

2005-06 Consolidated Grants Program Concept Proposal Applicant Assistance Workshops



State Water Resources Control Board Staff

January 24, 2006	Bishop, CA	2:00 PM – 5:00 PM
January 26, 2006	Victorville, CA	10:00 AM – 1:00 PM

Applicant Workshop Objectives

- **Grant Process Highlights**
- **Provide Overview of Guidelines and Note Modifications Since Draft Guidelines Workshops**
- **Highlight Concept Proposal Application and Review Process**
- **Staff available to answer questions and to assist in completing online applications using the Financial Assistance Application Submittal Tool (FAAST)**

Presentation Outline

- **Overview of Grant Programs**
- **Program-Specific Highlights**
- **Timeline/Fund Encumbrance and Project Deadlines**
- **General Eligibility**
- **Eligible Project Types by Program**
- **Guidelines Highlights: Modifications Since Draft Guidelines Workshops**

Presentation Outline

Continued

- **Overview of Grant Application Process**
- **Concept Proposal (Phase I)**
- **Sources of Information**
- **Contact Information**
- **General Questions and Answers**

Overview of Grant Programs

- **Six Programs Consolidated**
- **Propositions 40, 50, & Federal Appropriations**
- **Remaining Proposition 13 Funds**
 - **Watershed Protection Program**
 - **Nonpoint Source (NPS) Pollution Control Program**
 - **Coastal NPS Pollution Control Program**

Grant Programs

- Approximately \$143 Million Available:
 - Nonpoint Source (NPS) Pollution Control Program – \$19 M
 - Coastal NPS Pollution Control Program – \$43.1 M (\$10 M for Ocean Protection Projects)
 - NPS Implementation Program (319(h)) – \$4.5 M
 - Agricultural Water Quality Grant Program (AWQGP) – \$15.2 M
 - Urban Stormwater Program – \$14.25 M
 - Integrated Watershed Management Program (IWMP) – \$47.5 M
- Remaining Proposition 13 Funds

Program-Specific Highlights

- **Coastal NPS Pollution Control Program:**
 - **Up to 5% of funds reserved to fund projects that provide a direct benefit to disadvantaged communities**
 - **Geographic Scope – Funds split 60/40 between Southern and Northern California**
 - **Ocean Protection Projects**

(Section IIIC, IIID, and IVC)

Program-Specific Highlights Continued

- **Integrated Watershed Management Program:**
 - **Planning vs. Implementation**
 - **Accelerated Selection and Contracting Procedure (ASCP)**
 - **Partner Agency Coordination (MOU)**

(Section IIIC, VG, and Appendix C)

Timeline

- **Final Guidelines Adopted** *January 4, 2006*
- **Concept Proposals/Solicitation Available in FFAST** *January 5, 2006*
- **Ocean Protection Council (OPC) Meeting** *January 13, 2006*
- **Applicant Workshops** *January 2006*
- **Waiver of Litigation Rights Language Presented to State Water Board** *February 1, 2006*

Timeline Continued*

- **Concept Proposals Due** *February 9, 2006*
- **Full Proposal Invitations** *March 2006*
- **Full Proposals Due** *May 2006*
- **Ocean Protection Projects to
Ocean Protection Council** *June 13, 2006*
- **Recommended Project Lists to
State Water Board and California
Bay Delta Authority** *July – Nov. 2006*
- **Grant Agreements** *August 2006-2007*

** Dates subject to change*

Fund Encumbrance and Project Deadlines

- **Proposition 40 funds must be encumbered by December 2006, projects completed by December 2008**
- **Proposition 50 funds must be encumbered by June 2007/2008, projects completed by June 2009/2010**
 - **AWQGP: 2007/2009**
 - **Coastal NPS: 2008/2010**

General Eligibility

- **What parameters do I need to meet in order to be eligible for funding?**
 - **Project Type**
 - **Priorities**
 - **Applicant Type**
 - **Match Requirements**
 - **Other Program-Specific Criteria/Guidelines**

Eligible Project Types by Grant Program

- **Appendix A**
 - **Project Types Identified**
 - **Additional Program-Specific Requirements**
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Guidelines Highlights: Modifications Since Draft Guidelines Workshops

- **Funding Match Requirements**
- **Applicant Eligibility**
- **Priorities**
- **Reimbursable Expenses/Education and Outreach**
- **Waiver of Litigation Rights**
- **Alternate Reporting Requirements for Agricultural Projects**

