

**CONFLICT-OF-INTEREST CODE  
FOR THE  
STATE WATER RESOURCES CONTROL BOARD**

The Political Reform Act (Gov. Code, § 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation (Cal. Code Regs., tit. 2, § 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, it may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of Title 2, California Code of Regulations, Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of the **State Water Resources Control Board (State Water Board)**.

Individuals holding designated positions shall file statements of economic interests with the **State Water Board**, which will make the statements available for public inspection and reproduction. (Gov. Code, § 81008.) Upon receipt of the statements of the State Board Executive Director and the State Water Board Members, the **State Water Board** shall make and retain a copy and forward the original of these statements to the **Fair Political Practices Commission**. All other statements shall be retained by the **State Water Board**.

NOTE: Authority Cited: Sections 81008, 87300, 87304, Government Code.  
Reference: Sections 87300, et seq., Government Code.

CONFLICT-OF-INTEREST CODE  
FOR THE  
STATE WATER RESOURCES CONTROL BOARD

APPENDIX A

| DESIGNATED POSITIONS  | DISCLOSURE CATEGORIES |
|---|-----------------------|
| <b>I. STATE WATER RESOURCES CONTROL BOARD</b>                           |                       |
| <b>A. Executive</b>   |                       |
| 1. State Board Members  | 1                     |
| 2. Executive Director   | 1                     |
| 3. Chief Deputy Director(s)   | 1                     |
| 4. Clerk to the Board   | 2, 4                  |
| 5. Staff Services Manager II  | 2, 4                  |
| 6. Delta Watermaster  | 1                     |
| <b>B. Office of Research Planning and Performance</b>                   |                       |
| 1. Director   | 1                     |
| 2. Supervisors  | 1                     |
| 3. Staff Services Manager I, Training Office and Administrative Support | 3                     |
| 4. Research Program Specialist II (Economist)                           | 9                     |
| <b>C. Office of Public Participation</b>                                |                       |
| 1. Director   | 1                     |
| 2. Administrative Officer   | 3                     |
| <b>D. Office of Legislative Affairs</b>                                 |                       |
| 1. Director   | 1                     |
| 2. Staff Services Manager   | 2, 4                  |
| <b>E. Office of Enforcement</b>   |                       |
| 1. Director   | 1                     |
| 2. Assistant Chief Counsel  | 1                     |
| 3. Attorneys  | 1                     |
| 4. Senior Engineer(s) (Specialist)                                      | 1                     |
| 5. Unit Supervisors   | 1                     |
| 6. Administrative Officer   | 3                     |
| <b>F. Division of Information Technology</b>                            |                       |
| 1. Deputy Director  | 7                     |

| <b>DESIGNATED POSITIONS</b>                             |   | <b>DISCLOSURE CATEGORIES</b> |
|---|---|------------------------------|
| 2.  | Branch Managers   | 7                            |
| 3.  | Supervisors   | 7                            |
| <b>G. Office of Information Management and Analysis</b> |   |                              |
| 1.  | Director  | 1                            |
| 3.  | Supervisors/Managers  | 1                            |
| <b>H. Division of Water Quality</b>                     |   |                              |
| 1.  | Deputy Director   | 1                            |
| 2.  | Assistant Deputy Director(s)  | 1                            |
| 3.  | Section Supervisors/Chiefs  | 1                            |
| 4.  | Administrative Officer  | 3                            |
| <b>I. Division of Administrative Services</b>           |   |                              |
| 1.  | Deputy Director   | 2, 4                         |
| 2.  | Assistant Deputy Director   | 2, 4                         |
| 3.  | Branch Chiefs/Supervisors   | 2, 4                         |
| 4.  | Administrative Liaison Unit Manager   | 2, 4                         |
| 5.  | Filing Officer (defined in Government Code Section 82027)   | 8                            |
| 6.  | Managers, Procurement and Contracts Section   | 2, 4                         |
| 7.  | Associate Governmental Program Analyst (Procurement & Contracts Unit, Contracts Unit and Administrative Liaison Unit) | 2                            |
| 8.  | Staff Services Analyst (Procurement & Contracts Unit, Contracts Unit, and Administrative Liaison Unit)                | 2                            |
| <b>J. Division of Water Rights</b>                      |   |                              |
| 1.  | Deputy Director   | 1                            |
| 2.  | Assistant Deputy Director(s)  | 1                            |
| 3.  | Section Supervisors (except Administrative, Reporting and DMU Section)  | 1                            |
| 4.  | Supervisor, Administrative, Reporting and DMU Section   | 3                            |
| <b>K. Division of Financial Assistance</b>              |   |                              |
| 1.  | Deputy Director   | 1                            |
| 2.  | Assistant Deputy Directors  | 1                            |
| 3.  | Branch Managers   | 1                            |
| 4.  | Section Supervisors/Chiefs  | 1                            |

