

**State Water Resources Control Board
Division of Financial Assistance (Division)
State Revolving Fund
Final Budget Approval Form**

Note: The applicant should submit this form and ALL attachments as soon as possible after the bid or proposal opening to ensure that all applicable requirements have been met. Failure to meet all applicable requirements may result in loss of funding.

1. Recipient Name:	State Assigned Project No.
--------------------	----------------------------

2. Have any protests regarding award of the construction contracts been received? Yes No
 If yes, give date protests were resolved: _____ Attach copies of the protests and resolutions. All protests must be resolved before submittal of this form.

Contractor Debarred or Disqualified? Yes No (Debarment of Contractor prevents SRF funding participation: <http://www.sam.gov> and http://www.waterboards.ca.gov/water_issues/programs/ustcf/dbp.shtml)

3. SRF Financing Summary	Actual Project Costs
A. Construction Cost (listed by name of prime contractor or vendor):	
1.	\$
2.	\$
B. Pre-Purchase Material/Equipment	\$
C. Purchase of Land	\$
D. Contingencies	\$
E. Allowances (Soft Costs):	
1. Planning	\$
2. Design	\$
3. Construction Management	\$
4. Administration	\$
5. Value Engineering (VE) Was VE Performed? <input type="checkbox"/> Yes <input type="checkbox"/> No	\$
F. Total SRF Financing Requested	\$

Are You Requesting a Loan Increase? Yes No

4. Total Financing Summary	
A. Cash	\$
B. Grants, Identify:	\$
C. Bond Proceeds, Identify:	\$
D. Short term loans or notes, Identify:	\$
E. Other Funds, Identify:	\$
F. SRF Financing	\$
G. SRF Match Share: Only applicable if Match financing per section V of the CWSRF Policy or section VI of the DWSRF Policy	\$
H. Additional Financing Needed, Identify:	\$
I. Total Project Cost	\$

5. Start Construction/Notice to Proceed Date: _
 Completion of Construction Date: _

6. Also, attach the information requested on page 2.

The undersigned Authorized Representative of the applicant certifies that the information contained above and in attached documents and material in support thereof are true and correct. In addition, the undersigned Authorized Representative of the applicant certifies that the applicant has completed or will comply with all applicable federal and state laws.

Signature of Authorized Representative	Date
--	------

Name, Title, and Phone Number of Authorized Representative (type or print)

6 (Continued). The recipient must attach the following information to Final Budget Approval Form (if not previously submitted):

- A. Copies of any bid protests and resolution documentation.
- B. As advertised Plans & Specification (P&S) stamped by a Professional Engineer and all Addenda or final Request for Proposals (RFP).
- C. Proof that Davis-Bacon Wage rate determinations were inserted in the Project P&S or RFP used in bidding the Project.
- D. Final appropriate Waste Discharge Requirements (WDR) or updated WDR, if applicable
- E. Tabulation of all bids or proposals received.
- F. Copy of the bid(s) or proposal(s) selected and purchase orders issued. If the apparent low bid or proposal was rejected, a complete explanation for the rejection must be provided.
- G. Copy of the Schedule of Values prepared for the project, if applicable.
- H. Evidence of advertising (submit copies of all advertisement(s) for the project).
- I. All Disadvantaged Business Enterprise (DBE) documentation, as follows:
 - Copy of Bidder's list with the following information:
 - Entity's name with point of contact
 - Entity's address and telephone number
 - Project description on which the entity bid
 - Amount of bid
 - Entity's status as DBE

Information and guidance on DBE can be found at:

http://www.waterboards.ca.gov/water_issues/programs/grants_loans/srf/docs/policy0513/dbe_compliance_guidelines_instructions.pdf

- J. A copy of any Notice(s) to Proceed issued, if available.
- K. Copy of signed Construction Contract that includes final Davis-Bacon Wage rate determinations, if available.
- L. Value Engineering (VE) Study with recommendations and responses, if applicable.
- M. If applicable, items required by conditions in the Financing Agreement (Exhibit D), if not previously submitted.

Upload this form and all attachments to your Financial Assistance Submittal Tool (FAAST) account at:

<https://faast.waterboards.ca.gov/>

If you need help with FAAST contact the FAAST Help Desk at 1-866-434-1083 or

FAAST_ADMIN@waterboards.ca.gov