



State Water Resources Control Board

October 27, 2023

RE: Hearing Officer's Rulings on City of Solvang's Evidentiary Objections in the Matter of City of Solvang's Petition for Change of Water Right Permit 15878

TO ALL PARTIES:

On September 22, 2023, the City of Solvang (Solvang) filed (1) Evidentiary Objections to Direct Testimony of Kyle Evans, Direct Testimony of Hans Sin, Direct Testimony of Bryan DeMucha, and Certain Exhibits Submitted by California Department of Fish and Wildlife (CDFW), (2) Evidentiary Objections to Direct Testimony of Richard Bush, and Certain Exhibits Submitted by National Marine Fisheries Service (NMFS), and (3) Evidentiary Objections to Direct Testimony of William (Bill) Trush. On September 29, 2023, CDFW, NMFS, and CalTrout filed responses to Solvang's evidentiary objections.

Attached to this letter are the following rulings on these evidentiary objections:

Attachment A – Hearing Officer's Rulings on Solvang's Evidentiary Objections to Direct Testimony of Kyle Evans, Direct Testimony of Hans Sin, Direct Testimony of Bryan DeMucha, and Certain Exhibits Submitted by CDFW

Attachment B – Hearing Officer's Rulings on Solvang's Evidentiary Objections to Direct Testimony of Richard Bush, and Certain Exhibits Submitted by NMFS

Attachment C – Hearing Officer's Rulings on Solvang's Evidentiary Objections to Direct Testimony of William (Bill) Trush

Sincerely,

/s/ Nicole L. Kuenzi

Nicole L. Kuenzi
Presiding Hearing Officer
Administrative Hearings Office
State Water Resources Control Board

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9	CITY OF SOLVANG
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STATE WATER RESOURCES CONTROL BOARD OFFICE OF ADMINISTRATIVE HEARINGS

In the matter of the Petition for Change of the City of Solvang for Water-Right Permit 15878 (Application A022423), which authorizes diversion of water from the Santa Ynez River (underflow) in Santa Barbara County

CITY OF SOLVANG'S EVIDENTIARY **OBJECTIONS TO DIRECT TESTIMONY** OF KYLE EVANS, DIRECT TESTIMONY OF HANS SIN, DIRECT TESTIMONY OF **BRYAN DEMUCHA, AND CERTAIN EXHIBITS SUBMITTED BY CALIFORNIA** DEPARTMENT OF FISH AND WILDLIFE

Hearing Dates and Time:

Dates: November 6, 8-9, 29-30, 2023; and

December 6-7, 2023

9:00 a.m. Time:

Hearing Officer: Nicole Kuenzi

TO THE STATE WATER RESOURCES CONTROL BOARD, OFFICE OF
ADMINISTRATIVE HEARINGS, AND ADMINISTRATIVE HEARING OFFICER NICOLE
KUENZI, AND ALL PARTIES AND THEIR COUNSEL OF RECORD:

PLEASE TAKE NOTICE that Petitioner City of Solvang (hereinafter "Petitioner") hereby submits the following Evidentiary Objections to the Direct Testimony of Kyle Evans (hereinafter "Evans"), the Direct Testimony of Hans Sin (hereinafter "Sin"), and the Direct Testimony of Bryan DeMucha (hereinafter "DeMucha") filed by the California Department of Fish and Wildlife (hereinafter "CDFW"), and certain exhibits submitted by CDFW, including exhibits submitted by CDFW but not authenticated and/or not cited in any of the Remaining Protesters' written testimonies:

CITY'S OBJECTIONS TO TESTIMONY OF EVANS AND CERTAIN EXHIBITS

MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION
Objection 1: CDFW-3 (Kyle Evans Testimony)	Relevance Gov. Code § 11513(c); Evid. Code § 350; Irrelevant and unnecessary expert testimony Evid. Code §801; see also City's Motion In Limine No. 4 seeking to exclude the written testimony of Kyle Evans in its entirety.	Sustained: Overruled:x See AHO's evidentiary ruling on Solvang's MIL #3.
Objection 2: All Exhibits Cited in Expert Testimony of Kyle Evans, including: CDFW-4 through CDFW-16, CDFW-33, CDFW-40, and CDFW-50.	Relevance Gov. Code § 11513(c); Evid. Code § 350; Cited for irrelevant and unnecessary expert testimony Evid. Code §801; Lack of Authentication. Evid. Code § 1400, 1401. Lack of Authentication; Lack of Foundation. Evid. Code § 403;	Sustained: Overruled:x See AHO's evidentiary ruling on Solvang's MIL #1 & #3

CITY OF SOLVANG'S EVIDENTIARY OBJECTIONS TO DIRECT TESTIMONY OF KYLE EVANS, HANS SIN, BRYAN DEMUCHA, AND CERTAIN EXHIBITS SUBMITTED BY CDFW 10773-0014\2866042v4.doc

See also City's Motion In Limine	
No. 4 seeking to exclude the written	
testimony of Kyle Evans in its	
entirety.	

CITY'S OBJECTION TO TESTIMONY OF SIN AND CERTAIN EXHIBITS

MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION
Objection 1: Exhibit CDFW-53,	Time-Barred and Impermissible	Overruled
paragraphs 27-30, page 10, lines	Collateral Attack on City's CEQA	See AHO's evidentiar
13-28, page 11, lines 1-22 (in	Documentation, which is now final	ruling on Solvang's MIL #2.
their entirety, including	and conclusively presumed valid in	14112 112.
statements not reproduced here in	all respects, Pub. Resources Code, §	
the interest of brevity): "72.	21167; Pub. Resources Code, §	
SWFL Population Trend Data on	21167.2, 14 CCR, § 15112.).	
the Santa Ynez River29.		
These data and reports are		
contrary to the Addendum's		
biological assessments, which		
state that quality SWFL/LVBI		
habitat is less than 1.3 miles		
downstream of the proposed Well		
Site B, but not within proposed		
Well Site B "		
Objection 2: Exhibit CDFW-53;	Relevance Gov. Code § 11513(c);	Sustained:
paragraph 44.C, line 20: "On	Evid. Code § 350; Lack of	Overruled: X
May 18th, 2023, CDFW staff	Foundation Evid. Code § 403. Lack	Overruied

