     |
| EO           | Executive Officer                                     |
| LID          | Low-Impact Development                                |
| MMP          | Mandatory Minimum Penalties                           |
| MS4          | Municipal Separate Storm Sewer System                 |
| NPDES        | National Pollutant Discharge Elimination System       |
| NPS          | Non-Point Source                                      |
| NOV          | Notice of Violation                                   |
| O&M          | Operations & Maintenance                              |
| OE or Office | Office of Enforcement                                 |
| PCS          | Permit Compliance System                              |
| PY           | Personnel Year  |
| POTW         | Publicly Owned Treatment Works                        |
| PUD          | Public Utilities District                             |
| QA/QC        | Quality Assurance/Quality Control                     |
| RCRA         | (Federal) Resource, Conservation, and Recovery Act    |
| SEP          | Supplemental Environmental Project                    |
| SIC          | Standard Industrial Classification                    |
| SIU          | Special Investigations Unit                           |
| SMCRA        | Surface Mining Control and Reclamation Act            |
| SMR          | Self-Monitoring Report                                |
| SSMP         | Sewer System Management Plan                          |
| SSO          | Sanitary Sewer Overflow                               |
| TSO          | Time Schedule Order                                   |
| US EPA       | U.S. Environmental Protection Agency                  |
| UST          | Underground Storage Tanks                             |
| Water Boards | State and Regional Water Boards                       |
| WDR          | Waste Discharge Requirements                          |
| WQBEL        | Water Quality Based Limitation                        |
| WWTP         | Wastewater Treatment Plant                            |

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**“September 30, 2009 Quarterly Update”**

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## I. Introduction

This report addresses violations of Waste Discharge Requirements (WDRs) for discharges to surface water during calendar year 2008. Discharges to surface water are issued a combined WDR/NPDES permit. This report updates the tables and figures presented in the Annual 13385 (o) report with data populated in CIWQS as of September 30, 2009 and does not include an analysis of the effectiveness of enforcement policies.

The [NPDES Permit Program](#) controls water pollution by regulating point sources that discharge pollutants into waters of the United States. Point sources are discrete conveyances such as pipes or man-made ditches. Typical point source discharges include discharges from: municipalities or publicly owned treatment works (POTWs), industrial facilities, and urban areas regulated under the Storm Water Program. The NPDES program is delegated to the State Water Board in accordance with the United States Environmental Protection Agency’s approval, and is implemented through Chapter 5.5 of the California Water Code.

The State and Regional Water Boards administer the NPDES program. The nine Regional Water Boards lie within different watersheds and are as follows (see [Appendix A](#) for map and details):

- Region 1 – [North Coast Regional Water Board](#)
- Region 2 – [San Francisco Bay Regional Water Board](#)
- Region 3 – [Central Coast Regional Water Board](#)
- Region 4 – [Los Angeles Regional Water Board](#)
- Region 5 – [Central Valley Regional Water Board](#) (With Offices in Redding [5R], Sacramento [5S] and Fresno [5F])
- Region 6 – [Lahontan Regional Water Board](#) (With offices in South Lake Tahoe [6A] and Victorville [6B])
- Region 7 – [Colorado River Basin Regional Water Board](#)
- Region 8 – [Santa Ana Regional Water Board](#)
- Region 9 – [San Diego Regional Water Board](#)

California Water Code, Chapter 5.5 implements provisions of the federal Water Pollution Control Act and establishes a regulatory program for discharges to surface water. This report summarizes information regarding violations of waste discharge requirements to surface waters pursuant to Water Code, Chapter 5.5, Section 13385(o), and enforcement actions taken by the State and Regional Water Quality Control Boards (Water Boards) in response to those violations.

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**“September 30, 2009 Quarterly Update”**

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**[California Water Code Section 13385\(o\)](#)**

The state board shall continuously report and update information on its Internet Web site, but at a minimum, annually on or before January 1, regarding its enforcement activities. The information shall include all of the following:

- (1) A compilation of the number of violations of waste discharge requirements in the previous calendar year, including stormwater enforcement violations.
- (2) A record of the formal and informal compliance and enforcement actions taken for each violation, including stormwater enforcement actions.
- (3) An analysis of the effectiveness of current enforcement policies, including mandatory minimum penalties.

This is the third quarterly update of the 2008 13385(o) report to meet the requirements to continuously report and update the information. This report completes and updates the information provided in the 2008 13385(o) report and include only the updates of the information previously reported. Only when the new data requires additional explanation or, the new information suggest changes in the conclusions, analysis and recommendations, additional analysis is provided. This report and the supporting metadata are available at the [Water Board's Internet Web site](#).

The Water Boards use the [California Integrated Water Quality System](#) (CIWQS) database to track violations and the resulting enforcement actions. The CIWQS database contains information on violations and enforcement actions that have occurred since July 1, 1999.

Most of the tables in this report are available for continuous public use through the State Water Board's Internet site. Use of live, [public reports](#) allows the public access to violation and enforcement data from all dischargers regulated by the Water Boards, and gives the user control over how to sort and filter this data to meet specific information needs. These public reports can be found on the Water Board's Web site at: [http://www.waterboards.ca.gov/water\\_issues/programs/ciwqs/publicreports.shtml](http://www.waterboards.ca.gov/water_issues/programs/ciwqs/publicreports.shtml).

## “September 30, 2009 Quarterly Update”

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### II. Violations of Waste Discharge Requirements

This section presents a compilation of the number of violations of waste discharge requirements in the previous year.

#### NPDES Wastewater

Wastewater facilities discussed in this report are those facilities that are permitted to discharge pollutants to surface waters and include sewage treatment plants, food processors, oil refineries, power plant cooling waters, pulp and paper mills, mining operations, fish hatcheries, etc. During this reporting period, there were 1,979 active wastewater facilities regulated by [NPDES](#) waste discharge requirements in California. These facilities are divided into two categories:

- Major facilities – Facilities with an average daily discharge greater than 1 million gallons per day or those that pose a high degree of threat to water quality;
- Minor facilities – Facilities with an average daily flow less than 1 million gallons per day and that have a lower threat to water quality.

The waste discharge requirements (“NPDES permits” or “permits”) are issued as individual permits or as general permits. Dischargers eligible for coverage under a general permit must enroll and agree to comply with the conditions of the general permit. A summary of active NPDES facilities by category and Regional Water Board is shown in Table 1.

**Table 1: NPDES Wastewater Permits by Category and Regional Office**

| Regional Offices | Major Facilities   | Minor Facilities         |                    | Total        |
|------------------|--------------------|--------------------------|--------------------|--------------|
|                  | Individual Permits | General Permit Enrollees | Individual Permits |              |
| 1                | 13                 | 13                       | 31                 | 57           |
| 2                | 56                 | 199                      | 33                 | 288          |
| 3                | 23                 | 71                       | 19                 | 113          |
| 4                | 47                 | 437                      | 78                 | 562          |
| 5F               | 7                  | 21                       | 24                 | 52           |
| 5R               | 13                 | 24                       | 53                 | 90           |
| 5S               | 36                 | 123                      | 56                 | 215          |
| 6A               | 1                  | 7                        | 4                  | 12           |
| 6B               | 2                  | 8                        | 5                  | 15           |
| 7                | 8                  | 39                       | 17                 | 64           |
| 8                | 21                 | 342                      | 15                 | 378          |
| 9                | 36                 | 75                       | 22                 | 133          |
| <b>Total</b>     | <b>263</b>         | <b>1,359</b>             | <b>357</b>         | <b>1,979</b> |

### “September 30, 2009 Quarterly Update”

Table 2 lists the total number of violations of NPDES permits by Regional Water Board office for each of the past nine years. The table shows a generally consistent number of violations statewide although this does not track for all of the Regional Water Board offices. The increase in the number of violations from the year 2003 is in part explained by increased diligence in recording violations.

**Table 2: Number of Violations of NPDES Wastewater Permits 2000 to 2009**

| Regional Offices |              |              |              |              |              |              |              |              |              |               |
|------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|
|                  | 2000         | 2001         | 2002         | 2003         | 2004         | 2005         | 2006         | 2007         | 2008         | 1Q+2Q<br>2009 |
| 1                | 919          | 622          | 423          | 446          | 686          | 459          | 367          | 261          | 372          | 29            |
| 2                | 494          | 512          | 311          | 270          | 283          | 235          | 328          | 249          | 196          | 64            |
| 3                | 350          | 387          | 305          | 214          | 413          | 407          | 422          | 482          | 138          | 75            |
| 4                | 2,184        | 2,507        | 2,613        | 2,995        | 2,649        | 2,271        | 2,990        | 2,815        | 2,371        | 814           |
| 5F               | 244          | 220          | 499          | 424          | 288          | 224          | 269          | 296          | 204          | 99            |
| 5R               | 226          | 94           | 103          | 74           | 81           | 140          | 160          | 106          | 51           | 66            |
| 5S               | 1,037        | 762          | 960          | 902          | 1,589        | 2,320        | 2,268        | 1,927        | 2,334        | 1,419         |
| 6A               | 10           | 11           | 22           | 8            | 8            | 4            | 1            | 5            | 7            | 0             |
| 6B               | 5            | 10           | 21           | 25           | 22           | 13           | 128          | 46           | 61           | 29            |
| 7                | 148          | 207          | 229          | 334          | 176          | 194          | 275          | 307          | 355          | 73            |
| 8                | 460          | 304          | 201          | 111          | 221          | 244          | 164          | 128          | 137          | 33            |
| 9                | 557          | 221          | 160          | 255          | 652          | 220          | 370          | 371          | 210          | 12            |
| <b>Total</b>     | <b>6,634</b> | <b>5,857</b> | <b>5,847</b> | <b>6,058</b> | <b>7,068</b> | <b>6,731</b> | <b>7,742</b> | <b>6,993</b> | <b>6,436</b> | <b>2,713</b>  |

Table 3 provides a comparison of the average number of violations per facility in violation.

**Table 3: Number of Violations per Wastewater Facility for 2008**

| Regional Office | NPDES Facilities | Facilities with 1-25 violations during 2008 | Facilities with more than 25 violations during 2008 | Total Violations | Average Number of Violations per facility in violation |
|-----------------|------------------|---|---|------------------|--|
| 1               | 57               | 23  | 5   | 372              | 13.29  |
| 2               | 288              | 47  | 2   | 196              | 4.00   |
| 3               | 113              | 20  | 2   | 138              | 6.27   |
| 4               | 562              | 327   | 14  | 2,371            | 6.95   |
| 5F              | 52               | 19  | 2   | 204              | 9.71   |
| 5R              | 90               | 23  | 1   | 51               | 2.13   |
| 5S              | 215              | 94  | 19  | 2,334            | 20.65  |
| 6A              | 12               | 6   | 0   | 7                | 1.17   |
| 6B              | 15               | 4   | 2   | 61               | 10.17  |
| 7               | 64               | 15  | 6   | 355              | 16.90  |
| 8               | 378              | 95  | 1   | 137              | 1.43   |
| 9               | 133              | 11  | 4   | 210              | 14.00  |
| <b>Total</b>    | <b>1,979</b>     | <b>684</b>                                  | <b>58</b>   | <b>6,436</b>     | <b>Average: 8.89</b>                                   |

### “September 30, 2009 Quarterly Update”

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The data in Table 3 indicate an uneven distribution of the average number of violations per facility among the different Regional Water Board offices. The reasons for this variability include differences in facility-specific requirements, differences in Regional Water Board office processes, the priority assigned to report review and data entry, and the differences in rates of compliance among dischargers.

A breakdown of the violation types and the number of violations identified as priority violations is presented in Table 4. A more detailed description of each violation category is provided in [Appendix B](#). Violations vary from not submitting monitoring reports on time to acute toxicity violations. The Water Boards identify priority violations based on criteria identified in the [Water Quality Enforcement Policy](#)<sup>1</sup>. A priority violation represents a greater threat to water quality than other violations. Forty eight percent of NPDES wastewater violations have been identified as priority violations.

It is important to note that the term “priority” violation used in this context is different than “serious” violations discussed in the following section on mandatory minimum penalties. The term “serious” violation is defined in sections 13385(h) and 13385.1 of the California Water Code. All “serious” violations are considered priority violations, but not all priority violations are “serious” violations.

**Table 4: NPDES Wastewater Violations by Category for 2008**

| Description of Violation Category (See Appendix B) | Non-Priority Violations | Priority Violations | Total        | % of Total  |
|--|-------------------------|---------------------|--------------|-------------|
| Reporting  | 1,059                   | 1,108               | 2,167        | 34%         |
| Category 1 Pollutant                               | 1,054                   | 986                 | 2,040        | 32%         |
| Category 2 Pollutant                               | 86                      | 654                 | 740          | 11%         |
| Effluent   | 547                     | 142                 | 689          | 11%         |
| Other Requirement                                  | 233                     | 10                  | 243          | 4%          |
| Monitoring   | 159                     | 31                  | 190          | 3%          |
| Unauthorized Discharge                             | 95                      | 2                   | 97           | 2%          |
| BMP  | 85                      | 8                   | 93           | 1%          |
| OEV  | 60                      | 2                   | 62           | 1%          |
| Surface Water                                      | 33                      | 10                  | 43           | 1%          |
| Acute Toxicity                                     | 35                      | 0                   | 35           | 1%          |
| Chronic Toxicity                                   | 8                       | 11                  | 19           | 0%          |
| Sanitary Sewer Overflow                            | 13                      | 1                   | 14           | 0%          |
| Other Violations                                   | 3                       | 0                   | 3            | 0%          |
| Failure to Pay Fees                                | 0                       | 1                   | 1            | 0%          |
| <b>Total</b>                                       | <b>3,470</b>            | <b>2,966</b>        | <b>6,436</b> | <b>100%</b> |

<sup>1</sup> Resolution No. 2002-0040 <http://www.waterboards.ca.gov/plnspols/docs/wqep.doc>

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**“September 30, 2009 Quarterly Update”**

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**NPDES Stormwater**

The [Stormwater Program](#) has five categories of dischargers subject to the federal Stormwater permit requirements. At the time of this report, nearly 30,000 facilities or permittees are regulated by NPDES stormwater permits in California. Below is a brief description of the five categories:

- **Industrial Activities** – The Industrial Storm Water General Permit Order 97-03-DWQ ([General Industrial Permit](#)) is an NPDES permit that regulates discharges associated with 10 broad categories of industrial activities other than construction. In general, discharges from manufacturing, transportation, and recycling facilities are subject to this permit.
- **Construction Activities** – Dischargers whose projects disturb one or more acres of soil or are part of a larger common plan of development or sale are required to obtain coverage under the General Permit for Discharges of Storm Water Associated with Construction Activity ([Construction General Permit - CGP - Order No. 99-08-DWQ](#)). Construction activity subject to this permit includes clearing, grading and disturbances to the ground such as stockpiling, or excavation, but does not include regular maintenance activities performed to restore the original line, grade or capacity of the facility. Typical CGP permit coverage lasts about 1 year, so this permit program sees a lot of turnover. Because construction activities are temporary and the most prevalent pollutant problem is sediment discharges, the State Water Board has adopted this permit separate from the General Industrial Permit.
- **Linear Stormwater Facilities** – Underground/Overhead Projects disturbing at least 1 acre but less than 5 acres (including trenching and staging areas) are covered by the Statewide General Permit for Storm Water Discharges Associated with Construction Activity from Small Linear Underground/Overhead Projects ([Small LUP General Permit](#))
- **Municipal Stormwater Phase I Facilities** – The Municipal Storm Water Permits regulate storm water discharges from municipal separate storm sewer systems (MS4s). Under [Phase I](#), which began in 1990, the Regional Water Boards have issued NPDES MS4 permits to permittees serving populations greater than 100,000 people. Many of these permits are issued to a group of co-permittees encompassing an entire metropolitan area.
- **Municipal Stormwater Phase II Facilities** – Under [Phase II](#), the State Water Board adopted a General Permit for the Discharge of Storm Water from Small MS4s (WQ Order No. 2003-0005-DWQ) to provide permit coverage for smaller municipalities (10,000 to 100,000 people), including non-traditional small MS4s which are governmental facilities such as military bases, public campuses, prisons and hospital complexes.

Stormwater permits are generally issued as individual permits to the Phase 1 MS4s and as general permits to the other categories. Dischargers eligible for coverage under a general permit must enroll and agree to comply with the conditions of the general permit.

### “September 30, 2009 Quarterly Update”

Table 5 shows active NPDES stormwater facilities by category and Regional Board.

**Table 5: NPDES Stormwater Permittees by Permit Type and Regional Office**

| Regional Offices |               |              |            |               |
|------------------|---------------|--------------|------------|---------------|
|                  | Construction  | Industrial   | Municipal  | Total         |
| 1                | 408           | 359          | 21         | <b>788</b>    |
| 2                | 1,808         | 1,412        | 102        | <b>3,322</b>  |
| 3                | 916           | 407          | 26         | <b>1,349</b>  |
| 4                | 2,713         | 2,930        | 100        | <b>5,743</b>  |
| 5F               | 1,224         | 576          | 25         | <b>1,825</b>  |
| 5R               | 425           | 198          | 6          | <b>629</b>    |
| 5S               | 2,633         | 1,156        | 64         | <b>3,853</b>  |
| 6A               | 303           | 68           | 12         | <b>383</b>    |
| 6B               | 801           | 179          | 5          | <b>985</b>    |
| 7                | 604           | 166          | 14         | <b>784</b>    |
| 8                | 2,970         | 1,611        | 72         | <b>4,653</b>  |
| 9                | 2,326         | 763          | 74         | <b>3,163</b>  |
| <b>Total</b>     | <b>17,131</b> | <b>9,825</b> | <b>521</b> | <b>27,477</b> |

Table 6 lists the number of violations of NPDES stormwater permits by Regional Water Board office for the past nine years.

**Table 6: Number of Violations of NPDES Stormwater Permits 2000 to 2009**

| Regional Offices |              |              |              |              |              |              |              |              |              |               |
|------------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|--------------|---------------|
|                  | 2000         | 2001         | 2002         | 2003         | 2004         | 2005         | 2006         | 2007         | 2008         | 1Q+2Q<br>2009 |
| 1                | 70           | 63           | 52           | 89           | 13           | 6            | 5            | 87           | 101          | 68            |
| 2                | 139          | 18           | 104          | 65           | 5            | 8            | 7            | 300          | 264          | 154           |
| 3                | 19           | 29           | 96           | 30           | 202          | 456          | 63           | 60           | 62           | 47            |
| 4                | 263          | 1,263        | 1,243        | 923          | 576          | 293          | 229          | 151          | 238          | 63            |
| 5F               | 13           | 5            | 6            | 9            | 105          | 309          | 249          | 13           | 182          | 9             |
| 5R               | 65           | 25           | 128          | 28           | 153          | 51           | 84           | 72           | 74           | 43            |
| 5S               | 185          | 46           | 58           | 213          | 403          | 521          | 548          | 378          | 385          | 110           |
| 6A               | 24           | 32           | 72           | 51           | 78           | 43           | 84           | 25           | 19           | 15            |
| 6B               | 46           | 74           | 15           | 1            | 0            | 2            | 9            | 10           | 32           | 3             |
| 7                | 52           | 11           | 21           | 0            | 50           | 2            | 1            | 43           | 34           | 36            |
| 8                | 553          | 742          | 390          | 268          | 295          | 502          | 319          | 450          | 356          | 173           |
| 9                | 166          | 300          | 602          | 405          | 390          | 163          | 150          | 203          | 192          | 10            |
| <b>Total</b>     | <b>1,595</b> | <b>2,608</b> | <b>2,787</b> | <b>2,082</b> | <b>2,270</b> | <b>2,356</b> | <b>1,748</b> | <b>1,792</b> | <b>1,939</b> | <b>731</b>    |

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**“September 30, 2009 Quarterly Update”**

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A breakdown of the storm water violations by violation type is shown in Table 7. Approximately, 16 percent of NPDES stormwater violations have been identified as priority violations.

**Table 7: NPDES Stormwater Violations by Category for 2008**

| Description of Violation Category (See Appendix B) | Non-Priority Violations | Priority Violations | Total        | % of Total  |
|--|-------------------------|---------------------|--------------|-------------|
| Reporting  | 935                     | 212                 | 1,147        | 59%         |
| BMP  | 413                     | 29                  | 442          | 23%         |
| SWPPP  | 114                     | 9                   | 123          | 6%          |
| Other Requirement                                  | 59                      | 31                  | 90           | 5%          |
| Unauthorized Discharge                             | 70                      | 12                  | 82           | 4%          |
| Monitoring   | 10                      | 12                  | 22           | 1%          |
| Failure to Pay Fees                                | 8                       | 2                   | 10           | 1%          |
| Stormwater Non-filer                               | 8                       | 1                   | 9            | 0%          |
| OEV  | 7                       | 0                   | 7            | 0%          |
| Sanitary Sewer Overflow                            | 5                       | 0                   | 5            | 0%          |
| Effluent   | 1                       | 0                   | 1            | 0%          |
| Category 2 Pollutant                               | 0                       | 1                   | 1            | 0%          |
| <b>Total</b>                                       | <b>1,630</b>            | <b>309</b>          | <b>1,939</b> | <b>100%</b> |

Most of the violations documented in the stormwater program are reporting violations. Most violations, other than reporting, in the stormwater program are discovered through site inspections. Ensuring compliance with stormwater NPDES permit requirements for the nearly 30,000 permitted stormwater activities require a large field presence.

## “September 30, 2009 Quarterly Update”

### Compliance and Enforcement at Federal Facilities

Federal facilities include facilities owned or operated by the federal government. These include national parks, Department of Energy facilities, Department of Defense (DOD) facilities, etc. DOD facilities fall under one of the following three categories:

- Active bases where the military currently conducts operations,
- Base Realignment and Closure (BRAC) installations which are being cleaned up and prepared for transfer to communities and to other federal, state, and local agencies, and
- Formerly Used Defense Sites (FUDS) which are properties that DOD once owned, operated or leased.