Funding Match Requirements

- Disadvantaged Community waiver/reduction criteria clarified
- Sub-sections ii and iii created for clarification
- Eligible reimbursable expenses incurred after Guidelines adoption (January 4, 2006) and prior to project completion date
- Education and outreach may qualify as part of funding match

(Section III.A ii and iii, Appendix D)

Applicant Eligibility

- **Nonprofit Organizations**
 - **501(c)(4) for Prop 50 AWQGP**
 - **501(c)(5) for Prop 50 AWQGP and Coastal NPS Pollution Control**

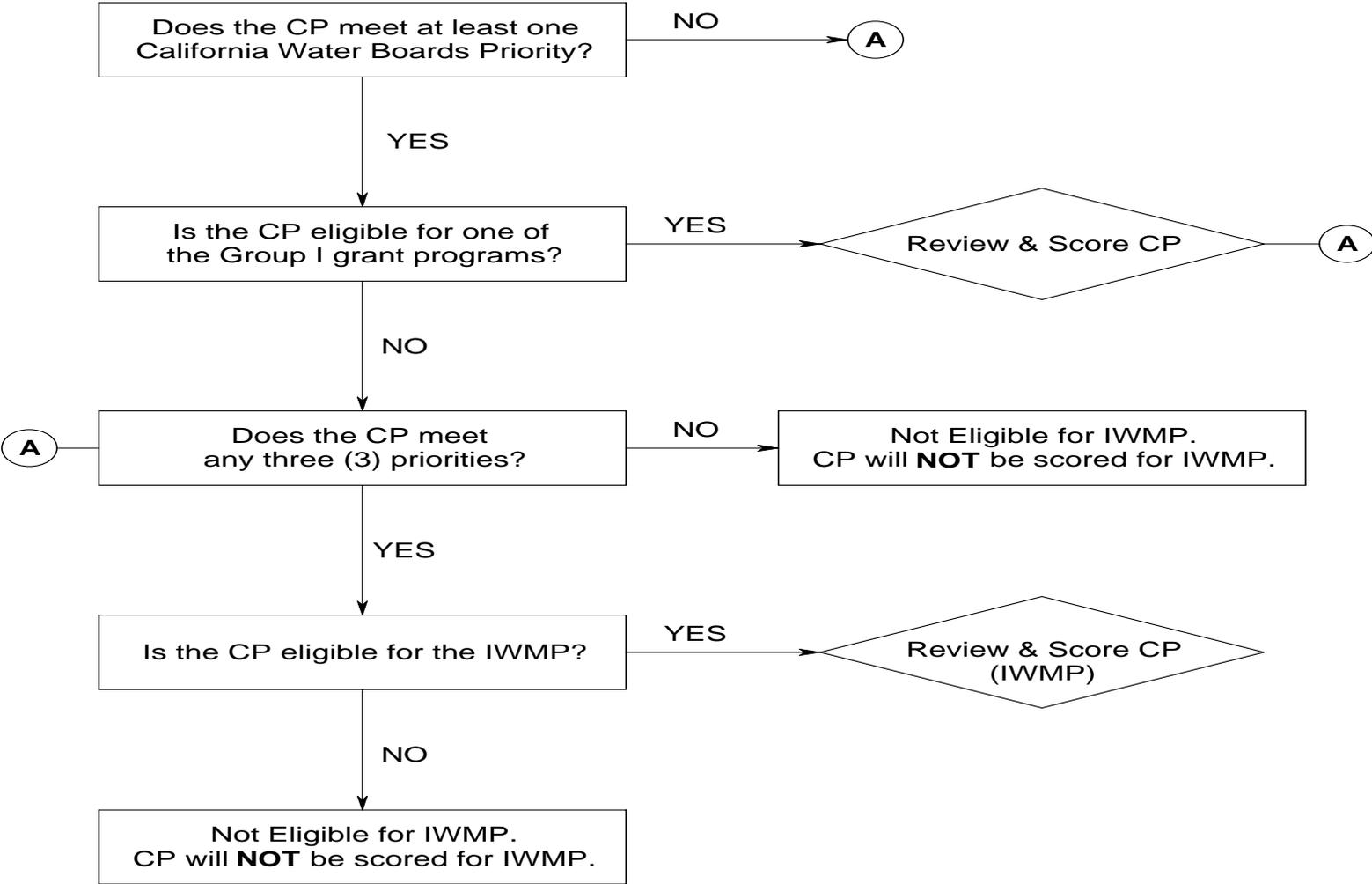
(Sections III.B and .C, Table 3, Appendix A)