1	took photos (CDFW-67) between	of Personal Knowledge. Evid. Code	See AHO's evidentiary
2	Well Sites A and B, immediately	§ 702(a). Lack of Authentication	ruling on Solvang's MIL #1; see also
3	below the rock quarry, looking	Evid. Code § 1400, 1401.	Gov. Code, § 11513,
4	downstream"		subd. (c); Cal. Code Regs., tit. 23, § 648.
5 6 7 8 9 10 11 12 13 14 15 16 17	Objection 3: CDFW-53; paragraphs 68-81, page 25, lines 22-25, page 26-28, page 29, lines 1-19 (in their entirety, including statements not reproduced here in the interest of brevity): "In the next section, I discuss why the conclusions of the Master Plan Update EIR and Addendum regarding impacts from the proposed project on riparian communities and listed species are not supported by the best	Time-Barred and Impermissible Collateral Attack on City's CEQA Documentation, which is now final and conclusively presumed valid in all respects, Pub. Resources Code, § 21167; Pub. Resources Code, § 21167.2, 14 CCR, § 15112.).	Sustained: Overruled: See AHO's evidentiary ruling on Solvang's MIL #2.
18 19	available science "		
20	Objection 4: CDFW-53,	Improper Expert Testimony Outside	Sustained.
21	paragraph 70, page 26, lines 14-	Subject on which Witness has	Opinion offered is outs
22	16: "This data shows the bottom	Special Knowledge, Skill,	of subject matter in wheexpert witness has spec
23	of the riverbed is at 332 feet on	Experience, Training, or Education,	knowledge, skill,
24	the western section of well site B	Evid. Code §§ 720, 800-803;	experience, training, or education. (Evid. Code
25	and 342 feet on the eastern	Relevance Gov. Code § 11513(c);	§ 801.)
26	section of well site B, averaging	Evid. Code § 350.	
27	at 337 feet."		
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Objection 5: CDFW-53, paragraphs 71-72, page 26, lines 17-25 (emphasis in original): "71. Historical water use shows that the groundwater level was higher than the bottom of the riverbed (337 feet average elevation) from the 1930s through the 1960s. This historical use data shows that in 1935, the groundwater was at a maximum level of 366 feet in elevation, which is 29 feet above the bottom of the riverbed, and in 1990, the groundwater was at its lowest recorded level at 318 feet in elevation. This historical fluctuation is 48 feet, well outside the 9-foot historical fluctuation that is mentioned in the Addendum. 72. Then in the early 1970s, the groundwater valuses started to trend downward, until they reached the level of 327—341 feet in elevation (up to 10 feet below **the riverbed**) from 2003-2022."

Improper Expert Testimony Outside Subject on which Witness has Special Knowledge, Skill, Experience, Training, or Education, Evid. Code §§ 720, 800-803; Relevance Gov. Code § 11513(c); Evid. Code § 350.

Overruled:

Sustained:

Opinion offered is outside of subject matter in which expert witness has special knowledge, skill, experience, training, or education. (Evid. Code, § 801.)

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Objection 6: CDFW-53,
paragraph 71, lines 21-22: "This
historical fluctuation is 48 feet,
well outside the 9-foot historical
fluctuation that is mentioned in
the Addendum."

Code § 802, 803. Bader v. Johnson & Johnson (2022) 86 Cal. App. 5th 1094, 1105: "[T]he matter relied on must provide a reasonable basis for the particular opinion offered, and ... an expert opinion based on speculation or conjecture is inadmissible." Smith v. Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, 593: "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence."; Relevance Gov. Code § 11513(c); Lack of Foundation. Evid. Code § 403; Lack of Authentication Evid. Code § 1400, 1401.

Improper Basis for Opinion Evid.

Overruled.

Testimony excluded on other grounds, see *supra*.

Objection 7: CDFW-53;

paragraphs 75-78, page 27, lines 15-27 and page 28, lines 1-12 (in their entirety, including statements not reproduced here in the interest of brevity): "75. An addition 9 - 11 feet of drawdown

(as predicted based on CDFW's

Improper Expert Testimony Outside
Subject on which Witness has
Special Knowledge, Skill,
Experience, Training, or Education,
Evid. Code § 720, 800-803;
Relevance Gov. Code § 11513, Evid.
Code § 350; and Lack of Foundation
Evid. Code § 403. The cited portions

Sustained: x

Overruled:

Opinion offered is outside of subject matter in which expert witness has special knowledge, skill, experience, training, or education. (Evid. Code, § 801.)

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riparian corridor. 78. The

analysis) will lower the
groundwater up to 21 feet below
the riverbed bottom, based on the
average groundwater data from
2003-2022. 76. The Groundwater
Sustainability Plan for the Santa
Ynez River Valley Groundwater
Basin, Bulletin 118 Basin No. 3-
15 (CDFW-76; hereafter referred
to as GSP) mentions a
measurable objective for
depletions of interconnected
surface flow and groundwater, as
follows 77. The GSP on
page 55 states that groundwater
levels dropping below 15 feet in
the eastern area of the Santa Ynez
River Alluvium, which includes
the proposed project area, would
result in undesirable results
including depletion of
interconnected surface water. In
addition, groundwater elevations
5 feet below the channel thalweg
would maintain soil wetness as
well as maintain groundwater
dependent ecosystems and the

of the GSP are irrelevant to the issues in this case, which involve diversions of underflow of the river (i.e., surface water) to which the referenced measurable objectives and minimum thresholds are not applicable. SGMA measurable objectives and minimum thresholds apply to the management of diversion of groundwater, not surface water. Probative value is substantially outweighed by the probability that admission will necessitate undue consumption of time and testimony regarding the interpretation of a voluminous groundwater sustainability plan (GSP) that is pending approval by the Department of Water Resources. Gov Code § 11513(f).

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additional 9-10 feet drawdown that will lower the groundwater up to 21 feet below the riverbed bottom will be outside the GSP guidelines." **Objection 8:** CDFW-53; Improper Basis for Opinion Evid. Sustained: paragraph 89, lines 17-19: "On Code §§ 802, 803. *Bader v. Johnson* Overruled: x September 30, 2022, USFWS & Johnson (2022) 86 Cal. App. 5th Solvang will have the recommended protocol-level 1094, 1105: "[T]he matter relied on opportunity to crossexamine Mr. Sin surveys for the Santa Ynez River, must provide a reasonable basis for about the bases for his testimony and based on the surveys conducted the particular opinion offered, and ... offer rebuttal by Griffith Wildlife Biology with an expert opinion based on evidence in response. NFWF as a next step for speculation or conjecture is Mr. Sin's testimony is apparently within promoting recovery of declining inadmissible." Smith v. Workmens his area of expertise LBVI populations (CDFW-78)" Comp. App. Bd. v. County of Los and is based on an exhibit (CDFW-78) Angeles (1969) 71 Cal.2d 588, 593: offered into evidence. "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence." Relevance Gov. Code § 11513(c); Evid. Code § 350; Lack of Foundation. Evid. Code § 403; Lack of Authentication Evid. Code § 1400,

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1401.

	Objection 9: CDFW-53;	Relevance, Outside Scope of	Sustained: x
	paragraph 91, lines 27-2:	Proceedings Gov. Code § 11513(c);	Overruled:
	"Development of a water budget	Evid. Code § 350; Probative value is	Statement appears to be outside of the subject m
	will also be helpful to evaluate	substantially outweighed by the	expertise of testifying
	inputs and outputs of Solvang's	probability that admission will	(see Evid. Code § 801); result, the probative val
	water use to make informed	necessitate undue consumption of	substantially outweight the probability that its
	decisions."	time Gov Code § 11513(f).	admission will necessita
_	Objection 10: CDFW-53;	Relevance, Outside Scope of	undue consumption of the Gov Code § 11513(f).
	paragraph 92: "I also recommend	Proceedings Gov. Code § 11513(c);	Sustained:
	a comprehensive analysis of all	Evid. Code § 350; Probative value is	Overruled: x
	the wells currently along the	substantially outweighed by the	Opinion offered appear relevant to question of
	, -		whether impact of chan in point of diversion
	Santa Ynez River to determine	probability that admission will	proposed by Solvang
	whether pumping from the	necessitate undue consumption of	would impact fish, wildlife or any other
	proposed wells, when considered	time Gov Code § 11513(f).	instream beneficial use
	together with pumping from		(see Hearing Issue 1.c.) adequately protect publ
	existing wells, would create		trust resources (Hearing Issue 1.d.), and be in the
	cumulative effects on the riparian		public interest (Hearing
	habitats along the main stem and		Issue 1.e.) when considering cumulative
	tributaries (CDFW-79)."		effects with existing diversions.
	Objection 11: CDFW-67	Lack of Foundation. Evid. Code §	Sustained:
		403. Relevance Gov. Code §	Overruled:
		11513(c); Evid. Code § 350; Lack of	Ruling deferred. Photographs require so
		Authentication. Evid. Code § 1400,	authentication and foundation to meet
		1401.	standard under Gov. Co section 11513, subd. (c
ŀ	Objection 12: CDFW-78	Relevance, Gov. Code § 11513(c);	Sustained:
		Evid. Code § 350. Unsubstantiated	

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CITY'S OBJECTIONS TO TESTIMONY OF DEMUCHA AND CERTAIN EXHIBITS.

MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION
Objection 1: CDFW-101 (Bryan	Improper Expert Testimony Outside	Sustained:
DeMucha Testimony)	Subject on which Witness has Special Knowledge, Skill, Experience, Training, or Education, Evid. Code §§ 720, 800-803; Lack of Foundation. Evid. Code § 403; Time- Barred and Impermissible Collateral Attack on City's CEQA Documentation, which is now final and conclusively presumed valid in all respects, Pub. Resources Code, § 21167; Pub. Resources Code, § 21167.2; 14 CCR, § 15112.); see also	Overruled: x See AHO evidentiary ruling on Solvang's MIL #2.
	City's Motion In Limine No. 3	

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Attachment A

1		seeking to exclude the written	
2		testimony of Bryan DeMucha in its	
3		entirety.	
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5	Objection 2: All Exhibits Cited	Relevance Gov. Code § 11513(c);	Sustained:
6	in Expert Testimony of Bryan	Evid. Code § 350; Cited for	
7	Demucha, including: CDFW-80	Improper Expert Testimony Outside	Overruled: x
8	through CDFW-98.	Subject on which Witness has	Ibid.
9		Special Knowledge, Skill,	
10		Experience, Training, or Education,	
11		Evid. Code §§ 720, 800-803; Lack of	
12		Foundation. Evid. Code § 403; Time-	
13		Barred and Impermissible Collateral	
14		Attack, Pub. Resources Code, §	
15		21167; Pub. Resources Code, §	
16		21167.2.); Lack of Authentication.	
17		Evid. Code § 1400, 1401; See also	
18		City's Motion In Limine No. 3	
19		seeking to exclude the written	
20		testimony of Bryan DeMucha in its	
21		entirety.	
22			
23	CITY'S OD	JECTIONS TO CERTAIN EXHIBITS	2
24		ENTICATED AND/OR NOT CITED	
25	NOT AUTH	IENTICATED AND/OR NOT CITED	
26	MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION
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RICHARDS WATSON GERSHON ATTORNEYS AT LAW - A PROFESSIONAL CORPORATION

1	Objection 1: CDFW-17	Relevance, Not Cited Gov. Code §	Sustained:
2		11513(c); Evid. Code § 350.	Overruled: x
3			Overruled: x See AHO's
1			evidentiary ruling on Solvang's MIL #1.
5	Objection 2: CDFW-18	Relevance, Not Cited Gov. Code §	Sustained:
7		11513(c); Evid. Code § 350.	Overruled:x <i>Ibid</i> .
3	Objection 3: CDFW-19	Relevance, Not Cited Gov. Code §	Sustained:
)		11513(c); Evid. Code § 350.	
			Overruled: X Ibid.
	Objection 4: CDFW-20	Relevance, Not Cited Gov. Code §	Sustained:
2		11513(c); Evid. Code § 350.	
3			Overruled: x Ibid.
1	Objection 5: CDFW-21	Relevance, Not Cited Gov. Code §	Sustained:
5		11513(c); Evid. Code § 350.	
5			Overruled: X Ibid.
7	Objection 6: CDFW-22	Relevance, Not Cited Gov. Code §	Sustained:
3		11513(c); Evid. Code § 350.	Overruled: x
)			Ibid.
)	Objection 7: CDFW-23	Relevance, Not Cited Gov. Code §	Sustained:
1		11513(c); Evid. Code § 350.	
2			Overruled: x <i>Ibid</i> .
3	Objection 8: CDFW-24	Relevance, Not Cited Gov. Code §	Sustained:
1		11513(c); Evid. Code § 350.	
5			Overruled: x <i>Ibid</i> .
5	Objection 9: CDFW-25	Relevance, Not Cited Gov. Code §	Sustained:
7	•	11513(c); Evid. Code § 350.	

		Overruled: X Ibid.
Objection 10: CDFW-26	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid</i> .
Objection 11: CDFW-27	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid.</i>
Objection 12: CDFW-28	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x Ibid.
Objection 13: CDFW-29	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid</i> .
Objection 14: CDFW-30	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid</i> .
Objection 15: CDFW-31	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid</i> .
Objection 16: CDFW 32	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x <i>Ibid</i> .
Objection 17: CDFW-35	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x Ibid.
Objection 18: CDFW-36	Relevance, Not Cited Gov. Code §	Sustained:

	11513(c); Evid. Code § 350.	Overruled: x Ibid.
Objection 19: CDFW-37	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: X <i>Ibid</i> .
Objection 20: CDFW-38	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350. Further, to the extent relied on, Improper Basis for Opinion, Evid. Code §§ 802, 803. Issue Preclusion, Collateral Estoppel because previously raised by NMFS in Cachuma proceedings; <i>Lucido v. Superior Court</i> (1990) 51 Cal.3d 335, 341: "Collateral estoppel precludes relitigation of issues argued and decided in prior proceedings." <i>People v. Sims</i> (1982) 32 Cal.3d 468, 489: "Collateral estoppel may be applied to decisions	Overruled:
	made by administrative agencies "[w]hen an administrative agency is	
	acting in a judicial capacity and resolves disputed issues of fact	
	properly before it which the parties have had an adequate opportunity to litigate." (emphasis removed).	
	Murray v. Alaska Airlines, Inc. (2010) 50 Cal.4th 860, 868-69	

	[factual findings and final decisions	
	of an administrative agency can be	
	given preclusive effect in a	
	subsequent action between the same	
	parties]. In the Matter of the Petition	
	For Reconsideration of the Kings	
	River Water Association, Regarding	
	Water Right Fee Determinations For	
	Fiscal Year 2005-2006, 2006 WL	
	900466, at *5-7 [factual findings on	
	identical issue were binding on same	
	parties in subsequent State Water	
	Board proceeding.]	
Objection 21: CDFW-39	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x See AHO's evidentia ruling on Solvang's
Objection 22: CDFW-41	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: X Ibid.
Objection 23: CDFW-42	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x Ibid.
Objection 24: CDFW-43	Relevance, Not Cited Gov. Code §	Sustained:
	11513(c); Evid. Code § 350.	Overruled: x Ibid.

