



Lahontan Regional Water Quality Control Board

Date Distributed: June 21, 2012

MEETING AGENDA

July 11-12, 2012

Regional Water Quality Control Board, Lahontan Region Annex 971 Silver Dollar Ave, Board Room South Lake Tahoe, CA 96150

Wednesday, July 11, 2012

Regular Meeting: 1:30 p.m.

Dinner: 5:00 p.m.

Regular Meeting Continued: 7:00 p.m.

Thursday, July 12, 2012

Regular Meeting Continued: 8:30 a.m.

Note: A quorum of the Water Board will be dining on Wednesday, July 11, 2012, at 5:00 p.m. at Orchids Authentic Thai Food located at 2180 Lake Tahoe Boulevard in South Lake Tahoe. The Water Board will not be discussing anything related to the Board's authority during this dinner.

Supporting Documents:

Supporting documents for agenda items are posted on our website at least 10 days prior to the scheduled meeting. If you wish to be added to the interested parties list for a specific agenda item, please contact the staff person listed with the item in the agenda announcement. To view or download documents, go to www.waterboards.ca.gov/lahontan. (see note below for information on the timing for submitting comments).

Submittal of Written Material for Water Board Consideration:

Comments on individual items are welcome and encouraged. Written comments on an agenda item must be submitted on or before the due date listed in the hearing notice associated with the agenda item. Hearing notices are distributed to persons who have indicated they want to receive information about a specific item and are posted on the Water Board's web site (www.waterboards.ca.gov/lahontan). For items that do not have a separate hearing notice with specific due dates, written comments must be submitted at least ten (10) days before the meeting. This allows time to distribute the material to Water Board members in advance of the meeting, providing the opportunity for the members to read and consider the information submitted. Pursuant to California Code of Regulations, Title 23, section 648.4, the Water Board may refuse to admit written testimony into evidence unless the proponent can demonstrate why he or she was unable to submit the material on time or that compliance with the deadline would otherwise create a hardship. If any other party demonstrates prejudice resulting from admission of the written testimony, the Water Board may refuse to admit it. A copy of the procedures governing Water Board meetings may be found at California Code of Regulations, Title 23, section 647 et seq., and is available upon request. Hearings before the Water Board are not conducted pursuant to Government Code section 11500 et seq.

The meeting room is accessible to people with disabilities. If you have special accommodations or language needs, please contact Sue Genera at least five days prior to the meeting date at (530) 542-5414 or sgenera@waterboards.ca.gov. TTY/TDD/Speech-to-Speech users may dial 7-1-1 for the California Relay Service.

General Meeting Information:

The following items are numbered for identification purposes only and will not necessarily be considered in this order. Public hearings will not be called to order prior to the time specified. It is likely that some of the items scheduled for Wednesday afternoon will carry-over into the evening session. If, due to time constraints, the Water Board is unable to consider all of the items scheduled for Wednesday, the item(s) not heard will be considered on Thursday. All Board files, exhibits and agenda material pertaining to items on this agenda are hereby made a part of the record for the appropriate item.

Anyone wishing to present a Microsoft PowerPoint® presentation during the meeting, using the Water Board's projector, must provide the presentation to the Water Board on either a CD or via email at least three working days prior to the meeting. Please contact the staff person listed for the agenda item of interest.

REGULAR MEETING

Wednesday, July 11, 2012- 1:30 p.m.

INTRODUCTIONS

1. **MINUTES** (The Water Board will consider adopting the minutes of the Special Meeting of March 29, 2012, held via teleconference in; Bishop, Victorville, South Lake Tahoe, Truckee, and Rosamond, CA, the Regular Meeting of April 11-12, 2012, held in South Lake Tahoe, CA, and of the Subcommittee Meeting of June 13-14, 2012, held in Barstow, CA) (Sue Genera)

2. ADOPTION OF UNCONTESTED CALENDAR

Items denoted by (*) are expected to be routine and non-controversial. The Water Board will act on these items at one time without discussion. If any Water Board member, staff member, or interested party requests discussion, the item will be removed from the Uncontested Calendar to be considered separately. Requests to have an item removed from the uncontested calendar can be made in advance of the meeting by writing to the Water Board or by calling the Water Board's Executive Officer or the request can be made to the Water Board at the meeting on the Wednesday before the vote on the Uncontested Calendar.

