

# **Prop 84 Stormwater Grant Program (SWGPP) Round 2 Concept Proposal Applicant Assistance Workshop**



September 19<sup>th</sup>, 2013  
Sacramento, CA

# Agenda

- Introduction and Workshop Overview– Leslie Laudon
- Proposition 84 SWGP Concept Proposal PowerPoint Presentation – Erik Ekdahl
- Question and Answer session – DFA Staff
  - Please ask questions that will enlighten all, save your project specific type questions for breakout groups
- Breakout Groups:
  - Specific Questions regarding project eligibility – Staff will be available to answer project specific questions
  - FFAST questions
- HANDOUTS: Agenda, Presentation

- Send Questions/Comments during or after the Presentation to:

[DFA\\_Grants@waterboards.ca.gov](mailto:DFA_Grants@waterboards.ca.gov)

- DFA staff will answer questions received during presentation as part of the question/answer session following the powerpoint presentation

# Implementation Workshop Objectives

- Prop 84 SWGP Overview
  - Background
  - Guidelines
  - Eligibility
  - Timeline
- Concept Proposal (CP) Application and Review Process
- Q&A

# Background: Proposition 84 SWGP

- Proposition 84 allocated \$90 million (~\$82 million after bond & admin costs) to the SWGP
  - ~\$48 million allocated during Round 1
  - ~\$38 million available for Round 2 (includes Prop 40 Urban Stormwater Program Funds).

# **Guidelines: Proposition 84 SWGP**

- Round 1 SWGP Guidelines adopted February 2009
  - Stormwater Advisory Task Force
- Guidelines revised for Round 2
  - Only LID Implementation Projects will be funded
  - Must implement component of MS4 permit
- Revised Guidelines adopted August 20, 2013

**PROPOSITION 84  
STORMWATER GRANT PROGRAM  
ROUND 2 GUIDELINES**



*Adopted February 17, 2009*

*Revised August 20, 2013*

# Eligibility: General Requirements

- Applicant must be a **Local Public Agency**
  - Non-profits are NOT eligible
  - State Agencies are not eligible
- Minimum Grant Amount: \$250,000
- Maximum Grant Amount: \$3,000,000
- Match requirement is **20% of total project cost**
  - Disadvantaged communities may apply for reduced funding match



# Eligibility: Project must...

- Reduce/prevent SW contamination of rivers, lakes, streams
  - Discharges to an ocean, estuary, or bay are not eligible
- Implement MS4 requirements
- Be a Low Impact Development (LID) implementation project
- Include Education/Outreach component

# **Eligibility: Low Impact Development**

- A stormwater management strategy that seeks to maintain predevelopment hydrology for existing, new, or re-development projects
- Designed to infiltrate, filter, store, evaporate, or retain runoff in close proximity to the source of water

# Timeline: Grant Process



# **Timeline: Round 2\***

**Concept Proposals Due**

**October 17, 2013**

**Full Proposal Invitations**

***December 2013\****

**Full Proposals Due**

***February 2014\****

**Recommended Project List  
presented to Executive  
Management**

***April/May 2014\****

*\* Dates subject to change*

# Concept Proposal Application and Review Process

- **Content**
- Tips
- Logistics
- Evaluation Process

# Concept Proposal: Content

- Focus on program priorities identified in *Guidelines*
- Application questions and scoring criteria are provided in Appendix B of the *Guidelines*
- Four required sections for Concept Proposal:
  - A. Program Selection & General FFAST Information
  - B. Background Information
  - C. Concept Proposal Questions
  - D. Attachments

# Concept Proposal: General Information

- Program Selection & General FFAST Information
  - Questions A1 through A7 (Appendix B in Guidelines)
    - Title
    - Project description
    - Applicant details (project director, grant contact)
    - Total project cost and grant funds requested
    - Location
    - Legislative districts
    - Other

# Concept Proposal: Background Info

- Questions 1 through 5
  - Applicant's organization type (Q1)
  - Disadvantaged community (Q2)
  - Urban water supplier (AB 1420 compliant) (Q3)
  - Status of other State Water Board grants (Q4)
  - Any current legal challenges to State or Regional Board action? (Q5)



