



1/31/16

Pamela Creedon, Executive Officer
Central Valley Regional Water Quality Control Board
11020 Sun Center Drive, Suite 200
Rancho Cordova, CA 95670-6114

Dear Ms. Creedon,

On 6 April 2015, the Central Valley Regional Water Quality Control Board (Central Valley Water Board) adopted Resolution R5-2015-9001 that updates Resolution R5-2014-0040 and continues the Central Valley Regional Board's partnership with the Rose Foundation for Communities and the Environment (Rose Foundation) to administer Supplemental Environmental Projects (SEPs) that benefit water quality and disadvantaged communities (DACs) in the Central Valley. The Rose Foundation committed to provide the Board with annual updates regarding the expenditure of SEP funds in support of the Rose Foundation's DAC Program by January 31 of each year. This report summarizes the expenditure of SEP funds in the previous year.

The following is a summary of the five (5) projects that received funding pursuant to the Rose Foundation's DAC Program in 2015. An additional eight (8) SEP projects¹ are in the process of receiving final approval and we anticipate receiving these funds within the next few months to begin supporting these projects in 2016.

ACL Order R5-2014-0535

ACL Order R5-2014-0535			
Project:	Clean Water for Disadvantaged Communities		
Project Proponent:	Community Water Center		
Discharger:	Occidental of Elk Hills, Inc.		
Date of ACL Order Approval:	2-Oct-2014		
Total ACL Amount:	\$346,500.00		
Total SEP Amount:	\$173,250.00		
		Paid in 2014	To be paid in 2015+
Amount Paid to Rose Foundation from Discharger	Direct Costs ¹ :	\$173,250.00	\$0.00
	Oversight Costs ² :	\$0.00	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ³ :		\$0.00	\$5197.50 ⁴

Amount Paid to Project Proponent from Rose Foundation:	\$0.00	\$161,122.50
Amount Expended on Project by Project Proponent:	\$0.00	\$114,608.73 ⁵
¹ Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.		
² Oversight costs are generally not considered part of the "direct cost" of the SEP.		
³ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.		
⁴ Funds not received as of 12/31/2015.		
⁵ Funds Per Community Water Center's 11/30/2015 report documenting 50% completion progress.		

AND

ACL Order R5-2014-0536			
Project:	Clean Water for Disadvantaged Communities		
Project Proponent:	Community Water Center		
Discharger:	Vintage Production California		
Date of ACL Order Approval:	2-Oct-2014		
Total ACL Amount:	\$130,284.00		
Total SEP Amount:	\$65,142.00		
		Paid in 2014	To be paid in 2015+
Amount Paid to Rose Foundation from Discharger	Direct Costs ¹ :	\$65,142.00	\$0.00
	Oversight Costs ² :	\$0.00	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ³ :			\$1954.26 ⁴
Amount Paid to Project Proponent from Rose Foundation:		\$0.00	\$60,582.06
Amount Expended on Project by Project Proponent:		\$0.00	\$42,978.27 ⁵
¹ Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.			
² Oversight costs are generally not considered part of the "direct cost" of the SEP.			
³ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.			
⁴ Funds not received as of 12/31/2015.			
⁵ Funds Per Community Water Center's 11/30/2015 report documenting 50% completion progress.			

Narrative: On the 2nd of October 2014, the Central Valley Water Board agreed to ACL settlements with two dischargers (Occidental of Elk Hills, Inc. and Vintage Production California) that included a Supplemental Environmental Project proposed by the Community Water Center in the amounts of \$173,250 and \$65,142, respectfully (cumulatively \$238,392.) On the 21st of November 2014, the Rose Foundation received the payments from Occidental and Vintage. Of the full amount, the Rose Foundation retained \$16,687.44 to support its direct costs associated with the project, leaving \$221,704.56 to be awarded to the Community Water Center to conduct their "Clean Water for Disadvantaged Communities" SEP. Given the large size of the combined settlements, payment was split into two installments by the Rose Foundation, with payment of the second installment dependent on CWC's satisfactory completion of the first 50% of the project. On the 4th of February 2015, the Rose Foundation paid the first installment of \$110,852.28 to the Community Water Center for the project; the second installment of \$110,852.28 was sent on the 16th of December 2015, upon receipt of a mid-year report at the 50% milestone completion. To date, CWC has completed 75% of the project and anticipates completing the entire project ahead of schedule later this year.