REVISED WASTE DISCHARGE REQUIREMENTS

- *Waste Discharge Requirements for Antelope Valley Public Landfill, Los
 Angeles County Board Order No. R6V-2012-(Proposed) WDID No. 6B191112004

 (The Water Board will consider adopting Revised Waste Discharge Requirements.) (Mike Plaziak)
- *Barstow Class III Landfill, Class II Surface Impoundments, and Septage Sludge Landfarm, San Bernardino County – Board Order No. R6V-2008-0025 – WDID No. 6B360304005 (The Water Board will consider adopting Revised Waste Discharge Requirements.) (Christy Hunter)
- *California Department of Fish and Game; Black Rock Fish Hatchery, Inyo County R6V-2006-0031 – WDID No. 6B140800003 (The Water Board will consider adopting Revised Waste Discharge Requirements and NPDES Permit.) (Mary Dellavalle)

WORKSHOP

6. Workshop On Livestock Grazing And Water Quality (Water Board staff will provide information of the environmental effects of grazing and the historical use of coliform bacteria as indicators of water quality impacts. Financial assistance available for the implementation and evaluation of grazing management practices will be shared. (Cindy Wise)

PERMITS - REVISIONS, UPDATES, AMENDMENTS OR RESCISSIONS

7. Renewal of General Conditional Waiver of Waste Discharge Requirements for Grazing Operations In the East Walker River Watershed (Bridgeport Valley and Tributaries) of the Lahontan Region (The Water Board will consider renewal of a conditional waiver of Waste Discharge Requirements) (Bruce Warden)

Dinner Recess Approximately 5-7 p.m.

REGULAR MEETING CONTINUED

<u>Wednesday, July 11, 2012- 7:00 p.m. (Approximately)</u> <u>INTRODUCTIONS</u>

8. PUBLIC FORUM

Any person may address the Water Board regarding a matter within the Water Board's jurisdiction that is not related to an item on this meeting agenda. Comments will generally be limited to five minutes, unless otherwise directed by the Chair. Any person wishing to make a longer presentation should contact the Executive Officer at least ten days prior to the meeting. Comments regarding matters that are under development for future meetings will be restricted.(See http://www.waterboards.ca.gov/lahontan/board_info/agenda/upcoming.shtml#top)

ENFORCEMENT

- Public Hearing Consideration of Rescinding Multiple Cease and Desist Orders for
 Dischargers within the Spalding Tract Subdivision, Eagle Lake Basin, Lassen County
 (The Water Board will consider rescinding 22 Cease and Desist Orders (CDO) issued to Spalding Tract property owners who have since satisfied CDO requirements, no longer own the property, or are deceased.) (Scott C. Ferguson)
- 10. Public Hearing Consideration of an Administrative Civil Liability Order for Estes, Kenneth P. & Mary L. JT for Violating Cease and Desist Order No. R6T-2009-0081, Spalding Tract Subdivision, Lassen County APN 077-233-47-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$1,367 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 11. Public Hearing Consideration of an Administrative Civil Liability Order for Helmer, Nason James & Gail Ann Revocable Trust for Violating Cease and Desist Order No. R6T-2009-0096, Spalding Tract Subdivision, Lassen County APN 077-372-16-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$1,063 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 12. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for NANCO, Inc. (Discharger) for Violating Cease and Desist Order No. R6T-2009-0108, Spalding Tract Subdivision, Lassen County APN 077-292-11-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$16,490 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)

- 13. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for Naylor, Mitchell Creigh & Ginger JT for Violating Cease and Desist Order No. R6T-2009-0109, Spalding Tract Subdivision, Lassen County APN 077-401-11-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$16,490 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 14. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for Neil, Gerald & Janet JT for Violating Cease and Desist Order Nos. R6T-2009-0088, R6T-2009-0099, R6T-2009-0091, R6T-2009-0092, and R6T-2009-0093, Spalding Tract Subdivision, Lassen County APNs 077-361-23-11, 077-143-10-11, 077-163-06-11, 077-271-09-11, 077-272-43-11, and 077-133-10-11 (The Water Board will consider adopting, (with or without amendments, or rejecting a proposed ACL Order imposing a \$98,940 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 15. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for Robinson, Gary L. for Violating Cease and Desist Order Nos. R6T-2009-0115 and R6T-2009-0116, Spalding Tract Subdivision, Lassen County APNs 077-200-02-11 and 077-200-03-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$32,980 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 16. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for Sutton, Dennis J. & Etal Deborah L. JT for Violating Cease and Desist Order No. R6T-2009-0126, Spalding Tract Subdivision, Lassen County APN 077-271-19-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$1,367 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)
- 17. Public Hearing Consideration of an Administrative Civil Liability (ACL) Order for Winters, Laurel G. for Violating Cease and Desist Order No. R6T-2009-0130, Spalding Tract Subdivision, Lassen County APN 077-162-03-11 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$16,490 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement.) (Lisa Scoralle)

REGULAR MEETING CONTINUED

Thursday, July 12, 2012- 8:30 a.m.

