

**Effluent Limitation Violations Requiring Mandatory Minimum Penalties**

#	Violation Number	Violation Date	Parameter	Pollutant Group	Permit Limitation Period	Permit Limit	Interim CDO Limit	Result/Average	Units	Exempted from MMP?	Exempt Reason or otherwise not subject to MMPs	% Over Limit	Date 180 Days Prior	Serious Violation?	No. of Effluent Violations within 180 days	Mandatory Fine?	Water Code	Penalty
1	1005101*	3/18/2015	Dichlorobromomethane	Group 2	Daily Maximum	1.1	---	9.61	ug/L	No	---	773%	9/19/2014	Yes	Serious, Ct.2	Yes	13385(i)	\$ 3,000
2	1022717*	3/27/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	11	mg/L	Yes	b	61%	9/28/2014	Exempt	N/A	No		\$ 0
3	1022726*	3/31/2015	Nitrate, Total (as N)	Group 1	Monthly Average	10	26.6	16	mg/L	Yes	b	60%	10/2/2014	Exempt	N/A	No		\$ 0
4	999888 1005994	10/07/2015	Dichlorobromomethane	Group 2	Daily Maximum	1.1	---	2.09	ug/L	No	---	90%	04/10/2015	Yes	Serious, Ct.1	Yes	13385(i)	\$ 3,000
5	1022730	10/07/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	11	mg/L	Yes	b	61%		Exempt	N/A	No		\$ 0
6	1005096 999887	10/07/2015	Copper, Total	Group 2	Monthly Average	35	---	41	ug/L	No	---	64%	04/10/2015	Yes	Serious, Ct.2	Yes	13385(i)	\$ 3,000
7	1005109 999886	10/07/2015	Dichlorobromomethane	Group 2	Monthly Average	0.56	---	2.09	ug/L	No	---	273%	04/10/2015	Yes	Serious, Ct.3	Yes	13385(i)	\$ 3,000
8	1022731	10/27/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	7.9	mg/L	Yes	b	16%	4/30/2015	Exempt	N/A	No		\$ 0
9	1022729	10/31/2015	Nitrate, Total (as N)	Group 1	Monthly Average	10	26.6	12	mg/L	Yes	b	20%	5/4/2015	Exempt	N/A	No		\$ 0
10	1022732	10/31/2015	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	7.5	mg/L	Yes	b	114%	5/4/2015	Exempt	N/A	No		\$ 0
11	1022733	11/3/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	9.6	mg/L	Yes	b	41%	5/7/2015	Exempt	N/A	No		\$ 0
12	1022734	11/10/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	9.5	mg/L	Yes	b	40%	5/14/2015	Exempt	N/A	No		\$ 0
13	1022735	11/17/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	10	mg/L	Yes	b	47%	5/21/2015	Exempt	N/A	No		\$ 0
14	1022736	11/24/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	8.1	mg/L	Yes	b	19%	5/28/2015	Exempt	N/A	No		\$ 0
15	1022737	11/30/2015	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	5.1	mg/L	Yes	b	46%	6/3/2015	Exempt	N/A	No		\$ 0
16	1002495 1005097 1022741 1005105	12/01/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	11	mg/L	Yes	b	62%	06/04/2015	Exempt	N/A	No		\$ 0
17	1002496 1022742	12/08/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	9.1	mg/L	Yes	b	34%	06/11/2015	Exempt	N/A	No		\$ 0
18	1002497 1005104	12/15/2015	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	8.1	mg/L	Yes	b	19%	06/18/2015	Exempt	N/A	No		\$ 0
19	1002494	12/31/2015	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	7.4	mg/L	Yes	b	111%	07/04/2015	Exempt	N/A	No		\$ 0
20	1005583 1020044	02/02/2016	Dichlorobromomethane	Group 2	Daily Maximum	1.1	---	2.22	ug/L	No	---	102%	08/06/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
21	1005584 1020041	02/02/2016	Dichlorobromomethane	Group 2	Monthly Average	0.56	---	2.2	ug/L	No	---	293%	08/06/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
22	1006649 1020046	03/01/2016	Dichlorobromomethane	Group 2	Daily Maximum	1.1	---	2.45	ug/L	No	---	123%	09/03/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
23	1006650 1020045	03/01/2016	Dichlorobromomethane	Group 2	Monthly Average	0.56	---	1.1	lbs/month	No	---	96%	09/03/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
24	1008064 1020047	04/06/2016	Dichlorobromomethane	Group 2	Monthly Average	0.56	---	1.1	ug/L	No	---	96%	10/09/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
25	1022745	4/30/2016	Nitrate, Total (as N)	Group 1	Monthly Average	10	26.6	11.3	mg/L	Yes	b	13%		Exempt	N/A	No		\$ 0
26	1009547 1020048	05/03/2016	Dichlorobromomethane	Group 2	Monthly Average	0.56	---	9.93	ug/L	No	---	1673%	11/05/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
27	1009548 1020042	05/03/2016	Dichlorobromomethane	Group 2	Daily Maximum	1.1	---	9.93	ug/L	No	---	803%	11/05/2015	Yes	Serious, >Ct.3	Yes	13385(i)	\$ 3,000
28	1022746	5/31/2016	Nitrate, Total (as N)	Group 1	Monthly Average	10	26.6	15	mg/L	Yes	b	50%	12/3/2015	Exempt	N/A	No		\$ 0
29	1022747	10/5/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	9.3	mg/L	Yes	b	36%	4/8/2016	Exempt	N/A	No		\$ 0
30	1022748	10/11/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	11	mg/L	Yes	b	61%	4/14/2016	Exempt	N/A	No		\$ 0
31	1022749	10/18/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	7.6	mg/L	Yes	b	12%	4/21/2016	Exempt	N/A	No		\$ 0
32	1022750	10/25/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	9.5	mg/L	Yes	b	39%	4/28/2015	Exempt	N/A	No		\$ 0
33	1022754	10/31/2016	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	9.4	mg/L	Yes	b	168%	5/4/2016	Exempt	N/A	No		\$ 0
34	1022757	11/8/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	8.5	mg/L	Yes	b	25%	5/12/2016	Exempt	N/A	No		\$ 0
35	1022758	11/15/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	7.7	mg/L	Yes	b	13%	5/19/2016	Exempt	N/A	No		\$ 0
36	1022755	11/30/2016	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	6.3	mg/L	Yes	b	80%	6/3/2016	Exempt	N/A	No		\$ 0
37	1023135 1022759	12/6/2016	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	10	mg/L	Yes	b	47%	6/9/2016	Exempt	N/A	No		\$ 0
38	1022760	12/31/2016	Ammonia, Total (as N)	Group 1	Monthly Average	3.5	14	6.1	mg/L	Yes	b	74%	7/4/2016	Exempt	N/A	No		\$ 0
38	1005102	12/31/2016	Ammonia, Total (as N)	Group 1	1-Hour Average	3.5	20	7.4	ug/L	Yes	b	111%	07/04/2015	Exempt	N/A	No		\$ 0
40	1022761	1/3/2017	Ammonia, Total (as N)	Group 1	Daily Maximum	6.8	20	7.2	mg/L	Yes	b	5%	7/7/2016	Exempt	N/A	No		\$ 0

