

Stormwater Multiple Application & Report Tracking System SMARTS

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State Water Resources Control Board
Division of Water Quality

What is SMARTS?

- **Purpose:**
Provide a platform where dischargers and regulators, can enter and track storm water data including NOIs, NOTs, NECs, Annual Reports, compliance, and monitoring data.
- **Internet-based**
 - Best used with Internet Explorer
- **Reports**
 - SWRCB/RWQCB prioritize regulatory tasks (i.e. inspections, view trends in compliance, provide data to the Legislature and EPA).
 - Public reports - NOI, Inspections, Violations, Enforcement, and monitoring data.

Timeline for Implementation

- **December 2014/January 2015**
 - Notification mailed to Existing 97-03-DWQ dischargers to recertify under new General Permit
 - Notification includes the WDID and a Secret Code Number
 - Dischargers begin the recertification process

- **January – April 2015**
 - SMARTS development of new permit functions

- **April – June 2015**
 - SMARTS beta testing
 - External users can test and submit feedback

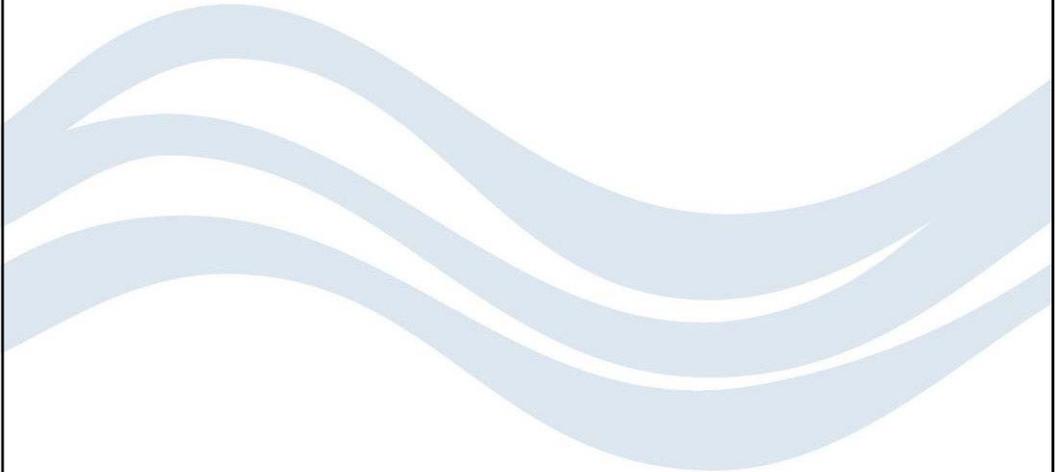
Timeline for Implementation

- **July 1, 2015**
 - July 1st effective date of Permit and recertification process complete
 - SMARTS system ready to accept new applications and reports: NOI, NEC, NONA, monitoring data
 - WDID numbers not recertified are “Expired”

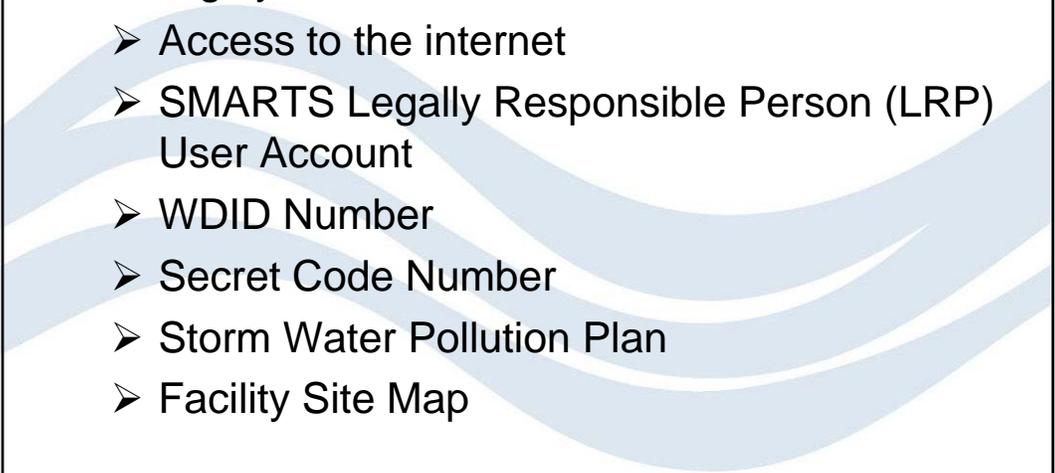
- **July – October 2015**
 - Water Boards sends Notices of Non-Compliance