INTRODUCTIONS

18. PUBLIC FORUM

Any person may address the Water Board regarding a matter within the Water Board's jurisdiction that is not related to an item on this meeting agenda. Comments will generally be limited to five minutes, unless otherwise directed by the Chair. Any person wishing to make a longer presentation should contact the Executive Officer at least ten days prior to the meeting. Comments regarding matters that are under development for future meetings will be restricted.(See http://www.waterboards.ca.gov/lahontan/board_info/agenda/upcoming.shtml#top)

ENFORCEMENT

19. Public Hearing - Consideration of an Administrative Civil Liability Order for North Tahoe Public Utility District for the December 19, 2010 Unauthorized Discharge of Sewage to Lake Tahoe, Dollar Hill Pump Station, Placer County - WDID No.
6SSO11110 (The Water Board will consider adopting, with or without amendments, or rejecting a proposed ACL Order imposing a \$232,100 liability. Amendments may include decreasing or increasing the liability amount among other modifications. The Water Board also has the authority to refer the matter to the Attorney General's Office for further enforcement. (Eric J. Taxer).

OTHER BUSINESS

- 20. **Executive Officer's Report** (The Water Board will not be asked to take any formal action; however, it may provide direction to staff.) (Patty Z. Kouyoumdjian)
 - --- Discussion of Standing Items
 - --- Executive Officer's Report

REPORTS

21. Reports by Water Board Chair and Board Members

22. CLOSED SESSION**

- a. Discussion of Significant Exposure to Litigation. <u>Authority</u>: Government Code section 11126, subdivision (e)(2)(B)(i).
- b. Discussion to Decide Whether to Initiate Litigation. <u>Authority</u>: Government Code section 11126, subdivision (e)(2)(C)(i).

^{**} At any time during the regular session, the Board may adjourn to a closed session to consider litigation, personnel matters, or to deliberate on a decision to be reached based upon the evidence introduced in the hearing. Discussion of litigation is within the attorney-client privilege and may be held in closed session. Authority: Government Code section 11126, subdivisions (a), (c), (3) and (e).

- c. Discussion of Litigation: People of the State of California ex rel. California Regional Water Quality Control Board, Lahontan Region v. Thomas E. Erickson et al., El Dorado Superior Court Case No. SC20010089. <u>Authority</u>: Government Code section 11126, subdivision (e).
- d. Discussion of Litigation: Atlantic Richfield Company v. State of California, State Water Resources Control Board, California Regional Water Quality Control Board, Lahontan Region, et al., Los Angeles County Superior Court Case No. BC380474. <u>Authority</u>: Government Code section 11126, subdivision (e).
- e. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): Sierra Forest Legacy et al. v. Lahontan Regional Water Quality Control Board (SWRCB/OCC File No. A-2025).

 Authority: Government Code section 11126, subdivision (e).
- f. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): In the Matter of the Petition of the California Department of Fish and Game for Review of Action by the California Regional Water Quality Control Board, Lahontan Region in Adopting Order No. R6V-2010-0016 Regarding Hot Creek Hatchery (SWRCB/OCC File No. A-2092). Authority: Government Code section 11126, subdivision (e).
- g. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): In the Matter of the Petition of the California Department of Fish and Game for Review of Action by the California Regional Water Quality Control Board, Lahontan Region in Adopting Order No. R6V-2010-0016 Regarding Hot Creek Hatchery (SWRCB/OCC File No. A-2092). <u>Authority</u>: Government Code section 11126, subdivision (e).
- h. Discussion of Litigation: Californians for Alternatives to Toxics, a California non-profit corporation, Wilderness Watch, a Montana non-profit corporation, the Friends of Silver King Creek, a California non-profit corporation, and Laurel Ames and Dr. Ann McCampbell, individuals v Lahontan Regional Water Quality Control Board and California Department of Fish and Game, Alpine County Superior Court Case No. C110311. Authority: Government Code section 11126, subdivision (e).
- i. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): In the Matter of the Petition of the United State Department of Agriculture, Forest Service, Inyo National Forest for Review of Action by the California Regional Water Quality Control Board, Lahontan Region in Adopting Order No. R6T-2011-0009 Regarding Investigative Order for the United States Forest Service, Inyo National Forest, White Mountain Grazing Allotments (SWRCB/OCC File No. A-2151). Authority: Government Code section 11126, subdivision (e).
- j. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): In the Matter of the Petition of Paul Ryken and the Estate of Nick Van Vliet for Review of Action by the California Regional Water Quality Control Board, Lahontan Region in Issuing Cleanup and Abatement Order Nos. R6V-2008-0034, R6V-2008-0034A2 and R6V-2008-0034A3 and in issuing Investigative Order No. R6V-2010-0028, all regarding the Desert View Dairy (SWRCB/OCC File Nos. A-1975, A-2089(a), A-2115, and A-2153). Authority: Government Code section 11126, subdivision (e).