**Table 8: Federal Facilities Regulated Under the NPDES Program by Region**

| Regional Offices | Federal Facilities Regulated Under the NPDES Construction Stormwater Program | Federal Facilities Regulated Under the NPDES Industrial Stormwater Program | Federal Facilities Regulated Under the NPDES Wastewater Program | Total      |
|------------------|--|--|---|------------|
| 1                | 7  | 0  | 2   | 9          |
| 2                | 51   | 25   | 6   | 82         |
| 3                | 33   | 7  | 0   | 40         |
| 4                | 26   | 22   | 6   | 54         |
| 5F               | 25   | 4  | 2   | 31         |
| 5R               | 16   | 1  | 3   | 20         |
| 5S               | 52   | 10   | 9   | 71         |
| 6A               | 12   | 2  | 0   | 14         |
| 6B               | 17   | 4  | 0   | 21         |
| 7                | 32   | 3  | 5   | 40         |
| 8                | 14   | 11   | 6   | 31         |
| 9                | 96   | 20   | 8   | 124        |
| <b>Total</b>     | <b>381</b>   | <b>109</b>   | <b>47</b>   | <b>537</b> |

| Regional Offices | Violations in 2008 for Federal Facilities Regulated Under the NPDES Construction Stormwater Program | Violations in 2008 for Federal Facilities Regulated Under the NPDES Industrial Stormwater Program | Violations in 2008 for Federal Facilities Regulated Under the NPDES Wastewater Program | Total      |
|------------------|---|---|--|------------|
| 1                |   |   |  |            |
| 2                |   | 1   |  | 1          |
| 3                |   | 2   |  | 2          |
| 4                |   |   | 43   | 43         |
| 5F               |   |   | 8  | 8          |
| 5R               | 1   |   |  | 1          |
| 5S               | 3   |   | 26   | 29         |
| 6A               | 1   |   |  | 1          |
| 6B               |   |   |  |            |
| 7                |   |   | 50   | 50         |
| 8                |   |   |  |            |
| 9                | 1   | 1   | 40   | 42         |
| <b>Total</b>     | <b>6</b>  | <b>4</b>  | <b>167</b>   | <b>177</b> |

### “September 30, 2009 Quarterly Update”

## III. Summary of Enforcement Actions Taken for Violations

This section presents information on formal and informal compliance and enforcement actions taken for each violation.

### NPDES Wastewater

Enforcement actions taken in response to violations include both informal and formal actions. An informal enforcement action is any enforcement action taken by Water Board staff that is not defined in statute, such as staff letters and notices of violation. Formal enforcement actions are statutorily recognized actions to address a violation or threatened violation such as Cleanup and Abatement Orders. [Appendix C](#) describes the enforcement options used by the Water Boards.

**Table 9: NPDES Wastewater Violations Compared to Completed Enforcement Actions**

| Regional Offices | 2005             |                |                  | 2006             |                |                  | 2007             |                |                  | 2008             |                |                  |
|------------------|------------------|----------------|------------------|------------------|----------------|------------------|------------------|----------------|------------------|------------------|----------------|------------------|
|                  | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement |
| 1                | 459              | 312            | ↓ 68%            | 367              | 269            | ↓ 73%            | 261              | 166            | ↓ 64%            | 372              | 245            | ↓ 66%            |
| 2                | 235              | 180            | ↓ 77%            | 328              | 223            | ↓ 68%            | 249              | 157            | ↓ 63%            | 196              | 104            | ↓ 53%            |
| 3                | 407              | 256            | ↓ 63%            | 422              | 128            | ↓ 30%            | 482              | 171            | ↓ 35%            | 138              | 32             | ↓ 23%            |
| 4                | 2,271            | 906            | ↓ 40%            | 2,990            | 1,520          | ↓ 51%            | 2,815            | 1,545          | ↓ 55%            | 2,371            | 711            | ↓ 30%            |
| 5F               | 224              | 150            | ↓ 67%            | 269              | 180            | ↓ 67%            | 296              | 115            | ↓ 39%            | 204              | 102            | ↓ 50%            |
| 5R               | 140              | 114            | → 81%            | 160              | 127            | ↓ 79%            | 106              | 89             | → 84%            | 51               | 40             | ↓ 78%            |
| 5S               | 2,320            | 1,967          | → 85%            | 2,268            | 2,079          | ↑ 92%            | 1,927            | 1,829          | ↑ 95%            | 2,334            | 1,653          | ↓ 71%            |
| 6A               | 4                | 3              | ↓ 75%            | 1                | 1              | ↑ 100%           | 5                | 1              | ↓ 20%            | 7                | 3              | ↓ 43%            |
| 6B               | 13               | 9              | ↓ 69%            | 128              | 116            | ↑ 91%            | 46               | 25             | ↓ 54%            | 61               | 46             | ↓ 75%            |
| 7                | 194              | 176            | ↑ 91%            | 275              | 272            | ↑ 99%            | 307              | 302            | ↑ 98%            | 355              | 352            | ↑ 99%            |
| 8                | 244              | 192            | ↓ 79%            | 164              | 162            | ↑ 99%            | 128              | 108            | → 84%            | 137              | 40             | ↓ 29%            |
| 9                | 220              | 190            | → 86%            | 370              | 356            | ↑ 96%            | 371              | 269            | ↓ 73%            | 210              | 137            | ↓ 65%            |
| <b>Total</b>     | <b>6,731</b>     | <b>4,455</b>   | <b>↓ 66%</b>     | <b>7,742</b>     | <b>5,433</b>   | <b>↓ 70%</b>     | <b>6,993</b>     | <b>4,777</b>   | <b>↓ 68%</b>     | <b>6,436</b>     | <b>3,465</b>   | <b>↓ 54%</b>     |

|   |  |
|---|--|
| ↑ | More than 90% of violations with completed enforcement       |
| → | Between 80% and 90% of violations with completed enforcement |
| ↓ | Less than 80% of violations with completed enforcement       |

Table 9 shows the number of violations recorded from 2005 to 2008. It also lists the number of violations for which there is a completed enforcement action entered in CIWQS (enforcement is still pending for some, but not all, of these violations). The percentages at the bottom of the table show each violation category as a percentage of the total number of violations and the percentage of violations linked to an enforcement action. While the Water Boards' enforcement authorities are significant, resource levels generally preclude enforcement against every violation. The appropriate level of enforcement response is described in the Water Board's [enforcement policy](#).

### “September 30, 2009 Quarterly Update”

As noted, there can be a significant lag in the time between the discovery and reporting of a violation, and the resulting enforcement action. This is due to available staffing and the time it takes to pursue enforcement, particularly for complex enforcement cases. Also, minor violations may be resolved before enforcement is taken. As a result, the percentage of violations where enforcement has been taken is lower for more recent violations, and tends to increase as time goes by and additional actions are taken for past violations. The data for 2008 will likely change significantly since not all violations have yet been recorded for 2008.

#### NPDES Stormwater

Table 10 shows the number of stormwater violations for the last four years. It also lists the number of stormwater violations addressed by enforcement actions. The percentages at the bottom of the table show the total number of violations receiving enforcement as a percent of the total number of violations.

**Table 10: NPDES Stormwater Violations Compared to Completed Enforcement Actions**

| Regional Offices | 2005             |                |                  | 2006             |                |                  | 2007             |                |                  | 2008             |                |                  |
|------------------|------------------|----------------|------------------|------------------|----------------|------------------|------------------|----------------|------------------|------------------|----------------|------------------|
|                  | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement | Total Violations | w/ Enforcement | % w/ Enforcement |
| 1                | 6                | 3              | ↓ 50%            | 5                | 5              | ↑ 100%           | 87               | 84             | ↑ 97%            | 101              | 92             | ↑ 91%            |
| 2                | 8                | 4              | ↓ 50%            | 7                | 6              | → 86%            | 300              | 290            | ↑ 97%            | 264              | 258            | ↑ 98%            |
| 3                | 456              | 453            | ↑ 99%            | 63               | 61             | ↑ 97%            | 60               | 55             | ↑ 92%            | 62               | 54             | → 87%            |
| 4                | 293              | 277            | ↑ 95%            | 229              | 203            | → 89%            | 151              | 139            | ↑ 92%            | 238              | 234            | ↑ 98%            |
| 5F               | 309              | 295            | ↑ 95%            | 249              | 244            | ↑ 98%            | 13               | 12             | ↑ 92%            | 182              | 172            | ↑ 95%            |
| 5R               | 51               | 49             | ↑ 96%            | 84               | 82             | ↑ 98%            | 72               | 70             | ↑ 97%            | 74               | 71             | ↑ 96%            |
| 5S               | 521              | 509            | ↑ 98%            | 548              | 536            | ↑ 98%            | 378              | 361            | ↑ 96%            | 385              | 375            | ↑ 97%            |
| 6A               | 43               | 18             | ↓ 42%            | 84               | 77             | ↑ 92%            | 25               | 11             | ↓ 44%            | 19               | 10             | ↓ 53%            |
| 6B               | 2                | 1              | ↓ 50%            | 9                | 1              | ↓ 11%            | 10               | 8              | → 80%            | 32               | 14             | ↓ 44%            |
| 7                | 2                | 2              | ↑ 100%           | 1                | 1              | ↑ 100%           | 43               | 42             | ↑ 98%            | 34               | 34             | ↑ 100%           |
| 8                | 502              | 452            | ↑ 90%            | 319              | 273            | → 86%            | 450              | 422            | ↑ 94%            | 356              | 333            | ↑ 94%            |
| 9                | 163              | 133            | → 82%            | 150              | 114            | ↓ 76%            | 203              | 181            | → 89%            | 192              | 171            | → 89%            |
| <b>Total</b>     | <b>2,356</b>     | <b>2,196</b>   | <b>↑ 93%</b>     | <b>1,748</b>     | <b>1,603</b>   | <b>↑ 92%</b>     | <b>1,792</b>     | <b>1,675</b>   | <b>↑ 93%</b>     | <b>1,939</b>     | <b>1,818</b>   | <b>↑ 94%</b>     |

|   |  |
|---|--|
| ↑ | More than 90% of violations with completed enforcement       |
| → | Between 80% and 90% of violations with completed enforcement |
| ↓ | Less than 80% of violations with completed enforcement       |

Table 10 shows a very high enforcement response rate, much higher than in Table 9 for wastewater reporting. This is due in part to the fact that these violations are often entered into the database at the same time the enforcement action is entered into the database.

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**“September 30, 2009 Quarterly Update”**

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#### IV. Mandatory Minimum Penalties (MMPs) Update

##### *Summary of MMP Violations and MMP Enforcement Actions*

According to the CIWQS database, 25,419 violations subject to mandatory minimum penalties occurred between January 1, 2000 and September 30, 2009. Of these, 19,117 (75 percent) are recorded as having received a minimum or greater penalty. Some portion of the reported effluent violations may qualify for statutory exemptions.

Table 11 shows the number of violations that have been identified by each Regional Water Board office by violation type. [Appendix D](#) provides a list of every facility with violations subject to mandatory minimum penalties.

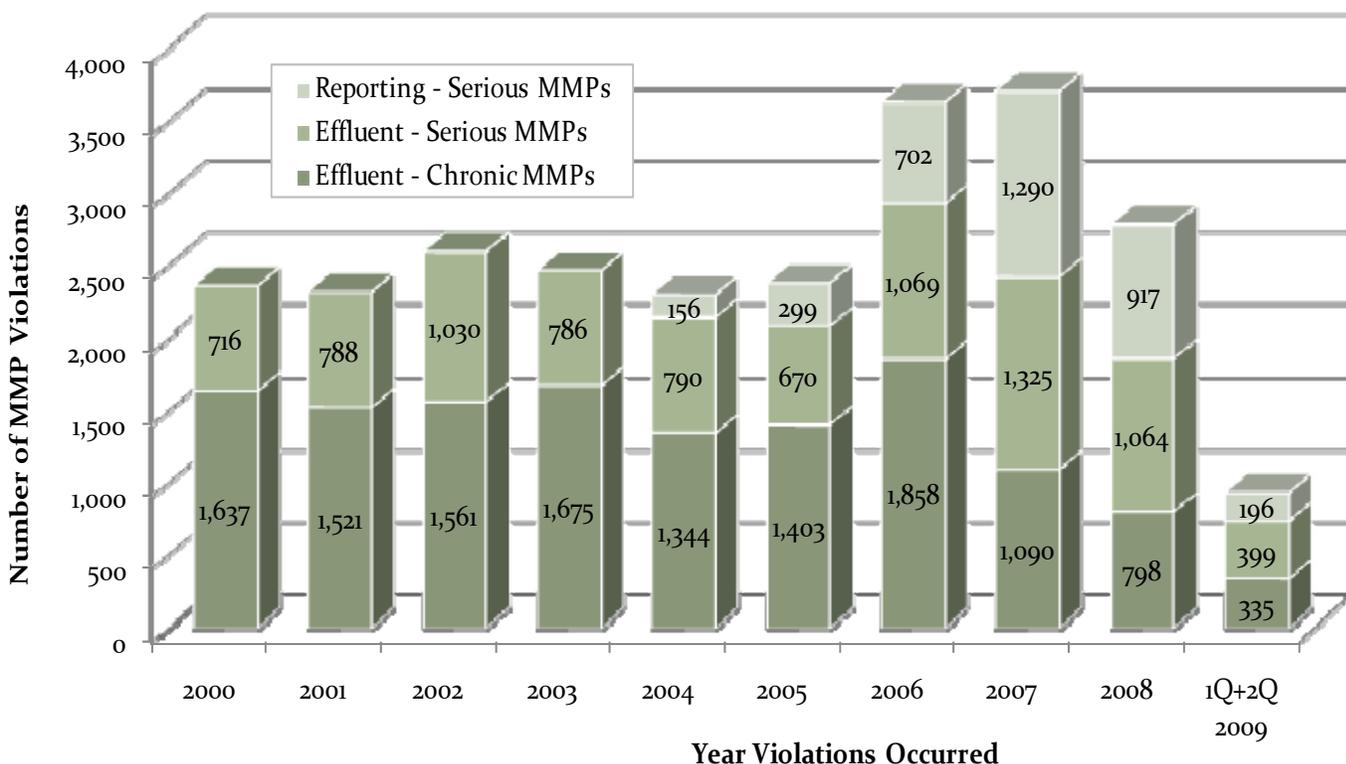
**Table 11: Status of Violations Subject to MMPs from January 2000 to September 2009**

| Regional<br>Offices | Effluent      |              | Reporting<br>Serious MMPs | Total         |
|---------------------|---------------|--------------|---------------------------|---------------|
|                     | Chronic MMPs  | Serious MMPs |                           |               |
| 1                   | 928           | 583          | 140                       | <b>1,651</b>  |
| 2                   | 886           | 851          | 1                         | <b>1,738</b>  |
| 3                   | 559           | 266          | 1                         | <b>826</b>    |
| 4                   | 4,377         | 3,371        | 1,824                     | <b>9,572</b>  |
| 5F                  | 953           | 296          | 59                        | <b>1,308</b>  |
| 5R                  | 132           | 53           | 1                         | <b>186</b>    |
| 5S                  | 3,130         | 2,271        | 1,531                     | <b>6,932</b>  |
| 6A                  | 0             | 0            | 0                         | <b>0</b>      |
| 6B                  | 162           | 15           | 0                         | <b>177</b>    |
| 7                   | 695           | 407          | 0                         | <b>1,102</b>  |
| 8                   | 900           | 133          | 3                         | <b>1,036</b>  |
| 9                   | 500           | 391          | 0                         | <b>891</b>    |
| <b>Total</b>        | <b>13,222</b> | <b>8,637</b> | <b>3,560</b>              | <b>25,419</b> |

**“September 30, 2009 Quarterly Update”**

Figure 1 shows MMP violations since 2000 by violation type. We see a variation through the years. There is significant increase in the count of violations in 2006 due to the greater emphasis on documentation of serious violations for late reports, especially within the Los Angeles Regional Water Board as a result of implementation of the statewide initiative for MMP enforcement. MMPs for late reports began in 2004 with the addition of section 13385.1.

**Figure 1: NPDES Wastewater MMP Violations 2000-2009**



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**“September 30, 2009 Quarterly Update”**

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Table 12 shows the number of violations that are being addressed through the enforcement process by Regional Water Board office.

**Table 12: Status of Violations Subject to MMPs from January 2000 to September 2009**

| Regional Offices | MMPs Addressed with Administrative Civil Liability (1) | MMPs Resolved with Court Order | MMPs Addressed with Expedited Payment Offer (2) | Violations without Completed MMP Enforcement | % Without  | Total         |
|------------------|--|--------------------------------|---|--|------------|---------------|
| 1                | 1,519  | 0                              | 14  | 118  | 7%         | <b>1,651</b>  |
| 2                | 1,438  | 0                              | 116   | 184  | 11%        | <b>1,738</b>  |
| 3                | 631  | 22                             | 56  | 117  | 14%        | <b>826</b>    |
| 4                | 2,120  | 3,031                          | 3,209   | 1,212  | 13%        | <b>9,572</b>  |
| 5F               | 1,178  | 0                              | 3   | 127  | 10%        | <b>1,308</b>  |
| 5R               | 173  | 0                              | 0   | 13   | 7%         | <b>186</b>    |
| 5S               | 6,088  | 7                              | 0   | 837  | 12%        | <b>6,932</b>  |
| 6A               | 0  | 0                              | 0   | 0  | NA         | <b>0</b>      |
| 6B               | 99   | 0                              | 0   | 78   | 44%        | <b>177</b>    |
| 7                | 922  | 0                              | 0   | 180  | 16%        | <b>1,102</b>  |
| 8                | 1,026  | 0                              | 0   | 10   | 1%         | <b>1,036</b>  |
| 9                | 835  | 28                             | 0   | 28   | 3%         | <b>891</b>    |
| <b>Total</b>     | <b>16,029</b>  | <b>3,088</b>                   | <b>3,398</b>                                    | <b>2,904</b>                                 | <b>11%</b> | <b>25,419</b> |

- (1) MMP Addressed with ACL" which includes both pending ACL activities triggered by a complaint and completed ACL activities resolved with an ACL order or settlement.
- (2) Expedited payment offer issued under the 2008 MMP initiative. The term "addressed" means that some action has been initiated regarding the MMP violation, not that the violation has been resolved.

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**“September 30, 2009 Quarterly Update”**

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Table 13 lists the number of facilities in each Regional Water Board office that have one or more MMP violations, the number of facilities for which MMPs have been issued for all MMP violations, and the number of facilities that would require at least one enforcement action to cover any outstanding MMP violations. As shown, 306 or more enforcement actions would be necessary to cover the 2,904 violations remaining subject to MMPs. This is a significant improvement from the 491 actions needed at the beginning of calendar year 2008.

**Table 13: Facilities with MMP Violations and Pending Enforcement Actions  
January 2000 to September 2009**

| Regional Offices | Facilities with all MMP penalties issued | Facilities with pending MMP penalties | Total      |
|------------------|--|---------------------------------------|------------|
| 1                | 21                                       | 12                                    | 33         |
| 2                | 44                                       | 25                                    | 69         |
| 3                | 14                                       | 15                                    | 29         |
| 4                | 179                                      | 147                                   | 326        |
| 5F               | 18                                       | 4                                     | 22         |
| 5R               | 12                                       | 6                                     | 18         |
| 5S               | 43                                       | 71                                    | 114        |
| 6A               | 0  | 0                                     | 0          |
| 6B               | 1  | 2                                     | 3          |
| 7                | 4  | 18                                    | 22         |
| 8                | 14                                       | 4                                     | 18         |
| 9                | 22                                       | 2                                     | 24         |
| <b>Total</b>     | <b>372</b>                               | <b>306</b>                            | <b>678</b> |

**“September 30, 2009 Quarterly Update”**

Figure 2 displays the distribution of facilities by the number of MMP violations with and without completed enforcement. The numbers indicate that of the 678 facilities that incurred in MMP violations, 356 or 53% have incurred ten or fewer MMP violations, and 107 facilities or 16% have more than fifty MMP violations each.