- **July 15, 2016**
 - 1st Annual Report due via SMARTS

Recertification in SMARTS



Recertify existing WDID Numbers

- Things you will need:
 - Access to the internet
 - SMARTS Legally Responsible Person (LRP) User Account
 - WDID Number
 - Secret Code Number
 - Storm Water Pollution Plan
 - Facility Site Map
- 

<https://smarts.waterboards.ca.gov>

SMARTS LOGIN
[Web Browser Requirements](#)

User ID:

Password:

Login

New User, Start here:
Sign Up [Help](#)

Industrial Permit Recertification
[Click here](#)

Step 1 – Account Set Up

- The Discharger's Legally Responsible Person to log into SMARTS to complete the process
- Select Yes to use an existing LRP user account
- Select No to create new LRP user account

INDUSTRIAL RECERTIFICATION
Confirm with SMARTS User Account

If you are not a Legally Responsible Person (LRP) , please contact SMARTS Help Desk at:1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or email stormwater@waterboards.ca.gov for more information.

Do you have a SMARTS User ID?

Yes No

Step 2 – Log In

- Enter the WDID number and SCN

Enter Waste Discharge ID (WDID) and Secret Code Number (SCN)	
Please enter WDID and SCN	
Enter Last 7 Digits of the WDID for Industrial and Construction General Permit (Ex Enter Last 8 Digits of the WDID for the Phase II Small MS4 General Permit (Exam	
* WDID:	<input type="text" value="I023656"/>
* SCN:	<input type="text" value="bYMT76245"/>
	(Example: ABC12345)
<input type="button" value="Recertify WDID Number"/>	<input type="button" value="Back To Main Menu"/>

Step 3 – Verify NOI Information

Industrial Recertification	
Please review your Notice of Intent (NOI) details below. If you are the Legally Responsible Person for this NOI, please continue to certify.	
Operator Information	
Owner Name:	Test Owner Company
Contact First Name:	Test
Street Address:	1001 I Street
Contact Last Name:	LRP Owner
Address Line 2:	
Title:	
City/State/Zip:	Sacramento CA 95814
Phone:	866-563-3107 Ext: (999-999-9999)
Type:	City/Town Agency
E-mail:	potsuji@waterboards.ca.gov (abc@xyz.com)
Federal Tax ID:	
Facility Information	
Facility Name:	Test Industrial Facility
Contact First Name:	test
Street Address:	1001 I Street
Contact Last Name:	Facility Contact
Address Line 2:	
Title:	
City/State/Zip:	Sacramento CA 95814
Phone:	866-563-3107 Ext: (999-999-9999)
Latitude:	38.58177
Longitude:	-121.49216
Emergency Phone:	
Ext:	(999-999-9999)
<small>Lat/Long Lookup (Decimal degrees only, minimum 5 significant digits Ex: 99.99999)</small>	
Total Site Size:	1 Acres Sqft
E-mail:	potsuji@waterboards.ca.gov (abc@xyz.com)
Total Area of Industrial Activities and Materials Exposed to Precipitation: ? Acres Sqft	
Primary SIC Code:	9999 - Nonclassifiable Establishments
Secondary SIC Code:	Select
Tertiary SIC Code:	Select

Step 4 – Correct any errors

Acres Sqft

Total Area of Industrial Activities and Materials Exposed to Precipitation: ? * Acres Sqft

Primary SIC Code: 9999 - Nonclassifiable Establishments

Secondary SIC Code: Select

Tertiary SIC Code: Select

Billing Information

Billing Name: 2nd Owner

Street Address: PO Box 197

Address Line 2:

City/State/Zip: Sacramento

Receiving Water Information

Does your facility's storm water flow

Directly to waters of the US (e.g., river, lake, creek, stream, bay, ocean, etc)

Indirectly to waters of the US (e.g., river, lake, creek, stream, bay, ocean, etc)

Receiving Water: *?

