

1 MICHAEL P. MURPHY, COUNTY COUNSEL (SBN 83887)
By: Lee A. Thompson, Deputy (SBN 078415)
2 Hall of Justice and Records
400 County Center, 6th Floor
3 Redwood City, CA 94063
Telephone: (650) 363-4697
4 Facsimile: (650) 363-4034
Email: lathompson@co.sanmateo.ca.us

5 Attorneys for Petitioners
6 San Mateo County Permittees

7
8 BEFORE THE
9 CALIFORNIA STATE WATER RESOURCES CONTROL BOARD

10
11
12 IN THE MATTER OF WASTE DISCHARGE
13 REQUIREMENTS FOR THE SAN FRANCISCO
14 BAY MUNICIPAL REGIONAL STORMWATER
15 PERMIT (MRP), NPDES PERMIT CAS612008

**SAN MATEO COUNTY PERMITTEES¹
PETITION FOR REVIEW OF REGIONAL
WATER QUALITY CONTROL BOARD –
SAN FRANCISCO BAY REGION ORDER
NUMBER R2-2009-0074**

16
17 **I. INTRODUCTION**

18 San Mateo County Permittees (“Petitioners”)² hereby submit this Petition to the California State
19 Water Resources Control Board (“State Water Board”) pursuant to section 13320(a) of the California
20 Water Code (the “Water Code”), requesting that the State Water Board review an action by the
21

22
23 ¹ The San Mateo County Permittees for purposes of this Petition include the following: the cities of Brisbane, Burlingame,
24 Daly City, Menlo Park, Millbrae, Pacifica, Redwood City, San Carlos, San Mateo, and South San Francisco; the towns of
25 Colma, Hillsborough, and Portola Valley; the San Mateo County Flood Control District and San Mateo County (collectively
26 the “Named Permittees”), which Named Permittees are members of the San Mateo Countywide Water Pollution Prevention
27 Program (hereinafter “SMCWPPP”). SMCWPPP is a program of the City/County Association of Governments (hereinafter
28 “C/CAG”) which program was one of the founding tasks of C/CAG and which program is intended to provide coordination
and overall management of the NPDES program within San Mateo County and to advise the San Mateo County and the cities
within San Mateo County regarding the implementation of NPDES permits. Collectively the Named Permittees, SMCWPPP
and C/CAG are hereinafter referred to as “Petitioners”.

² Each Petitioner individually, and Petitioners collectively, may be contacted through the persons identified on Attachment 1
hereto.

1 California Regional Water Quality Control Board, San Francisco Bay Region (“Regional Water
2 Board”). Specifically, Petitioners seek review of the Regional Water Board’s October 14, 2009
3 Municipal Regional Storm Water Permit Order No. R2-2009-0074, reissuing NPDES Permit No.
4 CAS612008 (the “MRP”)³. Petitioners are not seeking immediate review of this Petition and instead
5 requests that it be held in abeyance pending further notice by Petitioners to the State Water Board in the
6 event that Petitioner wishes to request that the review process be activated.

7 The Named Permittees are public entities subject to the MRP. C/CAG is public entity, whose
8 members include the Named Permittees, with specific interests in the MRP. SMCWPPP is a program of
9 C/CAG through which C/CAG provides coordination and overall management of the NPDES program
10 within San Mateo County and advice to San Mateo County and the cities within San Mateo County
11 regarding the implementation of NPDES permits. As such SMCWPPP has specific interests in the
12 MRP. Each and all of the Petitioners is aggrieved by the procedural and substantive legal defects in the
13 MRP described below.

14 After several iterations and nearly five years of work by its staff, permittees, and other
15 stakeholders, the Regional Water Board inexplicably and abruptly cut short Petitioners’ rights to
16 meaningful public participation in the permitting process. On September 24, 2009—less than three
17 weeks before the meeting at which the full Regional Water Board adopted the MRP—the Regional
18 Water Board staff published what it then termed a “Final Tentative Order.”⁴ In addition, the Fact Sheet
19 (98 pages) was not released until October 7, 2009, and Response to Comments Received on the
20 December 2007 Tentative Order (451 pages) and Response to Comments Received on the February
21 2008 Tentative Order (676 pages) were not released until October 5, 2009. The Final Tentative Order
22 imposed numerous new substantive requirements that had not appeared in the last version made
23 available for public comment in February 2009.

24
25 ³ A copy of Order R2-2009-0074 may be accessed via the internet at
26 http://www.waterboards.ca.gov/sanfranciscobay/board_decisions/adopted_orders/2009/R2-2009-0074.pdf. As the Order and
27 its attachments are 279 pages, a hardcopy is not being provided concurrently with this Petition but will be provided to the
28 State Water Board upon its further request should that be deemed necessary.

⁴ The final actually-adopted version of the MRP, containing additional changes in text, was not made available until the day
before the hearing.

1 The changes were significant. Indeed, one witness advocating for the new provisions at the
2 October 14, 2009 hearing described their addition to the MRP as “historic.” The new terms—including
3 the far-reaching so-called “low impact development” or “LID” provisions and extensive new
4 requirements for trash capture—are heavily prescriptive, impose substantial new financial burdens on
5 Petitioners and other local governments that are subject to the MRP, and could even entail temporal,
6 longer term and/or cumulative consequences that adversely affect the environment on the whole. Yet
7 the Regional Water Board did not adequately address these and other issues and didn’t even allow the
8 public to submit additional written comments analyzing or providing evidence concerning the new
9 requirements in the Final Tentative Order. Instead, Petitioners and most other participants were allotted
10 only five minutes each at the Regional Water Board’s October 14, 2009, hearing to verbally explain
11 their positions and lodge objections.