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1	Objection 25: CDFW-44	Relevance, Not Cited Gov. Code §	Sustained:
2		11513(c); Evid. Code § 350.	
3			Overruled: x Ibid.
4	Objection 26: CDFW-45	Relevance, Not Cited Gov. Code §	Sustained:
5 6		11513(c); Evid. Code § 350.	Overruled: x
7	Objection 27: CDFW-46	Relevance, Not Cited Gov. Code §	Sustained:
8 9		11513(c); Evid. Code § 350.	Overruled: x Ibid.
10	Objection 28: CDFW-47	Relevance, Not Cited Gov. Code §	Sustained:
11 12		11513(c); Evid. Code § 350.	Overruled: x Ibid.
13	Objection 29: CDFW-49	Relevance, Not Cited Gov. Code §	Sustained:
14 15		11513(c); Evid. Code § 350.	Overruled: x
16			Ibid.
17	DATED: September 22, 2023	RICHARDS, WATSON & G A Professional Corporation	ERSHON
18		B. TILDEN KIM CHELSEA E O'SHILLIVAN	

CHELSEA E. O'SULLIVAN

JACOB METZ

TAYLOR FOLAND

By:

Chelsea O'Sullivan Attorneys for Petitioner CITY OF SOLVANG

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9	CITY OF SOLVANG
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10	CTATE WATER DECOME

ER RESOURCES CONTROL BOARD OFFICE OF ADMINISTRATIVE HEARINGS

In the matter of the Petition for Change of the City of Solvang for Water-Right Permit 15878 (Application A022423), which authorizes diversion of water from the Santa Ynez River (underflow) in Santa Barbara County

CITY OF SOLVANG'S EVIDENTIARY **OBJECTIONS TO DIRECT** TESTIMONY OF RICHARD BUSH, SUBMITTED BY NATIONAL MARINE FISHERIES SERVICE

Hearing Dates and Time:

Dates: November 6, 8-9, 29-30, 2023; and

December 6-7, 2023

Time: 9:00 a.m.

Hearing Officer: Nicole Kuenzi_

TO THE STATE WATER RESOURCES CONTROL BOARD, OFFICE OF
ADMINISTRATIVE HEARINGS, AND ADMINISTRATIVE HEARING OFFICER NICOLE
KUENZI, AND ALL PARTIES AND THEIR COUNSEL OF RECORD:

PLEASE TAKE NOTICE that Petitioner City of Solvang (hereinafter "Petitioner") hereby submits the following Evidentiary Objections to the Direct Testimony of Richard Bush (hereinafter "Bush") filed by National Marine Fisheries Service (hereinafter "NMFS"), and certain exhibits submitted by NMFS, including exhibits submitted by NMFS but not authenticated and/or not cited in any of the Remaining Protesters' written testimonies:

CITY'S OBJECTIONS TO TESTIMONY OF RICHARD BUSH AND CERTAIN EXHIBITS, INCLUDING EXHIBITS NOT AUTHENTICATED AND/OR CITED

MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION
Objection 1 : NMFS-1, paragraph	Lack of Foundation Evid. Code §	Sustained: Overruled:
9, second and third sentences	403; Improper Basis for Opinion on	ovenuicu
("The expected decrease in	unexplained calculations and	Deferred. See AHO's evidentiary ruling on
amount and extent of surface	inappropriate application of 1:1	Solvang's MIL #2.
flow in the main-stem Santa Ynez	relationship. Evid. Code §§ 802, 803.	Solvang will have the opportunity to cross
River due to Solvang's proposal	Bader v. Johnson & Johnson (2022)	examine Mr. Bush about the bases for
is expected to be 1.6 cfs assuming	86 Cal.App.5th 1094, 1105: "[T]he	his expert opinions.
a 1:1 relationship between the	matter relied on must provide a	The hearing officer will assess the
Santa Ynez River surface and	reasonable basis for the particular	admissibility of Mr. Bush's testimony in
subsurface underflow. The	opinion offered, and an expert	the context of the record developed
operation of the proposed	opinion based on speculation or	during the hearing
Solvang wells are therefore	conjecture is inadmissible."; <i>Smith v</i> .	and determine whether Solvang's
expected to adversely affect	Workmens Comp. App. Bd. v. County	objections are a basis
different features of designated	of Los Angeles (1969) 71 Cal.2d 588,	to exclude the testimony or go to

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critical habitat for endangered steelhead, particularly when streamflow is relatively low, for example during the dry season or prolonged rain-free periods." 593: "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence." Relevance, Gov. Code § 11513(c); Evid. Code § 350.

weight to be afforded his testimony.

Objection 2: NMFS-1 Paragraph
13 ("Even though it does not
seem biological monitoring has
been required to assess the
impacts of the operation of
Solvang's current wells, the
available information suggests
monitoring should be required.
For instance, reports indicate that
existing well operations in the
vicinity of Alisal Bridge have

contributed to Santa Ynez River

dewatering and possibly the death

of several steelhead during late

June 2007¹⁴. The expected effects

I report here are underscored by

the fact that the Solvang proposal

plan for detecting the presence of

adverse impacts to steelhead, and

lacks a meaningful monitoring

steelhead, assessing potential

Lack of Foundation Evid. Code § 403; Improper Basis for Opinion. Evid. Code §§ 802, 803. Bader v. Johnson & Johnson (2022) 86 Cal.App.5th 1094, 1105: "[T]he matter relied on must provide a reasonable basis for the particular opinion offered, and ... an expert opinion based on speculation or conjecture is inadmissible."; Smith v. Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, 593: "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence." Relevance, Gov. Code § 11513(c); Evid. Code § 350; Issue Preclusion, Collateral Estoppel because statement is inconsistent

Sustained:

Overruled:______
Deferred. *Ibid*.

The hearing officer will consider arguments about the potential application of collateral estoppel to specific issues during or after the hearing but not as a threshold matter. The hearing officer may request written briefs on this issue.

with NMFS position in Cachuma

taking necessary action to avoid
harming or killing steelhead
resulting from the City's
groundwater pumping in the
Santa Ynez River. The expected
impacts to juvenile steelhead
decrease prospects that affected
individuals would reach the smolt
stage, which is necessary to
sustain the endangered population
of southern California
steelhead.")

proceedings and findings in Water
Order 2019-0148, at 35
("Reclamation failed to meet rearing
flows at Alisal Bridge in 2007, which
resulted in unauthorized take.");
Lucido v. Superior Court (1990) 51
Cal.3d 335, 341: "Collateral estoppel
precludes relitigation of issues
argued and decided in prior
proceedings." People v. Sims (1982)
32 Cal.3d 468, 489: "Collateral
estoppel may be applied to decisions
made by administrative agencies
"[w]hen an administrative agency is
acting in a judicial capacity and
resolves disputed issues of fact
properly before it which the parties
have had an adequate opportunity to
litigate." (emphasis removed).
Murray v. Alaska Airlines, Inc.
(2010) 50 Cal.4th 860, 868-69
[factual findings and final decisions
of an administrative agency can be
given preclusive effect in a
subsequent action between the same
parties]. In the Matter of the Petition
For Reconsideration of the Kings
River Water Association, Regarding

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"Additionally, I believe any the statement does not lay a factual Overruled: Deferred. Ibid. approval of the petition should foundation for any permit terms and include the terms conditions fails to clearly identify any specific submitted by California permit terms, Evid. Code §§ 802, Department of Fish and Wildlife 803. Bader v. Johnson & Johnson on behalf of NMFS, California (2022) 86 Cal.App.5th 1094, 1105: Department of Fish and Wildlife, "[T]he matter relied on must provide and CalTrout, in order to avoid a reasonable basis for the particular and minimize significant effects opinion offered, and ... an expert on endangered species and their opinion based on speculation or designated critical habitat within conjecture is inadmissible."; Smith v. the State Water Board's authority Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, that may result in final approval of Solvang's petition." 593: "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence." Relevance, Gov. Code § 11513(c); Evid. Code § 350. **Objection 5:** NMFS-9 Relevance, Gov. Code § 11513(c); Sustained: Evid. Code § 350. Issue Preclusion, Overruled: Collateral Estoppel because Deferred. previously raised by NMFS in Cachuma proceedings; *Lucido v*. Superior Court (1990) 51 Cal.3d 335, 341: "Collateral estoppel precludes relitigation of issues

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argued and decided in prior proceedings." People v. Sims (1982) 32 Cal.3d 468, 489: "Collateral estoppel may be applied to decisions made by administrative agencies "[w]hen an administrative agency is acting in a judicial capacity and resolves disputed issues of fact properly before it which the parties have had an adequate opportunity to litigate." (emphasis removed). Murray v. Alaska Airlines, Inc. (2010) 50 Cal.4th 860, 868-69 [factual findings and final decisions of an administrative agency can be given preclusive effect in a subsequent action between the same parties]. *In the Matter of the Petition* For Reconsideration of the Kings River Water Association, Regarding Water Right Fee Determinations For Fiscal Year 2005-2006, 2006 WL 900466, at *5-7 [factual findings on identical issue were binding on same parties in subsequent State Water Board proceeding.]

