

**Alternative TMF Assessment Certification Form**  
(Only for large water systems serving more than 10,000 people)  
**State Water Recourses Control Board Health (SWRCB) Funding Programs**

**Water System Name:** \_\_\_\_\_ **Water System Number:** \_\_\_\_\_

- The General Manager (GM) of the water system must sign this form to certify that all of the technical, managerial, and financial (TMF) requirements have been satisfied.
- List the specific location where the information can be found that has been used to evaluate TMF capacity. The Division of Financial Assistance (DFA) reviewer must date and sign each TMF element verifying that the system has addressed all of the TMF elements and has satisfied the mandatory (M) elements. Reference the TMF Criteria at the following link for TMF details:  
Capacity Development  
[http://www.waterboards.ca.gov/drinking\\_water/certlic/drinkingwater/TMF.shtml](http://www.waterboards.ca.gov/drinking_water/certlic/drinkingwater/TMF.shtml)
- All Necessary TMF elements must be satisfied prior to project completion.
- A water system can be directed to complete a full or partial TMF assessment at the discretion of the DFA.

**Technical Capacity**

1. Consolidation Feasibility (M): \_\_\_\_\_
2. System Description (N): \_\_\_\_\_
3. Certified Operators (N): \_\_\_\_\_
4. Source Capacity Assessment (N): \_\_\_\_\_
5. Operations Plan (N): \_\_\_\_\_
6. Training (N): \_\_\_\_\_

**Managerial Capacity**

7. Ownership (M): \_\_\_\_\_
8. Water Rights (M): \_\_\_\_\_
9. Organization (N): \_\_\_\_\_
10. Emergency Response Plan (N): \_\_\_\_\_
11. Policies: \_\_\_\_\_

**Financial Capacity**

12. Budget Projection/Capital Improvement Plan (M): \_\_\_\_\_
13. Budget Control (N): \_\_\_\_\_

**GM Certifies that all TMF elements meet or exceed expectations as described in TMF Criteria guidance document:** \_\_\_\_\_ **Date:** \_\_\_\_\_