12 In addition to these and other serious defects, the Regional Water Board’s adoption of the MRP
13 is legally inappropriate and invalid in a number of respects, including the following:

- 14 • The Regional Water Board’s assertion that various MRP Provisions are required by the
15 “maximum extent practicable” (“MEP”) standard set forth in the federal Clean Water Act
16 and its implementing regulations is not sufficiently supported by findings;
- 17 • In fact, some of the MRP requirements *exceed* the federal MEP standard, thereby triggering
18 legal obligations for the Regional Water Board to have conducted additional analysis of
19 technical feasibility and economic and environmental impacts under section 13241 of the
20 California Water Code and the California Environmental Quality Act, none of which were
21 adequately performed before adoption of the MRP;
- 22 • Some of the new requirements in the MRP—including the LID and structural trash capture
23 requirements—are so prescriptive that they effectively specify the means and method of
24 compliance in violation of Water Code section 13360; and
- 25 • The MRP illegally contains provisions extending beyond the maximum five-year term of an
26 NPDES permit, as limited by Water Code section 13378.

27 These defects render the MRP inappropriate and invalid and require action by the State Water Board—
28 preferably by means of a remand to the Regional Water Board— pursuant to its authority under Water
Code section 13320(c).

As set forth in more detail below, these (and many other) objections to the MRP have been raised

1 before the Regional Water Board before it acted, as will be reflected in the record to be assembled.⁵

2 **I. FACTUAL AND PROCEDURAL BACKGROUND**

3 **A. Federal and State Statutory Scheme.**

4 The discharge of pollutants in storm water is governed by Clean Water Act Section 402(p),
5 which governs permits issued pursuant to the National Pollutant Discharge Elimination System
6 (“NPDES”). 33 U.S.C. § 1342(p). With respect to a municipality’s discharge of storm water from a
7 municipal separate storm sewer system (“MS4”), Section 402(p)(3)(B) provides:

8 Permits for discharges from municipal storm sewers –

- 9 (i) may be issued on a system or jurisdiction-wide basis;
- 10 (ii) shall include a requirement to effectively prohibit non-storm water discharges into the storm sewers; and
- 11 (iii) shall require controls to reduce the discharge of pollutants to the
12 maximum extent practicable, including management practices,
13 control techniques and system, design and engineering methods,
and such other provisions as the Administrator or the State
determines appropriate for the control of such pollutants.

14 33 U.S.C. § 1342(p)(3)(B).

15 California is among the states that are authorized to implement the NPDES permit program. 33
16 U.S.C. § 1342(b). California’s implementing provisions are found in the Porter-Cologne Water Quality
17 Control Act. *See* Water Code §§ 13160 and 13370 *et seq.* Respondent State Water Board is designated
18 as the state water pollution control agency for all purposes stated in the Clean Water Act. Water Code §
19 13160.⁶ State and Regional Water Boards are authorized to issue NPDES permits. Water Code §
20 13377. NPDES permits are issued for terms not to exceed five years. *Id.* § 13378 (“Such requirements
21 or permits shall be adopted for a fixed term not to exceed five years.”).

22 Thus, when a Regional Water Board issues a NPDES permit, it is implementing both federal and
23 state law. Permits issued by a Regional Water Board must impose conditions at are at least as stringent
24 as those required under the federal act. 33 U.S.C. § 1371; Water Code § 13377. But, relying on its state

25
26 ⁵ Petitioners reserve the right to supplement and expand upon this Petition if it is taken out of abeyance and once the record
had been assembled.

27 ⁶ Water Code Sections 13160 and 13370 *et seq.* reference the Federal Water Pollution Control Act. After the Federal Water
28 Pollution Control Act was amended, it commonly became known as the Clean Water Act.

1 law authority or discretion, a Regional Water Board may also impose permit limits or conditions in
2 excess of those required under the federal statute as “necessary to implement water quality control plans,
3 or for the protection of beneficial uses, or to prevent nuisance.” Water Code § 13377.

4 The Water Code requires the Regional Water Board, when issuing NPDES permits, to
5 implement “any relevant water quality control plans that have been adopted, and shall take into
6 consideration the beneficial uses to be protected, the water quality objectives reasonably required for
7 that purpose, other waste discharges, the need to prevent nuisance, and the provisions of Section 13241.”
8 Water Code § 13263(a). Section 13241 requires the consideration of a number of factors, including
9 technical feasibility and economic considerations. *Id.* § 13241.

10 Courts have read these provisions together to mean that the Regional Water Board cannot rely on
11 the requirement for consideration of economic conditions under section 13241 as justification for
12 imposing conditions that are *less stringent* than those required under the Federal Act. *City of Burbank v.*
13 *State Water Resources Control Bd.*, 35 Cal. 4th 613, 626-27 (2005). However, nothing in the federal or
14 state statutory scheme prohibits consideration of economic factors in fashioning permits that *meet*
15 federal standards. *Id.* at 629 (J. Brown, concurring). And as implied by the remand order issued by the
16 court in the *City of Burbank*, sections 13236 and 13241 together *require* that economic factors must be
17 considered when imposing conditions that exceed federal requirements. *Id.* at 627 n.8 & 629
18 (remanding to the trial court “to decide whether any numeric limitations, as described in the permits, are
19 ‘more stringent’ than required under federal law and thus should have been subject to ‘economic
20 considerations’ by the Los Angeles Regional Board before inclusion in the permits”).

21 Permit conditions that are imposed pursuant to state law reaching beyond the mandatory
22 requirements of the federal Clean Water Act would also trigger review of their environmental impact
23 under the California Environmental Quality Act, Pub. Res. Code § 21000 et seq. (“CEQA”).⁷

24
25
26 ⁷ Issuance of NPDES permits as required to implement the Clean Water Act are exempt from CEQA’s requirement of
27 preparation of an environmental impact report for all projects that are expected to have a significant environmental impact.
28 Water Code § 13389. But municipal storm water permits that contain provisions exceeding the “maximum extent
practicable” standard set by the federal Clean Water Act fall outside the exemption established by section 13389.