- k. Discussion of Litigation (Petition for Review of Lahontan Water Board Action Filed with the State Water Resources Control Board): In the Matter of the Petition of City Of South Lake Tahoe, County of El Dorado, and County Of Placer and Petition of League To Save Lake Tahoe (Updated Waste Discharge Requirements Order No. R6T-2011-0101 (NPDES No. CAG616001) For Storm Water/Urban Runoff Discharges from El Dorado County, Placer County, And The City of South Lake Tahoe Within The Lake Tahoe Hydrologic Unit), (SWRCB/OCC File Nos. A-2197(a) and (b)). Authority: Government Code section 11126, subdivision (e).
- I. Discussion of Personnel Matters. <u>Authority</u>: Government Code section 11126, subdivision (a).

ADJOURNMENT

Any person aggrieved by an action of the California Regional Water Quality Control Board, Lahontan Region that is subject to review as set forth in Water Code section 13320, subdivision (a), may petition the State Water Resources Control Board (State Water Board) to review the action. Any petition must be made in accordance with Water Code section 13320 and California Code of Regulations, title 23, sections 2050 and following. The State Water Board must receive the petition by 5:00 p.m., 30 days after the date the action was taken, except that if the thirtieth day following the date the action was taken falls on a Saturday, Sunday, or state holiday the petition must be received by the State Water Board by 5:00 p.m. on the next business day. Copies of the law and regulation applicable to filing petitions may be found on the Internet at: http://www.waterboards.ca.gov/public_notices/petitions/water_quality or will be provided upon request.

Note:

A listing of pending applications for Water Quality Certification pursuant to Section 401 of the Clean Water Act may be obtained by calling:

Northern Lahontan Basin:

Tobi Tyler in South Lake Tahoe at (530) 542-5435, ttyler@waterboards.ca.gov

Southern Lahontan Basin:

Patrice Copeland and Jan Zimmerman, in Victorville at (760) 241-6583, pcopeland@waterboards.ca.gov or jzimmerman@waterboards.ca.gov

The Regional Water Quality Control Board, Lahontan Region, has a home page that can be accessed on the Internet, at: http://www.waterboards.ca.gov/lahontan

The Lahontan Water Board will be considering many items during this meeting which may result in Board action or direction to staff. We encourage input from all people interested in a given item or issue, so that when we act, our decision is based on all available information. Although an oath is not administered in most of the proceedings before this Board, we expect all statements made before this Board to be truthful with no attempts to mislead this Board by false statements, deceptive presentation or failure to include essential information.

The Board encourages all people in or near a Board meeting to refrain from engaging in inappropriate conduct. Inappropriate conduct may include disorderly, contemptuous or insolent behavior, breach of peace, boisterous conduct, violent disturbance or other unlawful interference in the Board's proceedings. Such conduct could subject you to contempt sanctions by the superior court (Gov. Code § 11455.10).

The Board Chairperson may impose sanctions, including reasonable expenses and attorney's fees, on any party for bad faith actions, frivolous tactics or actions intended to cause unnecessary delay by a party or the party's attorney or representative (Gov. Code § 11455.30).

CALIFORNIA WATER QUALITY CONTROL BOARD LAHONTAN REGION

Main Office: 2501 Lake Tahoe Blvd., South Lake Tahoe, CA 96150 (530) 542-5400 Branch Office: 14440 Civic Dr., Suite 200, Victorville, CA 92392 (760) 241-6583

LAHONTAN WATER BOARD MEMBERS

Name Location of Residence or Business Appointment Category

Jack Clarke
Don Jardine, Chair
R. Keith Dyas
Amy Horne, Ph.D.
Peter C. Pumphrey Vice

