

CALIFORNIA REGIONAL WATER QUALITY CONTROL BOARD  
CENTRAL VALLEY REGION

ADMINISTRATIVE CIVIL LIABILITY ORDER R5-2017-0522

MANDATORY PENALTY  
IN THE MATTER OF

CITY OF DUNSMUIR  
CITY OF DUNSMUIR WASTEWATER TREATMENT PLANT  
SHASTA COUNTY

WDID NO. 5A470101001

This Administrative Civil Liability Order (Order) is issued to the City of Dunsmuir (hereafter Discharger), Dunsmuir Wastewater Treatment Plant (hereafter Facility) pursuant to California Water Code (Water Code) section 13385, which authorizes the imposition of Administrative Civil Liability (ACL). This Order is based on findings that the Discharger violated provisions of Waste Discharge Requirements (WDRs) Order R5-2012-0085 (NPDES No. CA0078441).

The Executive Officer of the California Regional Water Quality Control Board, Central Valley Region (Central Valley Water Board or Board) finds the following:

1. The Discharger owns and operates the Dunsmuir Wastewater Treatment Plant. Treated wastewater is discharged from Discharge Point D-001 to the Sacramento River. The Sacramento River is a water of the United States.
2. On 4 October 2012 the Central Valley Water Board adopted WDRs Order R5-2012-0085, which was effective 23 November 2012 and contained new requirements and rescinded WDRs Orders R5-2006-0129, except for enforcement purposes.
3. On 19 November 2012, Central Valley Water Board staff issued the Discharger a Notice of Violation and draft Record of Violations for effluent violations from 1 September 2012 through 30 September 2012.
4. On 6 February 2013, Central Valley Water Board staff issued the Discharger a Notice of Violation and draft Record of Violations for effluent violations from 1 October 2012 through 31 October 2012.
5. On 22 February 2013, Central Valley Water Board staff issued the Discharger a Notice of Violation and draft Record of Violations for effluent violations from 1 December 2012 through 31 December 2012.
6. Water Code sections 13385(h) and (i) require the assessment of mandatory penalties and state, in part, the following:

Water Code section 13385(h)(1) states:

Notwithstanding any other provision of this division, and except as provided in subdivisions (j), (k), and (l), a mandatory minimum penalty of three thousand dollars (\$3,000) shall be assessed for each serious violation.

Water Code section 13385 (h)(2) states,

For the purposes of this section, a 'serious violation' means any waste discharge that violates the effluent limitations contained in the applicable waste discharge requirements for a Group II pollutant, as specified in Appendix A to Section 123.45 of Title 40 of the Code of Federal Regulations, by 20 percent or more or for a Group I pollutant, as specified in Appendix A to Section 123.45 of Title 40 of the Code of Federal Regulations, by 40 percent or more.

Water Code section 13385(i)(1) states

Notwithstanding any other provisions of this division, and except as provided in subdivisions (j), (k), and (l), a mandatory minimum penalty of three thousand dollars (\$3,000) shall be assessed for each violation whenever the person does any of the following four or more times in any period of six consecutive months, except that the requirements to assess the mandatory minimum penalty shall not be applicable to the first three violations.

- a) violates a waste discharge requirement effluent limitation;
- b) fails to file a report pursuant to California Water Code section 13260;
- c) files an incomplete report pursuant to California Water Code section 13260; or
- d) violates a toxicity effluent limitation contained in the applicable waste discharge pollutant-specific effluent limitations for toxic pollutants.

7. Water Code section 13323 states, in part:

Any executive officer of a regional board may issue a complaint to any person on whom administrative civil liability may be imposed pursuant to this article. The complaint shall allege the act or failure to act that constitutes a violation of law, the provision authorizing civil liability to be imposed pursuant to this article, and the proposed civil liability.

8. On 8 November 2013, the Assistant Executive Officer of the Central Valley Water Board issued Administrative Civil Liability Complaint No. R5-2013-0584 (Complaint) for mandatory minimum penalties in the amount of \$39,000 for effluent violations that occurred during the period from 1 September 2012 through 31 December 2012. The Discharger waived its right to a hearing within 90 days in order to engage in settlement discussion and submitted the Waiver Form attached to the Complaint.