1 A. **Procedural Requirements**

2 1. **Public participation.**

3 NPDES permits may be issued only “after opportunity for public hearing.” 33 U.S.C.
4 § 1342(a)(1). Indeed, public participation is a fundamental —and non-discretionary— component of
5 issuing a NPDES permit:

6 Public participation in the development, revision, and enforcement of any
7 regulation, standard, effluent limitation, plan, or program established by
8 the Administrator or any State under this Act *shall be provided for*,
encouraged, and assisted by the Administrator and the States.
33 U.S.C. § 1251(e) (emphasis added).

9 Thus, among other things, federal regulations require a state permitting agency to provide at least 30
10 days for public comment on a draft NPDES permit. 40 C.F.R. § 124.10(b)(1). This is particularly
11 critical for a permit such as the MRP that has taken so long in its development and applies to so many
12 permittees.

13 The federal regulations also require at least 30 days advance notice of a public hearing on
14 adoption of a draft NPDES permit. *Id.* § 124.10(b)(2). Adjudicative hearings held by the Regional
15 Water Board in consideration of an NPDES permit are governed by the Regional Water Board’s own
16 regulations, 23 Cal. Code Reg. § 648 et. seq., Chapter 4.5 of the Administrative Procedure Act
17 (commencing with § 11400 of the Government Code), sections 801-805 of the Evidence Code, and
18 section 11513 of the Government Code. *See* Cal. Code Regs., tit. 23, § 648(b). Government Code §
19 11513 provides that each party shall have the right to call and examine witnesses, to introduce exhibits,
20 to cross-examine opposing witnesses on any matter relevant to the issues even though the matter was not
21 covered in direct examination, to impeach any witness, and to rebut the evidence against the party.
22 Government Code § 11513(b). The Regional Water Board’s procedural regulations also establish the
23 right of a party in an adjudicative hearing before the Regional Water Board to present evidence and
24 cross-examine witnesses. Cal. Code Regs, tit. 23, § 648.5(a).

25 The issuing agency is required to respond to comments received during the comment period by:
26 (1) specifying which, if any, provisions of the draft permit have been changed in the final permit, and
27 the reasons for the change; and (2) briefly describing and responding to all significant comments on the
28

1 draft permit raised during the public comment period or at the any hearing on the permit. 40 C.F.R. §
2 124.17(a).

3 **2. Legally sufficient findings.**

4 Because issuing an NPDES permit is an adjudicative action, the Regional Water Board is
5 required to make “legally sufficient findings” in support of its conclusions. *See In re Petition of Pacific*
6 *Water Conditioning Assn., Inc.*, State Water Board Order WQ 77-16, at *7 (citing *City of R. P. Verdes v.*
7 *City Council of R. Hills, etc.*, 59 Cal.App. 3d 869, 129 Cal. Rptr. 173 (1976); *Merced County Board of*
8 *Supervisors v. California Highway Com'n*, 57 Cal.App. 3d 952, 129 Cal.Rptr. 504, (1976); *Myers v.*
9 *Board of Supervisors of Cty. of Santa Clara*, 58 Cal.App. 3d 413, 129 Cal.Rptr. 902, (1976).) Adequate
10 findings assure that the permit is the result of careful consideration of the record before the agency and
11 facilitates review. *Topanga Assn. for a Scenic Community v. County of Los Angeles*, 11 Cal. 3d 506,
12 516-517 (1974).

13 In the context of a NPDES permit, particularly one that imposes conditions beyond the
14 requirements of federal law, such findings must, at a minimum, demonstrate that such conditions are
15 necessary to protect specific beneficial uses. *Southern Cal. Edison Co. v. State Water Resources*
16 *Control Bd.*, 116 Cal. App. 3d 751, 758-59 (1981) (rejecting conditions in an NPDES permit based on
17 the State Ocean Plan that were unsupported by findings that such standards were “necessary to protect
18 *specific beneficial uses* . . . The absence of such evidence makes it impossible to determine whether
19 stricter regulations than those found in the Ocean Plans are in fact “necessary.”)

20
21 **II. ARGUMENT**

22 **A. The Regional Water Board’s Adoption of the Final MRP Was Procedurally Defective.**

23 **1. The Regional Water Board provided insufficient notice of the October 14, 2009 hearing on the Final Tentative Order.**

24
25 The MRP is the culmination of nearly five years of work by the Regional Water Board,
26 permittees, and stakeholders. The process has been iterative, and the Regional Water Board has
27 established a pattern of allowing time between iterations to facilitate public participation. The first draft
28 permit was published for notice and comment on December 14, 2007. This was followed by a public

1 workshop held by the Regional Water Board in March 2008. Nearly a year later, on February 11, 2009,
2 the Regional Water Board produced a revised draft. On May 13, 2009, the Regional Water Board held a
3 public hearing to discuss revisions to the December 2007 draft. At each preliminary stage of the
4 permitting process, the Regional Water Board provided sufficient notice and solicited public comment
5 on revisions from the prior draft in keeping with the public participation requirements in the federal
6 statute and regulations. 33 U.S.C. § 1251(e); 40 C.F.R § 124.10(b)(2).

7 However, at the final stage, the Regional Water Board abruptly departed from its prior efforts to
8 provide for meaningful public participation. On September 24, 2009, the Regional Water Board
9 published a new "Final Tentative Order" reissuing the MRP, to be proposed for adoption by the full
10 Regional Water Board at its regularly scheduled October 14, 2009 meeting. Not only did this truncated
11 notice period deprive Petitioners and other stakeholders of a full and meaningful opportunity for
12 comment and participation, it failed to provide 30-day mandatory advance notice required under the
13 federal regulations. 40 C.F.R. § 124.10(b)(2) ("Public notice of a public hearing *shall be given* at least
14 30 days before the hearing.") (emphasis added).