Priorities

- Not listed in any specific order of preference
- All projects must meet at least one Regional Water Board or State Water Board priority (except for Integrated Watershed Management Program [IWMP])
- Projects eligible for IWMP implementation funds must meet at least three priorities identified by three different agencies, one of which must be a partner agency priority
- Development of a Local Watershed Management Plan meets priority requirement for IWMP planning funds

(Section IV, Figure 2, Appendix G)

State Water Board 2005-06 Consolidated Grants Program Concept Proposal (CP) Evaluation Process



Reimbursable Expenses/ Education and Outreach

- Only direct costs related to projects eligible for reimbursement
- Timeframe: Only work performed within terms of grant agreement
- Education and outreach is generally not a reimbursable expense; however, education and outreach is reimbursable as:
 - 1) A component of a project funded through the federally funded NPS Implementation Program; or
 - 2) A component of a demonstration project funded through the AWQGP

(Section V.K, Appendix E)

Waiver of Litigation Rights

- The State Water Board has agreed to further consider Section VI.F of the Guidelines, on the waiver of litigation rights, to address concerns raised by stakeholders
- New revised language to be presented and considered at the February 1, 2006 State Water Board meeting

(Section VI.F)

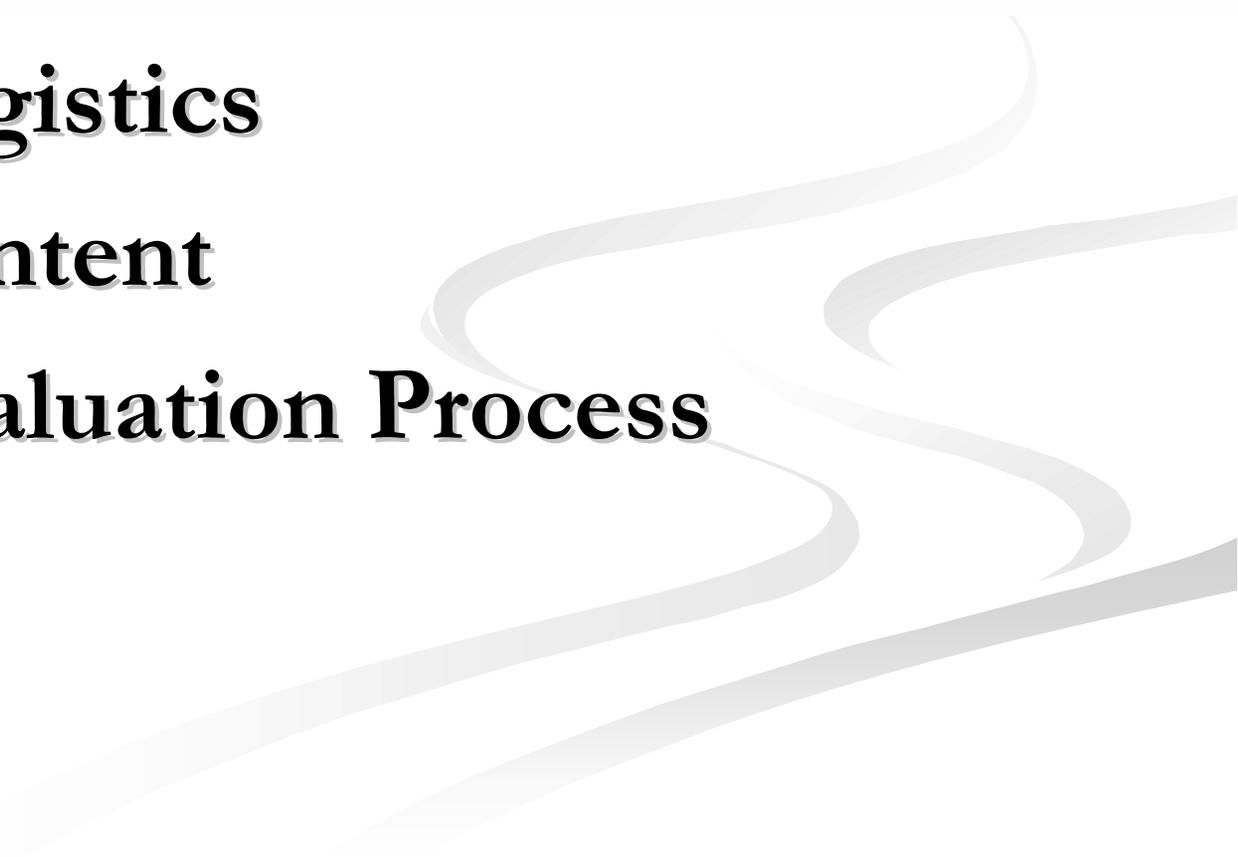
Alternate Reporting Requirements for Agricultural Projects