**Figure 2: Distribution of Facilities by Number of MMP Violations**

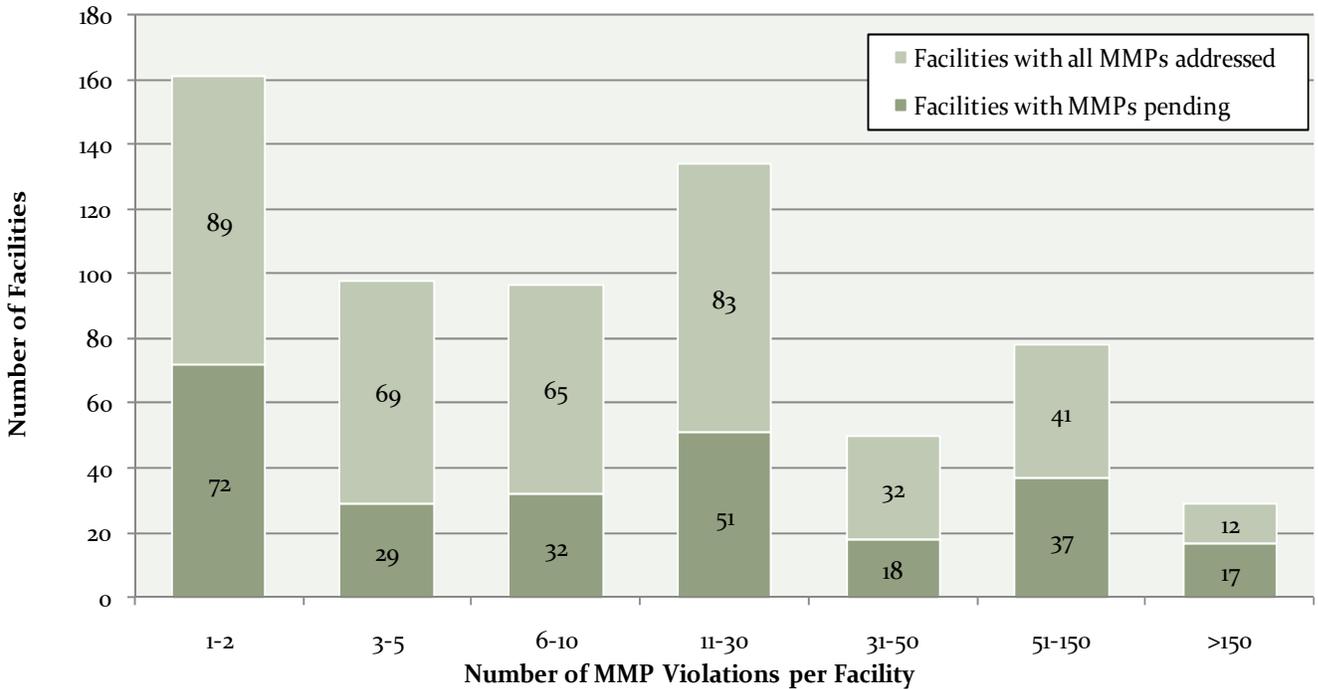


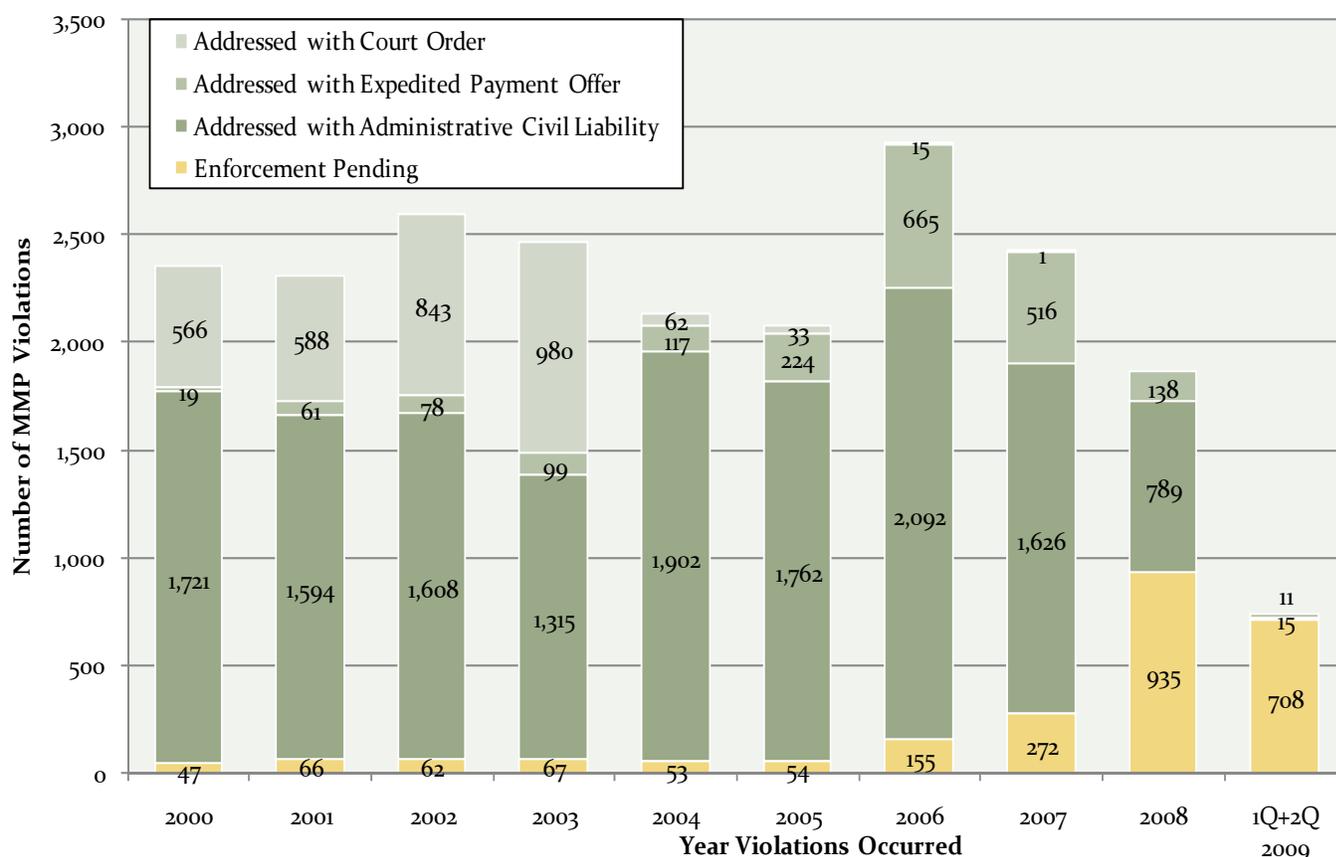
Figure 2 also reveals that the percentage of facilities with all MMPs addressed varies depending on the number of MMP violations per facility.

**“September 30, 2009 Quarterly Update”**

**Enforcement of Mandatory Minimum Penalties on Effluent Violations**

Early trends in MMP violations indicated an overall reduction in the number of violations at NPDES facilities. We believed that the reduction was partly a result of increased compliance due to the deterrent effect of MMPs. Data for 2003 and 2004 showed an increase in violations, but we believe this is partly due to an increased emphasis on recording and collecting these mandatory penalties. Additionally, the introduction of MMPs for reporting violations in 2004 put a greater emphasis on reviewing and tracking all such reports. The Regional Water Boards generally prioritize MMP issuance to facilities with greater compliance problems because of the staff costs associated with issuing ACLs. While MMPs were intended to be a streamlined process for penalty issuance, these penalties are often challenged by dischargers and can consume as much staff time as an ordinary ACL complaint assessing discretionary penalties.

**Figure 3: NPDES Wastewater MMP Effluent Violations 2000-2009 by Enforcement Type**



**“September 30, 2009 Quarterly Update”**

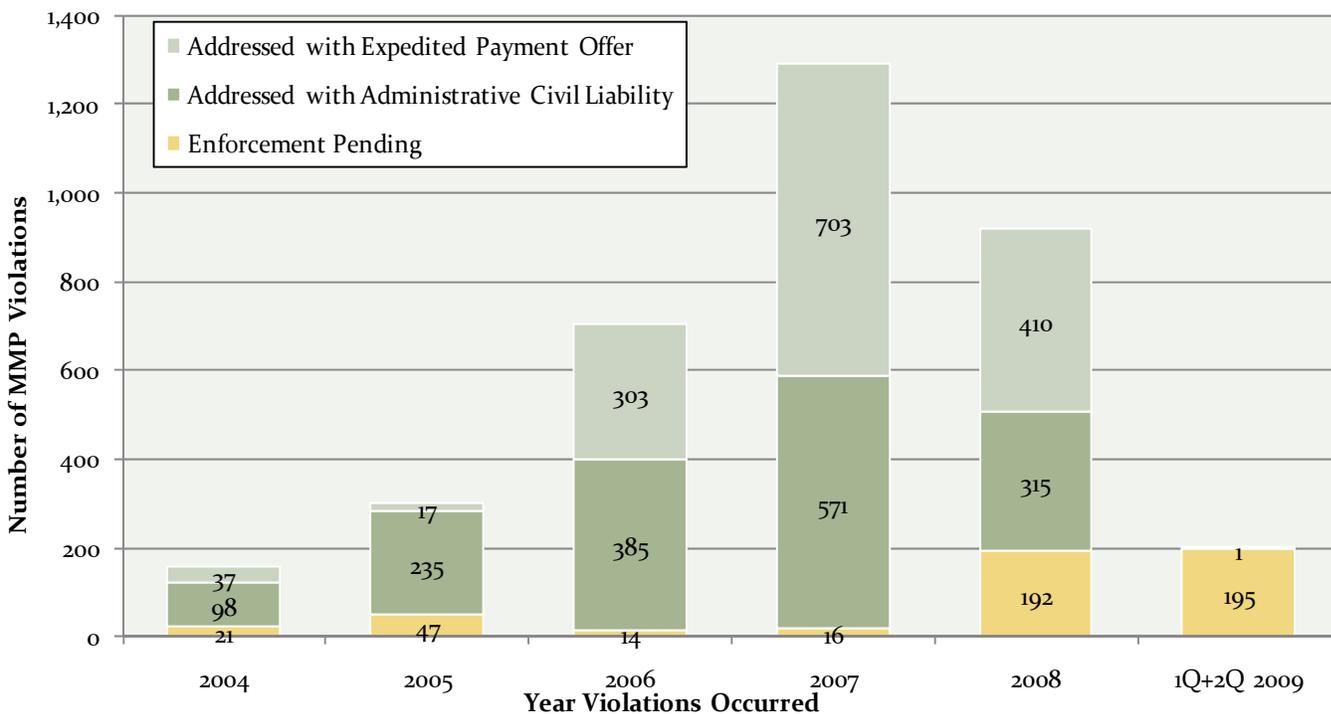
**Enforcement of Mandatory Minimum Penalties on Serious Late Reporting Violations**

Prompt and complete submission of self monitoring reports is a priority for the Water Boards because monitoring reports are the main instrument to ensure compliance with effluent limits and monitoring requirements in NPDES permits.

Compliance rates for reporting violations vary significantly among regions and type of dischargers. Typically most major dischargers submit their monitoring reports on time.

Figure 4 displays the distribution and number of reporting violations over time and with the level of enforcement received.

**Figure 4: NPDES Wastewater Late Report Serious Violations 2004-2008 with Level of Enforcement Received**

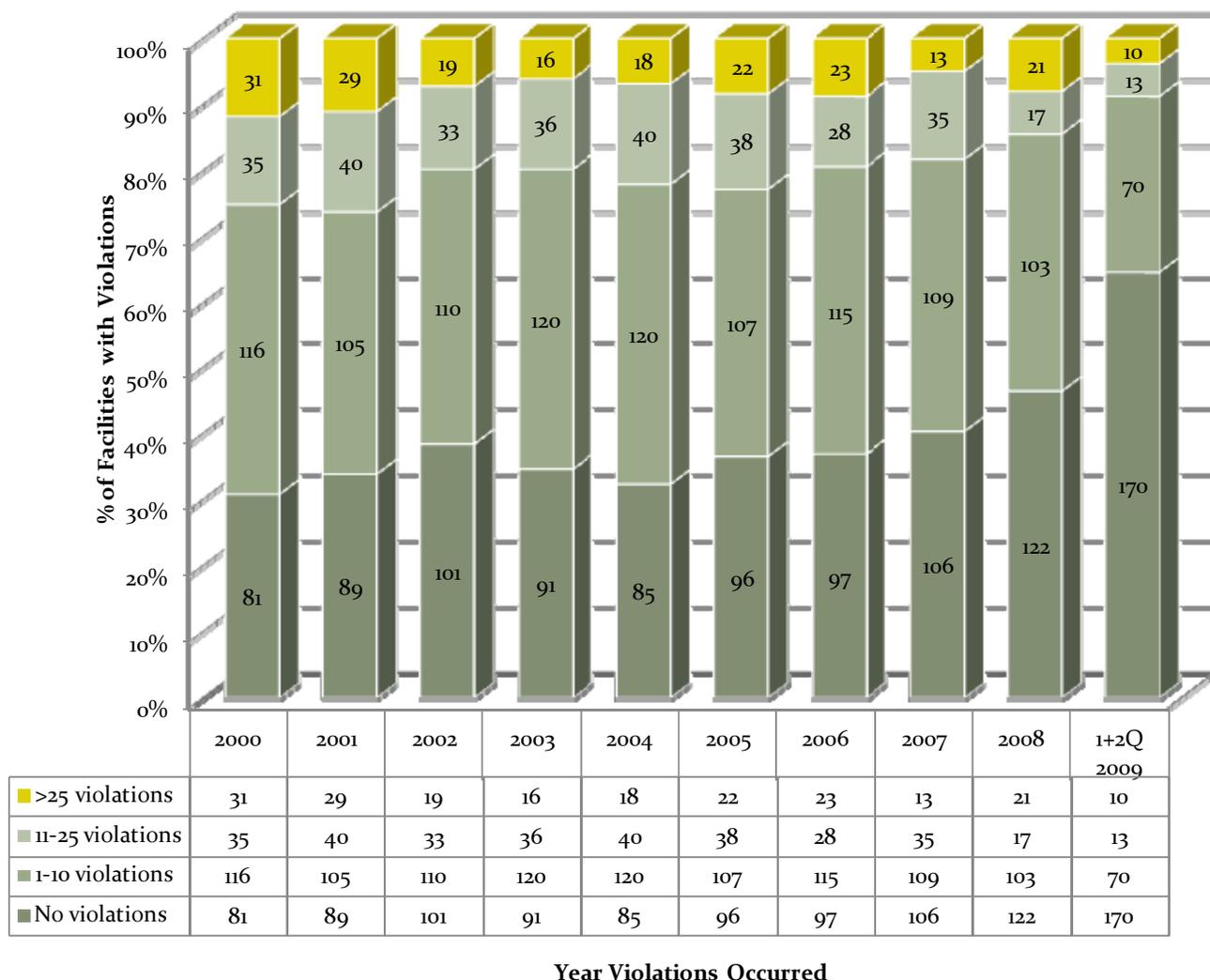


**“September 30, 2009 Quarterly Update”**

**Compliance Rates for Major Facilities**

A key performance measure for the Water Board’s enforcement program is the compliance rate with requirements. Of special significance, because of their threat to water quality is the compliance rate for NPDES Wastewater Major Facilities. Figure 5 shows that compliance rates among Major NPDES wastewater facilities are improving overtime. Not only has the total number of Major facilities with violations recorded been reduced but the number of facilities with more than 25 violations during the year has seen a significant reduction, going from 31 in the year 2000 to only 13 in 2007 and 21 in 2008. Figure 5 displays the distribution of the number of NPDES major facilities with no violations, the number of facilities with one to 10 violations, the number of facilities with 11 to 25 violations and the number of facilities with more than 25 violations.

**Figure 5: Number of NPDES Wastewater Major Facilities 2000-2009. Compliance Rates**



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**“September 30, 2009 Quarterly Update”**

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**VI. Recommendations and Actions for Future Reports: UPDATE**

- To improve data quality and consistency in data entry, the Office of Enforcement is planning an enforcement data project to identify and prepare a plan of correction to address all issues related to enforcement data.

Update: On February 18, 2009, the State Water Board sponsored an Enforcement Data Summit to discuss with the regional board enforcement coordinators and CIWQS coordinators the data gaps and data needs. The group meets and discusses enforcement related data issues on a quarterly basis.

- The Office of Enforcement will prepare a comprehensive report on the 2008 Statewide Initiative for MMP Enforcement.

Update: The Office of Enforcement released the comprehensive [report](#) on May 2009.

- Update information to meet the requirements of sections 13385(o)(1) and 13385(o)(2) on a quarterly basis.

Update: This report is [updated quarterly](#) and a process to streamline the necessary data is in place.

- Conduct an analysis of the effectiveness of current enforcement policies, including mandatory minimum penalties by individual regional board office.

Update: A plan to meet this requirement is under development.

- Develop measures to evaluate the impact of mandatory minimum penalties on compliance, deterrence and recidivism.

Update: A set of measures is under development.

- Develop the necessary tracking systems to measure the environmental benefits gained as a result of an enforcement action.

Update: Changes to information systems are currently being proposed.

- Include with this report, at the State Water Board public web site, the metadata that supports the numbers provided in this report.

Update: This report includes the [metadata](#) at the Water Board web site.

# Appendix A: List of Regional Water Board Offices



CALIFORNIA  
**Water Boards**  
 STATE WATER RESOURCES CONTROL BOARD  
 REGIONAL WATER QUALITY CONTROL BOARDS

## ENFORCEMENT COORDINATORS

### North Coast Region (1)

www.waterboards.ca.gov/northcoast  
 5550 Skylane Blvd., Suite A  
 Santa Rosa, CA 95403  
**Diana Henriouille (707) 576-2350**  
 dhenriouille@waterboards.ca.gov

### San Francisco Bay Region (2)

www.waterboards.ca.gov/sanfranciscobay  
 1515 Clay Street, Suite 1400  
 Oakland, CA 94612  
**Brian Thompson (510) 622-2422**  
 BRThompson@waterboards.ca.gov

### Central Coast Region (3)

www.waterboards.ca.gov/centralcoast  
 895 Aerovista Place, Suite 101  
 San Luis Obispo, CA 93401  
**Harvey Packard (805) 542-4639**  
 hpackard@waterboards.ca.gov

### Los Angeles Region (4)

www.waterboards.ca.gov/losangeles  
 320 W. 4th Street, Suite 200  
 Los Angeles, CA 90013  
**Hugh Marley (213) 620-6375**  
 hmarley@waterboards.ca.gov

### Central Valley Region (5)

www.waterboards.ca.gov/centralvalley  
 11020 Sun Center Drive, Suite 200  
 Rancho Cordova, CA 95670  
**Dan Radulescu (916) 464-4736**  
 dradulescu@waterboards.ca.gov

### Fresno branch office

1685 E Street, Suite 200  
 Fresno, CA 93706

### Redding branch office

415 Knollcrest Drive, Suite 100  
 Redding, CA 96002

### Lahontan Region (6)

www.waterboards.ca.gov/lahontan  
 2501 Lake Tahoe Blvd.  
 South Lake Tahoe, CA 96150  
**Scott Ferguson (530) 542-5432**  
 sferguson@waterboards.ca.gov

### Victorville branch office

14440 Civic Drive, Suite 200  
 Victorville, CA 92392-2383

### Colorado River Basin Region (7)

www.waterboards.ca.gov/coloradoriver  
 73-720 Fred Waring Dr., Suite 100  
 Palm Desert, CA 92260  
**Doug Wylie (760) 346-6585**  
 dwylie@waterboards.ca.gov

### Santa Ana Region (8)

www.waterboards.ca.gov/santaana  
 California Tower  
 3737 Main Street, Suite 500  
 Riverside, CA 92501-3339  
**Steve Mayville (951) 782-4992**  
 smayville@waterboards.ca.gov

### San Diego Region (9)

www.waterboards.ca.gov/sandiego  
 9174 Sky Park Court, Suite 100  
 San Diego, CA 92123  
**Jeremy Haas (858) 467-2735**  
 jhaas@waterboards.ca.gov

★ **State Water Resources Control Board (Headquarters)**  
 1001 I Street, Sacramento, CA 95814  
 www.waterboards.ca.gov

Director of Office of Enforcement  
**Reed Sato**

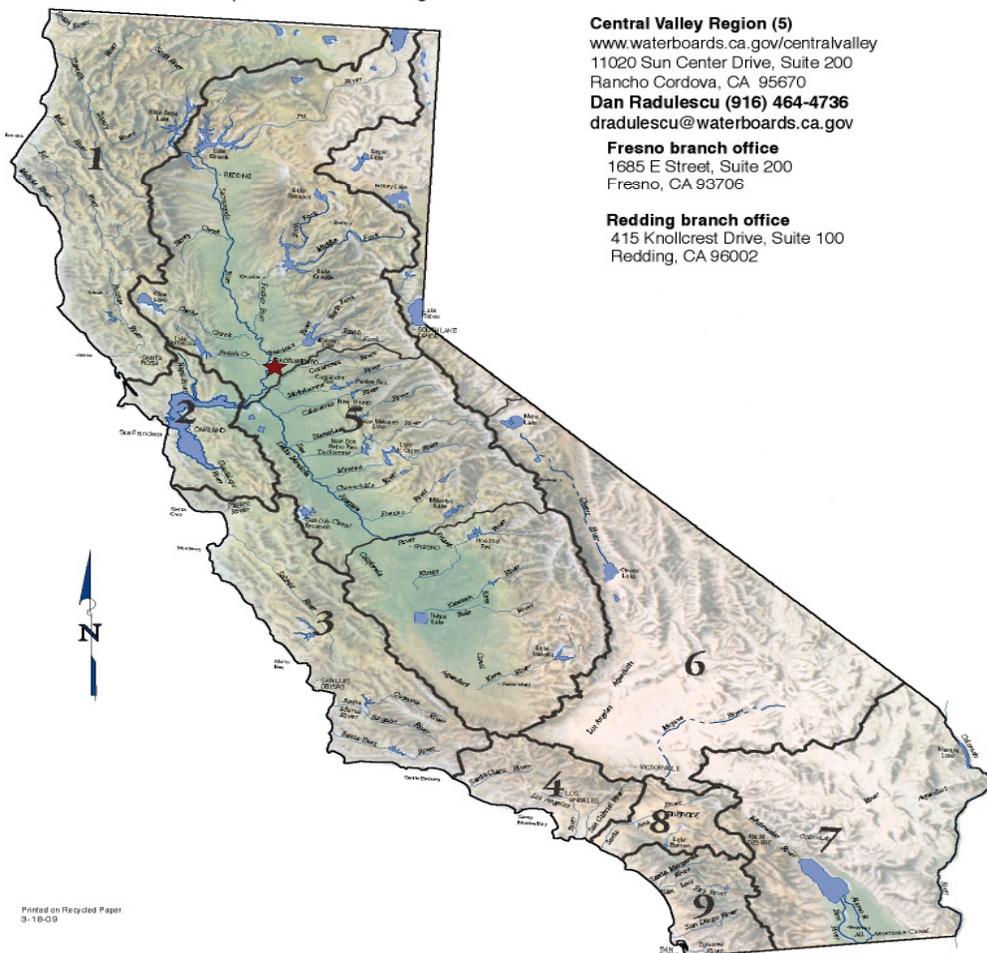
Underground Storage Tanks Enforcement Unit  
**Kim Sellards (916) 341-5869**  
 ksellards@waterboards.ca.gov

All other Enforcement  
**Mark Bradley (916) 341-5891**  
 mbradley@waterboards.ca.gov

State of California  
 Arnold Schwarzenegger, Governor

California Environmental Protection Agency  
 Linda S. Adams, Secretary

State Water Resources Control Board  
 Charles R. Hoppin, Chair  
 Dorothy Rice, Executive Director



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## Appendix B: Listing and Description of Violation Types Used in the CIWQS Data System

Category 1 pollutant – Category 1 pollutants as defined by USEPA include:

|   |  |
|---|--|
| <u>Oxygen Demand</u><br>Biochemical Oxygen Demand<br>Chemical Oxygen Demands<br>Total Organic Carbon<br>Other | <u>Detergents and Oils</u><br>MBAS<br>NTA<br>Oil and Grease<br>Other detergents or algaecides  |
| <u>Solids</u><br>Total Suspended Solids (Residues)<br>Total Dissolved Solids (Residues)<br>Other              | <u>Minerals</u><br>Calcium, Chloride, Fluoride, Magnesium, Sodium, Potassium, Sulfur, Sulfate, Total Alkalinity, Total Hardness,<br>Other Minerals |
| <u>Nutrients</u><br>Inorganic Phosphorus Compounds<br>Inorganic Nitrogen Compounds<br>Other                   | <u>Metals</u><br>Aluminum, Cobalt, Iron, Vanadium  |

Category 2 pollutant – Category 2 pollutants as defined by USEPA:

Metals (all forms) - Other metals not specifically listed under Group I

Inorganics - Cyanide, Total Residual Chlorine

Organics - All organics are Group II except those specifically listed under Group I.

Other effluent violation – Any violation of an effluent requirement not cover under Category 1 or Category 2.

Chronic Toxicity – Violation of a chronic toxicity effluent requirement.

Acute Toxicity – Violation of an acute toxicity effluent requirement.

Violation of Non-effluent Permit Condition – Violation of any permit condition not pertaining to effluent requirements.

Reporting – Late report, failure to submit a report, or a report that is either not complete or contains errors.

