

Attachment A

Table 1

Effluent Limitation Violations Requiring Mandatory Minimum Penalties for the Period from May 1, 2009 through November 30, 2014

#	Violation Number	Violation Date	Constituent	Pollutant Group	Limitation Period	Foot Note	Limit	Result/Average	Interim limits from CDO	Units	Exempted from MMP?	Exempt Reason or otherwise not subject to MMPs	% Over Limit	Date 180 Days Prior	Serious or Chronic Violation?	No. of Violations within 180 days	Mandatory Fine?	Water Code	Penalty
1	875684	5/6/2009	Dichlorobromomethane	Group 2	Daily Maximum		0.94	5.72		ug/L	N/A	d		N/A	Exempt	Ct.1	N/A		N/A
2	829673	5/6/2009	Dichlorobromomethane	Group 2	Monthly Average		0.56	5.722		ug/L	N/A	d		N/A	Exempt	Ct.2	N/A		N/A
3	829674	5/6/2009	Nitrate, Total (as N)	Group 1	Monthly Average		0.39	47		mg/L	N/A	d		N/A	Exempt	Ct.3	N/A		N/A
4	886041	10/3/2009	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.02	5.5	ug/L	Y	b	N/A	4/6/2009	Exempt	N/A	N		\$ 0
5	886049	10/07/2009	Nitrate, Total (as N)	Group 1	Daily Maximum		39	43		mg/L	N		10%	04/10/2009	Chronic	>Ct.3, Ct.1	Y	13385(i)	\$ 3,000
6	886033	10/14/2009	Nitrate, Total (as N)	Group 1	Daily Maximum		39	40		mg/L	N		3%	04/17/2009	Chronic	>Ct.3, Ct.2	Y	13385(i)	\$ 3,000
7	886043	10/31/2009	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.02	4.5	ug/L	Y	b	N/A	05/04/2009	Exempt	N/A	N		\$ 0
8	886055	11/04/2009	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.21	5.5	ug/L	Y	b	N/A	05/08/2009	Exempt	N/A	N		\$ 0
9	886056	11/30/2009	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.21	4.5	ug/L	Y	b	N/A	06/03/2009	Exempt	N/A	N		\$ 0
10	886061	12/02/2009	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.34	5.5	ug/L	Y	b	N/A	06/05/2009	Exempt	N/A	N		\$ 0
11	886059	12/31/2009	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.34	4.5	ug/L	Y	b	N/A	07/04/2009	Exempt	N/A	N		\$ 0
12	886067	01/06/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.56	3.03	4.5	ug/L	Y	b	N/A	08/04/2009	Exempt	N/A	N		\$ 0
13	886087	01/19/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days (1/19/2010 reported at 80 ug/L)		23	130		MPN/100	N	a	N/A	07/23/2009	Exempt	Ct.3	N		\$ 0
14	886116	01/19/2010	Total Coliform	Other	7-Day Median		2.2	4		MPN/100	N		N/A	07/23/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
15	886091	01/20/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	900		MPN/100	N		N/A	07/24/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
16	886123	01/20/2010	Total Coliform	Other	7-Day Median		2.2	13.5		MPN/100	N		N/A	07/24/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
17	995380	01/20/2010	Total Coliform	Other	Single Sample		240	900		MPN/100	N		N/A	07/24/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
18	995381	01/21/2010	Total Coliform	Other	Single Sample		240	900		MPN/100	N		N/A	07/25/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
19	886095	01/21/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	900		MPN/100	N		N/A	07/25/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
20	886124	01/21/2010	Total Coliform	Other	7-Day Median		2.2	51.5		MPN/100	N		N/A	07/25/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
21	995382	01/22/2010	Total Coliform	Other	Single Sample		240	900		MPN/100	N		N/A	07/26/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
22	886125	01/22/2010	Total Coliform	Other	7-Day Median		2.2	105		MPN/100	N		N/A	07/26/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
23	886098	01/22/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	900		MPN/100	N		N/A	07/26/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
24	886100	01/23/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	1600		MPN/100	N		N/A	07/27/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
25	995383	01/23/2010	Total Coliform	Other	Single Sample		240	1600		MPN/100	N		N/A	07/27/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
26	886126	01/23/2010	Total Coliform	Other	7-Day Median		2.2	515		MPN/100	N		N/A	07/27/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
27	886102	01/24/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	240		MPN/100	N		N/A	07/28/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
28	886127	01/24/2010	Total Coliform	Other	7-Day Median		2.2	570		MPN/100	N		N/A	07/28/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
29	886104	01/25/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	30		MPN/100	N		N/A	07/29/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
30	886106	01/25/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	50		MPN/100	N		N/A	07/29/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
31	886128	01/25/2010	Total Coliform	Other	7-Day Median		2.2	240		MPN/100	N		N/A	07/29/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
32	886107	01/26/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30-days	c	23	130		MPN/100	N		N/A	07/30/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
33	886129	01/26/2010	Total Coliform	Other	7-Day Median		2.2	570		MPN/100	N		N/A	07/30/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000