Storm Drain System Owner Name:

Message from webpage

 The following fields can not be blank

- Operator Email
- Total Area of Industrial Activities and Material Exposed
- Check Direct or Indirect receiving water
- Receiving Water cannot be blank
- Total Area of Industrial and Exposed Unit
- Total Area of Industrial and Exposed Unit
- Without completing these fields, NOI can not be certified.

OK

Step 5 – Certify NOI

Certification & Submission Checklist

* I certify under penalty of law that this document and all attachments were designed to assure that qualified personnel properly gather and evaluate the information that they use to prepare this document for the purpose of supporting the decisions and actions taken pursuant to applicable laws, rules, and policies that implement the provisions of the Clean Water Act, and that the information and data submitted by me are true, accurate, and complete. I am aware that there are significant civil and criminal penalties for providing false information.

* I am also aware that my user ID and password constitute my electronic signature. I understand that my electronic signature is the legal equivalent of a handwritten signature, and that I will keep it confidential, and I will not delegate such authority, I will do so formally in writing and electronically. I further certify that I will protect my electronic signature for two business days of discovery, if I suspect that my electronic signature has been compromised.

Certified By

Certifier Name: Storm Water Admin

Certifier Title: Owner

Please answer your security question before certifying the document.

What is your father's middle name? *

Step 6 – NOI Submitted

Recertify Acknowledgement

The Notice of Intent for Order 2014-0057-DWQ; WDID No. 5S34I023656 was successfully submitted.

To complete recertification under the new Industrial General Permit Order 2014-0057-DWQ and continue your permit coverage, please upload your revised Storm Water Pollution Prevention Plan (SWPPP) and facility site map before July 1, 2015.

Failure to upload a revised SWPPP in accordance with the Industrial General Permit Order 2014-0057-DWQ by July 1, 2015, may result in penalties up to \$10,000 for each day of violation per Water Code 13385.

[Certify Another WDID](#) [Upload SWPPP](#) [Upload SWPPP Later](#)

- Revised SWPPP & map must be uploaded prior to July 1, 2015

Step 7 (optional) – Linking Users

- Log In and go to Manage Linked Users

Select Program to Access

- [Construction General Permit](#)
- [Industrial General Permit](#)
- [Municipal Phase I Permit](#)
- [Municipal Phase II Permit](#)
- [Caltrans MS4 Permit](#)
- [Documents Ready for Certification](#)
- [Annual Reports](#)
- [Manage Linked Users](#)
- [Outstanding Invoices](#)
- [Recertification](#)
- [CBPEL SG - QSD](#)
- [Update User Profile](#)
- [Public Search Menu](#)