Monitoring – Failure to conduct required monitoring

Compliance schedule – Failure to comply with a compliance schedule in a permit. This does not include schedules in an enforcement order likes a Cease & Desist and Time Schedule Orders.

Sanitary Sewer Overflow – Any spill from a sanitary sewer collection system or pump station.

Unauthorized Discharge – Any discharge other than allowed by WDRs that is not a sanitary sewer overflow.

Unregulated Discharge – Discharge from a site not currently under WDRs.

Groundwater – Any release to groundwater that violates permit conditions or basin plan prohibitions.

BMP – Failure to implement proper best management practices.

SWPPP – Failure to complete or update a stormwater pollution prevention plan.

Failure to obtain permit – Failure to obtain the appropriate permit prior to discharge or regulated activity.

Other Codes – Violations of codes sections other that the California Water Code.

Enforcement Action – Failure to comply with a previous enforcement order by not meeting its requirements, its time schedule, or failure to pay penalties.

Basin Plan Prohibition – Violation of any basin plan prohibition.

## Appendix C: Types and Classification of Enforcement Actions

| Type of Enforcement Action                     | Description  | Classification |
|--|--|----------------|
| Expedited Payment Offer                        | A conditional offer that provides a discharger with an opportunity to resolve any outstanding violations subject to mandatory minimum penalties by acknowledging them and providing full payment of the accrued mandatory penalties identified in the payment letter | Informal       |
| Verbal Communication                           | Any communication regarding the violation that takes place in person or by telephone.  | Informal       |
| Staff Enforcement Letter                       | Any written communication regarding violations and possible enforcement actions that is signed at the staff level.   | Informal       |
| Notice of Violation                            | A letter officially notifying a discharger of a violation and the possible enforcement actions, penalties, and liabilities that may result. This letter is signed by the Executive Officer.  | Informal       |
| Notice to Comply                               | Issuance of a Notice to Comply per Water Code Section 13399.   | Formal         |
| 13267 Order                                    | A letter utilizing Water Code Section 13267 authority to require further information or studies.   | Formal         |
| Clean-up and Abatement Order                   | Any order pursuant to Water Code Section 13304.  | Formal         |
| Cease and Desist Order                         | Any order pursuant to Water Codes Sections 13301-13303.  | Formal         |
| Time Schedule Order                            | Any order pursuant to Water Code Section 13300.  | Formal         |
| Administrative Civil Liability (ACL) Complaint | ACL Complaint issued by the Executive Officer.   | Formal         |
| Administrative Civil Liability (ACL) Order     | An ACL Order that has been imposed by the Water Board or SWRCB.  | Formal         |
| Settlement                                     | A settlement agreement per California Government Code Section 11415.6.   | Formal         |
| Referral                                       | Referral to the District Attorney, Attorney General, or USEPA.   | Formal         |
| Referred to a Task Force                       | Any referral of a violation to an environmental crimes task force.   | Formal         |
| Referral to Other Agency                       | Any referral to another State Agency.  | Formal         |
| Third Party Action                             | An enforcement action taken by a non-governmental third party and to which the State or Water Board is a party.  | Formal         |
| Waste Discharge Requirements                   | Any modification or rescission of Waste Discharge Requirements in response to a violation.   | Formal         |

September 30, 2009 Quarterly Update

### Appendix D: List of Facilities with Violations Subject to MMP Actions and Violations Receiving Penalties by Regional Board Office January 2000 – September 2009