34	886130	01/27/2010	Total Coliform	Other	7-Day Median		2.2	185		MPN/100	N		N/A	07/31/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
35	886109	01/28/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30- days	c	23	50		MPN/100	N		N/A	08/01/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
36	886132	01/28/2010	Total Coliform	Other	7-Day Median		2.2	90		MPN/100	N		N/A	08/01/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
37	886111	01/29/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30- days	c	23	30		MPN/100	N		N/A	08/02/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
38	886133	01/29/2010	Total Coliform	Other	7-Day Median		2.2	50		MPN/100	N		N/A	08/02/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
39	886112	01/30/2010	Total Coliform	Other	Not to exceed a specific limit more then once within any 30- days	c	23	30		MPN/100	N		N/A	08/03/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
40	886134	01/30/2010	Total Coliform	Other	7-Day Median		2.2	40		MPN/100	N		N/A	08/03/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
41	886136	01/31/2010	Total Coliform	Other	7-Day Median		2.2	30		MPN/100	N		N/A	08/04/2009	Chronic	>C3	Y	13385(i)	\$ 3,000
42	886075	01/31/2010	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.03	4.5	ug/L	Y	b	N/A	08/04/2009	Exempt	N/A	N		\$ 0
43	886137	02/01/2010	Total Coliform	Other	7-Day Median		2.2	30		MPN/100	N		N/A	08/05/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
44	886138	02/02/2010	Total Coliform	Other	7-Day Median		2.2	23		MPN/100	N		N/A	08/06/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
45	886139	02/03/2010	Total Coliform	Other	7-Day Median		2.2	23		MPN/100	N		N/A	08/07/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
46	886080	02/03/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.73	5.5	ug/L	Y	b	N/A	08/07/2009	Exempt	N/A	N		\$ 0
47	886140	02/04/2010	Total Coliform	Other	7-Day Median		2.2	17		MPN/100	N		N/A	08/08/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
48	886141	02/05/2010	Total Coliform	Other	7-Day Median		2.2	8		MPN/100	N		N/A	08/09/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
49	886142	02/06/2010	Total Coliform	Other	7-Day Median		2.2	4		MPN/100	N		N/A	08/10/2009	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
50	886084	02/28/2010	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.73	4.5	ug/L	Y	b	N/A	09/01/2009	Exempt	N/A	N		\$ 0
51	886081	04/07/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.94	1.06	5.5	ug/L	Y	b	N/A	10/09/2009	Exempt	N/A	N		\$ 0
52	886082	04/30/2010	Dichlorobromomethane	Group 2	Monthly Minimum		0.56	1.06	4.5	ug/L	Y	b	N/A	11/01/2009	Exempt	N/A	N		\$ 0
53	922272	05/05/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.94	4.28	5.5	ug/L	Y	b	N/A	11/06/2009	Exempt	N/A	N		\$ 0
54	922278	05/31/2010	Dichlorobromomethane	Group 2	Monthly Average		0.56	4.28	4.5	ug/L	Y	b	N/A	12/02/2009	Exempt	N/A	N		\$ 0
55	922275	10/13/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.25	5.5	ug/L	Y	b	N/A	04/16/2010	Exempt	N/A	N		\$ 0
56	894875	10/24/2010	pH	Other	Instantaneous		6.5	6.3		SU	N	a	N/A	4/28/2010	Chronic	Ct.1	N		\$ 0
57	922276	10/31/2010	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.25	4.5	ug/L	Y	b	N/A	05/04/2010	Exempt	N/A	N		\$ 0
58	922273	11/03/2010	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.07	5.5	ug/L	Y	b	N/A	05/07/2010	Exempt	N/A	N		\$ 0
59	922277	11/30/2010	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.07	4.5	ug/L	Y	b	N/A	06/03/2010	Exempt	N/A	N		\$ 0
60	922266	01/05/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	2.02	5.5	ug/L	Y	b	N/A	07/09/2010	Exempt	N/A	N		\$ 0
61	922257	01/31/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	2.02	4.5	ug/L	Y	b	N/A	08/04/2010	Exempt	N/A	N		\$ 0
62	922267	02/02/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	2.23	5.5	ug/L	Y	b	N/A	08/06/2010	Exempt	N/A	N		\$ 0