**Total Penalty:** \$ 33,000

**Table Legend:**

- a The first three violations in a six (6) month period shall not receive MMP assessment unless it is serious.
- b Compliance with CDO No. R1-2013-0069 interim effluent limitation.
- Ct. Count - Number of exceedances in the past 180 days, including this violation. A count >Ct. 3 means that a penalty under Water Code section 13385(i) applies.
- \* Effluent Violations unaddressed in ACL Order No. R1-2015-0069 previously issued by the Water Quality Control Board.

- 1 - Violation occurs on sample date or last date of averaging period.
- 2 - Limitation periods for the interim limits shown correspond, when possible, to permit limitation periods. For Ammonia, the CDO contains only monthly and daily interim limits.
- 3 - For Group I pollutants, a violation is serious when the limit is exceeded by more than 40%  
 - For Group II pollutants, a violation is serious when the limit is exceeded by more than 20%
- 4 - When a serious violation occurs on the same day as a chronic, the serious violation is only assessed an MMP once and is counted last for the day when determining the number of chronic violations to be assessed a penalty.

**Violation period ending the last day of January 2017**

Group I Violations Assessed MMP:0  
 Group II Violations Assessed MMP:11  
 Other Effluent Violations Assessed MMP:0  
 Violations Exempt from MMP:30  
 Total Violations Assessed MMP:11

**Mandatory Minimum Penalty = (11 Serious Violations + 0 Non-Serious Violations) x \$3,000 = \$33,000**