| REGION | FACILITY ID | WDID        | FACILITY NAME                  | AGENCY NAME                                   | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--------------------------------|---|---------|---------------------------|-------------|
| 1      | 206164      | 1B82114OHUM | ARCATA CITY WWTF               | City of Arcata                                | 13      | 118                       | 131         |
| 1      | 213577      | 1A84005ODN  | CC HARBOR SEAFOOD WW SYSTEM    | CRESCENT CITY HARBOR DISTRICT                 | 20      | 21                        | 41          |
| 1      | 213614      | 1B80073OHUM | CDF&G MAD RIVER HATCHERY       | Ca Dept of Fish & Game Redding                |         | 3                         | 3           |
| 1      | 213616      | 1A80077OTRI | CDF&G TRINITY RIVER HATCHERY W | Ca Dept of Fish & Game Redding                |         | 5                         | 5           |
| 1      | 215141      | 1B80121OHUM | COLLEGE OF THE REDWOODS, POTW  | COLLEGE OF THE REDWOODS                       |         | 22                        | 22          |
| 1      | 216106      | 1A84006ODN  | Crescent City WWTP             | Crescent City                                 |         | 30                        | 30          |
| 1      | 223010      | 1B82151OHUM | EUREKA CITY ELK RIVER WWTP     | Eureka City                                   | 2       | 8                         | 10          |
| 1      | 223075      | 1B77005OHUM | EVERGREEN PULP INC (LP SAMOA)  | Evergreen Pulp Inc                            | 6       | 199                       | 205         |
| 1      |             |             |                                | Stockton Pacific Ent Inc                      | 6       |                           | 6           |
| 1      | 224348      | 1B83136OHUM | FERNDALE CITY POTW             | Ferndale City                                 |         | 111                       | 111         |
| 1      | 224901      | 1B83100OSON | Forestville Water District     | FORESTVILLE WATER DISTRICT                    |         | 48                        | 48          |
| 1      | 225055      | 1B84083OMEN | FORT BRAGG CITY WWTP           | Fort Bragg City                               |         | 66                        | 66          |
| 1      | 225089      | 1B83135OHUM | FORTUNA CITY WWTP              | Fortuna City                                  |         | 14                        | 14          |
| 1      | 229801      | 1B83056OMEN | HARWOOD PROD. BRANSCOMB MILL   | HARWOOD PRODUCTS                              |         | 20                        | 20          |
| 1      | 229922      | 1B82046OSON | HEALDSBURG CITY WWTP           | Healdsburg City                               |         | 124                       | 124         |
| 1      | 237295      | 1B80081OHUM | LOLETA POTW                    | LOLETA CSD                                    |         | 155                       | 155         |
| 1      | 240093      | 1B82084OHUM | MCKINLEYVILLE WWTP             | MCKINLEYVILLE CSD                             |         | 89                        | 89          |
| 1      | 240307      | 1B83129OMEN | MENDOCINO CITY CSD             | MENDOCINO CITY CSD                            |         | 3                         | 3           |
| 1      | 240318      | 1B83118OMEN | MENDOCINO CWWD#2-ANCHOR BAY    | MENDO CO WWD #2 ANCHOR BAY                    |         | 13                        | 13          |
| 1      | 248195      | 1B82085OHUM | PG&E HUMBOLDT BAY POWER PLANT  | Pacific Gas & Electric Company<br>Eureka      | 2       |                           | 2           |
| 1      | 248563      | 1B83104OHUM | Town of Scotia                 | Humboldt Redwood Company<br>(non storm water) | 4       | 1                         | 5           |
| 1      |             |             |                                | Palco (non-stormwater sites)                  |         | 3                         | 3           |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME                | AGENCY NAME                            | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total  |
|----------------|-------------|-------------|------------------------------|--|------------|---------------------------|--------------|
| 1              | 252211      | 1B83147OHUM | REDWAY POTW                  | REDWAY CSD                             |            | 6                         | 6            |
| 1              | 252750      | 1B83134OHUM | Rio Dell City WWTF           | Rio Dell City                          |            | 21                        | 21           |
| 1              | 255703      | 1B83099OSON | SANTA ROSA CITY WWTP, LAGUNA | Santa Rosa City                        | 1          | 17                        | 18           |
| 1              | 256050      | 1B84060OSON | GRATON CSA                   | Graton CSD                             |            | 14                        | 14           |
| 1              | 256056      | 1B83001OSON | SCWA OCCIDENTAL CSD          | SONOMA CNTY WATER AGENCY               | 10         | 99                        | 109          |
| 1              | 256061      | 1B82045OSON | SCWA RUSSIAN RIVER CSD       | SONOMA CNTY WATER AGENCY               | 13         | 47                        | 60           |
| 1              | 256749      | 1B84086OHUM | SHELTER COVE POTW            | HCRID #1                               |            | 16                        | 16           |
| 1              | 266694      | 1A84002OSIS | TULELAKE CITY WWTP           | Tulelake City                          |            | 164                       | 164          |
| 1              | 268922      | 1B84035OSON | UC BODEGA MARINE LAB         | UC DAVIS                               | 5          | 23                        | 28           |
| 1              | 268960      | 1B84029OMEN | UKIAH CITY WWTP              | Ukiah City, DPW                        | 1          | 5                         | 6            |
| 1              | 272646      | 1B80078OMEN | WILLITS CITY WWTP            | Willits City                           |            | 9                         | 9            |
| 1              | 630388      | 1A84006ODN  | Crescent City CS             | Crescent City                          | 35         | 4                         | 39           |
| 1              | (blank)     | 1B85026NHUM | (blank)                      | DG Fairhaven Power LLC                 |            | 55                        | 55           |
| <b>1 Total</b> |             |             |                              |  | <b>118</b> | <b>1,533</b>              | <b>1,651</b> |
| 2              | 201399      | 2 438457002 | 1098 ALTA AVE                | RICHARD BEERY                          | 1          |                           | 1            |
| 2              | 202038      | 2 438132002 | 2256 JUNCTION, Van Waters    | Univar USA Inc.                        |            | 5                         | 5            |
| 2              | 203213      | 2 019216001 | 4501 Tidewater Ave, Oakland  | HANSON AGGREGATES                      | 89         |                           | 89           |
| 2              | 205477      | 2 283021001 | AMERICAN CANYON WWTP         | AMERICAN CANYON CITY                   |            | 32                        | 32           |
| 2              | 205781      | 2 215002001 | ANGEL ISLAND STATE PK - WWTP | CA Dept of Parks & Rec, Marin District |            | 15                        | 15           |
| 2              | 209253      | 2 482001001 | BENICIA WWTP                 | BENICIA, CITY OF                       |            | 27                        | 27           |
| 2              | 210839      | 2 417005001 | BURLINGAME WWTP              | Burlingame City                        | 1          | 12                        | 13           |
| 2              | 211788      | 2 019320001 | Bottling Group LLC           | NEW CENTURY BEVERAGE COMPANY           |            | 4                         | 4            |
| 2              | 212212      | 2 071006001 | C & H SUGAR                  | C & H SUGAR                            | 4          | 82                        | 86           |
| 2              |             |             |                              | Crockett CSD                           |            | 4                         | 4            |
| 2              | 212585      | 2 417022002 | CALERA CREEK WATER RECYCLING | Pacifica City                          | 12         | 142                       | 154          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                          | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
|        |             |             | <b>PLANT</b>                           |  |         |                           |             |
| 2      | 212750      | 2 283003001 | Calistoga City Dunaweal WWTP           | CALISTOGA CITY                                       |         | 22                        | 22          |
| 2      | 213889      | 2 215116001 | CENTRAL MARIN SAN. AGCY. WWTP          | Central Marin Sanitation Agency                      | 1       | 13                        | 14          |
| 2      | 215234      | 2 071107001 | WEST COUNTY AGENCY OUTFALL             | West County Agency                                   |         | 2                         | 2           |
| 2      | 215718      | 2 417053002 | CORINDA LOS TRANCOS LND FLL            | BROWNING-FERRIS INDUSTRIES                           | 6       | 8                         | 14          |
| 2      | 216164      | 2 071201001 | CROCKETT COGENERATION                  | Crockett Cogeneration                                |         | 1                         | 1           |
| 2      | 217831      | 2 071032001 | City of PINOLE WWTP                    | PINOLE, CITY OF                                      |         | 13                        | 13          |
| 2      | 219552      | 2 071013001 | DELTA DIABLO SAN. DIST. WWTP           | DELTA DIABLO SANITATION DIST.                        |         | 8                         | 8           |
| 2      | 220792      | 2 019033001 | DUBLIN SAN RAMON SD WWTP               | DUBLIN SAN RAMON SERVICES DISTRICT (WWTP NPDES &SSO) | 1       | 4                         | 5           |
| 2      | 222123      | 2 019025001 | EBDA COMMON OUTFALL                    | LIVERMORE CITY                                       |         | 1                         | 1           |
| 2      |             | 2 019123001 | EBDA COMMON OUTFALL                    | EAST BAY DISCHARGERS AUTHORITY                       |         | 4                         | 4           |
| 2      | 222130      | 2 019014002 | EBMUD SD#1-WET WTHR BYPASS             | EAST BAY MUNICIPAL UTILITY DISTRICT (WPCP)           | 1       |                           | 1           |
| 2      | 222132      | 2 019014001 | EBMUD WPCP                             | EAST BAY MUNICIPAL UTILITY DISTRICT (WPCP)           |         | 9                         | 9           |
| 2      | 225526      | 2 482005001 | FSSD SUBREGIONAL WWTP                  | Fairfield Suisun Sewer District                      | 9       | 67                        | 76          |
| 2      | 226932      | 2 071001001 | GENERAL CHEMICAL- WWTP                 | GENERAL CHEMICAL                                     |         | 50                        | 50          |
| 2      | 228579      | 2 071170001 | GWF -SITE I (E. Third St.) Power Plant | GWF POWER SYSTEMS L. P.                              |         | 1                         | 1           |
| 2      | 228968      | 2 071048001 | Golden Eagle Refinery WWTP             | TESORO REFINING & MARKETING CO                       |         | 12                        | 12          |
| 2      | 230899      | 2 386003001 | HUNTERS POINT POWER PL                 | Pacific Gas & Electric Company San Francisco         |         | 1                         | 1           |
| 2      | 231301      | 2 386058002 | Harry Tracy WTP                        | San Francisco PUC                                    |         | 26                        | 26          |
| 2      | 235164      | 2 019328001 | KOBE PRECISION INC                     | Kobe Precision Inc                                   |         | 2                         | 2           |
| 2      | 236598      | 2 215012001 | LAS GALLINAS WWTP                      | Las Gallinas Valley Sanitary District                | 3       | 21                        | 24          |
| 2      | 237195      | 2 019025001 | CITY of Livermore WRP                  | LIVERMORE CITY                                       |         | 5                         | 5           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME   | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
| 2      | 239497      | 2 215021001 | MARIN CSD 5 - TIBURON WWTP                                   | MARIN COUNTY Sanitary District #5                       |         | 1                         | 1           |
| 2      | 239498      | 2 215021002 | MARIN CSD 5 PARADISE COVE WWTP                               | MARIN COUNTY Sanitary District #5                       |         | 1                         | 1           |
| 2      | 240791      | 2 417019001 | MILLBRAE WWTP  | MILLBRAE, CITY OF                                       |         | 7                         | 7           |
| 2      | 241493      | 2 019112001 | MORTON SALT DIVISION- NEWARK MT. VIEW SANITARY DISTRICT WWTP | MORTON INTERNATIONAL, INC.                              |         | 4                         | 4           |
| 2      | 241815      | 2 071029001 | NAPA SD WWTP   | MT. VIEW SANITARY DISTRICT                              |         | 3                         | 3           |
| 2      | 243858      | 2 283009001 | NORTH SAN MATEO COUNTY SANITATION DISTRICT WWTP              | NAPA SANITATION DISTRICT                                |         | 55                        | 55          |
| 2      | 244566      | 2 417021001 | NOVATO AND IGNACIO WWTP                                      | NORTH SAN MATEO COUNTY SANITATION DISTRICT              |         | 1                         | 1           |
| 2      | 244705      | 2 215022001 | OREGON EXP UNDERPASS   | NOVATO SANITARY DISTRICT                                |         | 122                       | 122         |
| 2      | 246176      | 2 438265002 | ORO LOMA/CASTRO VALLEY SD WPCP                               | SANTA CLARA CO ROADS & AIRPORT                          |         | 2                         | 2           |
| 2      | 246217      | 2 019032001 | PG&E SHELL POND  | Oro Loma Sanitary District                              | 1       |                           | 1           |
| 2      | 247207      | 2 071030005 | PALO ALTO REGIONAL WQCP                                      | Pacific Gas & Electric Company San Francisco            | 2       | 13                        | 15          |
| 2      | 247457      | 2 438011001 | PETALUMA ELLIS CREEK WATER RECYCLING FACILITY                | PALO ALTO, CITY OF                                      |         | 56                        | 56          |
| 2      | 248087      | 2 494006001 | Vulcan Materials Co. - Pleasanton Asphalt, Sand & Gravel     | PETALUMA CITY   | 1       | 71                        | 72          |
| 2      | 248720      | 2 019156001 | PORT COSTA WWTP  | Vulcan Materials Co - Pleasanton Asphalt, Sand & Gravel |         | 3                         | 3           |
| 2      | 248886      | 2 071034001 | RICHMOND REFINERY  | CONTRA COSTA COUNTY SD NO. 5                            |         | 19                        | 19          |
| 2      | 252650      | 2 071044001 | RICHMOND WPCP  | CHEVRON PRODUCTS COMPANY                                |         | 3                         | 3           |
| 2      | 252657      | 2 071037001 | RODEO Sanitary District WWTP                                 | RICHMOND, CITY OF                                       | 1       | 70                        | 71          |
| 2      | 253174      | 2 071039001 | South San Francisco-San Bruno WQCP                           | RODEO SANITARY DISTRICT                                 |         | 35                        | 35          |
| 2      | 254881      | 2 417038001 |  | South San Francisco City                                |         | 43                        | 43          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME   | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|---|---------|---------------------------|-------------|
| 2      | 255139      | 2 417068001 | SAM WWTP (Sewer Authority Mid-Coastside Wastewater Treatment Plant) | SEWER AUTHORITY MID-COASTSIDE                         |         | 21                        | 21          |
| 2      | 255284      | 2 071051001 | ConocoPhillips San Francisco Refinery, Rodeo                        | ConocoPhillips Rodeo                                  |         | 29                        | 29          |
| 2      | 255333      | 2 438014001 | SAN JOSE/SANTA CLARA WPCP   | San Jose City   | 3       | 1                         | 4           |
| 2      | 255420      | 2 417035001 | SAN MATEO WWTP  | San Mateo City  | 2       | 23                        | 25          |
| 2      | 255788      | 2 215015001 | SASM WWTP   | SEWERAGE AGENCY OF SOUTHERN MARIN                     | 1       | 7                         | 8           |
| 2      | 255802      | 2 215023001 | SAUSALITO MARIN CITY STP  | SAUSALITO-MARIN CITY SAN DIST                         | 1       | 84                        | 85          |
| 2      | 255833      | 2 417037001 | SBSA WWTP   | SOUTH BAYSIDE SYSTEM AUTHORITY                        |         | 4                         | 4           |
| 2      | 256499      | 2 386010001 | SF-SE WPCP, N-Point & Bayside                                       | SAN FRANCISCO, CITY & CO, PUBLIC UTILITIES COMMISSION |         | 2                         | 2           |
| 2      | 256506      | 2 417033001 | SF Arprt Mel Leong TP-Industrl                                      | SAN FRANCISCO AIRPORT COMMISSION                      |         | 12                        | 12          |
| 2      | 256507      | 2 417032001 | SF ARPRT MEL LEONG TP-SANITARY WASTE                                | SAN FRANCISCO AIRPORT COMMISSION                      | 4       | 11                        | 15          |
| 2      | 256695      | 2 071042001 | SHELL MARTINEZ REFINERY WWTP  | MARTINEZ REFINING CO                                  |         | 21                        | 21          |
| 2      | 257754      | 2 494009001 | SONOMA VALLEY COUNTY SD WWTP  | SONOMA CNTY WATER AGENCY                              |         | 77                        | 77          |
| 2      | 259507      | 2 438018001 | SUNNYVALE WPCP  | SUNNYVALE CITY  | 1       | 16                        | 17          |
| 2      | 269601      | 2 071059001 | USS POSCO Industries - NPDES/SUB15                                  | USS-POSCO INDUSTRIES                                  | 2       | 2                         | 4           |
| 2      | 269973      | 2 482004001 | VALERO BENICIA REFINERY   | Valero Refining Company-CA                            |         | 9                         | 9           |
| 2      | 269988      | 2 019018001 | GE-Hitachi vallecitos Nucler Center                                 | GENERAL ELECTRIC COMPANY                              | 2       | 4                         | 6           |
| 2      | 270006      | 2 482012001 | VALLEJO SFCO WWTP   | VALLEJO SAN AND FLOOD CONT DIS                        |         | 17                        | 17          |
| 2      | 272082      | 2 071107001 | WEST COUNTY WW DISTRICT WPCP  | West County Agency                                    | 5       |                           | 5           |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME                                   | AGENCY NAME                            | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total  |
|----------------|-------------|-------------|---|--|------------|---------------------------|--------------|
| 2              | (blank)     | (blank)     | (blank)   | (blank)                                | 30         | 57                        | 87           |
| 2              | 646825      | 2 386028001 | Hanson Aggregates Mission Valley Rock - Pier 92 | HANSON AGGREGATES                      |            | 55                        | 55           |
| <b>2 Total</b> |             |             |   |  | <b>184</b> | <b>1,554</b>              | <b>1,738</b> |
| 3              | 206887      | 3 400401144 | AVILA VALLEY HOT SPRINGS                        | King Ventures                          | 57         |                           | 57           |
| 3              | 209484      | 3 440800001 | BIG BASIN WWTP                                  | CA STATE PARKS                         | 1          | 46                        | 47           |
| 3              | 212681      | 3 400108001 | CALIFORNIA MEN'S COLONY                         | Ca Dept of Corrections San Luis Obispo | 5          | 179                       | 184          |
| 3              | 213281      | 3 270101001 | CARMEL AREA WWTP                                | CARMEL AREA WWD                        | 1          | 2                         | 3            |
| 3              | 213332      | 3 420101001 | CARPINTERIA SD WWTP                             | CARPINTERIA SD                         |            | 7                         | 7            |
| 3              | 216428      | 3 421003001 | CUYAMA CSD WWTP                                 | CUYAMA COMMUNITY SERVICES DIST         | 6          | 2                         | 8            |
| 3              | 220808      | 3 402003002 | Morro Bay Power Plant NPDES                     | Morro Bay Power Plant                  |            | 10                        | 10           |
| 3              | 220809      | 3 272011001 | Moss Landing Power Plant - NPDES                | Moss Landing Power Plant               |            | 3                         | 3            |
| 3              | 222443      | 3 420108001 | EL ESTERO WWTP NPDES                            | SANTA BARBARA CITY PWD                 |            | 39                        | 39           |
| 3              | 227568      | 3 420102001 | GOLETA SD WWTP                                  | GOLETA SD                              |            | 1                         | 1            |
| 3              | 230357      | 3 270115001 | HIGHLANDS SA WWTP                               | Highlands Sanitary Assoc               |            | 31                        | 31           |
| 3              | 237315      | 3 420105001 | LOMPOC REGIONAL WWTP                            | Lompoc City                            | 11         | 40                        | 51           |
| 3              | 241479      | 3 400103001 | MORRO BAY/CAYUCOS WWTP                          | MORRO BAY SD                           |            | 9                         | 9            |
| 3              | 247750      | 3 400105001 | PASO ROBLES WWTP                                | PASO ROBLES, CITY OF                   | 7          | 26                        | 33           |
| 3              | 248519      | 3 400106001 | PISMO BEACH WWTP                                | Pismo Beach City                       |            | 144                       | 144          |
| 3              | 251473      | 3 401001001 | RAGGED POINT INN MOTEL                          | Ragged Point Inn                       | 5          | 22                        | 27           |
| 3              | 252954      | 3 442004003 | Cemex Davenport Cement Plant                    | Cemex USA                              |            | 7                         | 7            |
| 3              | 255337      | 3 350102001 | SAN JUAN BAUTISTA WWTP                          | SAN JUAN BAUTISTA, CITY OF             | 3          | 8                         | 11           |
| 3              | 255380      | 3 400107001 | SAN LUIS OBISPO WWTP                            | SAN LUIS OBISPO, CITY OF               |            | 36                        | 36           |
| 3              | 255462      | 3 400110001 | SAN SIMEON WWTP                                 | SAN SIMEON CSD                         | 2          | 82                        | 84           |
| 3              | 256011      | 3 440103001 | Scotts Valley WWTF                              | Scotts Valley, City of                 | 1          |                           | 1            |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME                                  | AGENCY NAME   | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total |
|----------------|-------------|-------------|--|---|------------|---------------------------|-------------|
| 3              | 257887      | 3 400111001 | South San Luis Obispo SD WWTP                  | SOUTH SAN LUIS OBISPO CO SD                             |            | 2                         | 2           |
| 3              | 259856      | 3 400401145 | SYCAMORE MINERAL SPRINGS                       | King Ventures   | 13         |                           | 13          |
| 3              | 268996      | 3 272009001 | UNI-KOOL ABBOTT ST                             | UNI-KOOL COMPANY  |            | 5                         | 5           |
| 3              | 268997      | 3 272016001 | UNI-KOOL SALINAS FACILITY                      | UNI-KOOL COMPANY  |            | 2                         | 2           |
| 3              | 271872      | 3 440104001 | WATSONVILLE WWTP                               | WATSONVILLE, CITY OF                                    | 1          |                           | 1           |
| 3              | 271992      | 3 440505306 | WELL-PICT BERRIES, INC.                        | WELL-PICT BERRIES, INC.                                 |            | 1                         | 1           |
| 3              | 637513      | 3 400706389 | San Luis Obispo City Water Distribution System | SAN LUIS OBISPO, CITY OF                                | 3          |                           | 3           |
| 3              | 649245      | 3 270307105 | California Water Stations 64, 67, 69, 40-01    | California Water Service Co SJ                          | 1          | 5                         | 6           |
| <b>3 Total</b> |             |             |  |   | <b>117</b> | <b>709</b>                | <b>826</b>  |
| 4              | 201552      | 4B197600011 | 13 East L.A. water wells                       | California Water Service Co Long Beach                  | 1          |                           | 1           |
| 4              | 201972      | 4B197500192 | Forest Lawn Memorial Park, Covina Hills        | Forest Lawn Memorial Park                               | 1          | 16                        | 17          |
| 4              | 202421      | 4B196000242 | 328 Cloverdale Apartments                      | CWD Cloverdale II Associates                            |            | 4                         | 4           |
| 4              | 202584      | 4B192594001 | 3M Drug Delivery Systems                       | 3M Co., EHS Operations                                  |            | 2                         | 2           |
| 4              | 203159      | 4B196000544 | 407 North Maple Drive                          | 407 N. Maple, L.P.                                      | 3          |                           | 3           |
| 4              | 203373      | 4B196000003 | 550 South Hope Street Building                 | 550 South Hope Street Associates                        | 5          | 10                        | 15          |
| 4              | 203650      | 4B196600151 | 76 Station #3768                               | ConocoPhillips Company                                  | 1          | 5                         | 6           |
| 4              | 207237      | 4B192538001 | Al Larson Boat Shop                            | Al Larson Boat Shop                                     | 1          |                           | 1           |
| 4              | 207259      | 4B191340001 | Alameda Mid-Corridor Trench Pj                 | Alameda Corridor Transportation Authority               |            | 4                         | 4           |
| 4              | 207266      | 4B192111006 | Alamitos Generating Station                    | AES Alamitos LLC  | 2          | 29                        | 31          |
| 4              | 207657      | 4A199002002 | Six Flags Magic Mountain, Valencia             | Magic Mountain, LLC (Former Six Flags Theme Parks, Inc) | 1          | 326                       | 327         |
| 4              | 207662      | 4A562442001 | Anacapa Marine Services                        | Anacapa Marine Services                                 |            | 6                         | 6           |
| 4              | 208078      | 4A567500001 | Arundell Barranca                              | Ventura County Watershed                                |            | 16                        | 16          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                   | AGENCY NAME                                       | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---------------------------------|---|---------|---------------------------|-------------|
|        |             |             |                                 | Protection District                               |         |                           |             |
| 4      | 208272      | 4B197500108 | Avalon Encino                   | AvalonBay Communities Inc.                        |         | 1                         | 1           |
| 4      | 208276      | 4B190100001 | Avalon WWTF                     | Avalon, City of                                   |         | 12                        | 12          |
| 4      | 209940      | 4B196400066 | Linde LLC                       | Linde North America Inc.                          | 1       | 7                         | 8           |
| 4      | 210189      | 4B192208003 | BP Wilmington Plant             | BP Wilmington Calciner                            |         | 6                         | 6           |
| 4      | 211132      | 4B197600025 | Bassett Wellfield               | California Domestic Water Co                      |         | 13                        | 13          |
| 4      | 211481      | 4B196000371 | Beverly Mercedes Place          | Beverly Place, L.P. c/o Tishman Speyer Properties | 21      |                           | 21          |
| 4      | 211482      | 4B196100114 | Beverly RO Treatment Plant      | Beverly Hills City, Dept. of Public Works         | 3       | 8                         | 11          |
| 4      | 211510      | 4B196000419 | Big Rock Mesa Drainage Facility | Malibu City                                       | 3       |                           | 3           |
| 4      | 212135      | 4B190101001 | Burbank WWRP                    | Burbank City DPW                                  | 7       | 29                        | 36          |
| 4      | 213574      | 4B196000096 | CBS Corporation                 | CBS Corporation                                   | 2       |                           | 2           |
| 4      | 216297      | 4B190800001 | CSU, Long Beach, Pool, Etc      | CSU Long Beach                                    |         | 14                        | 14          |
| 4      | 216584      | 4B196600163 | Calclean Inc. (Carson)          | Calclean Inc.                                     |         | 1                         | 1           |
| 4      | 216585      | 4B196600159 | Calclean Inc. (Gardena)         | Calclean Inc.                                     |         | 1                         | 1           |
| 4      | 216599      | 4B196000427 | California Credit Union         | Glenborough LLC                                   | 6       | 106                       | 112         |
| 4      | 216600      | 4B192454001 | California Dairies Inc.         | California Dairies Inc                            |         | 2                         | 2           |
| 4      | 216719      | 4A560100001 | Camarillo WRP                   | Camarillo Sanitary District                       | 13      | 144                       | 157         |
| 4      |             | 4A567500187 | Camarillo WRP                   | Camarillo Sanitary District                       |         | 60                        | 60          |
| 4      | 216832      | 4A560106003 | Camrosa WWRP                    | Camrosa Water District                            |         | 2                         | 2           |
| 4      | 217025      | 4B196000474 | CarrAmerica Office Building     | CarrAmerica Realty Corp.                          | 2       | 6                         | 8           |
| 4      | 217049      | 4B192010019 | Carson Crude Oil Terminal       | BP West Coast Product LLC                         |         | 1                         | 1           |
| 4      | 217059      | 4B192010008 | BP Carson Refinery              | BP West Coast Product LLC                         | 25      | 16                        | 41          |
| 4      | 217061      | 4B190137004 | Carson Regional WRP             | West Basin MWD                                    | 1       |                           | 1           |
| 4      | 217067      | 4B192238002 | Carson Terminal                 | Kinder Morgan Liquids Terminal, LLC               | 4       | 25                        | 29          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME                               | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
| 4      | 217209      | 4A562022001 | <b>Cemex Construction Materials (Moorpark Plant)</b>               | Cemex Construction Materials Pacific LLC  |         | 5                         | 5           |
| 4      | 217303      | 4B196000383 | <b>Centralia Plant</b>   | Golden State Water Company - San Dimas    | 1       |                           | 1           |
| 4      | 217317      | 4B196000514 | <b>Century Park Plaza</b>  | Douglas Emmett Management, LLC            |         | 2                         | 2           |
| 4      | 217321      | 4B196000408 | <b>Century Plaza Towers</b>  | One Hundred Towers LLC                    |         | 1                         | 1           |
| 4      | 217323      | 4B196400070 | <b>Century Plant</b>   | Golden State Water Company - San Dimas    | 2       |                           | 2           |
| 4      | 217345      | 4B196800065 | <b>Cerro Metal Products</b>  | Cerro Metal Products Company              |         | 2                         | 2           |
| 4      | 217352      | 4B196400064 | <b>Certified Alloy Products, Inc.</b>                              | Certified Alloy Products, Inc.            | 5       | 5                         | 10          |
| 4      | 217398      | 4B191309001 | <b>Port Hueneme Aquaculture Park</b>                               | Channel Island Marine Resource Institute  |         | 6                         | 6           |
| 4      | 217434      | 4B196600113 | <b>Charnock Pilot Test Plant Groundwater Pilot Testing Project</b> | Santa Monica City                         | 2       |                           | 2           |
| 4      | 217766      | 4B198800069 | <b>Cerritos Corporate Yard</b>                                     | Cerritos City                             | 3       |                           | 3           |
| 4      | 217790      | 4B196000142 | <b>City Production Wells of Beverly Hills</b>                      | Beverly Hills City, Dept. of Public Works | 1       | 2                         | 3           |
| 4      | 218036      | 4B196000337 | <b>Cochran Island Apartments</b>                                   | Cochran Island Apartments LLC             | 3       |                           | 3           |
| 4      | 218820      | 4A561037001 | <b>Culligan Water</b>  | Harris Water Conditioning                 |         | 49                        | 49          |
| 4      | 221043      | 4B196400002 | <b>Data Processing Center</b>                                      | Morlin Asset Management, LP               | 9       |                           | 9           |
| 4      | 221224      | 4B196800061 | <b>Delta Plant</b>   | Monterey Park City                        |         | 2                         | 2           |
| 4      | 221225      | 4B196800062 | <b>Delta Plant Well No. 5</b>                                      | Monterey Park City                        |         | 1                         | 1           |
| 4      | 221267      | 4B190138001 | <b>Dept of Water &amp; Power</b>                                   | Pasadena, City of                         |         | 2                         | 2           |
| 4      | 221319      | 4B196000256 | <b>Detroit Apartments</b>  | Mantini Management, Inc.                  | 11      | 28                        | 39          |
| 4      | 221477      | 4B196000276 | <b>Domestic Water Well</b>   | Bell Gardens City                         | 6       |                           | 6           |
| 4      | 221486      | 4B197600015 | <b>Dominguez Channel Wells</b>                                     | California Water Service Co Long Beach    | 1       |                           | 1           |
| 4      | 221590      | 4A191142001 | <b>Drainage Benefit Assessment Areas 6 &amp; 18</b>                | Santa Clarita City                        | 223     | 99                        | 322         |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                       | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
| 4      | 223260      | 4B196300139 | East Hynes Terminal                                 | BP West Coast Product LLC                          | 2       |                           | 2           |
| 4      | 223425      | 4B192034001 | Dayton Superior Specialty Chemical (Formerly Edoco) | Dayton Superior Corporation                        |         | 18                        | 18          |
| 4      | 223531      | 4B192111001 | El Segundo Generating Station                       | El Segundo Power, L.L.C.                           |         | 4                         | 4           |
| 4      | 223583      | 4B192575001 | Elixir Industries                                   | Elixir Industries                                  |         | 3                         | 3           |
| 4      | 223679      | 4A567500069 | Emergency Dewatering                                | Santa Clarita City                                 |         | 4                         | 4           |
| 4      | 223731      | 4B196100014 | Encino Executive Plaza                              | Jamison Properties                                 | 2       |                           | 2           |
| 4      | 223799      | 4B196800006 | Eric Realty, Inc.                                   | Eric Realty, Inc                                   |         | 62                        | 62          |
| 4      | 225672      | 4B190144001 | Fairplex  | Los Angeles County Fair, Hotel & Expo Complex      | 3       | 3                         | 6           |
| 4      | 225881      | 4A560101002 | Fillmore WWTP                                       | Fillmore City                                      |         | 161                       | 161         |
| 4      | 226037      | 4A197500025 | Whittaker-Bermite Facility (Former)                 | Whittaker Corp                                     | 1       | 16                        | 17          |
| 4      | 226105      | 4A190115006 | Foothill Feeder Power Plant                         | Metropolitan Water District of Southern California |         | 11                        | 11          |
| 4      | 226200      | 4A566600191 | Former ExxonMobil SS#7-2827                         | ExxonMobil Environmental Services Corp.            |         | 1                         | 1           |
| 4      | 226211      | 4B191070002 | 750 Garland, LLC                                    | 750 Garland, LLC                                   | 51      | 74                        | 125         |
| 4      | 226214      | 4B192623001 | Former ITT Aerospace Controls                       | Home Depot USA Inc                                 |         | 3                         | 3           |
| 4      | 226220      | 4B192131031 | Former La Mirada Plant                              | Unocal Corp  | 1       |                           | 1           |
| 4      | 226230      | 4B191318001 | Former Menasco Aerospace Facility                   | Coltec Industries Inc.                             |         | 3                         | 3           |
| 4      | 226271      | 4B196800033 | Former Southland Oil Facility                       | DTSC   | 3       | 24                        | 27          |
| 4      | 226291      | 4B197500251 | Former Unocal Station #6990                         | Chevron Environmental Management Company           |         | 1                         | 1           |
| 4      | 226294      | 4B196800038 | Former Vopak USA Inc.                               | Univar USA Inc.                                    |         | 2                         | 2           |
| 4      | 226297      | 4B191311001 | Former Western Fuel Oil                             | Pacific Refining Co.                               |         | 1                         | 1           |
| 4      | 226298      | 4B196600167 | World Oil Station #20 (former)                      | World Oil Marketing Co.                            |         | 3                         | 3           |
| 4      | 226399      | 4A566600155 | Freedom Park  | Pleasant Valley Recreation & Park District         | 14      |                           | 14          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                   | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
| 4      | 228697      | 4B196000350 | Gateway East Office Bldg                        | Topa Management Company                            | 1       | 5                         | 6           |
| 4      | 228856      | 4B192085002 | Glass Container Div, Vernon                     | Owens-Brockway Glass Container                     |         | 1                         | 1           |
| 4      | 229012      | 4B196400037 | Goldmedal Plant                                 | Golden State Water Company - San Dimas             |         | 1                         | 1           |
| 4      | 229035      | 4B196400039 | Goodrich Corporation                            | Goodrich Corporation                               | 1       |                           | 1           |
| 4      | 229057      | 4B196300092 | Gould's Pumps, Inc.                             | Gould's Pumps, Inc.                                |         | 3                         | 3           |
| 4      | 229130      | 4B196000135 | Grand Promenade                                 | G & K Management Co., Inc.                         |         | 46                        | 46          |
| 4      | 229262      | 4B192047001 | Catalina Pacific Concrete Co                    | California Portland Cement Company                 | 4       | 8                         | 12          |
| 4      | 229285      | 4B196400029 | Greg Avenue Power Plant                         | Metropolitan Water District of Southern California |         | 1                         | 1           |
| 4      | 231234      | 4B191083001 | Harbor Associates                               | 4201 Wilshire, LLC                                 |         | 6                         | 6           |
| 4      | 231239      | 4B190106046 | Harbor G.S. - Marine Tank Farm                  | Ultramar, Inc.                                     | 1       | 8                         | 9           |
| 4      |             |             |   | Los Angeles City DWP                               |         | 2                         | 2           |
| 4      | 231242      | 4B193500004 | Harbor Generating Station                       | Los Angeles City DWP                               |         | 9                         | 9           |
| 4      | 231306      | 4B197500068 | Harsu Gas Mart                                  | Paramjit S. Judge                                  | 4       | 3                         | 7           |
| 4      | 231346      | 4B192187001 | Hathaway Terminal Tank Farm                     | BP West Coast Product LLC                          |         | 1                         | 1           |
| 4      | 231379      | 4B192557002 | Northrop Grumman Corp-Hawthorne Site            | Northrop Grumman Corporation                       | 1       | 1                         | 2           |
| 4      | 231394      | 4B193500002 | Haynes Generating Station                       | Los Angeles City DWP                               | 3       | 260                       | 263         |
| 4      | 231693      | 4A560112001 | Hill Canyon WWTP                                | Thousand Oaks City                                 |         | 37                        | 37          |