63	922259	02/28/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	2.23	4.5	ug/L	Y	b	N/A	09/01/2010	Exempt	N/A	N		\$ 0
64	922268	03/02/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	2.24	5.5	ug/L	Y	b	N/A	09/03/2010	Exempt	N/A	N		\$ 0
65	922261	03/31/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	2.24	4.5	ug/L	Y	b	N/A	10/02/2010	Exempt	N/A	N		\$ 0
66	922269	04/06/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.17	5.5	ug/L	Y	b	N/A	10/08/2010	Exempt	N/A	N		\$ 0
67	922262	04/30/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.17	4.5	ug/L	Y	b	N/A	11/01/2010	Exempt	N/A	N		\$ 0
68	922270	05/04/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	1.82	5.5	ug/L	Y	b	N/A	11/05/2010	Exempt	N/A	N		\$ 0
69	922263	05/31/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	1.82	4.5	ug/L	Y	b	N/A	12/02/2010	Exempt	N/A	N		\$ 0
70	913000	10/12/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	4.96	5.5	ug/L	Y	b	N/A	04/15/2011	Exempt	N/A	N		\$ 0
71	913001	10/31/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	4.96	4.5	ug/L	N		10%	05/04/2011	Serious	N/A	Y	13385(h)	\$ 3,000
72	915071	11/02/2011	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.4	5.5	ug/L	Y	b	N/A	05/06/2011	Exempt	N/A	N		\$ 0
73	915070	11/30/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	3.4	4.5	ug/L	Y	b	N/A	06/03/2011	Exempt	N/A	N		\$ 0
74	917818	12/31/2011	Dichlorobromomethane	Group 2	Monthly Average		0.56	0.7	4.5	ug/L	Y	b	N/A	07/04/2011	Exempt	N/A	N		\$ 0
75	928464	01/04/2012	Dichlorobromomethane	Group 2	Monthly Average		0.56	0.84	4.5	ug/L	Y	b	N/A	07/08/2011	Exempt	N/A	N		\$ 0
76	922264	01/31/2012	Dichlorobromomethane	Group 2	Monthly Average		0.56	0.82	4.5	ug/L	Y	b	N/A	08/04/2011	Exempt	N/A	N		\$ 0
77	922271	02/01/2012	Dichlorobromomethane	Group 2	Daily Maximum		0.94	1.84	5.5	ug/L	Y	b	N/A	08/05/2011	Exempt	N/A	N		\$ 0
78	921888	02/29/2012	Dichlorobromomethane	Group 2	Monthly Average		0.56	1.84	4.5	ug/L	Y	b	N/A	09/02/2011	Exempt	N/A	N		\$ 0
79	924097	03/07/2012	Dichlorobromomethane	Group 2	Daily Maximum		0.94	6.86	5.5	ug/L	N		25%	09/09/2011	Serious	N/A	Y	13385(h)	\$ 3,000
80	924096	03/31/2012	Dichlorobromomethane	Group 2	Monthly Average		0.56	6.86	4.5	ug/L	N		52%	10/03/2011	Serious	N/A	Y	13385(h)	\$ 3,000
81	925900	04/04/2012	Dichlorobromomethane	Group 2	Daily Maximum		0.94	3.28	5.5	ug/L	Y	b	N/A	10/07/2011	Exempt	N/A	N		\$ 0
82	925899	04/30/2012	Dibromochloromethane	Group 2	Monthly Average		0.56	3.28	4.5	ug/L	Y	b	N/A	11/02/2011	Exempt	N/A	N		\$ 0
83	928856	05/02/2012	Dichlorobromomethane	Group 2	Monthly Average		0.56	2.08	4.5	ug/L	Y	b	N/A	11/04/2011	Exempt	N/A	N		\$ 0
84	928855	05/02/2012	Dichlorobromomethane	Group 2	Daily Maximum		0.94	2.08	5.5	ug/L	Y	b	N/A	11/04/2011	Exempt	N/A	N		\$ 0
85	958750	10/09/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	42		mg/L	N	a	8%	04/12/2013	Exempt	Ct.1	N		\$ 0
86	958748	10/16/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	42		mg/L	N	a	8%	04/19/2013	Exempt	Ct.2	N		\$ 0
87	958755	10/23/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	42		mg/L	N	a	8%	04/26/2013	Exempt	Ct.3	N		\$ 0
88	959941	11/05/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	40		mg/L	N		3%	05/09/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
89	959942	11/13/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	41		mg/L	N		5%	05/17/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
90	959940	11/20/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	44		mg/L	N		13%	05/24/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
91	959943	11/26/2013	Nitrate, Total (as N)	Group 1	Daily Maximum		39	40		mg/L	N		3%	05/30/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
92	964215	01/23/2014	Nitrate, Total (as N)	Group 1	Daily Maximum		39	40		mg/L	N		3%	07/27/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
93	966085	02/05/2014	Nitrate, Total (as N)	Group 1	Daily Maximum		39	40		mg/L	N		3%	08/09/2013	Chronic	>Ct.3	Y	13385(i)	\$ 3,000
																		Total Penalty	\$ 135,000