15
16 **2. The Regional Water Board deprived Petitioners of the opportunity to
comment on substantive new requirements in the MRP.**

17 There is no dispute that the September 24 Final Tentative Order contained significant substantive
18 changes from the February 2009 draft that was the subject of the Regional Water Board's May 2009
19 hearing, or that the changes will result in additional costs and burdens on permittees. (See Appendix B
20 to Final Tentative Order, showing changes from February 2009 tentative order.)⁸ The new draft also
21 replaced some more flexible provisions of the draft tentative orders that provided continuity from past
22 permit requirements with more prescriptive and inflexible requirements. For example, for new
23 development and redevelopment projects, the Final Tentative Order included the following new LID-
24 only requirements:

25
26
27 ⁸ Provision C.3.c. regarding LID was nearly completely rewritten and Provision C.10 regarding Trash Load Reduction was
28 replaced in its entirety.

- 1 • A requirement that each Regulated Project treat 100 percent of the amount of runoff
2 onsite through a handful of prescribed methods, with alternatives such as biotreatment
3 allowed only where the permittee can demonstrate that the preferred methods are
4 infeasible;
- 5 • A requirement that the municipal permittees produce a report determining feasibility
6 or infeasibility of LID measures within the next 18 months;
- 7 • A requirement that the municipal permittees propose an LID treatment reduction
8 Special Project credit system within one year for projects that have demonstrated
9 environmental benefits to allow a portion of the storm water runoff onsite to be
10 treated by non-LID, or so-called "conventional," treatment measures.⁹

11 (Final Tentative Order, sections C.3.c(i)(2)(b); C.3.c(ii); C.3.e(ii).)

12 The Final Tentative Order also introduced, without more meaningful opportunity for comment or
13 analysis, prescriptive and burdensome new structural requirements for the capture and containment of
14 trash. Regional Water Board staff acknowledged that these new provisions would be costly to
15 permittees; it estimated that the associated capital cost alone will be around \$28 million dollars over the
16 permit term, and further admitted that it has identified only \$5 million in available funds. (Appendix D
17 to Final Tentative Order, at p. 6.)

18 Despite the extensive and substantive nature of the changes from the February 2009 Tentative
19 Order, the Regional Water Board accepted no further written public comments or evidence. Instead,
20 participation by the permittees who would be subject to these burdensome new requirements was limited
21 to five-minute oral testimony at the Regional Water Board's October 14, 2009 hearing on the MRP.
22 (Transcript of October 14, 2009 Hearing (hereinafter "Tr."). The Regional Water Board's statement that
23 these revisions were the "outgrowth of comments" submitted by Permittees and other interested persons
24 is not accurate, is an oversimplification of the changes, and does not justify the refusal to allow written
25 comments on these revisions.

26 During the hearing, members of the Regional Water Board and the witnesses who testified
27 agreed that the new provisions were significantly different from the draft discussed at the May 2009
28 hearing. (See, e.g., Tr. at p. 31 (comments of Mr. Moore: "particularly between the pilot project work

⁹ This could relate to Brownfield Sites, low-income housing, senior citizen housing, transit oriented development projects and other infill or redevelopment projects.

1 you just discussed, and the low impact development requirements. Because I think they both progressed
2 very – on a pretty significant pace since May.”) A witness for a group favoring the new trash provisions
3 testified that the changes were not just significant but “historic.” (Tr. at p. 78 (comments of David
4 Lewis: “This is a big improvement from May. *And we call these historic changes . . .*”).)

5 Yet despite the nature, scope, and burdens of these new and controversial provisions and the
6 failure of the Regional Water Board to allow written comments, each interested entity was allowed only
7 five minutes to speak, and was encouraged by the chair to limit remarks to less than three minutes. (Tr.
8 at p. 51) Permittees who wished to present more than one witness were required to split their five-
9 minute allotment among those witnesses. (*Id.*) The only exception was granted to a witness appearing
10 on behalf of one group that favored the new provisions. This witness was allotted ten minutes. (*Id.* at p.
11 92.) While the Regional Water Board staff was allowed to respond to all comments with no time limit,
12 and was questioned by the members of the Regional Water Board, no additional time was allotted for
13 Permittees to question staff directly or to submit additional evidence. (*See, e.g.*, Tr. at p. 82 (refusing to
14 allow a witness to provide the Regional Water Board with a copy of written comments).)

15 Witnesses who appeared on behalf of Permittees objected to the imposition of these costly,
16 burdensome and inflexible new provisions being added so late in the process and without the
17 opportunity to provide more detailed comments, and testified to the lack of available public resources to
18 fund them. (*See, e.g.*, Tr. at p. 102 (comments of Melody Tovar: “We do look at the new draft, though,
19 and note some new changes in the permit, and that the revised draft was not circulated for public review
20 and comment, and we think it should have been. For us, that means that my testimony here today does
21 not benefit from the direction and feedback from our City Council, and that is something we have
22 thoughtfully done for every draft of this permit.”); *see also*, Tr. at pp. 58, 83, 85, 111-113, 121-22, 129.)

23 Under similar circumstances, the State Water Board has expressed concern that such proceedings
24 were insufficient to assure that all participants were allowed adequate opportunity to be heard:
25
26
27
28

1
2 But we are concerned that at the . . . hearing, interested persons and permittees
3 *were not given adequate time to review late revisions or to comment on them.*
4 Given the intense interest in this issue, *the Regional Water Board should have*
5 *diverged from its strict rule limiting individual speakers to three minutes and*
6 *conducted a more formal process.* Such a process should provide adequate time
7 for comment, including continuances where appropriate.