| 4      | 231758      | 4B192128001 | Hitco Carbon Composites Inc                     | Hitco Carbon Composites, Inc.                      |         | 8                         | 8           |
| 4      | 231825      | 4B197500015 | Hollyhills Drain Unit 8B-Ph 1                   | Los Angeles County DPW                             |         | 2                         | 2           |
| 4      | 231891      | 4B196000332 | Home Office Building                            | Mercury Casualty Company                           | 2       | 3                         | 5           |
| 4      | 231911      | 4B191263001 | Gardena Groundwater Remediation System Facility | Honeywell International Inc.                       | 6       | 24                        | 30          |
| 4      | 231978      | 4A567500012 | Hueneme Drain/Road Culvert                      | Ventura County Watershed Protection District       | 1       | 5                         | 6           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME                              | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
| 4      | 232029      | 4B196000283 | Huntley Drive Apartment   | Fereidoun & Susan Daftary                |         | 1                         | 1           |
| 4      | 232060      | 4B191285001 | Hydrogen Plant & Related Facility                                     | Air Product & Chemicals                  |         | 23                        | 23          |
| 4      | 232882      | 4B192113018 | Inglewood Oil Field, Baldwin Hills                                    | Plains Exploration & Production Co       |         | 1                         | 1           |
| 4      | 232962      | 4B196600103 | Interstate Brands   | Interstate Brands Corporation            | 1       | 2                         | 3           |
| 4      | 233260      | 4B197500243 | Former JAB Holdings Inc.  | San Gabriel Basin WQ Authority           | 4       |                           | 4           |
| 4      | 234156      | 4B190107013 | Joint Water Pollution Control Plant                                   | Los Angeles County Sanitation Districts  |         | 10                        | 10          |
| 4      | 235313      | 4B192389001 | Los Angeles, California Plant   | Kaiser Aluminum Fabricated Products, LLC |         | 32                        | 32          |
| 4      |             |             |   | Kaiser Aluminum & Chemical               |         | 2                         | 2           |
| 4      | 235703      | 4B197500070 | The Broad Contemporary Art Museum Bldg Project                        | Los Angeles Cnty Museum of Art           | 7       |                           | 7           |
| 4      | 236009      | 4B192131026 | LA Refinery, Carson Plant   | ConocoPhillips Company                   |         | 6                         | 6           |
| 4      | 236061      | 4B198800070 | Route I-105 Dewatering System - Garfield, Downey, Ardis Pump Stations | Caltrans District 7                      |         | 18                        | 18          |
| 4      | 238121      | 4B197500072 | Laurel Way Project  | Manoucheher Benjy                        |         | 3                         | 3           |
| 4      | 238149      | 4B196800059 | Laxfuel Corporation   | Laxfuel Corporation                      | 1       | 3                         | 4           |
| 4      | 238545      | 4B190105019 | Long Beach Prototype Seawater Desalination Research Facility          | Long Beach Water Dept                    | 5       | 20                        | 25          |
| 4      | 238560      | 4B192326003 | Long Beach Refinery - Rainfall  | Edington Oil Co.                         |         | 5                         | 5           |
| 4      | 238562      | 4B190107014 | Long Beach WWRP   | Los Angeles County Sanitation Districts  |         | 1                         | 1           |
| 4      | 238615      | 4B192238001 | Los Angeles Harbor Terminal   | Kinder Morgan Liquids Terminal, LLC      |         | 1                         | 1           |
| 4      | 238617      | 4B192131013 | Los Angeles Lubricants Plant  | ConocoPhillips Company                   | 20      | 58                        | 78          |
| 4      | 238633      | 4B190106036 | Los Angeles Zoo Griffith Park   | Los Angeles City Parks & Recreation      |         | 37                        | 37          |
| 4      | 238646      | 4B190107015 | Los Coyotes WWRP  | Los Angeles County Sanitation            | 2       | 39                        | 41          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                | AGENCY NAME                                       | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
|        |             |             |  | Districts   |         |                           |             |
| 4      | 239164      | 4B196600197 | <b>Magic Gas &amp; Auto Service</b>          | Aramis Vartanian Mr. & Mrs.                       |         | 2                         | 2           |
| 4      | 242194      | 4B196000546 | <b>Mammoth Apartments</b>                    | Mammoth Apartments, LLC                           | 6       | 72                        | 78          |
| 4      | 242209      | 4A562019001 | <b>Mandalay Generating Station</b>           | RRI Energy Mandalay, Inc.                         |         | 38                        | 38          |
| 4      | 242264      | 4B196000358 | <b>Maple Plaza - CI 6704</b>                 | Maple Plaza, LTD c/o Tishman Speyer Properties LP | 22      | 40                        | 62          |
| 4      | 242279      | 4B196000077 | <b>Marathon Office Building</b>              | Paramount Pictures                                |         | 1                         | 1           |
| 4      | 242338      | 4B192023002 | <b>Marine Terminal, Berth 164</b>            | Ultramar, Inc Hanford                             |         | 6                         | 6           |
| 4      |             |             |  | ULTRAMAR Inc Wilmington                           | 2       |                           | 2           |
| 4      | 242339      | 4B196300136 | <b>Marine Terminal #3</b>                    | BP West Coast Product LLC                         | 1       |                           | 1           |
| 4      | 242340      | 4B192010015 | <b>Marine Terminal 1,Berth 121,LB</b>        | BP West Coast Product LLC                         |         | 1                         | 1           |
| 4      | 242342      | 4B192197001 | <b>Marine Terminal, Berth 83, Long Beach</b> | Petro Diamond Terminal Company                    |         | 3                         | 3           |
| 4      | 242805      | 4B196000007 | <b>435 Detroit</b>                           | RWDI Detroit & Hauser L.P.                        | 2       |                           | 2           |
| 4      | 242820      | 4B192078001 | <b>Metropolitan Stevedore Company</b>        | Metropolitan Stevedore Company                    |         | 3                         | 3           |
| 4      | 242891      | 4B196600193 | <b>Midway Ford</b>                           | Hankey Investment Company                         |         | 8                         | 8           |
| 4      | 243184      | 4B192079026 | <b>Mobil SS#18-LDM</b>                       | ExxonMobil Oil Corporation                        | 2       | 2                         | 4           |
| 4      | 243241      | 4B196100116 | <b>Mole-Richardson Company</b>               | Mole-Richardson Company                           | 22      |                           | 22          |
| 4      | 243377      | 4A560103003 | <b>Moorpark WWTP</b>                         | County of Ventura Special Districts               | 1       |                           | 1           |
| 4      | 243420      | 4B192108009 | <b>Mormon Island Marine Terminal</b>         | Shell Oil Product US                              | 1       | 5                         | 6           |
| 4      | 243459      | 4B192543001 | <b>Morton Salt - Long Beach</b>              | Morton Salt/Rohm and Haas                         | 2       |                           | 2           |
| 4      | 243558      | 4B197500060 | <b>Mr. Auto</b>                              | Mr. Auto  | 2       | 3                         | 5           |
| 4      | 243739      | 4B196000339 | <b>Museum Terrace Apartment</b>              | Goldrich & Kest Management Co.                    | 1       | 5                         | 6           |
| 4      | 245031      | 4B191310001 | <b>New Dock Street Pump Station</b>          | Port of Los Angeles                               | 2       |                           | 2           |
| 4      | 245106      | 4B196000362 | <b>6100 Wilshire</b>                         | KW Funds-6100 Wilshire, LLC                       |         | 4                         | 4           |
| 4      | 245135      | 4B196800012 | <b>San Fernando Road Site</b>                | RPH Industrial, LLC                               | 1       | 15                        | 16          |
| 4      | 245294      | 4B191112001 | <b>North Crescent Realty V, LLC</b>          | Platinum Paradigm Properties, LLC                 | 1       | 10                        | 11          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                              | AGENCY NAME                            | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
| 4      | 245437      | 4B197500065 | Northwest Gateway Apartments               | Essex Property Trust, Inc.             |         | 16                        | 16          |
| 4      | 245451      | 4B192597001 | Norwalk Pump Station                       | Kinder Morgan SFPP, L.P.               | 27      | 5                         | 32          |
| 4      | 245536      | 4B197500027 | O'Neil Data Systems                        | Citigroup Inc.                         |         | 2                         | 2           |
| 4      | 246573      | 4B191067001 | Office Building - 1801 Avenue of the Stars | 1801 Avenue of the Stars LP            |         | 1                         | 1           |
| 4      | 246578      | 4B196000013 | Atria Building II                          | Atria West LLC                         | 3       |                           | 3           |
| 4      | 246580      | 4B196000014 | Atria West Building                        | Atria West LLC                         | 1       |                           | 1           |
| 4      | 246586      | 4B197500033 | Office Depot                               | Snyder-Masselin Venture L.P.           |         | 7                         | 7           |
| 4      | 246601      | 4B192178001 | Oil Drum Recycling, South Gate             | Consolidated Drum Reconditioning       |         | 3                         | 3           |
| 4      | 246614      | 4A566300096 | Ojai System                                | Golden State Water Company - San Dimas | 1       | 8                         | 9           |
| 4      | 246616      | 4A560104001 | Ojai Valley WWTP                           | Ojai Valley Sanitary District          | 1       | 33                        | 34          |
| 4      | 246700      | 4A561334001 | Oly Mandalay Bay Sea Bridge                | DR Horton Los Angeles Holding Co       |         | 129                       | 129         |
| 4      | 246710      | 4B190106051 | Olympic Tank Farm Skim Pond                | Ultramar, Inc.                         |         | 6                         | 6           |
| 4      | 246726      | 4B196000193 | One California Plaza                       | Maguire Properties                     | 21      | 7                         | 28          |
| 4      | 246823      | 4A562019002 | Ormond Beach Generating Station            | RRI Energy Ormond Beach, Inc.          |         | 2                         | 2           |
| 4      | 249404      | 4A562443001 | 950 Rancho Conejo Blvd, Newbury Park       | United Parcel Service                  | 47      | 46                        | 93          |
| 4      |             |             |  | Emery Air Freight                      | 2       |                           | 2           |
| 4      | 249637      | 4B196000333 | Page Museum @ La Brea Tar Pits             | Page Museum @ La Brea Tar Pits         | 1       | 5                         | 6           |
| 4      | 249672      | 4B196600149 | Palms Service Center                       | Los Angeles City DWP                   | 2       | 2                         | 4           |
| 4      | 249797      | 4B192348001 | Paramount Refinery                         | Paramount Petroleum Corp               |         | 27                        | 27          |
| 4      | 249957      | 4B196100055 | Park La Brea, Parcel A                     | Casden Park La Brea A LLC              |         | 6                         | 6           |
| 4      | 250161      | 4B192111010 | Pebbly Beach Desalination Plant            | Southern California Edison             |         | 2                         | 2           |
| 4      | 250274      | 4B199019001 | Marine Terminal, Wilmington                | VOPAK Terminal Los Angeles Inc         |         | 1                         | 1           |
| 4      | 250363      | 4B192127001 | Pico Rivera Facility                       | Lubricating Specialties Company        |         | 32                        | 32          |
| 4      | 250542      | 4B192533001 | Plaskolite West Inc.                       | Plaskolite West, Inc.                  |         | 2                         | 2           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
| 4      | 250556      | 4B196800049 | Playa Vista Site   | Playa Capital Co LLC                                 |         | 3                         | 3           |
| 4      | 250559      | 4B196000313 | Plaza 3, Warner Center   | Douglas Emmett Management LLC                        | 3       | 7                         | 10          |
| 4      | 250560      | 4B196000389 | Plaza 6, Warner Center   | Douglas Emmett Management LLC                        | 5       | 18                        | 23          |
| 4      | 250633      | 4A561058001 | Pneumo Abex Aerospace Corp.  | Pneumo Abex Aerospace, Inc.                          | 35      | 3                         | 38          |
| 4      | 250700      | 4B190107019 | Pomona Water Reclamation Plant   | Joint Outfall System (County San Dist of LA County)  |         | 7                         | 7           |
| 4      |             |             |  | Los Angeles County Sanitation Districts              | 1       | 4                         | 5           |
| 4      | 250824      | 4B192140001 | Praxair, Wilmington  | Praxair Inc  |         | 90                        | 90          |
| 4      | 251061      | 4A562432001 | Puretec Harris Industrial Water  | Culligan Industrial Water Trea                       |         | 1                         | 1           |
| 4      | 251069      | 4A192000001 | Pvc-Pva Copolymer Mfg, Saugus  | Keysor-Century Corp                                  |         | 1                         | 1           |
| 4      | 252960      | 4B191086001 | RMR Properties   | RMR Properties                                       |         | 9                         | 9           |
| 4      | 253472      | 4B196000409 | Robertson Plaza  | RP 120, LLC  | 101     | 14                        | 115         |
| 4      | 253495      | 4A566000605 | RSMP- Brine Line Phase 1   | Calleguas Municipal Water District                   | 1       |                           | 1           |
| 4      | 253658      | 4B196400060 | Radisson Hotel at Los Angeles Airport                                      | Harp Group c/o Radisson Hotel at Los Angeles Airport | 27      | 86                        | 113         |
| 4      | 253919      | 4B198100001 | Red Shield Youth & Community Center  | Salvation Army LA                                    | 2       |                           | 2           |
| 4      | 253949      | 4B192090001 | Redman Equipment & Mfg Co  | Redman Equipment & Mfg Co                            | 11      | 7                         | 18          |
| 4      | 253953      | 4B192111003 | Redondo Generating Station   | AES Redondo Beach, LLC                               |         | 42                        | 42          |
| 4      | 253965      | 4A567500002 | Redwood Trunk Sewer Project  | Oxnard City  |         | 5                         | 5           |
| 4      | 254054      | 4B196000336 | Reno Apartments  | Park Encino, LLC                                     |         | 11                        | 11          |
| 4      | 254135      | 4B191297001 | Hexion Specialty Chemicals, Inc. (formerly Resolution Specialty Materials) | Hexion Specialty Chemicals, Inc.                     |         | 45                        | 45          |
| 4      | 254262      | 4B190115005 | Rio Hondo Power Plant  | Metropolitan Water District of Southern California   | 1       |                           | 1           |
| 4      | 254691      | 4B196000504 | Route I-10 Pavement Rehab. Project   | Caltrans District 7                                  | 1       | 20                        | 21          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                              | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
| 4      | 254703      | 4B191106001 | Royal Catering, El Monte                   | Royal Catering   |         | 30                        | 30          |
| 4      | 254905      | 4B196000053 | S. Mark Taper Foundation Transplant Center | St. Vincent Hospital                                     | 5       | 2                         | 7           |
| 4      | 255286      | 4B197600063 | Wells 3, 7, 9, 11, 12, and 14              | San Gabriel County Water District                        | 1       |                           | 1           |
| 4      | 256069      | 4B198100004 | Massinger Plant                            | Golden State Water Company - San Dimas                   | 2       | 2                         | 4           |
| 4      | 260127      | 4B196400074 | San Gabriel Plant                          | Golden State Water Company - San Dimas                   | 3       |                           | 3           |
| 4      | 260156      | 4B190107020 | San Jose Creek Water Reclamation Plant     | Los Angeles County Sanitation Districts                  | 3       | 53                        | 56          |
| 4      | 260234      | 4B192124001 | San Pedro Marine Terminal                  | Kinder Morgan Liquids Terminal, LLC                      |         | 23                        | 23          |
| 4      | 260375      | 4B192162001 | Santa Fe Springs Refinery                  | Golden West Refining Company                             |         | 9                         | 9           |
| 4      | 260400      | 4B196000129 | Santa Monica Gateway                       | ING Bank FSB c/o CB Richard Ellis Real Estate Services   |         | 1                         | 1           |
| 4      | 260420      | 4A560108001 | Santa Paula WWRP                           | Santa Paula City   | 12      | 2,899                     | 2,911       |
| 4      | 260429      | 4A562013002 | Santa Susana Field Laboratory              | The Boeing Company                                       | 30      | 93                        | 123         |
| 4      | 260494      | 4A190107021 | Saugus Water Reclamation Plant             | Los Angeles County Sanitation Districts                  | 2       | 71                        | 73          |
| 4      | 260531      | 4B193500003 | Scattergood Generating Station             | Los Angeles City DWP                                     |         | 49                        | 49          |
| 4      | 260662      | 4B190143001 | Seaside Lagoon                             | Redondo Beach City                                       | 2       | 66                        | 68          |
| 4      | 260702      | 4B192515004 | Segments 1,2A,2B,3 Operations              | Los Angeles County Metropolitan Transportation Authority |         | 28                        | 28          |
| 4      | 261006      | 4B196000144 | Sherman Oaks Branch                        | JPMorganChase, Real Estate General Services              | 3       | 8                         | 11          |
| 4      | 261149      | 4B196400009 | Sierracin/Sylmar Corp.                     | Sierracin/Sylmar Corp.                                   |         | 20                        | 20          |
| 4      | 261167      | 4B196000249 | Sikh Study Circle, Inc.                    | Sikh Study Circle, Inc.                                  | 2       |                           | 2           |
| 4      | 261253      | 4A560110001 | Simi Valley WWRP                           | Simi Valley City   | 1       | 37                        | 38          |
| 4      | 261343      | 4B196000356 | Parking Site "A" South                     | Beverly Hills City, Dept. of Public                      | 3       | 8                         | 11          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                               | AGENCY NAME                            | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
|        |             |             |   | Works                                  |         |                           |             |
| 4      | 261411      | 4A562074001 | Skyworks Solutions, Inc.                    | Skyworks Solutions, Inc.               |         | 19                        | 19          |
| 4      | 261483      | 4A569002001 | Soft Water Sales & Service, Ventura         | Rayne Water Systems of Ventura         |         | 11                        | 11          |
| 4      | 261612      | 4B191300001 | South Coulter Water Treatment               | Lincoln Avenue Water Co.               |         | 3                         | 3           |
| 4      | 261701      | 4B191035001 | Fish Harbor Laboratory                      | Southern California Marine Institute   | 4       | 1                         | 5           |
| 4      | 261744      | 4B192545001 | Southwest Grease Business                   | Dial Corp, The                         |         | 8                         | 8           |
| 4      | 261750      | 4B192079001 | Southwest Terminal Area I                   | ExxonMobil Oil Corporation             |         | 1                         | 1           |
| 4      | 261758      | 4B192557003 | Space Park Facility                         | Northrop Grumman Sp & Msn Inc.         |         | 3                         | 3           |
| 4      | 262008      | 4B191168001 | State Hospital Cogeneration Plant           | Wheelabrator Norwalk Energy Co         |         | 82                        | 82          |
| 4      | 262035      | 4B196600156 | G&M Oil Co. Station #23                     | G & M Oil Co.                          | 4       |                           | 4           |
| 4      | 262332      | 4B192143001 | California Sulphur Company                  | California Sulphur Company             |         | 1                         | 1           |
| 4      | 266919      | 4B190106007 | Tank A,B,C,D Area, Haynes Plant, Long Beach | Los Angeles City DWP                   |         | 13                        | 13          |
| 4      | 266920      | 4B190106049 | Tank E Area, Haynes Plant, Long Beach       | Los Angeles City DWP                   |         | 5                         | 5           |
| 4      | 266921      | 4B190106050 | Tank F,G Area, Haynes Plant, Long Beach     | Los Angeles City DWP                   |         | 6                         | 6           |
| 4      | 266922      | 4B190106042 | Tank H, J Area, Haynes Plant, Long Beach    | Los Angeles City DWP                   |         | 7                         | 7           |
| 4      | 266940      | 4B190104001 | Tapia WRF                                   | Las Virgenes MWD                       | 2       | 3                         | 5           |
| 4      | 267061      | 4B196000067 | Temple Beth Am                              | Temple Beth Am                         | 7       |                           | 7           |
| 4      | 267130      | 4B190106005 | Terminal Island WWTP                        | Los Angeles City, Bureau of Sanitation |         | 1                         | 1           |
| 4      | 267175      | 4B197500064 | Tesoro-La Mirada Site                       | Tesoro Petroleum Companies Inc         | 2       | 4                         | 6           |
| 4      | 267428      | 4A562397002 | Telair Site, Newbury Park                   | Telair International, Inc.             | 1       | 4                         | 5           |
| 4      | 267510      | 4B197500174 | Thornton Lofts                              | Venice Beach Partners, Inc             |         | 9                         | 9           |
| 4      | 267549      | 4B196000388 | Ticketmaster Building                       | InterActive Corp.                      |         | 3                         | 3           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
| 4      | 267571      | 4B190106004 | Donald Tillman WWRP  | Los Angeles City, Bureau of Sanitation             |         | 2                         | 2           |
| 4      | 267655      | 4B192079002 | Torrance Refinery  | ExxonMobil Oil Corporation                         |         | 7                         | 7           |
| 4      | 267724      | 4A567500058 | Townhomes at the River, Tract No 5353                      | KB Home  |         | 102                       | 102         |
| 4      | 268068      | 4B197500201 | Cagney Ranch - Aliso Residential Development (Tract 48906) | K Hovnanian Companies                              |         | 8                         | 8           |
| 4      | 268611      | 4B192526001 | Treatment Plant #1   | Covina Irrigating Co.                              |         | 2                         | 2           |
| 4      | 268662      | 4B196000398 | Trillium - 6320 Canoga Avenue                              | Douglas Emmett Management, LLC                     | 8       | 14                        | 22          |
| 4      | 268746      | 4B190106099 | Tunnel # 105   | Los Angeles City DWP                               |         | 4                         | 4           |
| 4      | 268748      | 4B190106061 | Tunnel No. 104   | Los Angeles City DWP                               |         | 1                         | 1           |
| 4      | 268843      | 4B196000373 | Two Rodeo Drive Building                                   | Sloane Two Rodeo, LLC                              | 8       | 13                        | 21          |
| 4      | 268910      | 4B191287001 | US Gypsum Co   | United States Gypsum Co                            |         | 11                        | 11          |
| 4      | 269697      | 4B196100121 | United - Rapid Gas Station #19                             | United Oil Company                                 |         | 14                        | 14          |
| 4      | 269778      | 4B197500053 | Universal City Apartments Holding LLC                      | Trammell Crow Residential                          |         | 7                         | 7           |
| 4      | 269780      | 4B199017001 | Universal City Studios                                     | MCA / Universal City Studios                       |         | 86                        | 86          |
| 4      | 269812      | 4B196600075 | Unocal SS #1715  | ConocoPhillips Company                             | 3       |                           | 3           |
| 4      | 270777      | 4B199019002 | Inland Terminal ("32-Acres" Terminal)                      | VOPAK Terminal Los Angeles Inc                     |         | 33                        | 33          |
| 4      | 270822      | 4A190107086 | Val Verde Co. Park Swim Pool                               | Los Angeles Cnty, Parks & Rec                      |         | 7                         | 7           |
| 4      | 270836      | 4A192332001 | Valencia Facility  | HR Textron Inc.                                    |         | 3                         | 3           |
| 4      | 270849      | 4A190107023 | Valencia Water Reclamation Plant                           | Los Angeles County Sanitation Districts            |         | 56                        | 56          |
| 4      | 270985      | 4B196000114 | Various wells  | Pico Water District                                |         | 11                        | 11          |
| 4      | 271011      | 4B196400035 | Venice Power Plant   | Metropolitan Water District of Southern California | 1       |                           | 1           |
| 4      | 271054      | 4A560107001 | Ventura WRF  | City of Ventura                                    | 52      | 234                       | 286         |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                      | AGENCY NAME   | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
| 4      | 271217      | 4B196100069 | Villa Marina Market Place                          | M & H Property Management                                     |         | 4                         | 4           |
| 4      | 271445      | 4B197500184 | Vista Hermosa Project                              | Los Angeles Unified School District                           |         | 8                         | 8           |
| 4      | 271483      | 4B192525001 | Voi-Shan Redondo Beach                             | Fairchild Holding Corp.                                       |         | 4                         | 4           |
| 4      | 271490      | 4B192614001 | Vopak Terminal Long Beach Inc.                     | Vopak Terminal Long Beach Inc                                 |         | 1                         | 1           |
| 4      | 273372      | 4B196000076 | Walt Disney Hall Parking                           | LA Co Parking Authority                                       |         | 14                        | 14          |
| 4      | 273407      | 4B196100001 | 21300 Victory Boulevard, LLC                       | Douglas Emmett Management, LLC                                |         | 4                         | 4           |
| 4      | 273575      | 4B196000437 | Well #11 & #12                                     | Walnut Park Mutual Water Co.                                  |         | 1                         | 1           |
| 4      |             |             | Ventura County Waterworks District                 |   |         |                           |             |
|        | 273600      | 4A567600044 | No. 19 - Well No. 4                                | County of Ventura Special Districts                           |         | 8                         | 8           |
| 4      | 273601      | 4B196000633 | Well No. 1, 2, 4 & 6                               | Inglewood City  | 2       |                           | 2           |
| 4      | 273602      | 4B197600043 | Well No. 7   | Signal Hill City  |         | 9                         | 9           |
| 4      | 273682      | 4B196000411 | West Coast Barrier Project 7                       | Los Angeles Cnty DPW  |         | 1                         | 1           |
| 4      | 273827      | 4B197500021 | Westlake Village Hotel & Spa                       | Dole Food Co Inc  | 8       | 11                        | 19          |
| 4      |             |             | Westlake Well                                      | Westlake Lake Management Association                          |         | 86                        | 86          |
| 4      | 273858      | 4A562445001 | Westport at Mandalay Bay                           | LB/L SunCal Mandalay, LLC                                     |         | 2                         | 2           |
| 4      | 273899      | 4B192407001 | Westway Terminal-Berths 70-71                      | Westway Terminal Company                                      |         | 8                         | 8           |
| 4      |             |             | Whittier Narrows Water Reclamation Plant, El Monte | Los Angeles County Sanitation Districts                       |         | 7                         | 7           |
| 4      | 273980      | 4B190107016 |  |   |         |                           |             |
| 4      | 274044      | 4A190805002 | William E Warne Power Plant                        | Ca Dept of Water Resources                                    | 2       | 33                        | 35          |
| 4      | 274092      | 4B192263001 | Wilmington Marine Terminal                         | Shore Terminal LLC  | 1       |                           | 1           |
| 4      | 274093      | 4B192129002 | Wilmington Plant                                   | US Borax Inc.   |         | 4                         | 4           |
| 4      | 274096      | 4B192023001 | Wilmington and Terminal Island                     | Tidelands Oil Production Co.                                  |         | 21                        | 21          |
| 4      | 274103      | 4B196000421 | Wilshire Doheny Building                           | Casden Properties, LLC  | 4       | 12                        | 16          |
| 4      |             |             | Wilshire Renaissance Apartments                    | Essex Property Trust, Inc./Essex Renaissance Apartments, L.P. | 1       |                           | 1           |
| 4      | 274106      | 4B196100020 |  |   |         |                           |             |
| 4      | 274107      | 4B196000355 | Wilshire Rodeo Plaza                               | Trea Wilshire Rodeo, LLC                                      |         | 7                         | 7           |
| 4      | 274108      | 4B197500028 | Wilshire Vermont Station                           | Wilshire Vermont Holdings LLC                                 | 1       |                           | 1           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|--|---------|---------------------------|-------------|
| 4      | 274110      | 4B196000239 | Wilshire-Highland Building                             | Jamison Properties                                 |         | 6                         | 6           |
| 4      | 274112      | 4B196000620 | San Vicente Plaza                                      | Douglas Emmett Management, LLC                     |         | 2                         | 2           |
| 4      | 274370      | 4B191035002 | Wrigley Marine Science Center, Avalon                  | University of Southern California                  | 4       |                           | 4           |
| 4      | 606750      | 4B197500271 | Jefferson at Marina Del Rey Multifamily Development    | Jefferson at Marina Del Rey LP                     | 3       |                           | 3           |
| 4      | 607165      | 4A567500086 | Santa Clara River Groins Upgrade Phase 1               | Ventura County Watershed Protection District       |         | 5                         | 5           |
| 4      | 609114      | 4B197500146 | Urban Bakery Project                                   | Urban Bakery LLC                                   |         | 7                         | 7           |
| 4      | 628946      | 4A567600061 | Well No. 6   | Crestview Mutual Water Company                     |         | 8                         | 8           |
| 4      | 628996      | 4B197500183 | Wilshire Pinnacle Project                              | BRE Builders                                       |         | 9                         | 9           |
| 4      | 629180      | 4A567500100 | Segment C of Townhomes at the River                    | KB Home  |         | 91                        | 91          |
| 4      | 629227      | 4A567500091 | Santa Paula Airport & Santa Clara River                | Ventura County Watershed Protection District       |         | 1                         | 1           |
| 4      | 629232      | 4A567500097 | Segment D of Townhomes at the River                    | KB Home  |         | 91                        | 91          |
| 4      | 630010      | 4A197500104 | MWD Foothill feeder water pipeline replacement project | Metropolitan Water District of Southern California | 6       |                           | 6           |
| 4      | 630088      | 4B197600047 | Glorietta Well Nos 3, 4, 6 & Verdugo Well Nos A & B    | Glendale City                                      |         | 7                         | 7           |
| 4      | 630266      | 4A567500186 | Segment B of Townhomes at the River, Tract No. 5353    | KB Home  |         | 91                        | 91          |
| 4      | 630273      | 4B197600048 | Arbor Yard Well No. 27                                 | Lakewood City                                      |         | 1                         | 1           |
| 4      | 630282      | 4B198000007 | Rio Vista Water Treatment Plant                        | Castaic Lake Water Agency                          | 4       | 4                         | 8           |
| 4      | 633078      | 4B197500075 | Blossom Plaza Project                                  | Bond Chinatown Ventures, LLC                       |         | 100                       | 100         |
| 4      | 633109      | 4B197600054 | Groundwater Treatment Plant Start-Up Operation         | La Verne City                                      |         | 7                         | 7           |
| 4      | 633196      | 4B196800068 | Groundwater Treatment Plant                            | Alhambra City Dept of Utilities                    |         | 69                        | 69          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME   | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
| 4      | 633246      | 4A567500134 | Segment E of Townhomes at the River                        | KB Home   |         | 5                         | 5           |
| 4      | 633747      | 4B197600051 | Sepulveda Well No. 1 & 2                                   | Water Replenishment District of Southern California |         | 77                        | 77          |
| 4      | 634733      | 4B197500082 | Archstone 2000 Main Project                                | Archstone Smith Operating Trust                     |         | 10                        | 10          |
| 4      | 638139      | 4B197500152 | Wilshire Le Doux Medical Plaza Project                     | Wilshire Le Doux Medical Plaza LP                   |         | 7                         | 7           |
| 4      | 638814      | 4A566600200 | GP Resources Facility (Former)                             | Calclean Inc.                                       |         | 2                         | 2           |
| 4      | 640158      | 4B197500147 | G & M Oil Company Station #56                              | G & M Oil Company Inc                               | 1       |                           | 1           |
| 4      | 641172      | 4B197600062 | Well No. 2 Rehabilitation & Start-Up Project               | Crescenta Valley Water District                     | 4       | 83                        | 87          |
| 4      | 641174      | 4B198100008 | Doty Wells Site Filtration Unit                            | Golden State Water Company - San Dimas              |         | 3                         | 3           |
| 4      | 641259      | 4A197500172 | Segment C - Magic Mountain Parkway/I-5 Outlet Project      | Santa Clarita City                                  |         | 7                         | 7           |
| 4      | 641810      | 4A197500173 | Segment D - Magic Mountain Parkway @ I-5 Outlet Project    | Santa Clarita City                                  |         | 2                         | 2           |
| 4      | 641815      | 4B197600060 | Well No. 9   | Signal Hill City DPW                                |         | 40                        | 40          |
| 4      | 642048      | 4B197500193 | Longridge Condominium Project                              | Schaffel Development Co Inc                         | 3       |                           | 3           |
| 4      | 642153      | 4B197500191 | 110-105 Freeway Interchange Storm Water Management Project | Island Environmental Services                       |         | 1                         | 1           |
| 4      | 642486      | 4B197600065 | 52nd Street Well   | Maywood Mutual Water Company No.2                   |         | 6                         | 6           |
| 4      | 642750      | 4A567500161 | Former Mobil Service Station                               | Applied Environmental Technologies, Inc             | 2       |                           | 2           |
| 4      | 643432      | 4B197500263 | Mariposa Place Apartment                                   | Hollywood Community Housing Corp                    | 3       |                           | 3           |
| 4      | 643715      | 4B197500211 | Occidental Plaza   | 10291 Whipple LLC                                   |         | 5                         | 5           |
| 4      | 644622      | 4B197500231 | Belmont Village Westwood                                   | Belmont Village Westwood LP                         | 3       |                           | 3           |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME   | PENDING      | ADDRESSED AND IN PROGRESS | Grand Total  |
|----------------|-------------|-------------|---|---|--------------|---------------------------|--------------|
| 4              | 644968      | 4B197500275 | Westwood Terrace Building                                   | Jamison Services  | 23           |                           | 23           |
| 4              | 646972      | 4A568000019 | Regional Salinity Management Pipeline - Phase 1C            | Calleguas Municipal Water District                          | 1            |                           | 1            |
| 4              | 646974      | 4B197500208 | One World Trade Center                                      | Legacy Partners II LB World Trade, LLC c/o ENV America Inc. |              | 12                        | 12           |
| 4              | 649805      | 4B197600074 | Well 19C  | Park Water Company  |              | 14                        | 14           |
| 4              | 650015      | 4B197600072 | Well # 5 and 6  | Tract 180 Water Company                                     |              | 40                        | 40           |
| 4              | 650086      | 4B197500215 | World Savings Center  | Wachovia (World Savings Center)                             |              | 3                         | 3            |
| 4              | 650516      | 4A567500226 | Coyote Creek Bridge Widening                                | Caltrans District 7   | 7            |                           | 7            |
| 4              | 653233      | 4A567500235 | Emma Wood State Beach Crude Oil Pipeline Excavation Project | Venoco Inc.   |              | 2                         | 2            |
| 4              | 709505      | 4B197500227 | Wilshire Robertson Office Bldg                              | 8767 Wilshire Boulevard, LP                                 | 1            |                           | 1            |
| 4              | (blank)     | (blank)     | (blank)   | (blank)   | 48           |                           | 48           |
| 4              | 231975      | 4A567500017 | Hueneme Drain Pump Station                                  | Ventura County Watershed Protection District                | 6            |                           | 6            |
| 4              | 642210      | 4A197500203 | Segment A, San Francisquito Canyon Creek Trail              | Santa Clarita City  | 1            |                           | 1            |
| 4              | 711131      | 4B198700024 | J & R Fuel  | Rita Sevlian  | 6            |                           | 6            |
| 4              | 222216      | 4B197500265 | Carson Mini Truck Stop                                      | EDCO STATIONS, INC.   | 25           |                           | 25           |
| 4              | 633295      | 4B197500103 | First Street Trunk Line Project                             | Los Angeles City DWP  | 1            |                           | 1            |
| 4              | 653234      | 4B197500236 | 4733 Elmwood Avenue Residential Construction Project        | 4733 Elmwood LLC  | 1            |                           | 1            |
| 4              | 723814      | 4B198900007 | Malibu Lumber Yard  | Malibu Lumber LLC   | 1            |                           | 1            |
| 4              | 729579      | 4B198900014 | Melrose Triangle Project                                    | System, LLC   | 2            |                           | 2            |
| 4              | 724939      | 4B198900005 | The Board Room Project                                      | Hermosa Office Condo Partners, LLC                          | 3            |                           | 3            |
| <b>4 Total</b> |             |             |   |   | <b>1,212</b> | <b>8,360</b>              | <b>9,572</b> |
| 5F             | 208630      | 5C102009007 | BALSAM MEADOWS HYDRO PROJ                                   | Southern California Edison                                  |              | 1                         | 1            |