Managed Linked Users

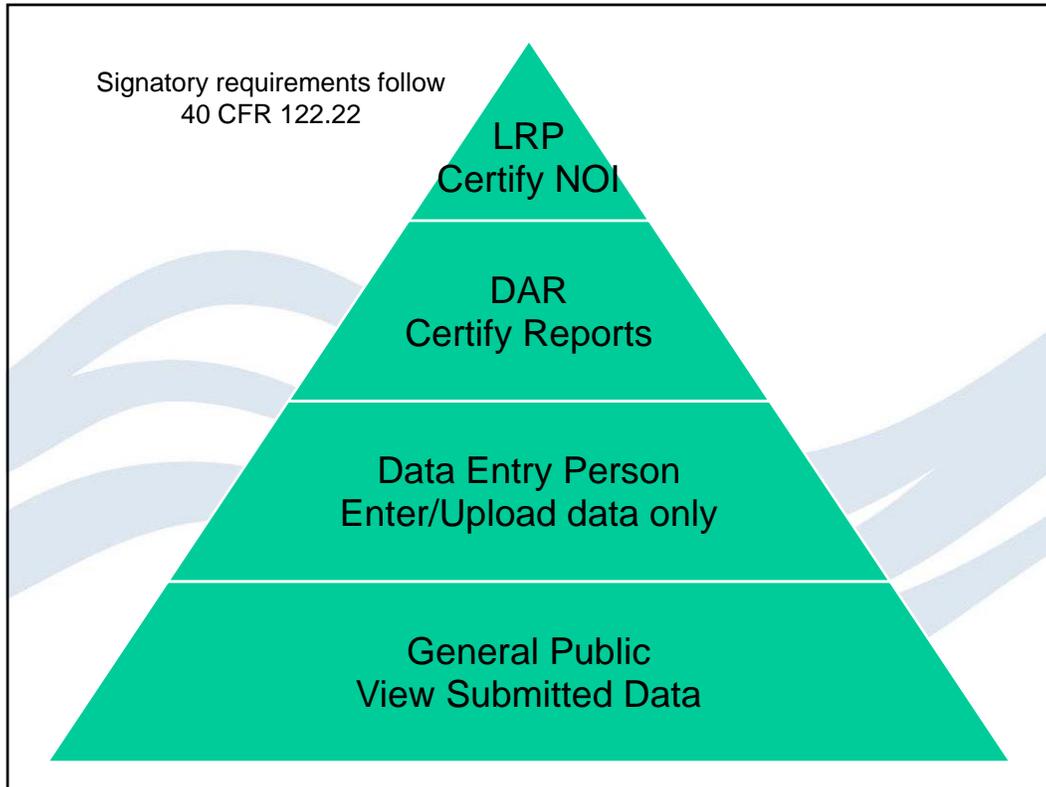
This page allows you to manage users associated with your organization(s).

Below are the user(s) currently associated with your organization(s). Click on below, click on the Link New User button.

[Link New User](#)

[Back to Main Menu](#)

- All persons must have their own User ID
- Roles: LRP, Duly Authorized Representative, Data Entry Person



Step 8 - Upload SWPPP & Map

- Log In and Open WDID number

Select Program to Access

- [Construction General Permit](#)
- [Industrial General Permit](#)
- [Municipal Phase I Permit](#)
- [Municipal Phase II Permit](#)
- [Caltrans MS4 Permit](#)
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- [CBPELSG - QSD](#)
- [Update User Profile](#)
- [Public Search Menu](#)

Industrial Permit Menu

- [New NOI Application](#)
- [Active NOIs](#)
- [Pending NOIs/NOTs/COIs](#)
- [Terminated NOIs](#)
- [Annual Reports](#)
- [Back to Main Menu](#)

Application ID WDID	Application Ty
427883	Industrial
5S341023656	

[Show Hidden Applications](#)

Step 8 (Continued) Upload SWPPP & Map

- Go to the NOI Attachment tab

Please provide the following details to upload the corresponding files.

Attachment FileType: [SELECT] ← Select SWPPP and Facility/Site Map

Attachment Title:

File Description:

If Partial Document, Part No: 1 of Total Parts 1

Click "Browse" to locate the file and then click "Upload File"

File Name:

File size should be less than 75MB. Those greater than 75MB will not be accepted. (MS Office, PDF, and Picture files are accepted. (PDF is recommended))

Please be advised that preliminary tests of the upload function suggest that large files could have estimated upload times for a FAST connection is as follows:

File Size	Estimated Time
5 MB	3 - 5 min.
25 MB	15 - 20 min.
75 MB (max size)	25 - 30 min.

Attached files: The following are the current documents related to the NOI. Click on the link

Attachment ID	File Type	File Title
1118628	SWPPP	test
1354271		Original NOI pdf
1354272	COR zip	COR zip

Step 8 (Continued) Upload SWPPP & Map

- Verify documents are uploaded

Please click on "Upload Attachment" button to upload the corresponding files.

Attached files: The following are the current documents related to the NOI. Click on the Attachment ID to view them.