Peter C. Pumphrey, Vice Chair

Eric Sandel Vacant Vacant

Vacant

Apple Valley Markleeville Rosamond Truckee Bishop Truckee

Water Supply County Government Industrial Water Use Rec., Fish and Wildlife

Water Quality
Water Quality

Municipal Government Irrigated Agriculture

Public

LAHONTAN WATER BOARD STAFF

Patty Z. Kouyoumdjian Executive Officer

Lauri Kemper
Assistant Executive Officer
and Ombudsman

Kimberly Niemeyer Counsel to the Board Sue Genera Executive Assistant

South Lake Tahoe Office:

Chuck Curtis, Manager, Regulatory Compliance Division

Scott Ferguson, Chief, Enforcement & Special Projects Unit

Alan Miller, Chief, North Basin Regulatory Unit

Chief, Leviathan Mine Unit (Vacant)

Doug Smith, Manager, Planning and Restoration Division Richard Booth, Chief, TMDL/Basin Planning Unit Douglas Cushman, Chief, Non-Point Source Unit

Tom Gavigan, Chief, North Basin Cleanup and Site Investigation Unit

Victorville Office: Mike Plaziak, Manager, Southern Lahontan Watersheds Division

Patrice Copeland, Chief, Land Disposal Unit Jehiel Cass, Chief, South Basin Regulatory Unit

Cindi Mitton, Chief, South Basin Cleanup and Site Investigation Unit

The primary responsibility of the Water Board is to protect the quality of the surface and groundwater within the Region for beneficial uses. The duty is carried out by formulating and adopting water quality plans for specific ground or surface water bodies; by prescribing and enforcing requirements on domestic and industrial waste dischargers, and by requiring cleanup of water contamination and pollution. Specific responsibilities and procedures of the Board are outlined in the Porter-Cologne Water Quality Control Act.

Regular meetings of the Water Board are normally held on the second Wednesday and Thursday of each month. Meeting locations vary but generally alternate between the north and south basins of the region.

Recordings are made of each Water Board meeting and are retained on the Lahontan Regional Water Quality Control Board website at: http://www.waterboards.ca.gov/lahontan/

NOTES

A. <u>SEQUENCE OF AGENDA ITEMS</u>

The items are numbered for identification purposes only and will not necessarily be considered in this order.

B. <u>AVAILABILITY OF AGENDA MATERIAL</u>

Details concerning these agenda items are available for public reference during working hours at the Board's offices. Copies of individual agenda items may be obtained at the Board's offices after 8:00 a.m. on the Friday, twelve days preceding the Board meeting. The staff will assist in answering questions.

C. <u>UNCONTESTED ITEMS CALENDAR</u>

Item numbers with an asterisk (*) are expected to be routine and noncontroversial. They will be acted upon by the Board at one time without discussion. If any Board member, staff member, or interested party requests discussion, the item will be removed from the Uncontested Calendar to be considered separately.

D. PETITION OF REGIONAL BOARD ACTION

Any person aggrieved by an action of the California Regional Water Quality Control Board, Lahontan Region that is subject to review as set forth in Water Code section 13320(a), may petition the State Water Resources Control Board (State Water Board) to review the action. Any petition must be made in accordance with Water Code section 13320 and California Code of Regulations, title 23, sections 2050 and following. The State Water Board must receive the petition by 5:00 p.m., 30 days after the date the action was taken, except that if the thirtieth day following the date the action was taken falls on a Saturday, Sunday or state holiday, the petition must be received by the State Water Board by 5:00 p.m. on the next business day. Copies of the law and regulation applicable to filing petitions may be found on the Internet at: http://www.waterboards.ca.gov/public notices/petitions/water quality or will be provided upon request.

E. <u>HEARING RECORD EXHIBITS</u>

Material presented to the Board as part of the testimony that is to be made part of the record must be left with the Board. This includes photographs, slides, chart, diagrams, etc.

F. CONTRIBUTIONS TO REGIONAL BOARD MEMBERS

All persons who actively support or oppose the adoption of waste discharge requirements or an NPDES permit before the Lahontan Water Board must submit a statement to the Board disclosing any contributions of \$250 or more to be used in a federal, state, or local election, made by the action supporter or opponent, or his or her agent, within the last 12 months to any Water Board member. All permit applicants and all persons who actively support or oppose adoption of a set of waste discharge requirements or an NPDES permit pending before the Water Board are prohibited from making a contribution of \$250 or more to any Board member for three months following a Water Board decision on the permit application.

G. ADDITIONAL CLOSED SESSION

At any time during the regular session, the Board may adjourn to a closed session to consider litigation, personnel matters, or to deliberate on a decision to be reached based upon evidence introduced in the hearing. Discussion of litigation is within the attorney-client privilege and may be held in closed session. Authority: Government Code section 11126, subdivisions (a), (c)(3) and (e).