8 *In re The Cities of Bellflower et al.*, State Water Board Order WQ 2000-11, at 24 (Oct. 5, 2000)
9 (emphasis added).

10 In the *Bellflower* case, the State Water Board admonished Regional Water Boards to employ the
11 proceedings for hearings set forth in section 648 of the Regional Board's regulations. *Id.* at 24 n.25
12 ("For future adjudicative proceedings that are highly controversial or involve complex factual or legal
13 issues, we encourage regional water boards to follow the procedures for formal hearings set forth in Cal.
14 Code of Regs., tit. 23, section 648 et seq.") Those regulations require the Regional Water Board to
15 allow interested parties the opportunity to cross-examine witnesses and present contrary evidence. Cal.
16 Code Regs, tit. 23, § 648.5(a). The Regional Water Board here ignored the State Water Board's
17 admonition. As a result, Petitioner has thus far been denied the right to full and fair participation in the
18 permitting process, as required under both federal and state law. 33 U.S.C. § 1351(e); *Bellflower*, WQ
19 2000-11. It should not be overlooked that these requirements apply to 76 Permittees in the San
20 Francisco Bay Region - that in itself provides for very complex and controversial issues.

21
22
23
24
25
26
27
28

3. **The Regional Water Board Failed to Adequately Respond to
Comments on its Prior Draft Tentative Orders.**

Federal permitting regulations require that states issuing NPDES permits seek, consider, and
provide responses to public comments on draft permits. 40 C.F.R. § 124.17(a). The Regional Water
Board failed to provide timely responses to comments submitted on its draft tentative orders, and
ignored or, at most, gave lip service to many comments suggesting pragmatic modifications that would,
among other things, help avoid wasting resources and/or mitigate the economic impacts of the MRP on

1 fiscally stressed municipalities.¹⁰ The Final Order indeed includes hundreds of pages of charts
2 containing purported responses to written comments received on earlier iterations of the MRP. (See
3 Appendices E and F of Final Order.)¹¹ However, a closer examination of it reveals that it is insufficient.
4 Each comment is summarized in a few sentences, and the responses are often limited to two or three
5 words. (*Id.*) Few, if any, meaningful changes were made in response to comments submitted. In other
6 words, despite providing a voluminous and nice-looking chart, the responses were substantively too
7 little and too late to be meaningful as is required by law.

8 To better illustrate these deficiencies, a few illustrative examples of substantive and important
9 issues that were not adequately addressed in the Regional Water Board's responses to comments are
10 discussed below.

11 Comments submitted by the Santa Clara Valley Urban Runoff Pollution Prevention Program, for
12 example, requested that the Regional Water Board's requirement for an initial desktop feasibility
13 analysis of the provisions set forth in sections C.11 and C.12 of the February 2009 draft be used as a
14 screening mechanism to determine whether and to what extent the pilot diversions should be required.
15 (Appendix F, at p. 438-39.) This suggestion – which would have saved public resources by providing an
16 equivalent amount of information with less paperwork – was ignored: all five pilot diversion studies are
17 mandated in the Final Order, *regardless of the outcome of the initial feasibility analysis.* (*Id.*) In light of
18 the overwhelming evidence of financial distress suffered by municipal permittees in this economic
19 environment, opportunities for added efficiencies are of critical importance to the permittees, taxpayers,
20 and the Regional Water Board as a public entity. The Regional Water Board's failure to meaningfully
21 respond to this suggestion is an example of its procedural failures in considering and responding to
22
23
24

25 ¹⁰ Despite prior specific direction from Regional Water Board members to the staff to expedite getting responses to
26 previously submitted written comments issued following the May 2009 hearing on the February 2009 revised tentative order,
27 the *only* responses to written comments submitted over the five-year course of the MRP's development (totaling well over
28 1,000 pages) were issued less than 10 days prior to the Regional Water Board's October 14, 2009 adoption hearing further
depriving Petitioner and others of a meaningful public participation opportunity.

¹¹ The Final Order and all associated documents are available at
http://www.swrcb.ca.gov/sanfranciscobay/water_issues/programs/stormwater/mrp.shtml.

1 public comments.¹²

2 In addition, with respect to new and redevelopment requirements, several Permittees provided
3 evidence that vault-based systems for on-site treatment of storm water are effective in removing
4 pollutants and that there are situations in which these types of controls represent the maximum
5 practicable level of treatment. (*See, e.g.*, Comments of Santa Clara Valley Urban Runoff Pollution
6 Prevention Program (“SCVURPPP”), at pp. 4-5; Comments of the Alameda Countywide Clean Water
7 Program, and Comments of the City of Dublin, at p. 7.) The Regional Water Board staff responded by
8 asserting – without providing an evidentiary basis or citation to EPA regulations or permitting guidance
9 (since none exists) – that LID measures, rather than the vault-based systems, represent the “maximum
10 extent practicable” because they address a broader range of pollutants and provide other benefits.
11 (Response to Comments on February 2009 Draft.) This response is inadequate because it assumes,
12 rather than finds with adequate support, that LID measures are “practicable.” Indeed, as discussed in
13 more detail below, the Regional Water Board has effectively admitted that it has no factual basis for
14 such a conclusion by requiring the Permittees to study the very *feasibility* of LID measures imposed in
15 the MRP.