Attachment ID	Attachment For	File Type	File Title	File Description	Part No	Date Attached	Upload By	Delete
1184590	ANNUAL REPORT	Swarm Attachment			/	06/28/2013		
1284037	ANNUAL REPORT	Submitted Report PDF			/	07/03/2014		
1284038	ANNUAL REPORT	Report COR	COR zip		/	07/03/2014		
1354272	NOI	COR zip	COR zip		/	01/13/2015		
1354310	NOI	SWPPP	Revised SWPPP		1/1	01/13/2015	dischargers	Delete
1354311	NOI	Facility/Site Map	Map of Facility		1/1	01/13/2015	dischargers	Delete

What happens if I do not complete the Recertification by July 1, 2015?

- Facility does not have permit coverage under new General Permit
- Water Boards will send out Notice(s) of Non-Compliance. If permit coverage is not obtained a Mandatory Minimum Penalty of at least \$5000 is issued.
- If the facility discharges without permit coverage a penalty up to \$10,000 per day per violation and \$10 per gallon for discharges over 1,000 gallons.

No Exposure Certification for Existing Dischargers

Step 1 – Log in and open WDID number

Select Program to Access

[Construction General Permit](#)

[Industrial General Permit](#)

[Municipal Phase I Permit](#)

[Municipal Phase II Permit](#)

[Caltrans MS4 Permit](#)

[Documents Ready for Certification](#)

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[Manage Linked Users](#)

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→

Industrial Permit Menu

[New NOI Application](#)

Application ID WDID	Application Ty
427883	Industrial
5S341023656	

[Active NOIs](#)

[Pending NOIs/NOTs/COIs](#)

[Terminated NOIs](#)

[Annual Reports](#)

[Back to Main Menu](#)

[Show Hidden Applications](#)

Step 2 – Start NEC checklist

Operator Info	Facility Info	Addl. Facility Info	Billing Info	Attachments	Certification	Requirements	Inspections	Print	Status History
Linked Users									
Facility Operator Information Populate Contact Info: <input type="text" value="Select"/>									
Owner Name:	<input type="text" value="Test Owner Company"/>	*2	Contact First Name:	<input type="text" value="Test"/>	*				
Street Address:	<input type="text" value="1001 I Street"/>	*2	Contact Last Name:	<input type="text" value="LRP Owner"/>	*				
Address Line 2:	<input type="text"/>	2	Title:	<input type="text"/>					
City/State/Zip::	<input type="text" value="Sacramento"/> <input type="text" value="CA"/> <input type="text" value="95814"/>	*2	Phone:	<input type="text" value="866-563-3107"/>	* Ext:	<input type="text" value="(999-999-9999)"/>			
Type:	<input type="text" value="City/Town Agency"/>	? *2	E-mail:	<input type="text" value="potsuji@waterboards.ca.gov"/>	* (abc@x				
Federal Tax ID:	<input type="text"/>	2							
<input type="button" value="Save & Exit"/> <input type="button" value="Save & Continue"/>			<div style="border: 2px solid red; padding: 5px; display: inline-block;">No Exposure Certification</div>						
Fields marked with * are mandatory fields.									

Step 3 – Complete NEC checklist

NEC Checklist	
I hereby certify that none of the following industrial materials or activities are, or will be in the foreseeable future, exposed to precipitation:	
<input type="checkbox"/>	Activities such as using, storing, or cleaning industrial machinery or equipment, and areas with materials or residuals from these activities.
<input type="checkbox"/>	Materials or residuals on the ground or in storm water inlets from spills/leaks.
<input type="checkbox"/>	Materials or products from past industrial activity.
<input type="checkbox"/>	Material handling equipment (except adequately maintained vehicles).
<input type="checkbox"/>	Materials or products during loading/unloading or transporting activities.
<input type="checkbox"/>	Materials or products stored outdoors (except final products intended for outside use, such as new cars, where exposure to storm water does not result in the discharge or pollutants).
<input type="checkbox"/>	Materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers.
<input type="checkbox"/>	Materials or products handled/stored on roads or railways owned or maintained by the Discharger.
<input type="checkbox"/>	Waste material (except waste in covered, non-leaking contains, such as dumpsters).
<input type="checkbox"/>	Particulate matter, visible deposits, or residuals from roof stacks/vents evident in the storm water outflow.
<input type="button" value="Save & Exit"/>	<input type="button" value="Save & Continue"/>