## September 30, 2009 Quarterly Update

| REGION          | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME                          | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total  |
|-----------------|-------------|-------------|--|--------------------------------------|------------|---------------------------|--------------|
| 5F              | 209509      | 5C102009001 | BIG CREEK POWERHOUSE NO 1                              | Southern California Edison           |            | 7                         | 7            |
| 5F              | 213562      | 5D152013001 | CAWELO RESERVOIR B                                     | Cawelo Water District                | 2          | 3                         | 5            |
| 5F              | 214652      | 5C240108001 | MERCED WWTF  | MERCED, CITY OF                      |            | 34                        | 34           |
| 5F              | 228035      | 5C102029001 | THE VENDO COMPANY<br>GROUNDWATER REMEDIATION<br>SYSTEM | THE VENDO COMPANY                    |            | 7                         | 7            |
| 5F              | 235247      | 5D542010001 | KRAFT FOODS VISALIA PLANT                              | KRAFT FOODS, INC                     |            | 19                        | 19           |
| 5F              | 240374      | 5C240804001 | MERCED RIVER FISH HATCHERY                             | CA Dept of Fish & Game Fresno        |            | 1                         | 1            |
| 5F              | 241563      | 5D152028001 | MOUNT POSO, POSCAL PAC VILLARD                         | GRIFFIN RESOURCES                    |            | 9                         | 9            |
| 5F              | 249259      | 5D153020018 | PRODUCED WATER RECL PROJECT                            | Cawelo Water District                | 7          |                           | 7            |
| 5F              | 249457      | 5D102120001 | PURITY OIL SALES SITE                                  | SECOR INTERNATIONAL INC              |            | 2                         | 2            |
| 5F              |             |             |  | CHEVRONTEXACO,<br>INCORPORATED - R5F |            | 1                         | 1            |
| 5F              | 253156      | 5D542095001 | GROUNDWATER CLEANUP SYSTEM                             | ROCKWELL INTERNATIONAL               |            | 3                         | 3            |
| 5F              | 255315      | 5D100804002 | SAN JOAQUIN FISH HATCHERY                              | CA Dept of Fish & Game Fresno        |            | 4                         | 4            |
| 5F              | 255546      | 5D102010001 | CALMAT SANGER PLANT                                    | WALTER BAUN                          |            | 2                         | 2            |
| 5F              | 264560      | 5D541067001 | TIPTON MILK & BUTTER PROC FAC                          | CALIFORNIA DAIRIES, INC              | 113        | 477                       | 590          |
| 5F              | 266540      | 5C242001001 | TROUT REARING FACILITY                                 | CALAVERAS TROUT FARM, INC            |            | 18                        | 18           |
| 5F              | 270646      | 5D542001002 | VISALIA CLEANUP-SNYDERGENERAL                          | AAF-MCQUAY, INC                      |            | 1                         | 1            |
| 5F              | 270651      | 5D541035001 | VISALIA MEDICAL CLINIC                                 | VISALIA MEDICAL CLINIC, INC          |            | 6                         | 6            |
| 5F              | 273105      | 5C240100001 | ATWATER WWTF   | ATWATER, CITY OF                     |            | 25                        | 25           |
| 5F              | 273161      | 5D152037001 | BEAR VALLEY CSD WWTP                                   | BEAR VALLEY CSD                      |            | 5                         | 5            |
| 5F              | 273180      | 5D100124001 | MALAGA CWD WWTF  | MALAGA CWD                           |            | 379                       | 379          |
| 5F              | 273182      | 5C240110001 | PLANADA WWTF   | PLANADA CSD                          |            | 171                       | 171          |
| 5F              | 273191      | 5D150118002 | STALLION SPRINGS WWTF                                  | STALLION SPRINGS CSD                 | 5          | 6                         | 11           |
| <b>5F Total</b> |             |             |  |                                      | <b>127</b> | <b>1,181</b>              | <b>1,308</b> |
| 5R              | 205325      | 5A250100001 | Alturas Municipal WWTP                                 | Alturas, City of                     | 1          |                           | 1            |

## September 30, 2009 Quarterly Update

| REGION          | FACILITY ID | WDID        | FACILITY NAME                                     | AGENCY NAME                          | PENDING   | ADDRESSED AND IN PROGRESS | Grand Total |
|-----------------|-------------|-------------|---|--------------------------------------|-----------|---------------------------|-------------|
| 5R              | 205741      | 5A450100001 | Anderson WPCP                                     | Anderson City                        |           | 1                         | 1           |
| 5R              | 209551      | 5A040100001 | Biggs WWTP  | Biggs, City of                       |           | 30                        | 30          |
| 5R              | 214198      | (blank)     | Collins Pine Chester Sawmill                      | Collins Pine Company                 |           | 5                         | 5           |
| 5R              | 214199      | 5A320100001 | Chester STP                                       | Chester PUD                          |           | 1                         | 1           |
| 5R              | 214802      | 5A450103001 | Clear Creek WWTP                                  | Redding City                         | 1         | 6                         | 7           |
| 5R              | 215903      | 5A450001005 | Cottonwood WWTP                                   | Shasta CSA #17                       | 6         |                           | 6           |
| 5R              | 219530      | 5A321010001 | Delleker WWTP                                     | Grizzly Lake Resort Imp Dist         | 3         | 3                         | 6           |
| 5R              | 236817      | 5A452008001 | Lehigh Southwest Cement Co Mountain Gate          | Lehigh Southwest Cement Co Redding   |           | 1                         | 1           |
| 5R              | 248936      | 5A320102001 | Portola WWTP                                      | Portola City                         |           | 26                        | 26          |
| 5R              | 251217      | 5A320103001 | Quincy WWTP & Collection System                   | Quincy Community Services District   |           | 12                        | 12          |
| 5R              | 252119      | 5A520101001 | Red Bluff WW Reclamation Plant                    | Red Bluff City                       |           | 4                         | 4           |
| 5R              | 256627      | 5A450105001 | Shasta Lake WWTF                                  | Shasta Lake City                     | 1         |                           | 1           |
| 5R              | 256963      | 5A452015003 | Sierra Pacific Industries Anderson Division       | Sierra Pacific Industries            |           | 1                         | 1           |
| 5R              | 256964      | 5A452015001 | Sierra Pacific Industries Burney Division         | Sierra Pacific Industries Burney R5R |           | 4                         | 4           |
| 5R              | 256965      | 5A321016001 | Sierra Pacific Industries Quincy Division Sawmill | Sierra Pacific Industries Quincy     | 1         | 3                         | 4           |
| 5R              | 271805      | 5A040102001 | Chico Water Pollution Control Plant               | City of Chico                        |           | 15                        | 15          |
| 5R              | 272704      | 5A110101001 | Willows City                                      | Willows City                         |           | 61                        | 61          |
| <b>5R Total</b> |             |             |   |                                      | <b>13</b> | <b>173</b>                | <b>186</b>  |
| 5S              | 205918      | 5B072039001 | ANTIOCH PULP & PAPER MILL                         | Gaylord Container Corp               |           | 14                        | 14          |
| 5S              | 206730      | 5A31NP00001 | AUBURN RANCHERIA CASINO WWTP                      | United Auburn Indian Community       |           | 159                       | 159         |
| 5S              | 206733      | 5A310100001 | Auburn WWTP                                       | Auburn City                          | 4         | 15                        | 19          |
| 5S              | 210322      | 5B070101001 | Brentwood WWTP                                    | Brentwood City                       | 1         | 93                        | 94          |
| 5S              | 212559      | 5B392095001 | CALAMCO - STOCKTON TERMINAL                       | CALIF AMMONIA COMPANY                |           | 7                         | 7           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                    | AGENCY NAME                                 | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|----------------------------------|---|---------|---------------------------|-------------|
| 5S     | 212845      | 5B390114001 | Camanche Dam Power House         | East Bay MUD                                |         | 1                         | 1           |
| 5S     | 213432      | 5A290107002 | Cascade Shores WWTP              | Nevada Cnty Sanitation District No 1        | 18      | 166                       | 184         |
| 5S     | 213883      | 5A340802001 | CENTRAL HEATING/COOLING FAC      | Ca Dept of General Services Real Estate Div |         | 15                        | 15          |
| 5S     | 214642      | 5B030103001 | City of Jackson WWTP             | Jackson City                                | 24      | 69                        | 93          |
| 5S     | 214647      | 5A510100001 | Live Oak City WWTP               | Live Oak City                               | 35      | 316                       | 351         |
| 5S     | 215106      | 5A310101001 | Colfax WWTP                      | Colfax City                                 | 18      | 186                       | 204         |
| 5S     | 215225      | 5A060101001 | Colusa WWTP                      | Colusa City                                 | 59      | 162                       | 221         |
| 5S     | 216285      | 5B390115001 | CSA 31 - Flag City               | San Joaquin Cnty                            |         | 23                        | 23          |
| 5S     | 219223      | 5A570100001 | Davis WWTP                       | Davis City                                  |         | 7                         | 7           |
| 5S     | 219398      | 5B090102001 | Deer Creek WWTP                  | El Dorado ID                                |         | 2                         | 2           |
| 5S     | 219701      | 5B390100001 | Deuel Vocational Institution     | CA Dept of Corrections                      |         | 64                        | 64          |
| 5S     | 220315      | 5B070105003 | Discovery Bay WWTP               | Town of Discovery Bay CSD                   | 5       | 106                       | 111         |
| 5S     | 220548      | 5A290105001 | Donner Summit PUD WWTP           | Donner Summit Public Utilities District     | 4       | 71                        | 75          |
| 5S     | 220768      | 5A310106001 | Dry Creek WWTP                   | Roseville City                              | 1       | 25                        | 26          |
| 5S     | 222057      | 5A480105002 | Easterly WWTP                    | Vacaville City                              |         | 61                        | 61          |
| 5S     | 222434      | 5B090102005 | El Dorado Hills WWTP             | El Dorado ID                                | 19      |                           | 19          |
| 5S     | 222651      | 5A48NP00001 | ELMIRA REMEDIATION PROJECT       | Kinder Morgan Energy Ptners LP (5S)         | 2       | 10                        | 12          |
| 5S     | 225205      | 5A48NP00004 | Fox Rd Pipeline Release Site     | Kinder Morgan Energy Ptners LP (5S)         |         | 6                         | 6           |
| 5S     | 226690      | 5B340101001 | Galt SD                          | Galt City                                   | 98      | 33                        | 131         |
| 5S     | 227818      | 5A290100001 | Grass Valley City WWTP           | Grass Valley City                           | 6       | 10                        | 16          |
| 5S     | 227844      | 5C500117001 | Grayson Park Well No.295         | Modesto City                                |         | 15                        | 15          |
| 5S     | 228578      | 5B072050003 | GWF Power Systems, Site IV       | GWF Power Systems, L.P.                     |         | 5                         | 5           |
| 5S     | 229595      | 5A090100001 | Hangtown Creek Water Reclamation | Placerville City                            | 21      | 88                        | 109         |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME                               | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|---|---------|---------------------------|-------------|
|        |             |             | <b>Facility</b>   |   |         |                           |             |
| 5S     | 230140      | 5B39NP00035 | Herman & Helens Marina  | Herman & Helens Marina                    |         | 1                         | 1           |
| 5S     | 230167      | 5C502003001 | Sconza Candy Oakdale Facility<br>(formerly Hershey Chocolate USA) | HERSHEY FOODS CORP                        |         | 12                        | 12          |
| 5S     | 230584      | 5B398000001 | HOLT GROUND WATER RECOVERY  | Kinder Morgan Energy Ptners LP<br>(5S)    |         | 13                        | 13          |
| 5S     | 230883      | 5A572002001 | HUNT-WESSON, INC.   | HUNT-WESSON, INC.                         |         | 6                         | 6           |
| 5S     | 232546      | 5A342000006 | Interim Ground WTP  | Aerojet General Corp                      |         | 6                         | 6           |
| 5S     | 232757      | 5B39NP00034 | IVANO LANE  | Stockton City                             |         | 1                         | 1           |
| 5S     | 234980      | 5A340311002 | Kiefer Landfill GW Extraction &<br>Treatment Plant                | Sacramento Cnty Solid Waste<br>Management | 1       | 3                         | 4           |
| 5S     | 236236      | 5A290104003 | Lake of the Pines WWTP  | Nevada Cnty Sanitation District No<br>1   | 1       | 37                        | 38          |
| 5S     | 236292      | 5A290104001 | Lake Wildwood WWTP  | Nevada Cnty Sanitation District No<br>1   |         | 89                        | 89          |
| 5S     | 237054      | 5B391080001 | LINCOLN VILLAGE CTR GWT SYSTEM                                    | LINCOLN CENTER ENV REMED<br>TRUST         |         | 6                         | 6           |
| 5S     | 237060      | 5A580100002 | Linda Cnty Water District WWTP                                    | Linda Cnty Water District                 | 3       | 17                        | 20          |
| 5S     | 238365      | 5A31NP00011 | City of Lincoln WWT & WRF   | Lincoln City                              |         | 33                        | 33          |
| 5S     | 239339      | 5B392082001 | Oakwood Lake Subdivision Mining<br>Reclamation Project, Manteca   | BROWN SAND, INC.                          |         | 22                        | 22          |
| 5S     | 239343      | 5B390104001 | Manteca WW Quality Control Fac                                    | Manteca City                              | 6       | 224                       | 230         |
| 5S     | 239601      | 5B392058001 | Marley Cooling Tower Company<br>Stockton Facility                 | SPX Corp.                                 | 9       | 11                        | 20          |
| 5S     | 239874      | 5A060102001 | Maxwell PUD WWTF  | Maxwell PUD                               | 202     | 568                       | 770         |
| 5S     | 241146      | 5C500102001 | Modesto Water Quality Ctrl Fac                                    | Modesto City                              |         | 1                         | 1           |
| 5S     | 241195      | 5B390800001 | MOKELUMNE RIVER FISH HATCHERY                                     | CA Dept of Fish & Game Nimbus             | 1       |                           | 1           |
| 5S     | 241598      | 5B391078003 | Mountain House WWTP - 1   | Mountain House CSD                        |         | 11                        | 11          |
| 5S     | 244141      | 5A290101001 | Nevada City WWTP  | Nevada City                               | 5       | 24                        | 29          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                  | AGENCY NAME                                 | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|--|---|---------|---------------------------|-------------|
| 5S     | 244354      | 5A340801001 | NIMBUS HATCHERY                                | CA Dept of Fish & Game Nimbus               |         | 10                        | 10          |
| 5S     | 245949      | 5A580102001 | OLIVEHURST WWTP                                | OLIVEHURST PUD                              |         | 44                        | 44          |
| 5S     | 248586      | 5A310104007 | Placer Cnty SMD No 1 WWTP                      | Placer Cnty Dept Of Facility Services       | 6       | 23                        | 29          |
| 5S     | 248587      | 5A310104018 | Placer Cnty SMD No 3                           | Placer Cnty Dept Of Facility Services       | 6       | 62                        | 68          |
| 5S     | 248706      | 5A310106007 | Pleasant Grove WWTP                            | Roseville City                              |         | 69                        | 69          |
| 5S     | 249256      | 5A342004001 | PROCTER & GAMBLE CO WWTP                       | PROCTER AND GAMBLE COMPANY                  |         | 6                         | 6           |
| 5S     | 252771      | 5A480104001 | Rio Vista (Main Beach) WWTF                    | Rio Vista City                              | 17      | 124                       | 141         |
| 5S     | 252936      | 5A171041001 | Rivera West Mutual Water Company               | Rivera West Mutual Water Company            |         | 1,314                     | 1,314       |
| 5S     | 253448      | 5B05NP00009 | Royal Mountain King Mine                       | Meridian Gold Company                       | 138     |                           | 138         |
| 5S     | 254925      | 5A310104011 | Placer Cnty SA No 28, ZONE No.6, Sheridan WWTP | Placer Cnty Dept Of Facility Services       |         | 90                        | 90          |
| 5S     | 254973      | 5A34NP00004 | SACRAMENTO INTERNATIONAL AIRPT                 | Sacramento Cnty Airport System              |         | 28                        | 28          |
| 5S     | 254981      | 5A340108002 | Sacramento Regional WWTP                       | Sacramento Regional CSD                     |         | 16                        | 16          |
| 5S     | 255180      | 5B050103001 | San Andreas WWTF                               | San Andreas Sanitary District               | 16      | 16                        | 32          |
| 5S     | 256919      | 5C550801002 | Sierra Conservation Center WTP (NPDES)         | CA Dept of Corrections                      |         | 30                        | 30          |
| 5S     | 256968      | 5A312001001 | SIERRA PLANT                                   | FORMICA CORPORATION                         |         | 14                        | 14          |
| 5S     | 257308      | 5A462023001 | SIXTEEN TO ONE MINE                            | Original Sixteen to One Mine                | 5       |                           | 5           |
| 5S     | 258543      | 5A340805001 | State Printing & Warehouses                    | Ca Dept of General Services Real Estate Div |         | 45                        | 45          |
| 5S     | 258739      | 5B390107001 | Stockton STP-Main Plant                        | Stockton City                               | 3       | 21                        | 24          |
| 5S     | 266223      | 5B390108001 | Tracy WWTP                                     | Tracy City                                  | 5       | 77                        | 82          |
| 5S     | 266439      | 5A480108001 | Trilogy WWTP/Northwest WWTP                    | Rio Vista City                              |         | 362                       | 362         |
| 5S     | 266737      | 5C500108001 | Turlock WWTP                                   | Turlock City                                |         | 54                        | 54          |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME                                | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
| 5S     | 268722      | 5B34NP00009 | Tsar Nicoulai Sturgeon Farm   | Tsar Nicoulai Caviar LLC                   |         | 2                         | 2           |
| 5S     | 268926      | 5A570800001 | UC Davis Main WWTP  | UC DAVIS                                   | 4       | 35                        | 39          |
| 5S     | 271634      | 5A340107010 | Walnut Grove WWTP   | SACRAMENTO REGIONAL CSD-ELK GV             | 2       |                           | 2           |
| 5S     | 272122      | 5B570103001 | West Sacramento STP   | West Sacramento City                       | 3       | 68                        | 71          |
| 5S     | 272353      | 5B092006001 | Wetsel Oviatt Lumber Mill   | Wetsel Oviatt Lumber Company               |         | 6                         | 6           |
| 5S     | 272444      | 5B390103002 | White Slough Water Pollution Control Facility                           | Lodi City                                  |         | 24                        | 24          |
| 5S     | 272631      | 5A060103001 | Williams WWTP   | Williams City                              | 2       | 707                       | 709         |
| 5S     | 272960      | 5A570105001 | Woodland Water Pollution Control Facility                               | Woodland City                              |         | 3                         | 3           |
| 5S     | 274556      | 5A510101001 | Yuba City WWTF  | Yuba City                                  |         | 31                        | 31          |
| 5S     | 212850      | 5B05NP00004 | Camanche South Shore Rec Area   | East Bay MUD                               | 2       |                           | 2           |
| 5S     | 254995      | 5A34NP00018 | SACTO CO MISC WATER SYS DISCH   | Sacramento Cnty Water Agency               | 6       |                           | 6           |
| 5S     | 206275      | 5A34NP00013 | ARDEN-CORDOVA WATER SERVICE   | SOUTHERN CA WATER COMPANY                  | 2       |                           | 2           |
| 5S     | 738393      | 5A34NP00056 | Freeport Regional Water Project (FRWP), Segment 1                       | Mountain Cascade Inc                       | 3       |                           | 3           |
| 5S     | 645427      | 5B39NP00037 | Jack in the Box underground Storage Tank Installation Project           | JACK IN THE BOX INC                        | 1       |                           | 1           |
| 5S     | 644537      | 5B39NP00040 | TCN Properties M-6 Stormwater Pump Station Project                      | TCN Properties LP                          | 1       |                           | 1           |
| 5S     | 644794      | 5B34NP00012 | Walltown Project Pump Test  | Tsakopoulos, Angelo                        | 1       |                           | 1           |
| 5S     | 657883      | 5A34NP00046 | Rio Linda/Eleverta Community Water District Drinking Water Well Project | Rio Linda/Elverta Community Water District | 1       |                           | 1           |
| 5S     | 644152      | 5B39NP00044 | Stockton Station 85-01 Well Installation Project                        | California Water Service Company San Jose  | 1       |                           | 1           |
| 5S     | 255356      | 5A31NP00025 | SAN JUAN WD FLOW TEST & MAINT   | SAN JUAN WATER DISTRICT                    | 8       |                           | 8           |
| 5S     | 222870      | 5B39NP00005 | EQUILON ENTERPRISES LLC-LOW TH  | EQUILON ENTERPRISES LLC                    | 2       |                           | 2           |