16 A number of commenters also requested more time for implementation of new requirements in
17 the February 2009 draft MRP based on the impacts that the new provisions for development and
18 redevelopment projects in that version of the permit would have on existing Hydromanagement (“HM”)
19 programs that are already being implemented by Permittees. In the response to comments, the Regional
20 Water Board indicated that it had accommodated this request by moving all immediate deadlines back.
21 (Appendix E to Final Tentative Order, at pp. 2-3.) However, because the Final Tentative Order fails to
22 acknowledge that the new MRP will have an immediate effect on changing the requirements in some
23 existing HM programs, no such revision was made to the deadlines for their implementation. (Final
24

25
26
27
28

¹² Likewise, the Santa Clara Program submitted comments on Provision C.15 of the MRP noting that it had previously developed and obtained approval of a comprehensive non-stormwater discharge management program. It asked the Regional Water Board staff to explain why that program was no longer adequate or could not simply be grandfathered, thereby saving significant public resources while continuing to protect water quality; it also asked the staff to explain where the existing program had failed to protect water quality. The response fails to provide any data or analysis, merely paying lip service to these important points while attempting to put the ball back in the municipalities’ court. *Id.* at 502-503

1 Tentative Order C.3.g.ii(5); C.3.a.ii.) While the response therefore facially responds to the comment in
2 question, its identification of changes made in response is inaccurate and misleading, and it is therefore
3 inadequate and legally insufficient.

4 Each of these examples raises a significant point of importance to permittees, including
5 Petitioners, and more important, only exemplifies the widespread and pervasive set of deficiencies in the
6 Regional Water Board's response to comments and compliance with mandatory public participation
7 requirements. The Regional Water Board staff's responses to many of the comments submitted were
8 either dismissive, non-existent, based on a mischaracterization of evidence before the Regional Water
9 Board, inaccurate and misleading, or non-responsive to the issue presented. None satisfies the
10 requirement for a reasonable response. 40 C.F.R. § 124.17.

11 **B. The Final MRP Is Legally Defective.**

12 The Final MRP fails to satisfy the requirements of federal and state law governing the issuance
13 of an NPDES permit. Two of the new provisions included in the final MRP – the LID and trash
14 provisions – are highlighted below. While the defects discussed here may also affect other permit
15 provisions, these two were the focus of much of the testimony presented at the October 14, 2009
16 hearing, and are used here as illustrations.¹³

17
18 **1. The Regional Water Board's imposition of LID measures and new**
19 **requirements for trash capture are not supported by legally sufficient**
20 **findings and cannot be supported on the record before it.**

21 The federal Clean Water Act requires storm water discharges to be controlled to the "maximum
22 extent practicable." 33 U.S.C. § 1342(p)(3)(B)(iii). This term is not defined in the federal statute or its
23 implementing regulation, but has been interpreted by the U.S. Environmental Protection Agency and
24 courts to require imposition of best management practices, or "BMPs." *Defenders of Wildlife v.*
25 *Browner*, 191 F.3d 1159, 1166-67 (9th Cir. 1999).

26 ¹³ Comments in the record submitted by and on behalf of Bay Area municipalities raise the issues to which this section of the
27 Petition is addressed with respect to many other requirements of the MRP, including, but not limited to: Provisions C.3 (e.g.,
28 C.3.g, C.3.i), C.8 (e.g., C.8.d.iii, C.8.f), C.9e, C.11 (e.g., C.11.e, C.11.f, C.11.h, C.11.i, C.11.j), C.12 (e.g., C.12.e, C.12.f,
X.12.h, C.12.i), C.13 (e.g., C.13.e), and C.14. Should this Petition be removed from abeyance, Petitioner reserves the right to
elaborate on these and the illustrations above.

1 Neither the Final Tentative Order, nor the Final Order as approved by the Regional Water Board,
2 contains any additional findings supporting its conclusion that the new LID measures required under the
3 Final MRP represent the “maximum extent practicable.” Indeed, the evidence before the Regional
4 Water Board was to the contrary. As the Regional Water Board staff admitted, the permittees uniformly
5 testified that the new requirements would be difficult and expensive to implement, and may well be out
6 of reach. (*See e.g.*, Tr. at pp. 53-54, 58, 83, 121-122, 125.) As one Regional Water Board member
7 summarized succinctly: “Well, the state of the economy, or the state of the cities is such that, really,
8 going backward, they cannot have it, they cannot afford it.” (Tr. at p. 159.)

9 To find the basis for the Regional Water Board’s implementation of these requirements, one
10 must instead “grope through the record to determine whether some combination of credible evidentiary
11 items which supported some line of factual and legal conclusions supported the ultimate order or
12 decision of the agency,” in contravention to the requirement for clear and explicit findings. *Topanga*
13 *Assn. for a Scenic Community v. County of Los Angeles*, 11 Cal. 3d 506, 516-517 (1974).

14 A search for such findings would also, in this instance, prove fruitless. Instead of evidence-
15 based findings, the Regional Water Board staff simply asserts in a separate document that “LID is
16 rapidly being established as the maximum extent practicable (MEP) standard for new and
17 redevelopment stormwater treatment.” (Staff Report, at p. 2.)¹⁴ In fact, even this somewhat equivocal
18 and unsupported statement is belied by the very conditions of the final MRP, which 1) requires
19 permittees to conduct studies of whether the LID measures required under section C.3 of the MRP are
20 feasible (Final MRP at C.3.c.i(2)(b)(iv)-(v).), and 2) requires a proposal from Permittees to support LID
21 treatment reduction credits for Special Projects. (Final MRP at C.3.e.ii.(1)&(2)). The fact that the
22 Regional Water Board deems such studies necessary confirms that it is not in possession of sufficient
23 evidence to conclude that these measures are “practicable.” Thus, inclusion of these studies in the MRP
24 is a tacit admission that the Regional Water Board *cannot* make legally sufficient findings to support its
25 conclusion that LID represents MEP. In corollary, to make such findings would be an admission that

26
27 ¹⁴ Even if this rationale were sufficient and supported by evidence, a statement in the Staff Report or other supporting
28 document cannot substitute for findings in the permit. *In re City and County of San Francisco et al.*, State Board Order WQ
95-4, at pp. *28-29 (Sept. 12, 1995).