Legend of Table

- a The first three violations in a six month period shall not receive MMP assessment unless serious.
- b Compliance with a CDO/TSO issued after 07/01/2000 (13385 (j)(3))
- c Previous exceedance in 30-day period occurred on 1/19/10 (80 MPN/100 ML)
- d Violation covered under State Water Resources Control Board Order QW 2011-0009
- Ct. Count - The number that follows represents the number of exceedances in the past 180 days, including this violation. A count greater than three (>Ct. 3) means that a penalty under Water Code section 13385 (i) applies.

1 - Violation occurs on sample date or last date of averaging period.

2 - For Group I pollutants, a violation is serious when the limit is exceeded by more than 40%

- For Group II pollutants, a violation is serious when the limit is exceeded by more than 20%

3 - When a serious violation occurs on the same day as a chronic, the serious violation is only assessed an MMP once and is counted last for the day when determining the number of chronic violations to be assessed a penalty

Violation period ending the last day of November 2014

Group I Violations Assessed MMP: 8

Group II Violations Assessed MMP: 3

Other Effluent Violations Assessed MMP: 34

Violations Exempt from MMP: 48

Total Violations Assessed MMP: 45

Mandatory Minimum Penalty = (3 Serious Violations + 42 Non-Serious Violations) x \$3,000 = \$135,000