| DESIGNATED POSITIONS  | DISCLOSURE CATEGORIES |
|---|-----------------------|
| 5. Unit Managers/Supervisors                                | 1                     |
| <b>L. Office of the Chief Counsel</b>                       |                       |
| 1. Chief Counsel  | 1                     |
| 2. Assistant Chief Counsels                                 | 1                     |
| 3. Attorneys  | 1                     |
| 4. Administrative Liaison                                   | 2, 4                  |
| <b>M. Office of Public Affairs</b>                          |                       |
| 1. Director   | 1                     |
| <b>N. Division of Drinking Water</b>                        |                       |
| 1. Deputy Director  | 1                     |
| 2. Assistant Deputy Directors                               | 1                     |
| 3. Section Supervisors/Chiefs                               | 1                     |
| 4. Unit Managers/Supervisors                                | 1                     |
| 5. Supervising District Engineers                           | 1                     |
| <b>II. REGIONAL WATER QUALITY CONTROL BOARDS</b>            |                       |
| <b>A. All Regional Board Members</b>                        | 1                     |
| <b>B. Region 1-North Coast</b>                              |                       |
| 1. Executive Officer  | 1                     |
| 2. Assistant Executive Officer(s)                           | 1                     |
| 3. Division Chiefs/Supervisors                              | 1                     |
| 4. Unit Supervisors (except Administrative Unit)            | 2, 4, 9               |
| 5. Chief, Administrative Unit                               | 2, 4                  |
| <b>C. Region 2-San Francisco Bay</b>                        |                       |
| 1. Executive Officer  | 1                     |
| 2. Assistant Executive Officer(s)                           | 1                     |
| 3. Division Chiefs/Supervisors (except Management Services) | 1                     |
| 4. Section Leaders  | 2, 4, 9               |
| 5. Non-Supervising Seniors                                  | 2, 4, 9               |
| 6. Chief, Management Services Division                      | 2, 4                  |
| <b>D. Region 3-Central Coast</b>                            |                       |

| <b>DESIGNATED POSITIONS</b>             |   | <b>DISCLOSURE CATEGORIES</b> |
|---|---|------------------------------|
| 1.                                      | Executive Officer   | 1                            |
| 2.                                      | Assistant Executive Officer   | 1                            |
| 3.                                      | Section Managers  | 2, 4, 9                      |
| 4.                                      | Unit Supervisors (except Administrative Services)                           | 2, 4, 9                      |
| 5.                                      | Supervisor, Administrative Services Unit                                    | 2, 4                         |
| <b>E. Region 4-Los Angeles</b>          |   |                              |
| 1.                                      | Executive Officer   | 1                            |
| 2.                                      | Chief Deputy Executive Officer  | 1                            |
| 3.                                      | Assistant Executive Officer(s)  | 1                            |
| 4.                                      | Section Chiefs (except Administrative Services)                             | 2, 4, 9                      |
| 5.                                      | Unit Supervisors  | 2, 4, 9                      |
| 6.                                      | Senior Environmental Scientists (Spec.)                                     | 2, 4, 9                      |
| 7.                                      | Senior Engineering Geologists (Spec.)                                       | 2, 4, 9                      |
| 8.                                      | Section Chief, Administrative Services                                      | 2, 4                         |
| <b>F. Region 5-Central Valley</b>       |   |                              |
| 1.                                      | Executive Officer   | 1                            |
| 2.                                      | Assistant Executive Officer(s)  | 1                            |
| 3.                                      | Section Supervisors   | 1                            |
| 4.                                      | Unit Supervisors (except Administrative Support Services/Technical Support) | 2, 4, 9                      |
| 5.                                      | Non-Supervising Seniors   | 2, 4, 9                      |
| 6.                                      | Supervisor(s), Administrative Support Services                              | 2, 4                         |
| <b>G. Region 6-Lahontan</b>             |   |                              |
| 1.                                      | Executive Officer   | 1                            |
| 2.                                      | Assistant Executive Officer   | 1                            |
| 4.                                      | Division Chiefs/Supervisors   | 1                            |
| 5.                                      | Unit Supervisors (except Administrative Support Services)                   | 1                            |
| 6.                                      | Regional Monitoring Coordinator   | 2, 4, 9                      |
| 7.                                      | Supervisor, Administrative Support Services                                 | 2, 4                         |
| <b>H. Region 7-Colorado River Basin</b> |   |                              |
| 1.                                      | Executive Officer   | 1                            |
| 2.                                      | Assistant Executive Officer   | 1                            |
| 3.                                      | Division Supervisors/Chiefs   | 1                            |