The hearing officer will consider arguments about the potential application of collateral estoppel to specific issues during or after the hearing but not as a threshold matter. The hearing officer may request written briefs on this issue.

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Objection 6: NMFS-10	Relevance, Gov. Code § 11513(c);	Sustained:
	Evid. Code § 350. Issue Preclusion,	Overruled:
	Collateral Estoppel because	Deferred. <i>Ibid</i> .
	previously raised by NMFS in	
	Cachuma proceedings; Lucido v.	
	Superior Court (1990) 51 Cal.3d	
	335, 341: "Collateral estoppel	
	precludes relitigation of issues	
	argued and decided in prior	
	proceedings." People v. Sims (1982)	
	32 Cal.3d 468, 489: "Collateral	
	estoppel may be applied to decisions	
	made by administrative agencies	
	"[w]hen an administrative agency is	
	acting in a judicial capacity and	
	resolves disputed issues of fact	
	properly before it which the parties	
	have had an adequate opportunity to	
	litigate." (emphasis removed).	
	Murray v. Alaska Airlines, Inc.	
	(2010) 50 Cal.4th 860, 868-69	
	[factual findings and final decisions	
	of an administrative agency can be	
	given preclusive effect in a	
	subsequent action between the same	
	parties]. In the Matter of the Petition	
	For Reconsideration of the Kings	
	River Water Association, Regarding	

1		Water Right Fee Determinations For	
2		Fiscal Year 2005-2006, 2006 WL	
3		900466, at *5-7 [factual findings on	
4		identical issue were binding on same	
5		parties in subsequent State Water	
6		Board proceeding].	
7			
8	Objection 7: NMFS-15	Relevance Gov. Code § 11513(c);	Sustained:
9		Evid. Code § 350. Improper Basis for	Overruled: x
10		Opinion, Evid. Code §§ 802, 803.	overrured
11		Issue Preclusion, Collateral Estoppel	without prejudice.
		because previously raised by NMFS	The 2016 Biological
12		in Cachuma proceedings; Lucido v.	Opinion was not
13		Superior Court (1990) 51 Cal.3d	part of the
14		335, 341: "Collateral estoppel	evidentiary record
15		precludes relitigation of issues	for the hearing that led to the Board's
16		argued and decided in prior	issuance of Order
17		proceedings." <i>People v. Sims</i> (1982)	WR 2019-0148
18		32 Cal.3d 468, 489: "Collateral	(Order WR 2019-0148, p. 43),
19		estoppel may be applied to decisions	so the Board did
20		made by administrative agencies	not directly
21			consider the document in that
22		"[w]hen an administrative agency is	prior proceeding.
23		acting in a judicial capacity and	This ruling is
24		resolves disputed issues of fact	made without
25		properly before it which the parties	prejudice to the opportunity for
26		have had an adequate opportunity to	Solvang to object
27		litigate." (emphasis removed).	to the admission
28		Murray v. Alaska Airlines, Inc.	of NMFS-15 based on
20		-9-	relevance if

Attachment B

1		(2010) 50 Cal.4th 860, 868-69	the hearing officer rule
2		[factual findings and final decisions	that parties are estoppe from relitigating certain factual or legal issues in this proceeding.
3		of an administrative agency can be	
4		given preclusive effect in a	uns proceeding.
5		subsequent action between the same	
6		parties]. In the Matter of the Petition	
7		For Reconsideration of the Kings	
8		River Water Association, Regarding	
9		Water Right Fee Determinations For	
10		Fiscal Year 2005-2006, 2006 WL	
11		900466, at *5–7 [factual findings on	
12		identical issue were binding on same	
13		parties in subsequent State Water	
14		Board proceeding].	
15	Oli di O NEGLIO	D 1	
16	Objection 8: NMFS-16	Relevance, Gov. Code § 11513(c);	Sustained:
17		Evid. Code § 350. Unsubstantiated	Overruled: x
18		for Purpose Being Offered Evid.	without prejudice.
19		Code § 803. Improper Expert	NMFS-16 does not
20		Testimony Evid. Code §§ 720, 800-	appear to be offered as expert testimony.
21		803; Smith v. Workmens Comp. App.	See also AHO's
22		Bd. v. County of Los Angeles (1969)	evidentiary rulings on Solvang's MIL #1
23		71 Cal.2d 588, 593 ["an expert's	and MIL #2.
24		opinion which does not rest upon	This ruling is made
25		relevant facts or which assumes an	without prejudice to the opportunity for
26		incorrect legal theory cannot	Solvang to object to the admission of
27		constitute substantial evidence."];	NMFS-15 based on
28		Relevance Gov. Code § 11513(c);	relevance if
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RICHARDS WATSON GERSHON
ATTORNEYS AT LAW - A PROFESSIONAL CORPORATION

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Evid. Code § 350. Issue Preclusion, Collateral Estoppel because cited for position inconsistent with NMFS position in Cachuma proceedings and findings in Water Order 2019-0148, at 35 ("Reclamation failed to meet rearing flows at Alisal Bridge in 2007, which resulted in unauthorized take."); Lucido v. Superior Court (1990) 51 Cal.3d 335, 341: "Collateral estoppel precludes relitigation of issues argued and decided in prior proceedings." People v. Sims (1982) 32 Cal.3d 468, 489: "Collateral estoppel may be applied to decisions made by administrative agencies "[w]hen an administrative agency is acting in a judicial capacity and resolves disputed issues of fact properly before it which the parties have had an adequate opportunity to litigate." (emphasis removed). Murray v. Alaska Airlines, Inc. (2010) 50 Cal.4th 860, 868-69 [factual findings and final decisions of an administrative agency can be given preclusive effect in a subsequent

the hearing officer rules that parties are estopped from relitigating certain factual or legal issues in this proceeding.

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Attachment B

II		Attachment B	
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1		action between the same parties]. <i>In</i>	
2		the Matter of the Petition For	
3		Reconsideration of the Kings River	
4		Water Association, Regarding Water	
5		Right Fee Determinations For Fiscal	
6		Year 2005-2006, 2006 WL 900466,	
7		at *5–7 [factual findings on identical	
8		issue were binding on same parties in	
9		subsequent State Water Board	
10		proceeding].	
11	Objection 0: NIMES 21	Delevered Net Cited Cay Code 8	Sustained:
12	Objection 9: NMFS-21	Relevance, Not Cited. Gov. Code §	Sustained:
13		11513(c); Evid. Code § 350.	Overruled: x
14			See AHO's evidentian ruling on Solvang's
15			MIL #1.
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Objection 10: NMFS-22

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Relevance, Gov. Code § 11513(c); Evid. Code § 350. Unsubstantiated for Purpose Being Offered Evid. Code § 803. Improper Expert Testimony Evid. Code §§ 720, 800-803; Smith v. Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, 593 ["an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence."]; Relevance Gov. Code § 11513(c); Evid. Code § 350. Issue Preclusion, Collateral Estoppel because cited for position inconsistent with NMFS position in Cachuma proceedings and findings in Water Order 2019-0148, at 35 ("Reclamation failed to meet rearing flows at Alisal Bridge in 2007, which resulted in unauthorized take."); Lucido v. Superior Court (1990) 51 Cal.3d 335, 341: "Collateral estoppel precludes relitigation of issues argued and decided in prior proceedings." People v. Sims (1982) 32 Cal.3d 468, 489: "Collateral estoppel may be

Sustained:

Overruled: <u>x</u> without prejudice.