# Concept Proposal: Application Questions (Section C)

- Work Plan (2-pages)
  - Problem and Source Identification
  - Technical basis for approach
- Budget (Excel Table & 1-page narrative)
- Project Schedule (1 page)
- Project Effectiveness (Monitoring & Assessment)
- Multiple Benefits

# Work Plan

- Attachment that describes how the project meets the eligible project types outlined in Part E of the *Guidelines* (2 pages maximum):
  - Goals and Objectives, Project Components (Q6a-b)
  - Work Tasks (Q6c)
  - Sustainability (Q6d)
  - Anticipated Deliverables (Q6e)
  - Maps (Q6f) – do not count towards page limit
  - Impaired Waters (Q6g)
  - Project Timing and Phasing (Q6h)
  - Water Quality Problem to be Addressed (Q7)
  - Technical Basis & Supporting Data (Q8-9)

# Budget (Q10)

- Costs to Complete All Known Work Tasks
- Written Narrative Justifying Costs
  - How are the estimates of costs determined?
- Sources of Other Funding
- Sources of Match Funding
  - Amount, Justification Documentation of Match Reduction

# Prop 84 STORM WATER GRANT PROGRAM - BUDGET SUMMARY

Applicant: City of Springfield

FAAST PIN: 12345

Project: Citywide LID Installation

	Requested Grant	Local Match	Other Funding	Total	% Local Match
<b>1. Direct Project Administration Costs</b>	<b>\$13,000</b>	<b>\$12,000</b>	<b>\$0</b>	<b>\$25,000</b>	<b>48%</b>
Task 1.1 Project Administration	\$8,000	\$9,600		\$17,600	
Task 1.2 Reporting	\$5,000	\$2,400		\$7,400	
				\$0	
				\$0	
				\$0	
				\$0	
<b>2. Planning/Design/Engineering/ Environmental</b>	<b>\$79,500</b>	<b>\$23,000</b>	<b>\$40,600</b>	<b>\$143,100</b>	<b>16%</b>
Task 2.1 Planning	\$8,000	\$4,000		\$12,000	
Task 2.2 Design	\$50,000	\$19,000	\$15,600	\$84,600	
Task 2.3 Environmental Documentation	\$20,000			\$20,000	
Task 2.4 Permitting	\$1,500			\$1,500	
Task 2.5 Easement Acquisition			\$25,000	\$25,000	
				\$0	
				\$0	
				\$0	
				\$0	
				\$0	
<b>3. Construction/Implementation</b>	<b>\$502,400</b>	<b>\$237,000</b>	<b>\$10,500</b>	<b>\$749,900</b>	<b>32%</b>
Task 3.1 Construction Contracting	\$9,600	\$0		\$9,600	
Task 3.2 Construction Administration	\$12,000	\$12,000		\$24,000	
Task 3.3 Labor Compliance		\$5,000	\$5,000	\$10,000	
Task 3.4 Environmental Compliance/Mitigation	\$4,800			\$4,800	
Task 3.5 Construction	\$476,000	\$220,000	\$5,500	\$701,500	
				\$0	
				\$0	
				\$0	
<b>4. Monitoring/Performance</b>	<b>\$27,375</b>	<b>\$28,250</b>	<b>\$0</b>	<b>\$55,625</b>	<b>51%</b>
Task 4.1 Project Assessment and Evaluation Plan	\$2,000	\$1,500		\$3,500	
Task 4.2 Monitoring Plan Development	\$1,875			\$1,875	
Task 4.3 QAPP Development	\$1,500			\$1,500	

# Project Schedule

- Start/End Dates (Q11a)
- Milestones (Q11b)
- Current/Anticipated Status of Environmental Documentation (Q11c)
- Design; Bid Solicitation; Rights of Way; Permits (Q11d)
- Environmental mitigation (Q11e)
- Project Administration (Q11f)

# Monitoring, Assessment, & Performance Measures (Q12)

- How do you propose to measure and document your project's effectiveness and benefits to water quality and beneficial uses?
- Prefer Measures in Terms of Numeric Targets
  - But up to applicant how to best measure whether project is meeting proposed goals

# Multiple Benefits

- Does the project address one or more TMDL? (Q13)
- Does the project utilize or is it consistent to an IRWM Plan? (Q14)
- See also list of multiple benefits in Scored Criteria, Question 11 on page 24 of Guidelines

# Disclaimer (Q15)

- The Project Director understands the General Terms and Conditions of the Grant Agreement
- Applications through FFAST become public record once submitted



# Attachments

- Attachment 1: Work Plan
- Attachment 2: Budget
- Attachment 3: Schedule
- Attachment 4: Project Information (Optional)
  - Up to 10 additional pages, not including maps and figures, related to preliminary investigations/reports, geotech studies, surveys, etc.