9. Water Code section 13385 subdivision (k) states:

(1) In lieu of assessing all or a portion of the mandatory minimum penalties pursuant to subdivisions (h) and (i) against a publicly owned treatment works serving a small community, the state board or the regional board may elect to require the publicly owned treatment works to spend an equivalent amount towards the completion of a compliance project proposed by the publicly owned treatment works, if the state board or the regional board finds all of the following:

- (A) The compliance project is designed to correct the violations within five years.

- (B) The compliance project is in accordance with the enforcement policy of the state board, excluding any provision in the policy that is inconsistent with this section.
  - (C) The publicly owned treatment works has prepared a financing plan to complete the compliance project.
- (2) For the purposes of this subdivision, “a publicly owned treatment works serving a small community” means a publicly owned treatment works serving a population of 10,000 persons or fewer or a rural county, with a financial hardship as determined by the state board after considering such factors as median income of the residents, rate of unemployment, or low population density in the service area of the publicly owned treatment works.
10. The State Water Resources Control Board has determined that the Discharger’s wastewater treatment plant is a publicly owned treatment works serving a small community with a financial hardship within the meaning of Water Code section 13385 subdivision(k)(2).
  11. On 8 January 2014, in response to Complaint No. R5-2013-0584, the Discharger submitted a letter to the Central Valley Water Board with a time schedule for planned major Facility improvements and upgrades. Construction of the Facility improvements and upgrades began in mid-2013 with an estimated project completion date of October 2016. Major components of the Facility improvements included: addition of an anoxic selector for denitrification and filamentous control, conversion of the existing 35 foot diameter secondary clarifier into an aerobic digester, and construction of a new 60 foot diameter secondary clarifier to improve solids capture rates throughout the year and handle peak wet weather flows.
  12. In the same 8 January 2014 letter, the Discharger submitted contractor invoices and accounting records demonstrating payments made towards completion of Facility upgrades in the amount of \$75,428.28 on 16 December 2013 and \$506,173.10 on 20 December 2013. The combined total of these two payments, or \$581,601.38, is in excess of the mandatory minimum penalty required by water code sections 13385(h) and (i).
  13. The Central Valley Water Board finds that the compliance project has been designed to correct the violations that led to the issuance of the Complaint and is in accordance with the State Water Resource Control Board’s Water Quality Enforcement Policy (Enforcement Policy).
  14. This Order constitutes a settlement of the violations herein mentioned. Notice of this settlement was published on the Central Valley Water Board’s website, and was provided to interested parties. The 30-day public notice and comment period mandated by Federal regulations (40 CFR 123.27) has expired.
  15. Issuance of this Administrative Civil Liability Complaint to enforce CWC Division 7, Chapter 5.5 is exempt from the provisions of the California Environmental Quality Act (Pub. Resources Code section 21000 et seq.), in accordance with California Code of Regulations, title 14, section 15321(a)(2).

**IT IS HEREBY ORDERED**, pursuant to Water Code sections 13385, that:

1. The City of Dunsmuir, Dunsmuir Wastewater Treatment Plant, its agents, successors and assigns, shall be assessed Administrative Civil Liability in the amount of **thirty-nine thousand dollars (\$39,000)**.
2. The entire \$39,000 is treated as a permanently suspended administrative civil liability as the Discharger has submitted proof to the Central Valley Water Board that the money spent toward the compliance project detailed in Findings 11 and 12 was equal to or greater than the suspended administrative liability.

Any person aggrieved by this action of the Central Valley Water Board may petition the State Water Board to review the action in accordance with Water Code section 13320 and California Code of Regulations, Title 23, Sections 2050 and following. The State Water Board must receive the petition by 5:00 p.m., 30 days after the date of this Order, except that if the thirtieth day following the date of this Order falls on a Saturday, Sunday, or state holiday, the petition must be received by the State Water Board by 5:00 p.m. on the next business day. Copies of the law and regulations applicable to filing petitions may be found on the Internet at:

[http://www.waterboards.ca.gov/public\\_notices/petitions/water\\_quality](http://www.waterboards.ca.gov/public_notices/petitions/water_quality) or will be provided upon request.