1 the required studies were excessive and unnecessary. Indeed, the Regional Water Board's insertion of
2 these requirements into the MRP before it has the supporting data is based on speculation, not evidence.

3
4 **2. The Regional Water Board has failed to perform the analysis of
countervailing economic factors required under State law.**

5 Having failed to establish that LID is necessitated by the federal MEP standard, the Regional
6 Water Board has also failed to make any findings that would support a conclusion that LID measures are
7 necessary or appropriate under state law. Indeed, the evidence on the record would not support such
8 findings.

9 Imposition of LID measures based solely as a measure that is more stringent than required under
10 federal law triggers the need for additional analysis. *City of Burbank v. State Water Resources Control*
11 *Bd.*, 35 Cal. 4th 613, 626-27, 629 (2005). As a start, the Regional Water Board would have to undertake
12 a careful analysis of technical feasibility and economic reasonableness of its proposed requirements.
13 Water Code §§ 13241(d), 13263(a). It did not do so. In fact, at least one member of the Regional Water
14 Board expressed the strong belief that the LID provisions as written were too inflexible to be feasible,
15 especially in the urban infill context that many of the permittees will have to address. (Tr. at pp. 36-37.)

16 Numerous witnesses also provided testimony about the economic unreasonableness of the
17 MRP's requirements given the tenuous financial conditions facing municipal permittees. Addressing the
18 permit's extensive monitoring requirements, one witness in particular testified in detail about the dire
19 short-term and long-term economic realities facing elected officials and the taxpayers who must fund the
20 studies and other mandatory provisions in the new MRP, rebutting the Regional Water Board's belief
21 that deferring the most expensive provisions to the end of the permitting period would alleviate such
22 concerns:

23 This is great, we have a five year permit, we can look forward to the
24 future, the bar has been raised; but I caution all of you, as an elected
25 official, and you all know in your own communities, the budgetary
26 considerations are not just ending at the end of this year, they are going to
27 be next year, the year after. Concord alone will have \$9.7 million more
28 we will have to cut. We just lost close to 78 employees, 20 percent of our
workforce. We will be cutting again more staff. So these monitoring
requirements [are] still of concern, a very large concern, because the
amount of money it is going to take to [conduct] these studies, even
though they are spread over a period of time, you are still talking
anywhere from \$6 to \$43 million in capital costs throughout the permit

1 over that five years to address some of the issues identified in those
2 studies, possibly, and you are talking about \$12, 15, 18 million of studies,
3 of getting data. . . . I think, in reality, I want to go on record that you may
4 hear from us in another year or two, saying, "You know what? There is
not enough money to do all the studies that you ask for in the time frame
that you put out in this permit."

(Tr. at 111-113.)

5 Against this same fiscal backdrop, the Regional Water Board staff itself also estimated that the
6 new trash capture requirements will carry a capital cost price tag of \$28 million, and admitted that they
7 had identified only \$5 million dollars in public resources available to fund implementation. (Staff
8 Report, at p. 6.)

9 While the record is replete with such acknowledgements by the Regional Water Board that the
10 new requirements (LID, trash capture, monitoring, and others) are costly and burdensome, it does not
11 contain any actual analysis by staff of costs against the environmental benefit to be gained by their
12 imposition.¹⁵ For this reason, and on this record, the requirements are unsustainable under State law.

13 Moreover, the Regional Water Board has not made any specific findings supporting the
14 conclusion that these new requirements are necessary to maintain any specific beneficial use tied to local
15 receiving waters. Instead, for LID, for example, the Regional Water Board simply points in a staff
16 report to storm water permits adopted in *other regions* that have implemented "extensive requirements
17 for LID measures." (Staff Report, at p. 6.) It also failed to consider how the more extensive new and
18 redevelopment controls and hydromodification requirements implemented in the permittees'
19 jurisdictions as a result of their prior permit compliance may already be adequate to achieve protection
20 of beneficial uses (as their prior permits' findings determined they would). This "fire, aim, ready"
21 approach is simply not sufficient to justify permit conditions in excess of those required under federal
22 law. *Southern Cal. Edison Co. v. State Water Resources Control Bd.*, 116 Cal. App. 3d 751, 758-59
23 (1981).

24
25 **3. The Regional Water Board has not analyzed the broader
environmental impacts of the new requirements.**

26 More than one witness testified at the October 14, 2009 hearing that the imposition of rigid new
27

28 ¹⁵ Municipalities submitted many such analyses; but these were dismissed or ignored.

1 LID requirements could actually have an *adverse* environmental impact, by discouraging
2 environmentally responsible infill projects. (*See, e.g.*, Tr. at 121-23: “We have strong concerns that
3 fully implementing this requirement on certain types of projects will be very difficult. In fact,
4 complying with the LID requirement as it is written may not be possible for some projects and may deter
5 responsible redevelopment.”) Witness testimony also supported revisions to the Final Tentative Order
6 suggested by Regional Water Board members to allow greater flexibility in choosing from among
7 environmentally sound treatment methods by eliminating language in the permit that discourages the use
8 of biotreatment. (*See, e.g.*, Tr. at pp. 105, 120, 124, 130.) These revisions were not included.

9 Because these provisions relating to LID and trash removal exceed MEP, they are not exempt
10 from the requirements of CEQA pursuant to section 13389 of the Water Code. Thus, these and other
11 potential environmental impacts of these provisions must be analyzed before they may be applied solely
12 pursuant to the authority provided under state law.