NMFS-22 does not appear to be offered as expert testimony. See also AHO's evidentiary rulings on Solvang's MIL #1 and MIL #2.

This ruling is made without prejudice to the opportunity for Solvang to object to the admission of NMFS-15 based on relevance if the hearing officer rules that parties are estopped from relitigating certain factual or legal issues in this proceeding.

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Attachment B

applied to decisions made by
administrative agencies "[w]hen an
administrative agency is acting in a
judicial capacity and resolves
disputed issues of fact properly
before it which the parties have had
an adequate opportunity to litigate."
(emphasis removed). Murray v.
Alaska Airlines, Inc. (2010) 50
Cal.4th 860, 868-69 [factual findings
and final decisions of an
administrative agency can be given
preclusive effect in a subsequent
action between the same parties]. In
the Matter of the Petition For
Reconsideration of the Kings River
Water Association, Regarding Water
Right Fee Determinations For Fiscal
Year 2005-2006, 2006 WL 900466,
at *5-7 [factual findings on identical
issue were binding on same parties in
subsequent State Water Board
proceeding].

DATED: September 22, 2023

RICHARDS, WATSON & GERSHON
A Professional Corporation
B. TILDEN KIM
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JACOB METZ
TAYLOR FOLAND

By:

Chelsea O'Sullivan Attorneys for Petitioner CITY OF SOLVANG

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8	Facsimile: 800.552.0078			
0	Attorneys for Petitioner			
9	CITY OF SOLVANG			
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11	STATE WATER RESOURCES CONTROL BOARD			
	OFFICE OF ADMINI	STRATIVE HEARINGS		
12				
13				
14	In the matter of the Petition for Change of the City of Solvang for Water-Right Permit 15878	CITY OF SOLVANG'S E OBJECTIONS TO DIRE		
	(Application A022423), which authorizes	TESTIMONY OF WILL		
15	diversion of water from the Santa Ynez River	TRUSH		
16	(underflow) in Santa Barbara County	Hearing Dates and Time:		
17		Dates: November 6, 8-9, 29-		
		December 6-7, 2023		
18		Time: 9:00 a.m.		

EVIDENTIARY CT IAM (BILL)

-30, 2023; and

Time: 9:00 a.m.

Hearing Officer: Nicole Kuenzi

TO THE BOARD AND ALL PARTIES AND THEIR COUNSEL OF RECORD:

PLEASE TAKE NOTICE that Petitioner City of Solvang (hereinafter "Petitioner") hereby submits the following Evidentiary Objections to the Direct Testimony of William (Bill) Trush (hereinafter "Dr. Trush") filed by California Trout (hereinafter "CalTrout").

CITY'S OBJECTIONS TO TESTIMONY OF WILLIAM (BILL) TRUSH

MATERIAL OBJECTED TO:	GROUNDS FOR OBJECTION:	RULING ON THE OBJECTION	
Objection 1: CalTrout-3;	Lack of Foundation Evid. Code §	Sustained:	
paragraph 19, page 12, line 4-6;	403; Improper Basis for Opinion	Overruled:	
"With extremely limited onsite	Evid. Code §§ 802, 803. Bader v.	Deferred. See AHO's	
fieldwork during higher	Johnson & Johnson (2022) 86	evidentiary ruling on Solvang's MIL #2.	
streamflows, these threshold RCT	Cal.App.5th 1094, 1105: "[T]he		
depths would be greater than	matter relied on must provide a		
those in Figure 9, and therefore	reasonable basis for the particular		
require higher streamflows"	opinion offered, and an expert		
	opinion based on speculation or		
	conjecture is inadmissible."; Smith v.		
	Workmens Comp. App. Bd. v. County		
	of Los Angeles (1969) 71 Cal.2d 588,		
	593: "an expert's opinion which does		
	not rest upon relevant facts or which		
	assumes an incorrect legal theory		
	cannot constitute substantial		
	evidence." Relevance Gov. Code §		
	11513(c); Evid. Code § 350.		
Objection 2: CalTrout-3;	Lack of Foundation that the Eel	Sustained:	
paragraph 19, page 12, line 1-2,	River is "reasonably similar" to the	Overruled:	

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and Figure 9 ("I used the South Fork Eel River Tributary RCT thresholds because the channel dimensions were reasonably similar those of to Santa Ynez River.") (underline added).

Santa Ynez River. Evid. Code § 403; Improper Basis for Opinion Evid. Code §§ 802, 803. Bader v. Johnson & Johnson (2022) 86 Cal.App.5th 1094, 1105: "[T]he matter relied on must provide a reasonable basis for the particular opinion offered, and ... an expert opinion based on speculation or conjecture is inadmissible."; Smith v. Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, 593: "an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence." Relevance Gov. Code § 11513(c); Evid. Code § 350.

Deferred. Ibid.

Objection 3: CalTrout-3; paragraph 20, page 12, lines 19-27, page 13, lines 1-2: "My analysis, additional evidence, and calculations support proposed Term 9's trigger for ceasing diversions when flows at the Alisal gauge are less than 150 cfs during the adult migration season. NMFS' 2016 draft Biological

Lack of Foundation Evid. Code § 403, Improper Basis for Opinion for setting 150 cfs flow target at Alisal Bridge based on recommendation for 150 cfs release from Cachuma project (Draft 2016 BiOp Table 2-13 pg. 144; pg. 15) Evid. Code §§ 802, 803. Bader v. Johnson & Johnson (2022) 86 Cal.App.5th 1094, 1105: "[T]he matter relied on must provide

Overruled: Deferred. Ibid. The hearing officer will consider arguments about the potential application of collateral estoppel to specific issues during or after the hearing but not as threshold matter. The hearing officer may request written briefs on this issue.