ACL Order R5-2013-0123

ACL Order R5-2013-0123			
Project:	Clean Water Capacity Building for Sacramento Valley Disadvantaged Communities		
Project Proponent:	Environmental Justice Coalition for Water		
Discharger:	Rocklin Crossings		
Date of ACL Order Approval:	5-Jun-2015 ¹		
Total ACL Amount:	\$190,038.00		
Total SEP Amount:	\$90,000.00 ¹		
		Paid in 2015	To be paid in 2016+
Amount Paid to Rose Foundation from Discharger	Direct Costs ² :	\$45,000.00	\$0.00
	Oversight Costs ³ :	\$0.00	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ⁴ :		\$0.00	\$0.00
Amount Paid to Project Proponent from Rose Foundation:		\$41,000.00	\$0.00
Amount Expended on Project by Project Proponent:		\$0.00	\$0.00
¹ SEP came out of a Settlement Agreement signed between the Central Valley Regional Water Quality Control Board and Donahue Schriber Asset Management Corporation.			
² Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.			
³ Oversight costs are generally not considered part of the "direct cost" of the SEP.			
⁴ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.			

ACL Order R5-2013-0123			
Project:	Building an Integrated Regional Water Management Collaborative Serving the Consumnes, American, Bear and Yuba Rivers: Outreach to DACs		
Project Proponent:	The Sierra Fund		
Discharger:	Rocklin Crossings		
Date of ACL Order Approval:	5-Jun-2015 ¹		
Total ACL Amount:	\$190,038.00		
Total SEP Amount:	\$90,000.00		
		Paid in 2015	To be paid in 2016+
Amount Paid to Rose Foundation from Discharger	Direct Costs ² :	\$45,000.00	\$0.00
	Oversight Costs ³ :	\$0.00	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ⁴ :		\$0.00	\$0.00
Amount Paid to Project Proponent from Rose Foundation:		\$40,000.00	\$0.00
Amount Expended on Project by Project Proponent:		\$0.00	\$0.00
¹ Settlement Agreement signed between the Central Valley Regional Water Quality Control Board and Donahue Schriber Asset Management Corporation.			
² Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.			
³ Oversight costs are generally not considered part of the "direct cost" of the SEP.			
⁴ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.			

Narrative: On the 5th of June 2015, the Central Valley Water Board agreed to a settlement with Rocklin Crossing, which had appealed ACL R5-2013-1123. That settlement included two Supplemental Environmental Projects for a combined total of \$90,000. On the 30th of July 2015, the Rose Foundation received the payment from Rocklin Crossing. The Rose Foundation retained \$9,000 to support its costs associated with these projects, leaving \$81,000 for payment to the two agencies conducting the SEPs. On 28th of October 2015, the Rose Foundation issued a check for the \$41,000 to the Environmental Justice Coalition for Water for their “*Clean Water Capacity Building for Sacramento Valley Disadvantaged Communities*” project. The EJCW has initiated work on the project and has reported satisfactory completion of 25% of the project. The other Rocklin Crossing SEP was awarded to the Sierra Fund. On 14th of October 2015, the Rose Foundation issued a check for the \$40,000 to the Sierra Fund for their “*Building an Integrated Regional Water Management Collaborative Serving the CABY Region: Outreach to DACs*” project. The Sierra Fund initiated work on the project and has reported satisfactory completion of their 25% milestones.

ACL Order R5-2015-0530

ACL Order R5-2015-0530			
Project:	Sustainable Medication Take Back for the Central and San Joaquin Valley		
Project Proponent:	California Product Stewardship Council		
Discharger:	David Allan Ross & Renae Elaine Braaksma-Ross		
Date of ACL Order Approval:	6-Aug-2015		
Total ACL Amount:	\$42,900.00		
Total SEP Amount:	\$21,450.00		
		Paid in 2015	To be paid in 2016+
Amount Paid to Rose Foundation from Discharger	Direct Costs ¹ :	\$21,450.00	\$0.00
	Oversight Costs ² :	\$643.50	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ³ :		\$0.00	\$0.00
Amount Paid to Project Proponent from Rose Foundation:		\$19,948.50	\$0.00
Amount Expended on Project by Project Proponent:		\$0.00	\$0.00
¹ Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.			
² Oversight costs are generally not considered part of the "direct cost" of the SEP.			
³ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.			