➤ **Facility site map is required if not already uploaded**

Step 4 – Certify NEC

Certification & Submission Checklist	
<input checked="" type="checkbox"/> *	I certify under penalty of law that this document and all attachments were designed to assure that qualified personnel properly gather and evaluate the information that is the basis of this report, its content, and the conclusions and recommendations made hereon. I understand that my electronic signature is the legal equivalent of a handwritten signature. I understand that my electronic signature is the legal equivalent of a handwritten signature. I am aware that there are significant penalties for knowing violations.
<input checked="" type="checkbox"/> *	I am also aware that my user ID and password constitute my electronic signature. I understand that my electronic signature is the legal equivalent of a handwritten signature. I understand that my electronic signature is the legal equivalent of a handwritten signature. I further certify that I will protect my electronic signature for two business days of discovery, if I suspect that my electronic signature has been compromised.
Certified By	
Certifier Name:	Storm Water Admin
Certifier Title:	Owner
Please answer your security question before certifying the document.	
What is your father's middle name?	<input type="text" value="Smith"/> *
<input type="button" value="Certify"/>	<input type="button" value="Save"/>

Step 5 – Send Payment

The electronic "Notice of Intent" has been successfully received by the State Water Resources Control Board's database. follows:

SWRCB Application No.	SA437043
Permit Type	No Exposure Certification
Submission/Certify Date	01/13/2015
Certifier Name	Storm Water Admin
Certifier Title	Owner

Please print out this screen as proof of certification. If you need to correct any information, please contact the Regional E
All records must be retained for 5 years from the date of the report or monitoring activity.

[Download Copy of Record](#)

Or

- Pay by Check
 - Mail check to the Water Boards
- Pay by Electronic Fund Transfer
 - Pay online from a checking account

Step 6 – Water Boards process the NEC

- NEC ID assigned
- Existing WDID Number is Terminated
- Invoices associated with the NOI issued within 90 days of filing the NEC will be refunded (if paid) and canceled
- NEC is recertified annually.

Annual Report/Monitoring

Annual Report/Monitoring Data Entry

- Annual Report
 - Due July 15th each year
 - SWRCB still programming SMARTS
 - Target date: July 2015
 - Streamlined - Forms 2 through 5 no longer apply
- Monitoring Data Entry
 - Separate from Annual Report
 - On screen data entry or
 - Parameter Entry Tool
 - Facilities with many discharge points
 - Download pre-formatted MS Excel template
 - Upload template when complete

Other Functions Being Developed

- Notice of Termination
- Compliance Groups
- Notice of Non-Applicability
- Exceedance Response Action (ERA) Tracking
- Find Your Receiving Water Tool
- QISP Tracking & Look up Tool
- Temporary Suspension

Resources

- Storm Water Internet Page

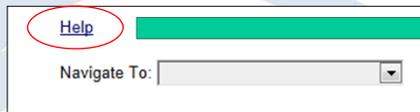
http://www.waterboards.ca.gov/water_issues/programs/storm_water/industrial.shtml

- Downloadable Instructions
- Videos

Resources
Frequently Asked Questions
Annual Report Forms
Sampling and Analysis Forms
Other Resources
Electronic Data Management
Storm Water Multiple Application and Report Tracking soon)
SMARTS Database
Other Industrial Databases
Annual Report Storm Water Data - The Water Board s Board electronic annual report data into one Access ta entered with little or no QA/QC, and that the data does (updated 2/16/05)

Resources

➤ SMARTS Help Link



Help

Navigate To:

SMARTS on-line Help Guides.

1. [New User Registration](#)
2. [Managing/Linking Users](#)
3. Submitting new PRD (coming soon)
4. Erosivity Waiver (coming soon)
5. Change of Information (coming soon)
6. [Industrial Annual Report](#)
7. Construction Annual Report (coming soon)
8. [Construction Ad Hoc Report](#)
9. [Notice of Termination](#)
10. [SMP Ad Hoc Help Guide](#)

Storm Water Help Desk

- Email:
stormwater@waterboards.ca.gov
- Telephone Toll Free:
1-866-563-3107
- Fax:
(916) 341-5543