# Concept Proposal

- Content
- **Tips**
- Logistics
- Evaluation Process

# Concept Proposal: Tips

- Review FFAST Manual and FAQs
- Print out hard copy of entire application
- Remove formatting before cut & paste
- Review application prior to submission
- Save often
- Apply early to allow for any needed assistance

# Concept Proposal: Tips

- Attachments
  - Be sure to use the drop down menus
  - Confirm your attachments are labeled

# Concept Proposal

- Content
- Tips
- **Logistics**
- Evaluation Process

# Concept Proposal: Logistics

- Submitted via Financial Assistance Application Submittal Tool (FAAST)  
<https://faast.waterboards.ca.gov>
- Due by 5:00 PM on October 17, 2013

# Concept Proposal

- Content
- Tips
- Logistics
- **Evaluation Process**

# Concept Proposal: Evaluation Process

- Completeness & Eligibility Determination (Pass/Fail)
- Content Review (Scored and Ranked)
- Invitation Lists Developed
- Invitations for Full Proposals



# Completeness & Eligibility Determination (Pass/Fail)

- Is the application complete?
- General FFAST information
- Eligibility & applicant information
- LID implementation
- Must implement aspect of MS4 permit
- Must address stormwater contamination of a lake, river, or stream

# Scored Criteria

- How well the project/workplan:
  - Is consistent with SWGP requirements
  - Supports sustained long-term water quality improvements
  - Describes the site and current conditions
  - Identifies benefits to impaired waters/beneficial use of those waters?
  - Clearly describes how implementation of LID practices will address the described water quality problem
  - Justifies the effectiveness of the selected LID project
  - Supports the technical approach described by the proposal.

# Scored Criteria

- If the project has:
  - A Reasonable Budget
  - A Reasonable Schedule
  - Is the proposed monitoring or evaluation suitable?
- Multiple Benefits
- A benefit to a disadvantaged or environmental justice community

# Invitation Lists Developed

- State Water Board staff will group the Concept Proposals (CPs) into three categories:
  - Applicant Invited to Submit FP;
    - Sorted from high scores to low
  - Applicant Not Invited to Submit FP; and
  - Ineligible CPs
- The list will be posted on-line
- Notification emails will be sent out

# Invitations for Full Proposals

- The most competitive eligible Concept Proposals will be invited to submit Full Proposals
- If possible, invited applicants' funding requests will total at least 125% of available grant funds for that Round

# Sources of Information

- **Proposition 84 SWGP Website**

[http://www.waterboards.ca.gov/water\\_issues/programs/grants\\_loans/prop84/index.shtml](http://www.waterboards.ca.gov/water_issues/programs/grants_loans/prop84/index.shtml)

- **Guidelines**

[http://www.waterboards.ca.gov/water\\_issues/programs/grants\\_loans/prop84/docs/prop84\\_swgp\\_guidelines\\_adopted.pdf](http://www.waterboards.ca.gov/water_issues/programs/grants_loans/prop84/docs/prop84_swgp_guidelines_adopted.pdf)

- **Electronic Mailing List**

Select "Storm Water Grant Program (Proposition 84)" on the mailing list subscription form, located at:

[http://www.waterboards.ca.gov/resources/email\\_subscriptions/swrcb\\_subscbe.shtml](http://www.waterboards.ca.gov/resources/email_subscriptions/swrcb_subscbe.shtml)

# Contact Information

- E-mail questions or comments to:

[DFA\\_Grants@waterboards.ca.gov](mailto:DFA_Grants@waterboards.ca.gov)

- Project-specific questions should be directed to appropriate Regional Board staff listed on SWGP website

# Successful Applicant Reminders

- Grant Agreement Template
- Invoicing and Reporting
- Performance Measurement
- Final Project Reports



# Questions?



[DFA\\_Grants@waterboards.ca.gov](mailto:DFA_Grants@waterboards.ca.gov)

Thank you!