## September 30, 2009 Quarterly Update

| REGION | FACILITY ID | WDID        | FACILITY NAME                                       | AGENCY NAME                                  | PENDING | ADDRESSED AND IN PROGRESS | Grand Total |
|--------|-------------|-------------|---|--|---------|---------------------------|-------------|
| 5S     | 644091      | 5A51NP00016 | Yuba City Water Supply Replacement Project          | Yuba City                                    | 1       |                           | 1           |
| 5S     | 211833      | 5A34NP00044 | Bradshaw Interceptor Section 8                      | Sacramento Regional Cnty Sanitation District | 1       |                           | 1           |
| 5S     | 259416      | 5A31NP00007 | SUN CITY LINCOLN HILLS                              | Del Webb California Corp                     | 2       |                           | 2           |
| 5S     | 271793      | 5A09NP00007 | WATER DISTR SYS CONST & MAINT                       | El Dorado ID                                 | 2       |                           | 2           |
| 5S     | 644536      | 5B39NP00041 | Manteca City Sewer Force Miain Pipeline Project     | Manteca City                                 | 1       |                           | 1           |
| 5S     | 644174      | 5B39NP00042 | Woodbridge Manteca Project                          | Pulte Homes Corp                             | 1       |                           | 1           |
| 5S     | 644512      | 5B50NP00019 | Diablo Grande WTP Increase Capacity Project         | Western Hills Water District                 | 1       |                           | 1           |
| 5S     | 657885      | 5A09NP00015 | Silva Valley Parkway Water Main Project             | El Dorado Cnty Dept of Transportation        | 1       |                           | 1           |
| 5S     | 219503      | 5A31NP00014 | DEL WEBB, 1265 FIDDYMENT RD                         | Del Webb California Corp                     | 2       |                           | 2           |
| 5S     | 643825      | 5A29NP00007 | Chevron Station - Higgins Village                   | MP Allen General Contractors                 | 1       |                           | 1           |
| 5S     | 644083      | 5A57NP00020 | Water Supply Well Development & Pump Test           | MADISON SERVICE DISTRICT                     | 1       |                           | 1           |
| 5S     | 644533      | 5A48NP00018 | Vacaville City Well 16 Dev & Pump Test Project      | Vacaville City                               | 1       |                           | 1           |
| 5S     | 645142      | 5B03NP00007 | Castle Oaks Development Project                     | JTS Communities Inc                          | 1       |                           | 1           |
| 5S     | 644088      | 5A57NP00019 | Target Distribution Ctr T-555 Stormwater Sys Repair | Target Corporation                           | 1       |                           | 1           |
| 5S     | 219489      | 5A34NP00029 | DEL PASO MANOR WD                                   | DEL PASO MANOR WATER DIST                    | 1       |                           | 1           |
| 5S     | 224679      | 5A09NP00006 | FLOW FIRE HYDRANTS PLACERVILLE                      | Placerville City                             | 2       |                           | 2           |
| 5S     | 271819      | 5A48NP00009 | Rio Vista Water System Flushing Program             | Rio Vista City                               | 1       |                           | 1           |
| 5S     | 738361      | 5B39NP00048 | Penny-Newman Grain Company Hydrostatic Test Project | Penny Newman Grain Co                        | 12      |                           | 12          |
| 5S     | 729795      | 5A34NP00051 | Freeport Regional Water Project,                    | Steve P. Rados, Inc.                         | 4       |                           | 4           |

## September 30, 2009 Quarterly Update

| REGION          | FACILITY ID | WDID        | FACILITY NAME  | AGENCY NAME                                    | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total  |
|-----------------|-------------|-------------|--|--|------------|---------------------------|--------------|
|                 |             |             | <b>Segment 4</b>   |  |            |                           |              |
| 5S              | 729791      | 5A34NP00049 | Freeport Regional Water Project, Segment 2                           | Freeport Regional Water Authority              | 4          |                           | 4            |
| 5S              | 738548      | 5A34NP00059 | Folsom South Canal Connection (FSCC), North Pipeline Segment Project | SJ Louis Construction inc                      | 4          |                           | 4            |
| 5S              | 729793      | 5A34NP00050 | Freeport Regional Water Project, Segment 3                           | Freeport Regional Water Authority              | 5          |                           | 5            |
| 5S              | 272114      | 5A57NP00007 | West Sacramento Dewatering   | West Sacramento City                           | 7          |                           | 7            |
| 5S              | 735630      | 5A34NP00055 | Fruitridge Vista Water Company                                       | Fruitridge Vista Water Company                 | 1          |                           | 1            |
| 5S              | 738557      | 5A09NP00016 | El Dorado Irrigation District  | El Dorado ID                                   | 1          |                           | 1            |
| <b>5S Total</b> |             |             |  |  | <b>837</b> | <b>6,095</b>              | <b>6,932</b> |
| 6B              | 205231      | 6B269907002 | ALPERS TROUT LLC   | ALPERS TROUT LLC                               |            | 5                         | 5            |
| 6B              | 230727      | 6B260801001 | HOT CREEK HATCHERY NPDES   | Ca Dept of Fish & Game Region 6                | 61         |                           | 61           |
| 6B              | 270419      | 6B360109001 | VICTOR VALLEY MUNI WTP   | Victor Valley Wastewater Reclamation Authority | 17         | 94                        | 111          |
| <b>6B Total</b> |             |             |  |  | <b>78</b>  | <b>99</b>                 | <b>177</b>   |
| 7               | 210272      | 7A130100011 | Brawley City WWTP  | Brawley City                                   | 10         | 154                       | 164          |
| 7               | 212589      | 7A130101011 | CALEXICO CITY WWTP   | Calexico City                                  | 28         | 8                         | 36           |
| 7               | 212739      | 7A130102041 | CALIPATRIA CITY WWTP   | CALIPATRIA CITY                                | 1          | 77                        | 78           |
| 7               | 213850      | 7A131337001 | CA DEPT OF CORRECTIONS CENTINELA WWTP                                | CA Dept of Corrections                         | 2          | 31                        | 33           |
| 7               | 214933      | 7A330104012 | Coachella SD WWTP  | Coachella SD                                   | 8          | 257                       | 265          |
| 7               | 215925      | 7A131001011 | Country Life MHPRV Asset Partners LP WWTP                            | Ralph Beatty                                   | 2          |                           | 2            |
| 7               | 215961      | 7A132160001 | HEBER GEOTHERMAL FACILITY  | Ormat Nevada Inc                               |            | 35                        | 35           |
| 7               | 215962      | 7A132210001 | SECOND IMPERIAL GEOTHERMAL FACILITY                                  | Ormat Nevada Inc                               | 1          | 23                        | 24           |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME                   | AGENCY NAME                      | PENDING    | ADDRESSED AND IN PROGRESS | Grand Total  |
|----------------|-------------|-------------|---------------------------------|----------------------------------|------------|---------------------------|--------------|
| 7              | 219146      | 7A131057011 | Peter M Ormand Date Gardens MHP | Peter M. Ormand                  | 27         |                           | 27           |
| 7              | 222402      | 7A130128003 | Imperial ID El Centro GS        | Imperial ID                      |            | 11                        | 11           |
| 7              | 222407      | 7A130103011 | El Centro City WWTP             | El Centro City                   | 1          | 19                        | 20           |
| 7              | 229967      | 7A130104011 | Heber PUD WWTP                  | Heber PUD                        | 4          | 17                        | 21           |
| 7              | 230588      | 7A130105011 | Holtville City WWTP             | Holtville City                   |            | 18                        | 18           |
| 7              | 232216      | 7A130128015 | IMPERIAL ID GRASS CARP HATCHERY | Imperial ID                      | 4          | 8                         | 12           |
| 7              | 232259      | 7A130113011 | Imperial CCD WWTP               | Imperial CCD                     | 1          | 3                         | 4            |
| 7              | 232297      | 7A130106011 | Imperial City WWTP              | Imperial City                    | 12         | 25                        | 37           |
| 7              | 239975      | 7A130114011 | McCabe USD WWTP                 | McCabe USD                       | 4          | 43                        | 47           |
| 7              | 244348      | 7A130109011 | Niland SD WWTP                  | Niland SD                        | 18         | 96                        | 114          |
| 7              | 256217      | 7A130111013 | SEELEY CWD WWTP                 | Seeley Cnty WD                   | 12         | 77                        | 89           |
| 7              | 270096      | 7A330122021 | Valley SD WWTP                  | Valley SD                        | 7          | 2                         | 9            |
| 7              | 272291      | 7A130112012 | WESTMORLAND CITY WWTP           | Westmorland City                 | 38         | 8                         | 46           |
| 7              | (blank)     | (blank)     | (blank)                         | (blank)                          |            | 10                        | 10           |
| <b>7 Total</b> |             |             |                                 |                                  | <b>180</b> | <b>922</b>                | <b>1,102</b> |
| 8              | 219865      | 8 302448001 | DEWATERING,GENERAL              | Irvine Community Development Co  | 1          |                           | 1            |
| 8              | 259018      | 8 302741001 | Adams Steel Salvage             | ADAMS STEEL                      |            | 1                         | 1            |
| 8              | 259067      | 8 362023002 | STORM,MULBERRY DITCH & SAN SEV  | California Steel Industries, Inc |            | 13                        | 13           |
| 8              | 259149      | 8 360112001 | Rialto WWRF                     | Rialto City                      |            | 35                        | 35           |
| 8              | 259156      | 8 302006002 | Michelson WWRF                  | Irvine Ranch Water District      |            | 4                         | 4            |
| 8              | 259161      | 8 362222001 | Henry N. Wochholz WWRF          | Yucaipa Valley Water Dist        |            | 435                       | 435          |
| 8              | 259165      | 8 360104004 | IEUA Regional Plant No. 1       | Inland Empire Utilities Agency   |            | 28                        | 28           |
| 8              | 259169      | 8 362744001 | IEUA Regional Plant No. 5       | Inland Empire Utilities Agency   | 3          |                           | 3            |
| 8              | 259170      | 8 330101001 | Beaumont WWTP No. 1             | Beaumont City                    |            | 34                        | 34           |
| 8              | 259171      | 8 330108001 | Corona WWRF No. 1               | Corona City DWP                  |            | 149                       | 149          |
| 8              | 259174      | 8 332476001 | Corona WWRF No. 3               | Corona City DWP                  |            | 2                         | 2            |

## September 30, 2009 Quarterly Update

| REGION         | FACILITY ID | WDID        | FACILITY NAME                                | AGENCY NAME  | PENDING   | ADDRESSED AND IN PROGRESS | Grand Total  |
|----------------|-------------|-------------|--|--|-----------|---------------------------|--------------|
| 8              | 259178      | 8 362375001 | Colton/San Bernadino STP, RIX                | Colton/San Bernardino RTT&WRA                        |           | 68                        | 68           |
| 8              | 259184      | 8 362283001 | IEUA Carbon Canyon WWRF                      | Inland Empire Utilities Agency                       |           | 1                         | 1            |
| 8              | 259195      | 8 330107002 | Jurupa CSD STP Indian Hills                  | Jurupa Community Services District                   | 5         |                           | 5            |
| 8              | 259196      | 8 332303001 | Temescal Creek Outfall                       | Eastern Municipal Water District                     |           | 22                        | 22           |
| 8              | 259205      | 8 332353001 | WRCRWA Regional WWRF                         | Western Riverside Cnty Regional Wastewater Authority |           | 104                       | 104          |
| 8              | 259216      | 8 332253001 | Lee Lake WD WWRF                             | Lee Lake Water District                              |           | 87                        | 87           |
| 8              | (blank)     | (blank)     | (blank)                                      | (blank)  | 1         | 43                        | 44           |
| <b>8 Total</b> |             |             |  |  | <b>10</b> | <b>1,026</b>              | <b>1,036</b> |
| 9              | 209953      | (blank)     | Body Beautiful Carwash Pacific Hwy San Diego | Terra Vac  |           | 3                         | 3            |
| 9              | 210695      | (blank)     | BUENA SD, SHADOWRIDGE WRP                    | Encina Wastewater Authority                          |           | 2                         | 2            |
| 9              | 224137      | 9 000000115 | Fallbrook Public Water District Plant 1      | Fallbrook Public Utility Dist                        |           | 28                        | 28           |
| 9              | 229725      | 9 000000833 | HARRF DISCH TO ESCONDIDO CREEK               | Escondido City                                       |           | 11                        | 11           |
| 9              | 229726      | 9 000000031 | HARRF DISC to San Elijo Ocean Outfall        | Escondido City                                       |           | 437                       | 437          |
| 9              | 236019      | (blank)     | LA SALINA WWTP, OCEANSIDE OTFL               | Oceanside City                                       |           | 14                        | 14           |
| 9              | 237396      | 9 000000117 | LOS ALISOS WD WWTP                           | SOCWA-ALISO CREEK OCEAN O/F                          |           | 3                         | 3            |
| 9              | 240988      | 9 000000506 | MISSION VALLEY TERMINAL                      | Kinder Morgan, MVT, SFPP, L.P.                       |           | 29                        | 29           |
| 9              | 245791      | 9 000000436 | Mountain Water Ice Oceanside Plant           | Mountain Water Ice Company                           |           | 82                        | 82           |
| 9              | 246018      | 9 000000469 | One America Plaza Dewater                    | AMERICA PLAZA OWNERS ASSOC                           |           | 4                         | 4            |
| 9              | 248654      | 9 000001182 | PLANTS 1,2,3 &13 DISCH TO OOO                | Marine Corps Base, Camp Pendleton                    | 24        |                           | 24           |
| 9              | 248796      | 9 000000275 | Point Loma Ocean Outfall                     | San Diego City                                       |           | 14                        | 14           |
| 9              | 249321      | 9 000000588 | PROMENADE AT PACIFIC BCH DEWAT               | PROMENADE MALL DEVELOPMT CORP                        |           | 3                         | 3            |

## September 30, 2009 Quarterly Update

| REGION             | FACILITY ID | WDID        | FACILITY NAME   | AGENCY NAME                               | PENDING      | ADDRESSED AND IN PROGRESS | Grand Total   |
|--------------------|-------------|-------------|---|---|--------------|---------------------------|---------------|
| 9                  | 255226      | 9 000000329 | San Diego City Convention Center Dewatering                     | San Diego City                            |              | 45                        | 45            |
| 9                  | 255265      | 9 000000125 | SAN ELIJO WPCF  | San Elijo Joint Powers                    |              | 3                         | 3             |
| 9                  |             | (blank)     | SAN ELIJO WPCF  | San Elijo Joint Powers                    |              | 3                         | 3             |
| 9                  | 256121      | 9 000000083 | SeaWorld, San Diego   | Anheuser-Busch, Inc.                      |              | 8                         | 8             |
| 9                  | 257520      | 9 000000175 | SMWD OSO CREEK WRF  | SOCWA-SAN JUAN CREEK OCEAN O/F            |              | 92                        | 92            |
| 9                  | 257701      | 9 000000086 | SONGS UNIT 1  | Southern California Edison - San Clemente |              | 3                         | 3             |
| 9                  | 257991      | 9 000000137 | BAE Systems San Diego Ship Repair                               | BAE Systems San Diego Ship Repair Inc.    |              | 1                         | 1             |
| 9                  | 625254      | 9 000001414 | San Vicente Pipeline Project                                    | (blank)                                   |              | 46                        | 46            |
| 9                  | 640111      | (blank)     | 501 First Street, Coronado CA, Dickerson Residence              | Dickerson, William G. and Heidi           |              | 8                         | 8             |
| 9                  | 655225      | (blank)     | Studio 15 Housing Const Dewatering- Enrollee under R9-2000-0090 | Studio 15 Housing Partners LLC            |              | 14                        | 14            |
| 9                  | (blank)     | (blank)     | (blank)   | (blank)                                   |              | 10                        | 10            |
| 9                  | 647328      | 9 000001503 | CSU San Diego Research Foundation Coastal Waters Laboratory     | CSU San Diego Research Foundation         | 4            |                           | 4             |
| <b>9 Total</b>     |             |             |   |   | <b>28</b>    | <b>863</b>                | <b>891</b>    |
| <b>Grand Total</b> |             |             |   |   | <b>2,904</b> | <b>22,515</b>             | <b>25,419</b> |