Narrative: On the 6th of August 2015, the Central Valley Water Board agreed to a settlement with David Allan Ross & Renae Elaine Braaksma-Ross whom agreed to support a Supplemental Environmental Project proposed by the California Product Stewardship Council in the amount of \$21,450. On the 28th of September 2015, the Rose Foundation received payment from Braaksma-Ross Family Farms. Of the full amount, the Rose Foundation retained \$1,501.50 from the ACL plus \$643.50 from oversight fees to support its costs associated with the project. On 12th of November 2015, the Rose Foundation issued a check in the amount of \$21,450 to the California Product

Stewardship Council for their “Sustainable Medication Take Back for Madera County” project. CPSC has initiated work on the project and has reported satisfactory completion of their 25% milestones.

ACL Order R5-2015-0537

ACL Order R5-2015-0537			
Project:	Advancing Community Engagement to Monitor, Report Hazards, and Preserve the Water Quality of Fresno and Kern		
Project Proponent:	Central California Environmental Justice Network		
Discharger:	M.C. Land Company		
Date of ACL Order Approval:	20-Nov-2015		
Total ACL Amount:	\$46,000.00		
Total SEP Amount:	\$23,000.00		
		Paid in 2015	To be paid in 2016+
Amount Paid to Rose Foundation from Discharger	Direct Costs ¹ :	\$23,000.00	\$0.00
	Oversight Costs ² :	\$690.00	\$0.00
Amount Paid by Cleanup and Abatement Account for Oversight ³ :		\$0.00	\$0.00
Amount Paid to Project Proponent from Rose Foundation:		\$0.00	\$21,390.00
Amount Expended on Project by Project Proponent:		\$0.00	\$0.00
¹ Non-oversight costs including funding the Project itself, formulating the Project, conducting outreach, publicizing the SEP, etc.			
² Oversight costs are generally not considered part of the "direct cost" of the SEP.			
³ In extraordinary circumstances, the State Water Board's Cleanup and Abatement Account may fund oversight of the project.			

Narrative: On the 20th of November 2015, the Central Valley Water Board agreed to a settlement with M.C. Land Company whom agreed to support a Supplemental Environmental Project proposed by the **Central California Environmental Justice Network (CCEJN)** in the amount of \$23,000. On the 23th of December 2015, the Rose Foundation received payment from M.C. Land Company. Of the full amount, the Rose Foundation retained \$1,610 from the ACL plus \$690 from oversight fees to support its costs associated with this project. The Rose Foundation has issued a grant agreement to CCEJN and anticipates mailing a check in the amount of \$21,450 to them for their “Advancing Community Engagement to Monitor, Report Hazards, and Preserve the Water Quality of Fresno and Kern” project as soon as a signed grant contract is received from the project’s fiscal sponsor. Project activities have not yet commenced.

The following is a brief recap of project milestones completed by the projects active in the 2015 cycle.

Project 100% completed in 2015:

None

Project 75% completed in 2015:

With the goal of ensuring clean sources of drinking water for disadvantaged communities in the San Joaquin Valley and Tulare Lake Basin **Community Water Center** prioritized three activities for its SEP: 1) Water Quality Monitoring in Disadvantaged Communities; 2) Community Outreach and

Education in Disadvantaged Communities; and 3) Supporting Community Participation in Watershed Planning. As part of their water quality monitoring efforts, they have tested for common contaminants in 17 private wells (12 in Tulare County, two in Fresno County, and three in Kern County). Through meetings, trainings, door-knockings and other efforts, they have exceeded their original goal and reached nearly 1,200 unduplicated individuals across the Tulare Lake region. Education and engagement activities prioritizing disadvantaged communities focused on three opportunities related to the: 1) Northern Tulare County Regional Safe Drinking Water Project; 2) Tulare Lake Basin Disadvantaged Community Water Study Stakeholder Oversight Advisory Committee; and 3) Sustainable Groundwater Management Act (SGMA). Each was designed to encourage DACs to take proactive action to prevent and mitigate contamination of groundwater used as a source of drinking water. In its effort to support DAC participation in regional water planning processes, Community Water Center has developed a guide to stakeholder engagement. In it, they have outlined strategies for water agencies to actively engage and consider the needs of small community and individual households. This project is on track for 100% completion by March 2016, well ahead of its original anticipated project end date.