Sustained:

Opinion recommends releases
from Cachuma Reservoir of 150
cfs for adult steelhead migration
to avoid jeopardy to southern
California steelhead. (Exhibit
CalTrout-5) This
recommendation derives from the
2015 River Institute Report.
(Exhibit CalTrout-4) The rating
curve equation, RCT = 0.2257 X
Q0.3899 for the Santa Ynez
River at the Alisal Gauge (Figure
8) substantiates Term 9's trigger.
Flows (Q) of 150 cfs correspond
to a RCT depth of 1.59 feet
(Figure 8) which along with the
associated velocity and
turbulence a the studied riffle
creates conditions in the range of
unrestricted migration for
steelhead (RCT depth of 1.40 feet
or greater). (Figure 9)"

a reasonable basis for the particular
opinion offered, and an expert
opinion based on speculation or
conjecture is inadmissible."; Smith v.
Workmens Comp. App. Bd. v. County
of Los Angeles (1969) 71 Cal.2d 588,
593: "an expert's opinion which does
not rest upon relevant facts or which
assumes an incorrect legal theory
cannot constitute substantial
evidence." Relevance Gov. Code §
11513(c); Evid. Code § 350. Issue
Preclusion, Collateral Estoppel
because 2016 BiOp flow
recommendations were previously
raised by NMFS in Cachuma
proceedings; Lucido v. Superior
Court (1990) 51 Cal.3d 335, 341:
"Collateral estoppel precludes
relitigation of issues argued and
decided in prior proceedings."
People v. Sims (1982) 32 Cal.3d 468,
489: "Collateral estoppel may be
applied to decisions made by
administrative agencies "[w]hen an
administrative agency is acting in a
judicial capacity and resolves
disputed issues of fact properly

before it which the parties have had 1 2 an adequate opportunity to litigate." 3 (emphasis removed). Murray v. 4 Alaska Airlines, Inc. (2010) 50 5 Cal.4th 860, 868-69 [factual findings 6 and final decisions of an 7 administrative agency can be given 8 preclusive effect in a subsequent 9 action between the same parties]. In 10 the Matter of the Petition For 11 Reconsideration of the Kings River 12 Water Association, Regarding Water 13 Right Fee Determinations For Fiscal Year 2005-2006, 2006 WL 900466, 14 15 at *5–7 [factual findings on identical 16 issue were binding on same parties in 17 subsequent State Water Board 18 proceeding] **Objection 4:** CalTrout-3; Lack of Foundation Evid. Code § Sustained: 19 20 paragraph 21 permit term 38 cfs 403, Improper Basis for Opinion for Overruled: 21 "Similarly, Term 10's trigger for setting 38 cfs flow target at Alisal Deferred. See AHO's evidentiary ruling on 22 ceasing diversions (38 cfs at the Bridge, Draft 2016 BiOp Table 2-13 Solvang's MIL #2. 23 Alisal gauge) is warranted to pg. 144, Evid. Code §§ 802, 803. 24 protect steelhead rearing in the Bader v. Johnson & Johnson (2022) 25 river downstream from Alisal. 86 Cal.App.5th 1094, 1105: "[T]he These flows would require 26 matter relied on must provide a 27 subsequent analysis and reasonable basis for the particular 28 validation." (underline added). opinion offered, and ... an expert

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1		opinion based on speculation or	
2		conjecture is inadmissible." Smith v.	
3		Workmens Comp. App. Bd. v. County	
4		of Los Angeles (1969) 71 Cal.2d 588,	
5		593: "an expert's opinion which does	
6		not rest upon relevant facts or which	
7		assumes an incorrect legal theory	
8		cannot constitute substantial	
9		evidence." Relevance Gov. Code §	
10		11513(c); Evid. Code § 350.	
11	Objection 5: CalTrout-3;	Lack of Foundation Evid. Code §	Sustained:
12	paragraph 6 "Only adult	403, Improper Basis for Opinion,	Overruled:
13	steelhead migration/passage and	Evid. Code §§ 802, 803. Bader v.	Deferred. Ibid.
14	0+ juvenile steelhead over-	Johnson & Johnson (2022) 86	
15	summering are sufficiently	Cal.App.5th 1094, 1105: "[T]he	
16	advanced to report on my	matter relied on must provide a	
17	findings; <u>investigating water</u>	reasonable basis for the particular	
18	temperature and dissolved	opinion offered, and an expert	
19	oxygen risks are underway."	opinion based on speculation or	
20	(underline added).	conjecture is inadmissible."	
21		Relevance Gov. Code § 11513(c);	
22		Evid. Code § 350. Improper Expert	
23		Testimony Evid. Code §§ 720, 800-	
24		803; Smith v. Workmens Comp. App.	
25		Bd. v. County of Los Angeles (1969)	
26		71 Cal.2d 588, 593: "an expert's	
27		opinion which does not rest upon	
28		relevant facts or which assumes an	
		-6-	ı

1		incorrect legal theory cannot	
2		constitute substantial evidence."	
3	Objection 6: CalTrout-4;	Relevance, Gov. Code § 11513(c);	Sustained:
4	Humboldt State University River	Evid. Code § 350. Unsubstantiated	Overruled:
5	Institute, Annual Hydrograph	for Purpose Being Offered regarding	Deferred. Ibid.
6	Assessment for Steelhead	1.40 RCT depth, Evid. Code § 803.	
7	Migration in the Santa Ynez	Improper Expert Testimony Evid.	
8	River, June 30, 2014	Code §§ 720, 800-803; Smith v.	
9		Workmens Comp. App. Bd. v. County	
10		of Los Angeles (1969) 71 Cal.2d 588,	
11		593 ["an expert's opinion which does	
12		not rest upon relevant facts or which	
13		assumes an incorrect legal theory	
14		cannot constitute substantial	
15		evidence."]; Relevance Gov. Code §	
16		11513(c); Evid. Code § 350. Issue	
17		Preclusion, Collateral Estoppel	
18		because previously raised by NMFS	
19		in Cachuma proceedings as a	
20		resource cited in the Draft 2016	
21		BiOp; Lucido v. Superior Court	
22		(1990) 51 Cal.3d 335, 341:	
23		"Collateral estoppel precludes	
24		relitigation of issues argued and	
25		decided in prior proceedings."	
26		People v. Sims (1982) 32 Cal.3d 468,	
27		489: "Collateral estoppel may be	
28			

	applied to decisions made by	
	administrative agencies "[w]hen an	
	administrative agency is acting in a	
	judicial capacity and resolves	
	disputed issues of fact properly	
	before it which the parties have had	
	an adequate opportunity to litigate."	
	(emphasis removed). Murray v.	
	Alaska Airlines, Inc. (2010) 50	
	Cal.4th 860, 868-69 [factual findings	
	and final decisions of an	
	administrative agency can be given	
	preclusive effect in a subsequent	
	action between the same parties]. In	
	the Matter of the Petition For	
	Reconsideration of the Kings River	
	Water Association, Regarding Water	
	Right Fee Determinations For Fiscal	
	Year 2005-2006, 2006 WL 900466,	
	at *5–7 [factual findings on identical	
	issue were binding on same parties in	
	subsequent State Water Board	
	proceeding].	
Objection 7: CalTrout-5	Relevance, Gov. Code § 11513(c);	Sustained:
	Evid. Code § 350. Unsubstantiated	Overruled: x
	for Purpose Being Offered regarding	without prejudice.
	flow requirements, Evid. Code § 803.	
	Improper Expert Testimony Evid.	
CITY OF SOI VANG'S EVIDENTIA	-8- RY OBJECTIONS TO DIRECT TESTIMONY	maintenance of the

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Code §§ 720, 800-803; Smith v.

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Workmens Comp. App. Bd. v. County of Los Angeles (1969) 71 Cal.2d 588, 593 ["an expert's opinion which does not rest upon relevant facts or which assumes an incorrect legal theory cannot constitute substantial evidence."]; Relevance Gov. Code § 11513(c); Evid. Code § 350. Issue Preclusion, Collateral Estoppel because previously raised by NMFS in Cachuma proceedings; *Lucido v*. Superior Court (1990) 51 Cal.3d 335, 341: "Collateral estoppel precludes relitigation of issues argued and decided in prior proceedings." People v. Sims (1982) 32 Cal.3d 468, 489: "Collateral estoppel may be applied to decisions made by administrative agencies "[w]hen an administrative agency is acting in a judicial capacity and resolves disputed issues of fact properly before it which the parties have had an adequate opportunity to litigate." (emphasis removed). Murray v. Alaska Airlines, Inc.