- Appendix J
- Report locations at Section level
- For specified agricultural management practice effectiveness Research and Demonstration projects
- Alternate reporting requirements do not apply to ambient water quality data collected for these projects
- Must report locations of lasting practices

Overview of Grant Application Process

- 1) **Concept Proposal – Appendix H**
- 2) **Invitations**
- 3) **Full Proposal – Appendix I**
- 4) **Notifications**
- 5) **Grant Agreements**

Concept Proposal (Phase I)

- **Overview**
 - **Logistics**
 - **Content**
 - **Evaluation Process**
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Concept Proposal: Overview

- **Application questions in Appendix H-1 of Guidelines**
- **Evaluation criteria in Appendix H-2 of Guidelines**
- **Applicants who submit the most competitive Concept Proposals will be invited to submit Full Proposals to a level of at least 125% of available grant funds in each program**

Concept Proposal: Logistics

- Submitted via Financial Assistance Application Submittal Tool (FAAST)

<https://faast.waterboards.ca.gov>

- Due by 11:59 PM on February 9, 2006
 - FAAST technical support available until 5:00 PM
- 1,000-character limit per question
- Optional two-page attachment
- Consult “Checklist for Completing 2005-06 Consolidated Grants Program Online Concept Proposal Application”

(Concept Proposal Solicitation Notice)

Concept Proposal: Content

- **Focus on Regional Water Board & Partner Agency priorities identified in Guidelines**
- **Information provided in Concept Proposal must be consistent with Full Proposal**
- **Information to be Completed**
 - **Questions Automatically Requested by FAAST: General Details, Project Budget, Project Location, Funding Source, Legislative, Contact Agency, Cooperating Entity**

Concept Proposal: Content Continued

- I. Program(s) Selection**
- II. Project Information**
- III. Eligibility**
- IV. Geographic Location**
- V. Project Performance/Evaluation**
- VI. Relationship to Existing Plans**
- VII. Readiness to Proceed**
- VIII. Applicant Information**

I. Program(s) Selection

- **Indicate which funding sources (programs) are most compatible with project**
- **May select up to three (3) programs, in order of preference**
- **Project may only receive funds for one (1) program**

II. Project Information

- Describe problem(s) the project is proposing to solve, and source(s) if known
- Expected benefits and risks
- Approach for problem-solving and technical basis
- List and descriptions of project work items and schedule for completion
- Percentage of the scope of work for major project work items (listed)
- Estimated percentage of project that will be allocated to different project types (listed)
 - Agriculture

III. Eligibility

- **Planning or Implementation project?**
 - **Planning = IWMP: Development of Local Watershed Management Plan**
 - **Implementation = All other projects**
- **Applicant organization type and project type**
- **Indicate which priorities project will address**
 - **Reference all priorities with number assignments, as identified in Appendix G of the Guidelines**

Example: R4_CDF_ 2b

**(Region 4_California Department of Forestry_
Sediment, Projects that implement priorities from
existing sediment TMDLs)**