| <b>DESIGNATED POSITIONS</b>       |  | <b>DISCLOSURE CATEGORIES</b> |
|-----------------------------------|--|------------------------------|
| 4.                                | Section Supervisors (except Administrative and Support Services) | 2, 4, 9                      |
| 5.                                | Non-Supervising Senior (Specialist)                              | 2, 4, 9                      |
| 6.                                | Supervisor, Administrative Support Services                      | 2, 4                         |
| <b>I. Region 8-Santa Ana</b>      |  |                              |
| 1.                                | Executive Officer  | 1                            |
| 2.                                | Assistant Executive Officer                                      | 1                            |
| 3.                                | Branch/Division Chiefs/Supervisors                               | 1                            |
| 4.                                | Section Supervisors (except Administrative Support Services)     | 2, 4, 9                      |
| 5.                                | Supervisor, Administrative Services                              | 2, 4                         |
| <b>J. Region 9-San Diego</b>      |  |                              |
| 1.                                | Executive Officer  | 1                            |
| 2.                                | Assistant Executive Officer                                      | 1                            |
| 3.                                | Branch/Division Supervisors/Chiefs                               | 1                            |
| 4.                                | Unit Supervisors (Except Mission Support Services)               | 1                            |
| 5.                                | Senior Specialist, Monitoring and Surveillance                   | 1                            |
| 6.                                | Information Systems Analyst                                      | 7                            |
| 7.                                | Supervisor, Mission Support Services                             | 2                            |
| <b>K. Consultant/New Position</b> |  | *                            |

\* Consultants/new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Executive Director or Executive Officer of a region may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and, thus, is not required to comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s or Executive Officer’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008.)

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APPENDIX B  
DISCLOSURE CATEGORIES

*Disclosure categories applied to members, officers and employees of Regional Water Quality Control Boards refer to the jurisdiction of the applicable Regional Water Quality Control Board as set forth in Water Code Sections 13200 and 13260(a). Disclosure categories applied to members, officers and employees of the State Water Resources Control Board refer to the jurisdiction of the State Water Resources Control Board, which is the entire State of California.*

*Category 1*

All investments and business positions in business entities, interests in real property and sources of income, including gifts, loans, and travel payments. This includes, but is not limited to, sources that are of the type to be regulated by the Board, as well as persons that apply for any license, permit, certificate, or registration issued by the Board.

*Category 2*

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the State Water Board or a Regional Water Board to provide services, supplies, materials, machinery, or equipment.

*Category 3*

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments, from entities of the type to contract with the designated position's Branch, Division or Unit.

*Category 4*

All interests in real property.

*Category 5*

All investments, business positions in business entities, and sources of income, including gifts, loans, and travel payments from entities of the type to hold or apply for a water right entitlement from the State Water Board. All interests in real property in which the application for a water right entitlement has been made, or for which a water right entitlement has been obtained.

*Category 6*

All investments, and business positions in business entities, and sources of income, including gifts, loans and travel payments, from, sources of the type, which provide research, planning or environmental impact reporting services related to water supply or water quality.

*Category 7*

All investments and business positions in business entities, and sources of income, including gifts, loans, and travel payments, from, information technology and telecommunications sources, of the type to contract with the State Board or a Regional Board, including but not limited to, computer hardware or software companies, computer consultant services, data processing firms, telephone and media services.

*Category 8*

All sources of income, including gifts, loans, and travel payments from any State Water Board or Regional Water Board Member or any individual designated in the conflict-of-interest code.

*Category 9*

All business positions in business entities, investments, and sources of income, including gifts, loans, and travel payments, from sources that are of the type to be regulated by the Board. Such sources include persons that apply for any license, permit, certificate, or registration issued by the Board.