Project 50% completed in 2015:

None

Project 25% completed in 2015:

The **Environmental Justice Coalition for Water (EJCW)** implemented its project with the aim of rectifying the power imbalance in water governance by improving the collective knowledge of and tools available to disadvantaged communities for engaging in watershed health problem-solving in the lower Sacramento Valley. To date, EJCW staff have collected and reviewed secondary data to assess resources available to understand water quality and access issues impacting the over half million residents in the region. Thus far, EJCW has talked to two major categories of stakeholders: 1) government agency folks (including CA Department of Water Resources and Sacramento County Environmental Management Department, among others) mobilizing around the IVAN tool and 2) community members and neighborhood associations. At the 25% milestone, they have talked to 10-15 organizations and 10 individuals in total. EJCW has also engaged with academic partners at the UC Davis Center for Regional Change around IVAN to provide database, statistical analysis, and mapping support. In the next phase of work, EJCW plans to angle the project and their partners so that this work dovetails with the work of the local IRWM plans to conduct DAC data collection, outreach and needs assessment for hard-to-reach communities.

The Sierra Fund's goal is to leverage a \$5.5 million grant awarded by the Department of Water Resources to The Sierra Fund's "CABY Headwaters Resilience and Adaptability Program" – a collaboration among fifteen government and non-profit organizations to allow project partners working on water quality improvement in the region to more deeply engage with tribal leaders, disadvantaged community members, and others in the region. Their primary work in the first quarter (25% milestone) has been gathering existing data to assess who's already involved with CABY and identify who is missing. Currently there are 45 groups on the planning group list, with an additional 30-40 names of potential participants. The Sierra Fund has both a convener and fundraising role with the CABY IRWM. In this first phase of work, they have inventoried what has happened to date, what materials exist and how to create a new platform for community outreach and engagement. The

Sierra Fund has drafted seven project profiles they intend to share with the community on the CABY website. They have developed the criteria for project viability, including: control over property; buy-in from affected communities; and appropriate permits secured. In the next phase of work, they plan to finalize the CABY governance structure and invest time and effort to create a functional organization and platform for community outreach and engagement.’

The **California Product Stewardship Council (CPSC)** launched the Sustainable Medicine Take Back for Madera County project on the 1st of November 2015. CPSC introduced its “Don’t Rush to Flush Meds in the Bin We All Win!” (DRTF) program to Madera County by making presentations to 15 representatives from county public health, environmental health, solid waste and recycling, city public works and solid waste, law enforcement, school of pharmacy, drug abuse prevention center, local waste haulers, veterinary. They have since identified two committed host sites for the cities of Chowchilla and Oakhurst; bins have been ordered and will arrive early February. CPSC has also secured a tentative commitment from the County Sheriff to host a bin at their headquarters in the City of Madera. Combined, these three sites will provide coverage in the County’s three major population centers. In an effort to gauge community support for the program, CPSC has finalized an online and print pre-project consumer survey that will be translated into Spanish. CPSC will begin online distribution in February 2016 and make print surveys available to project partners for distribution at community outreach events. They are planning a public launch with a kick-off press event in Spring 2016 around Earth Day or in conjunction with other local events at the nexus of environmental protection, drug abuse awareness, and public safety.

Recently Initiated Project:

The **Central California Environmental Justice Network** was recently approved for funding to commence its “Advancing Community Engagement to Monitor, Report Hazards, and Preserve the Water Quality of Fresno and Kern” project. We anticipate a February 2016 start date.

Please do not hesitate to contact me with any questions in this matter. And thank you for your continued commitment to supporting a wide range of community-based organizations this year. We look forward to continuing our partnership in 2016.

Sincerely,



Tim Little
Executive Director

ⁱ The pending projects anticipated to begin work in 2016 are:

Center on Race Poverty and Environment Allensworth and Delano Watershed Improvement:
Promoting Community Participation

Central California Environmental Justice Network Advancing Community Engagement to Monitor, Report Hazards, and Preserve the Water Quality of Fresno and Kern Counties

El Quinto Sol de America Water and The Right to Know

Leadership Counsel for Justice and Accountability Septic to Sewer: For Community Health, For Groundwater Quality, For Regional Sustainability

Self-Help Enterprises DAC Engagement in Regional Water Planning

South Yuba River Citizen League Growing Green: Reducing Water Quality Impacts from Marijuana Grows in the Yuba Watershed for Sutter County

The Sierra Fund Integrating Grass Valley DAC Participation in CABY Water Quality Activities: Project Planning, Tribal Consultation, and Post-It Day 2016

Wild Places Kern/Tule Watersheds Disadvantaged Communities Water Quality Improvement and Outreach