13
14 **4. The new LID provisions violate the prohibition on specifying the means of compliance.**

15 Throughout the MRP development process, a number of commenters and witnesses objected to
16 the prescriptiveness of this permit. For example, the replacement in the final MRP of more flexible
17 approaches to responsible development that have previously been endorsed by the State Water Board
18 with more rigid, proscriptive LID requirements that severely limit options available to permittees in
19 planning new development and redevelopment projects was the subject of specific testimony at the
20 October 14 adoption hearing. (*See, e.g.*, Tr. at pp. 60-61.) At least one Regional Water Board member
21 admitted at that hearing that he felt the Regional Water Board was “treading in dangerous territory here,
22 from my perspective, in specifying the method and means of compliance.” (Tr. at p. 171.) The member
23 was correct. The Water Code expressly prohibits permit terms that specify the means of compliance.
24 Water Code § 13360 (“No waste discharge requirement or other order of a regional board or the state
25 board or decree of a court issued under this division shall specify the design, location, type of
26 construction, or particular manner in which compliance may be had with that requirement, order, or
27
28

1 decree, and the person so ordered shall be permitted to comply with the order in any lawful manner.”¹⁶

2 **5. The MRP contains provisions extending beyond the permit term.**

3 Finally, the Final MRP identifies several items extending its reach well beyond the MRP’s five-
4 year term. For example:

5 The Permittees shall demonstrate compliance with Discharge Prohibition
6 A.2 and trash-related Receiving Water Limitations through the timely
7 implementation of control measures and other actions to reduce trash loads
8 from municipal separate storm sewer systems (MS4s) by 40% *by 2014*,
9 70% *by 2017*, and 100% *by 2022* as further specified below.

8 (Final MRP, at section C.10 (emphasis added).)

9 The MRP is effective December 1, 2009. By law, an NPDES permit term cannot exceed five
10 years. Water Code § 13378. For this reason, only the 2014 date referenced above is legally valid and
11 those extending beyond it should be stricken from the final MRP. When the MRP or another successor
12 NPDES permit is reissued, the Regional Water Board can reassess the necessity, feasibility, and cost of
13 additional reduction goals and impose any incremental increase as supported by the evidence before it at
14 that time.

15
16 **III. SERVICE OF COPIES PETITION ON REGIONAL BOARD.**

17 Copies of this Petition have been served on the Regional Water Board and on all other Permittees
18 other than the Petitioners.

19
20 **IV. CONCLUSION; REQUESTED RELIEF.**

21 For all of the reasons set forth above, and others which may be raised in other petitions or by a
22 further review of the record once it is assembled and if this Petition is taken out of abeyance, the Final
23 MRP is both procedurally and legally defective. Petitioners request that the Regional Water Board be
24

25
26 ¹⁶ The LID requirements are again illustrative. First, they require all covered development projects to treat 100% of storm
27 water on site. (Final MRP, section C.3.c.i(2)(b).) This requirement clearly specifies the “location” of treatment in
28 contravention of section 13360. In addition, by eliminating the use of underground vaults or bioremediation except where
none of the prescribed treatment methods are feasible, the MRP is specifying the design and type of construction, as well as
the manner of compliance. (*Id.*)

1 instructed to correct all of the substantive deficiencies in the MRP and to comply with all applicable
2 procedural requirements for the proper issuance of the MRP, all as indentified herein or otherwise
3 identified by any permittee.
4

5
6 Dated: November 16, 2009

MICHAEL P. MURPHY, COUNTY COUNSEL

7
8 By: 

9 Lee A. Thompson, Deputy

10 Attorneys for Petitioners
11 SAN MATEO COUNTY PERMITTEES
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

ATTACHMENT 1

PETITIONER	CONTACT NAME	ADDRESS	TELEPHONE	EMAIL
City of Brisbane	Randy L. Breault	50 Park Place, Brisbane 94005	415-508-2131	rbreault@ci.brisbane.ca.us
City of Burlingame	Jim Nantell	501 Primrose Rd., Burlingame 94010	650-558-7204	jnantell@burlingame.org
Town of Colma	Laura Allen	1198 El Camino Real, Colma 94014	650-997-8300	laura.allen@colma.ca.gov
City of Daly City	Rose Zimmerman	333 90th Street, Daly City 94015	650-991-9122	rzimmerman@dalycity.org
Town of Hillsborough	Martha DeBry	1600 Floribunda Ave, Hillsborough 94010	650-888-4163	mdebry@hillsborough.net
City of Menlo Park	Glen Rojas	701 Laurel Street, Menlo Park 94025	650-330-6600	grojas@menlopark.org
City of Millbrae	Khee Lim	621 Magnolia Ave, Millbrae 94030	650-259-2347	klim@ci.millbrae.ca.us
City of Pacifica	Cecilia Quick	170 Santa Maria Ave, Pacifica 94044	650-738-7408	quickc@ci.pacifica.ca.us
Town of Portola Valley	Angela Howard	765 Portola Road, Portola Valley 94028	650-851-1700	angelahoward@portolavalley.net
City of Redwood City	Peter C. Ingram	P. O. Box 391, Redwood City 94063	650-780-7300	pigram@redwoodcity.org
City of San Carlos	Mark Weiss	600 Elm Street, San Carlos 94070	650-802-4100	mweiss@cityofsancarlos.org
City of San Mateo	Susan M. Loftus	330 West 20th Ave, San Mateo 94403	650-522-7000	sloftus@cityofsanmateo.org
City of South San Francisco	Terry White	550 North Canal, So. San Francisco 94080	650-877-8550	terry.white@ssf.net
San Mateo County Flood Control District	James Porter	555 County Center, 5th Floor, RWC 94063	650-363-4100	jporter@co.sanmateo.ca.us
San Mateo County	James Porter	555 County Center, 5th Floor, RWC 94063	650-363-4100	jporter@co.sanmateo.ca.us
C/CAG and SMCWPPP	Richard Napier	555 County Center, 5th Floor, RWC 94063	650-599-1420	rnapiere@co.sanmateo.ca.us