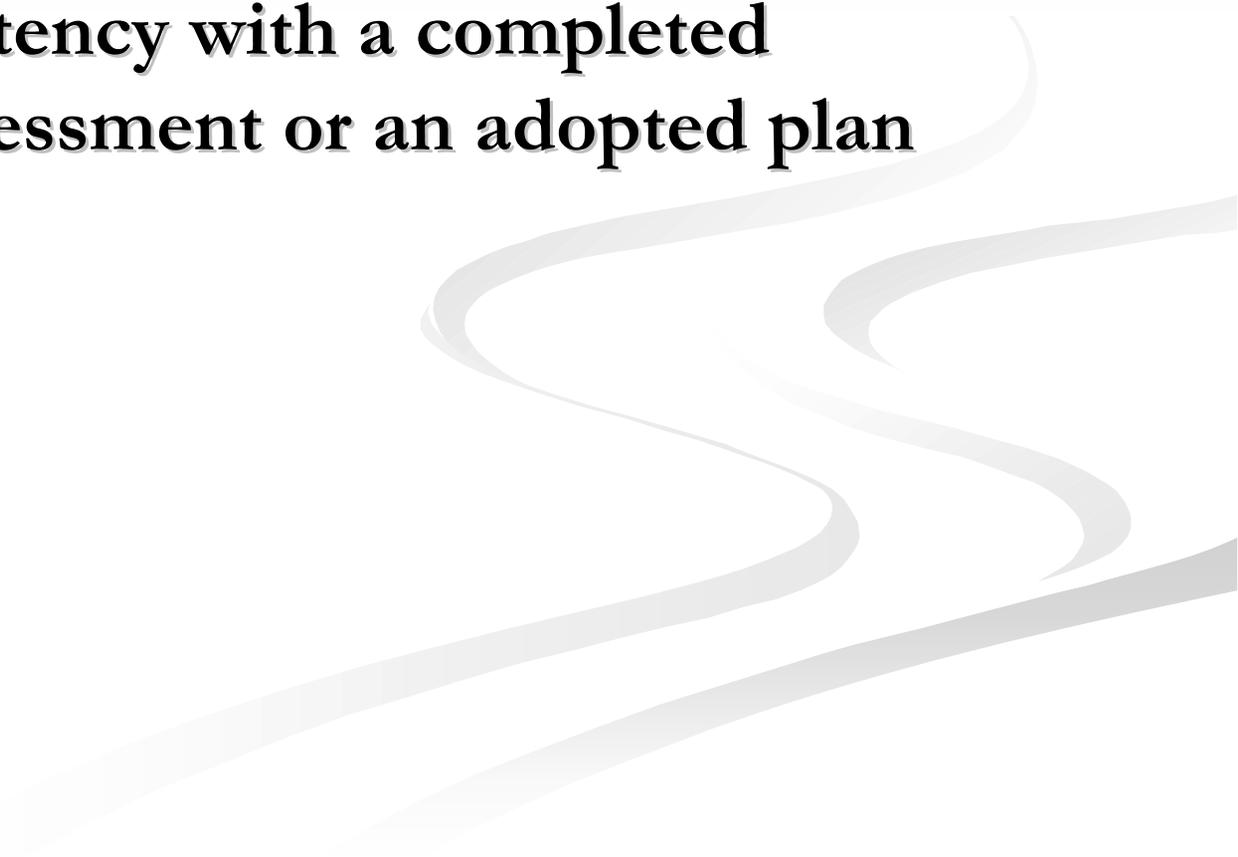
IV. Geographic Location

- **Calwater Watershed ID number**
- **Project located in an area of special biological significance (ASBS)**
- **If project encompasses multiple watersheds, list name each watershed**
- **If project encompasses multiple water bodies, list name of each water body**
- **If project extends beyond more than one Regional Water Board boundary, list all Regional Water Boards project covers**

V. Project Performance/ Evaluation

- **Project implements/does not implement an adopted total maximum daily load (TMDL)**
- **Anticipated pollutant load reductions**
- **How applicant proposes to measure and document project water quality and beneficial uses**

VI. Relationship to Existing Plans

- How project furthers a comprehensive watershed approach
 - Project consistency with a completed watershed assessment or an adopted plan
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VII. Readiness to Proceed

- **Project undertaken pursuant to NPDES permit or TMDL**
- **California Environmental Quality Act compliance**
- **State or Federal permits status**
- **Availability of funding match**
- **Estimated start and end dates**
- **Planning and design completion/status**
- **Project team readiness**

VIII. Applicant Information

- Discuss similar projects applicant has successfully completed
- Receipt of previous funds from a State Water Board or Regional Water Board solicitation
- Terminated contracts, funds withheld, or audit with findings
- Current or pending legal challenges
- Grant agreement general terms and conditions

Concept Proposal: Evaluation/Invitation Process

- **Completeness and Eligibility
Determination (Pass/Fail)**
- **Content Review (Scoring)**
- **Invitation Lists Developed**
- **Invitations for Full Proposals**

Sources of Information

- **Consolidated Grants Program Website**

<http://www.waterboards.ca.gov/funding/consolidgrants0506.html>

- **Guidelines**

http://www.waterboards.ca.gov/funding/cg_guidelines.html

- **Electronic Mailing List**

Select “Consolidated Grants 2005-06” on the mailing list subscription form, located at:

http://www.waterboards.ca.gov/lyrisforms/swrcb_subscribe.html

Contact Information

- **E-mail questions or comments to:**

DFA_Grants@waterboards.ca.gov

- **Project-specific questions should be directed to appropriate staff listed on Concept Proposal Solicitation Notice**

Questions and Answers

- **Questions on General Information**
 - **Breakout discussions for applicant-specific questions to follow**
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