

ATTACHMENT A
ADMINISTRATIVE CIVIL LIABILITY COMPLAINT NO. R5-2016-0507

Shasta County CSA No. 17

Cottonwood Wastewater Treatment Plant

CALCULATION OF MANDATORY MINIMUM PENALTIES

RECORD OF VIOLATION (16 December 2013 – 23 May 2015) MANDATORY PENALTIES
(Data reported under Monitoring and Reporting Program No. R5-2010-0044)

Violation Date	Discharge Point	Pollutant/Parameter	Units	Effluent Limit	Analytical/Calculated Result	Percentage Over	Period	Violation Type	CIWQS Violation ID	MMP Amount
12/16/2013	D-001	pH	Standard Units	8.5	9.2	--	Instantaneous Maximum	Non-Serious	961627	1
12/17/2013	D-001	pH	Standard Units	8.5	9.1	--	Instantaneous Maximum	Non-Serious	961624	1
12/20/2013	D-001	pH	Standard Units	8.5	8.7	--	Instantaneous Maximum	Non-Serious	961621	1
1/21/2014	D-001	pH	Standard Units	6.5	6.4	--	Instantaneous Minimum	Non-Serious	964017	\$3,000
1/26/2014	D-001	pH	Standard Units	6.5	6.1	--	Instantaneous Minimum	Non-Serious	964018	\$3,000
2/12/2014	D-001	pH	Standard Units	6.5	6.4	--	Instantaneous Minimum	Non-Serious	995027	\$3,000
2/15/2014	D-001	pH	Standard Units	8.5	8.8	--	Instantaneous Maximum	Non-Serious	965194	\$3,000
2/19/2014	D-001	pH	Standard Units	6.5	6.4	--	Instantaneous Minimum	Non-Serious	995029	\$3,000
2/19/2014	D-001	pH	Standard Units	8.5	9.0	--	Instantaneous Maximum	Non-Serious	965195	2
2/20/2014	D-001	pH	Standard Units	8.5	8.7	--	Instantaneous Maximum	Non-Serious	965196	\$3,000
10/19/2014	D-001	pH	Standard Units	6.5	6.4	--	Instantaneous Minimum	Non-Serious	980368	3

Violation Date	Discharge Point	Pollutant/Parameter	Units	Effluent Limit	Analytical/Calculated Result	Percentage Over	Period	Violation Type	CIWQS Violation ID	MMP Amount
11/14/2014	D-001	Total Coliform Organisms	MPN/100mL	23	28	--	AWEL 7-day Median	Non-Serious	983167	³
4/27/2015	D-001	Total Coliform Organisms	MPN/100mL	23	127	--	AWEL 7-day Median	Non-Serious	995002	³
4/30/2015	D-001	Total Coliform Organisms	MPN/100mL	23	127	--	AWEL 7-day Median	Non-Serious	995003	³
5/23/2015	D-001	pH	Standard Units	6.5	6.2	--	Instantaneous Minimum	Non-Serious	992027	³
									TOTAL:	\$18,000

¹ Non-serious violation previously assessed in Notice of Violation (NOV) dated 7 February 2014.

² Additional violations in a single day for same pollutant parameter not subject to MMPs.

³ Non-serious violations that fall within the first three violations in a six month period are exempt from MMPs.

- Notes: Serious Group I: any waste discharge that exceeds the effluent limitations for a group I pollutant by 40% or more.
 Serious Group II: any waste discharge that exceeds the effluent limitations for a group II pollutant by 20% or more.
 Non-Serious Violation: A non-serious violation will be subject to MMPs if the discharger does any one of the following four or more times in any period of 180 days:
- violates a WDR effluent limitation;
 - fails to file a report of waste discharge pursuant to California Water Code section 13260;
 - files an incomplete report of waste discharge pursuant to California Water Code section 13260; or
 - violates a whole effluent toxicity limitation where the WDRs do not contain pollutant-specific effluent limitations for any toxic pollutants.
- AWEL: average weekly effluent limit