

Table 3-3: Summary of Active Faults Surrounding North and South Clifton Court Forebay and Deterministic Ground Motions

| Fault Name | Distance to Fault Surface Trace from Project (kilometers and direction) | Characteristic Magnitude ^a | Slip Rate (mm/year) ^a | Deterministic Median PGA (g) | Deterministic 84th % PGA (g) |
|----------------------------|---|---------------------------------------|----------------------------------|------------------------------|------------------------------|
| West Tracy Fault | 0 | 6.75 | 0.5 | 0.47 | 0.75 |
| Southern Midland Fault | 5 northwest | 6.6 | 1 | Not analyzed | Not analyzed |
| Midway/Black Butte Faults | 7 southwest | 6.75 | 1 | Not analyzed | Not analyzed |
| Vernalis Fault | 8 southeast | 6.75 | 0.5 | Not analyzed | Not analyzed |
| Greenville Fault | 16 southwest | 6.9 | 6 | 0.21 | 0.35 |
| Montezuma Hills Zone | 17 northwest | 6.5 | 0.5 | Not analyzed | Not analyzed |
| Mt. Diablo – South Fault | 25 west | 6.7 | 5 | 0.27 | 0.44 |
| Calaveras Fault | 35 southwest | 6.9 | 20 | Not analyzed | Not analyzed |
| Concord/Green Valley Fault | 38 northwest | 6.7 | 5 | Not analyzed | Not analyzed |
| South Hayward Fault | 45 southwest | 7.3 | 9 | 0.13 | 0.21 |
| Foothills Fault Zone | 73 East | 7.0 | 0.8 | 0.08 | 0.13 |
| San Andreas Fault | 76 southwest | 7.9 | 24 | 0.11 | 0.19 |

^a Characteristic magnitudes and slip rates are based on maximum values from the DRMS report (DWR, 2007).

Notes:

- % = percent(ile)
- g = measurement of peak ground acceleration
- mm/year = millimeter(s) per year
- PGA = peak ground acceleration

3.4.1.3 Surface Fault Rupture Hazard

None of the faults or fault sources in the Delta are known to have produced surface rupture in the Holocene (approximately the last 12,000 years). Of the four seismic sources described previously, the Southern Midland fault is perhaps the most likely to rupture to the ground surface during a future earthquake. Recent research described in the DRMS Seismology Report (DWR, 2007) indicates that the Southern Midland fault may offset the contact between Holocene peat deposits and the underlying sandy deposits by approximately 2 to 4 meters. However, this relationship is not well constrained, and it is possible that the apparent offset may result from landscape features existing prior to encroachment of sea level and formation of peat in the Delta. The above-described potentially fault-related offset of a geologic horizon thought to be 6,000 to 7,000 years old is the strongest evidence for potential surface rupture in the Delta. The risk of surface rupture occurring in the Delta is therefore low.

3.4.1.4 Liquefaction

Minimum penetration resistance values of levee foundation materials have been compiled from thousands of borings during the DRMS study (DWR, 2008a). A large fraction of the borings contains loose sands with blow count values less than 15. When saturated, these foundation loose sands, which are most common in the west central part of the Delta, are highly susceptible to liquefaction. In addition, levee fills in many places are composed of silty sands that also are susceptible to liquefaction. The Delta levees that have loose, saturated sand

in their foundations, and are composed of silty sand, may liquefy during future moderate to strong shaking, resulting in levee failure (DWR, 2008b).

A preliminary assessment of the potential for liquefaction occurring at the proposed MPTO/CCO intake facility locations and in the vicinity of the general project alignment was evaluated using the data obtained from recent borings and CPT soundings. The liquefaction analyses were performed in general accordance with procedures that were developed by a consensus of the participants of the National Center for Earthquake Engineering Research workshops (Youd et al., 2001). The potential for liquefaction is estimated by calculating the estimated cyclic stress ratio induced by the design ground motion and compared with the capacity of the soil to resist liquefaction, expressed in terms of the cyclic resistance ratio. The risk of liquefaction is considered significant where the ratio of cyclic resistance ratio to cyclic stress ratio, or factor of safety, is less than 1.0.

For purposes of the preliminary liquefaction analyses, a horizontal PGA corresponding to the probabilistic 85th percentile, 1,000-year ground motion was used for the forebay locations, and the probabilistic median 500-year ground motion was used for all other facility locations. An earthquake magnitude of M6.75 was assumed, as defined in Appendix A. The depth to groundwater that was observed at each boring or CPT location was assumed to be the water level at the time of the earthquake event.

At each project facility, the borings and/or CPT soundings that were observed to have the most critical conditions for liquefaction, based on the presence of sand and silt materials with either low blow counts or low cone resistance, were evaluated as described above.

Final design liquefaction analyses should be performed when final seismic design criteria for the MPTO/CCO facilities have been adopted and design-level site-specific geotechnical exploration and testing have been completed.

Intakes. The risk of liquefaction at two of the three intake locations (Intakes No. 2 and 5) was preliminarily identified as high for a significant portion of the soils above elevation -65 feet. The estimated ground settlement following the selected earthquake for analysis (probabilistic 500-year average annual return period) was estimated to be 24, 17, and 24 inches at Intakes No. 2, 3, and 5, respectively. It should be noted that the nearest subsurface information available at the intake locations was from borings conducted from over the water adjacent to the intake sites. Additional exploration is currently proposed at the intake locations over land, which could encounter significantly different conditions.

Intermediate Forebay. No site specific subsurface information was available for the Glanville Tract IF. Based on information from a soil boring (DCE-DH-003) and a CPT (DCE-CPT-009) completed in the year 2009 and located about one mile and a half from the IF, it appears that the risk of liquefaction would be low. However, historical borings completed in the year 1966 and located about a mile from the IF show the presence of sandy materials susceptible to liquefaction at depths of 12 to 15 feet and 30 to 35 feet below ground surface.

North and South Clifton Court Forebays. Available subsurface information indicates that the potential for liquefaction exists along all sides of the expanded Clifton Court Forebay. Preliminary liquefaction analysis shows that the estimated ground settlement following the design earthquake at the forebay site to be 1 to 6 inches along the west and south sides, which, given the relatively flat embankment slopes, is not considered likely to result in failure of the embankment. As more subsurface data is collected, additional liquefaction analyses should be performed to evaluate embankment stability and to determine potential mitigation measures

North Tunnels. For the North Tunnels, the liquefaction results from the intakes and the IF were judged to be representative, in the absence of additional data. The North Tunnel appears to be founded below the elevation where liquefaction has been identified at these locations. Liquefaction-induced settlement of pad fill at the intake tunnel shafts, and the junction structure near Intake No. 3 can be expected.

Main Tunnels. For the Main Tunnels, extensive liquefaction of the upper 40 to 60 feet is predicted in areas with soft and loose soils, and liquefaction-induced settlement of the Main Tunnel drive shafts and reception shafts working pad fills can be expected.