CalTrout-5 appears to be a cover letter by NMF\$ to which is attached a 2016 Draft Biological Opinion for operation of the Cachuma Project. CalTrout is not offering the 2016 Draft Biological Opinion as expert testimony, therefore, it is not subject to Evidence Code sections 801 to 805. See also the AHO's evidentiary rulings on Solvang's MIL #1 and MIL #2.

The 2016 Biological Opinion was not part of the evidentiary record for the hearing that led to the Board's issuance of Order WR 2019-0148 (Order WR 2019-0148, p. 43), so the Board did not directly consider the document in that prior proceeding. As discussed above, the hearing officer defers ruling on the application of collateral estoppel to any of the issues raised in this proceeding until a late time during or after the hearing.

(2010) 50 Cal.4th 860, 868-69

	[factual findings and final decisions	
	of an administrative agency can be	
	given preclusive effect in a	
	subsequent action between the same	
	parties]. In the Matter of the Petition	
	For Reconsideration of the Kings	
	River Water Association, Regarding	
	Water Right Fee Determinations For	
	Fiscal Year 2005-2006, 2006 WL	
	900466, at *5-7 [factual findings on	
	identical issue were binding on same	
	parties in subsequent State Water	
	Board proceeding].	
Objection 8: CalTrout-6	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	See AHO's evidentia
	403. Relevance Gov. Code §	ruling on Solvang's MIL #1.
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 9: CalTrout-7	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	

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	Objection 10: CalTrout-8	Not cited in any written testimonies	Sustained:
	•	submitted by Remaining Protesters.	Overruled: x
		Lack of Foundation. Evid. Code §	Ibid.
		403. Relevance Gov. Code §	
		11513(c); Evid. Code § 350; Lack of	
.		Authentication. Evid. Code § 1400,	
,		1401.	
;	Objection 11: CalTrout-9	Not cited in any written testimonies	Sustained:
)	•	submitted by Remaining Protesters.	Overruled: X
)		Lack of Foundation. Evid. Code §	Ibid.
		403. Relevance Gov. Code §	
:		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
	Objection 12: CalTrout-10	Not cited in any written testimonies	Sustained:
)		submitted by Remaining Protesters.	Overruled: x
,		Lack of Foundation. Evid. Code §	Ibid.
;		403. Relevance Gov. Code §	
)		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
.	Objection 13: CalTrout-11	Not cited in any written testimonies	Sustained:
		submitted by Remaining Protesters.	Overruled: x
		Lack of Foundation. Evid. Code §	Ibid.
,		403. Relevance Gov. Code §	
•		11513(c); Evid. Code § 350; Lack of	
,		Authentication. Evid. Code § 1400,	

	1401.	
Objection 14: CalTrout-12	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 15: CalTrout-13	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 16: CalTrout-14	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 17: CalTrout-15	Not cited in any written testimonies	Sustained:
Objection 17. Cultion-15	submitted by Remaining Protesters.	Overruled: X
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	J	

		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
Obje	ction 18: CalTrout-16	Not cited in any written testimonies	Sustained: x
		submitted by Remaining Protesters.	Document requires so foundation to show
		Lack of Foundation. Evid. Code §	relevance and reliability
		403. Relevance Gov. Code §	
		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
Obje	ction 19: CalTrout-17	Not cited in any written testimonies	Sustained:
		submitted by Remaining Protesters.	Overruled: x
		Lack of Foundation. Evid. Code §	Ibid.
		403. Relevance Gov. Code §	
		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
Obje	ction 20: CalTrout-18	Not cited in any written testimonies	Sustained:
		submitted by Remaining Protesters.	Overruled: x
		Lack of Foundation. Evid. Code §	Ibid.
		403. Relevance Gov. Code §	
		11513(c); Evid. Code § 350; Lack of	
		Authentication. Evid. Code § 1400,	
		1401.	
Obje	ction 21: CalTrout-19	Not cited in any written testimonies	Sustained:x
		submitted by Remaining Protesters.	Document requires
		Lack of Foundation. Evid. Code §	some foundation to show relevance

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	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 22: CalTrout-20	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: X
	Lack of Foundation. Evid. Code §	See AHO's
	403. Relevance Gov. Code §	evidentiary ruling on Solvang's MIL
	11513(c); Evid. Code § 350; Lack of	#1.
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 23: CalTrout-21	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: X
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 24: CalTrout-22	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 25: CalTrout-23	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x

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1		Lack of Foundation. Evid. Code §	Ibid.
2		403. Relevance Gov. Code §	
3		11513(c); Evid. Code § 350; Lack of	
4		Authentication. Evid. Code § 1400,	
5		1401.	
6	Objection 26: CalTrout-24	Not cited in any written testimonies	Sustained:
7		submitted by Remaining Protesters.	Overruled: X
8		Lack of Foundation. Evid. Code §	Ibid.
9		403. Relevance Gov. Code §	
10		11513(c); Evid. Code § 350; Lack of	
11		Authentication. Evid. Code § 1400,	
12		1401.	
13	Objection 27: CalTrout-25	Not cited in any written testimonies	Sustained:
14		submitted by Remaining Protesters.	Overruled: x
15		Lack of Foundation. Evid. Code §	Ibid.
16		403. Relevance Gov. Code §	
17		11513(c); Evid. Code § 350; Lack of	
18		Authentication. Evid. Code § 1400,	
19		1401.	
20	Objection 28: CalTrout-26	Not cited in any written testimonies	Sustained:
21		submitted by Remaining Protesters.	Overruled: x
22		Lack of Foundation. Evid. Code §	Ibid.
23		403. Relevance Gov. Code §	
24		11513(c); Evid. Code § 350; Lack of	
25		Authentication. Evid. Code § 1400,	
26		1401.	
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Objection 29: CalTrout-27	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 30: CalTrout-28	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 31: CalTrout-29	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 32: CalTrout-30	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	

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	1401.	
Objection 22, CalTrant 21	Not cited in any vymittan testimonies	Systemade
Objection 33: CalTrout-31	Not cited in any written testimonies	Sustained: Overruled: <i>Ibid</i> .
	submitted by Remaining Protesters.	
	Lack of Foundation. Evid. Code §	
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 34: CalTrout-32	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: x <i>Ibid</i> .
	Lack of Foundation. Evid. Code §	
	403. Relevance Gov. Code §	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 35: CalTrout-34	Not cited in any written testimonies	Sustained: x
	submitted by Remaining Protesters.	Document requires so foundation to show relevance and reliabil
	Lack of Foundation. Evid. Code §	
	403. Relevance Gov. Code §	
	· ·	
	11513(c); Evid. Code § 350; Lack of	
	Authentication. Evid. Code § 1400,	
	1401.	
Objection 36: CalTrout-35	Not cited in any written testimonies	Sustained:
	submitted by Remaining Protesters.	Overruled: X
	Lack of Foundation. Evid. Code §	Ibid.
	403. Relevance Gov. Code §	

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1		11513(c); Evid. Code § 350; Lack of	
2		Authentication. Evid. Code § 1400,	
3		1401.	
4	Objection 37: CalTrout-36	Not cited in any written testimonies	Sustained:x
5		submitted by Remaining Protesters.	Document requires some foundation to
6		Lack of Foundation. Evid. Code §	show relevance
7		403. Relevance Gov. Code §	and reliability.
8		11513(c); Evid. Code § 350; Lack of	
9		Authentication. Evid. Code § 1400,	
10		1401.	
11		1	

DATED: September 22, 2023 RICHARDS, WATSON & GERSHON

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