

Effluent Limitation Violations Requiring Mandatory Minimum Penalties

#	Violation Number	Violation Date	Parameter	Pollutant Group	Limitation Period	Limit	Result/Average	Units	Exempted from MMP?	Exempt Reason	% Over Limit	Date 180 Days Prior	Serious Violation?	No. of Violations within 180 days	Mandatory Fine?	Water Code	Penalty
1	998712	10/12/2015	Copper, Total	II	6-Month Median	33	38	ug/L	No		15%	04/15/2015	No	Chronic, >Ct.3*	Yes	13385(i)	\$ 3,000
2	1000491	11/09/2015	Copper, Total	II	6-Month Median	33	38	ug/L	No		15%	05/13/2015	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
3	1002373	12/07/2015	Copper, Total	II	6-Month Median	33	36	ug/L	No		9%	06/10/2015	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
4	1003384	01/12/2016	Copper, Total	II	6-Month Median	33	36	ug/L	No		9%	07/16/2015	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
5	1003383	01/18/2016	pH	Other	Daily Minimum	6	5.9	SU	No		N/A	07/22/2015	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
6	1011749	07/31/2016	Fecal Coliform	Other	10% for 30 days	10	15	%	No		N/A	02/02/2016	No	Chronic, Ct.1	No		\$ 0
7	1014646	09/13/2016	Fecal Coliform	Other	Maximum Daily	43	50	MPN/100	No		N/A	03/17/2016	No	Chronic, Ct.2	No		\$ 0
8	1014647	09/29/2016	Ammonia, Total (as N)	I	Maximum Daily	10	11	mg/L	No		10%	04/02/2016	No	Chronic, Ct.3	No		\$ 0
9	1014645	09/30/2016	Ammonia, Total (as N)	I	Monthly Average	4.1	7.8	mg/L	No		90%	04/03/2016	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
10	1018564	10/31/2016	Ammonia, Total (as N)	I	Monthly Average	4.1	7.3	mg/L	No		78%	05/04/2016	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
11	1018562	11/30/2016	Ammonia, Total (as N)	I	Monthly Average	4.1	7.3	mg/L	No		78%	06/03/2016	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000
12	1018563	12/31/2016	Ammonia, Total (as N)	I	Monthly Average	4.1	5.6	mg/L	No		37%	07/04/2016	No	Chronic, >Ct.3	Yes	13385(i)	\$ 3,000

Total Penalty: \$ 27,000

Table Legend:

- a The first three violations in a six month period shall not receive MMP assessment unless it is serious.
- * Preceding three violations were previously addressed in Settlement Agreement & Stipulation Administrative Civil Liability Order R1-2016-0005 (Attachment B) issued by the Regional Water Quality Control Board.
- Ct. Count - Number of exceedences in the past 180 days, including this violations. A count >Ct.3 means that a penalty under Water Code section 13385(i) applies.

- 1 - Violation occurs on sample date or last date of averaging period.
- 2 - For Group I pollutants, a violation is serious when the limit is exceeded by more than 40%
 - For Group II pollutants, a violation is serious when the limit is exceeded by more than 20%
- 3 - When a serious violation occurs on the same day as a chronic, the serious violation is only assessed an MMP once and is counted last for the day when determining the number of chronic violations to be assessed a penalty.

Violation period beginning January 1, 2015 and ending December 31, 2016

Group I Violations Assessed MMP: 5
 Group II Violations Assessed MMP: 4
 Other Effluent Violations Assessed MMP: 3
 Violations Exempt from MMP: 0
 Total Violations Assessed MMP: 12

Mandatory Minimum Penalty = (0 Serious Violations + 9 Non-Serious Violations) x \$3,000 = \$27,000