This Order is effective immediately upon issuance.

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PAMELA C. CREEDON  
Executive Officer

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(Date)

Attachment A: Record of Violations

**ATTACHMENT A**  
**ADMINISTRATIVE CIVIL LIABILITY ORDER NO. R5-2017-0522**

**City of Dunsmuir**

**Dunsmuir Wastewater Treatment Plant**

**CALCULATION OF MANDATORY MINIMUM PENALTIES**

**RECORD OF VIOLATIONS (1 September 2012 – 31 December 2012) MANDATORY PENALTIES**  
 (Data reported under Monitoring and Reporting Program R5-2006-0129 and R5-2012-0085)

Date	Discharge Point	Violation Type	Units	Effluent Limit	Analytical Results	Percent Over	Period	Violation Type	CIWQS Violation	MMP
9/20/2012	D-001	Dichlorobromomethane	ug/L	1.13	1.6	41.6%	Daily Maximum	Serious Group II	940244	\$3,000
9/20/2012	D-001	Zinc, Total Recoverable	ug/L	20.0	41.6	108%	Daily Maximum	Serious Group II	940245	\$3,000
9/30/2012	D-001	Copper, Total Recoverable	ug/L	3.69	8.6	133%	Monthly Average	Serious Group II	940242	\$3,000
9/30/2012	D-001	Dichlorobromomethane	ug/L	0.56	1.6	186%	Monthly Average	Serious Group II	940243	\$3,000
9/20/2012	D-001	Zinc, Total Recoverable	ug/L	9.96	41.9	317%	Monthly Average	Serious Group II	940246	\$3,000
10/17/2012	D-001	Copper, Total Recoverable	ug/L	7.40	9.3	25.7%	Daily Maximum	Serious Group II	944310	\$3,000
10/17/2012	D-001	Dichlorobromomethane	ug/L	1.13	3.66	224%	Daily Maximum	Serious Group II	944317	\$3,000
10/17/2012	D-001	Zinc, Total Recoverable	ug/L	20.0	42.2	110%	Daily Maximum	Serious Group II	944319	\$3,000
10/31/2012	D-001	Copper, Total Recoverable	ug/L	3.69	9.3	152%	Monthly Average	Serious Group II	944316	\$3,000
10/31/2012	D-001	Dichlorobromomethane	ug/L	0.56	3.66	554%	Monthly Average	Serious Group II	944318	\$3,000

10/31/2012	D-001	Zinc, Total Recoverable	ug/L	9.96	42.2	324%	Monthly Average	Serious Group II	944320	\$3,000
12/13/2012	D-001	Dichlorobromomethane	ug/L	4.1	5.85	42.6%	Daily Maximum	Serious Group II	944280	\$3,000
12/31/2012	D-001	Dichlorobromomethane	ug/L	4.1	5.85	42.6%	Monthly Average	Serious Group II	944327	\$3,000
									<b>Total Assessment</b>	<b>\$39,000</b>

Notes: Serious Group I: any waste discharge that exceeds the effluent limitations for a group I pollutant by 40% or more.

Serious Group II: any waste discharge that exceeds the effluent limitations for a group II pollutant by 20% or more.

Non-Serious Violation: A non-serious violation will be subject to MMPs if the discharger does any one of the following four or more times in any period of 180 days:

- (a) violates a WDR effluent limitation;
- (b) fails to file a report of waste discharge pursuant to California Water Code section 13260;
- (c) files an incomplete report of waste discharge pursuant to California Water Code section 13260; or
- (d) violates a whole effluent toxicity limitation where the WDRs do not contain pollutant-specific effluent limitations for any toxic pollutants.

Daily Maximum: Maximum daily effluent limitation

Monthly Average: Average monthly effluent limitation