



Public Comment
Beneficial Uses and Mercury Objectives
Deadline: 2/17/17 12 noon

February 16, 2017



Chair Felicia Marcus & Members
State Water Resources Control Board
c/o Jeanine Townsend, Clerk to the Board
1001 I Street, 24th Floor
Sacramento, CA 95814

via email to: commentletters@waterboards.ca.gov

Re: *Draft Staff Report, Including Substitute Environmental Documentation for Part 2 of the Water Quality Control Plan for Inland Surface Waters, Enclosed Bays, and Estuaries of California – Tribal and Subsistence Fishing Beneficial Uses and Mercury Provisions (Statewide Mercury Proposal)*

Dear Board Members:

PSSEP appreciates the opportunity to provide supplemental comments on the proposed *Draft Staff Report, Including Substitute Environmental Documentation for Part 2 of the Water Quality Control Plan for Inland Surface Waters, Enclosed Bays, and Estuaries of California – Tribal and Subsistence Fishing Beneficial Uses and Mercury Provisions* (Statewide Mercury Proposal) dated January 4, 2017. These comments incorporate by reference those made in our January 23, 2017 letter, as well as those provided by the undersigned at the public hearing on February 7th.

At the February 7 public hearing, PSSEP stated unequivocally that it recognizes the importance of using State Waters for tribal-cultural practices and for subsistence fishing and we continue to support the appropriate designation of state waters by the Regional Boards. Our concerns about the Statewide Mercury Proposal, and **specific** recommended changes provided in Attachment 1 hereto, should not be construed in any way as diverging from that support. Indeed, we think the recommended changes will enhance the Statewide Mercury Proposal; they will result in realistic efforts to achieve substantial reductions in ongoing mercury loading to California's waterways, as well as more robust interim efforts designed to protect **all** Californians from health risks associated with consumption of some fish known to have unhealthy levels of methylmercury.

Chair and Members, State Water Board
Statewide Mercury Proposal
February 16, 2017
Page 2

At the February 7 public hearing, the State Water Board heard from **many** regulated community representatives about concerns regarding the potential mercury WQOs (“WQOs”) for T-CUL, T-SUB and SUB uses. In many instances, those concerns may be related to potential unknowns associated with the combination of the proposed mercury WQOs and the new beneficial uses. PSSEP continues to share in many of those concerns, and our members continue to support the notion of either **bifurcating** the WQOs portion of the proposal from the new beneficial uses recognition, or to provide a brief (30-45 days) extension of time before the Statewide Mercury Proposal is returned to the State Board for adoption consideration.

However, if the State Board is unwilling to bifurcate the Statewide Mercury Proposal as requested, or continue the adoption hearing to enable interested stakeholders to work with staff to develop acceptable language that regulated community members can support, we ask for your consideration of the specific recommended changes contained on Attachment 1.

Foremost among our recommendations is that the State Water Board direct staff to convene a stakeholder working group to develop recommendations for guidance to the Regional Boards as they consider designation of their respective waters under the new beneficial uses, and seek to implement the proposed mercury WQOs. PSSEP is anxious to participate in that process once it is convened.

Thank you for the opportunity to provide these additional comments and suggested changes to the Statewide Mercury Proposal.

Sincerely,



Craig S.J. Johns
Program Manager

Enclosure: Attachment 1:
Proposed Changes to Statewide Mercury Proposal

Attachment 2:
Treatment Technology Review and Assessment, HDR, December 4, 2013



Attachment 1

Proposed Changes to Statewide Mercury Proposal

Issue #1: Reliance on Bioaccumulation Factors to Develop Default Water Column Concentration Values/WQOs

Proposal: *Select “Option 2”, Issue L. Use mercury concentrations in fish tissue to establish WQOs. (Staff Report/SED at pp. 144-151)*

Discussion/Rationale:

Issue L in the Staff Report/SED presents two, very distinct, approaches for Regional Boards to establish mercury WQOs for municipal wastewater and industrial dischargers. The staff recommendation is to use a mercury water column concentration approach that is derived from calculating bioaccumulation factors (*i.e.*, multipliers that relate fish tissue concentrations to mercury in the water column, also known as “BAFs”) instead of relying on mercury concentrations in fish tissue.¹

Our opposition to using water column concentrations for WQOs that are based on BAFs is that this approach is not well-supported by best available science, can be extraordinarily complex and variant for different waterbodies, will have potentially catastrophic impacts on point sources (who are typically a **very small** source of mercury and other priority toxic pollutants)², and are not legally required under state or federal law.

1. *Water column concentration objectives that are derived from BAFs are routinely associated with high levels of uncertainty.*

BAFs are the ratio between the dissolved methylmercury concentration in water and the concentration of methylmercury in fish tissue. According to the Staff Report/SED, USEPA-derived national BAFs for lakes and rivers were used to derive water column target concentrations corresponding to the Sport Fish Water Quality Objective (0.2 mg/kg). The use of a default, nationwide water-to-fish tissue BAFs oversimplifies the extremely complex process of mercury bioaccumulation and ignores

¹ *Draft Staff Report, Including Substitute Environmental Documentation for Part 2 of the Water Quality Control Plan for Inland Surface Waters, Enclosed Bays, and Estuaries of California – Tribal and Subsistence Fishing Beneficial Uses and Mercury Provisions*, January 3, 2017 (hereafter, “Staff Report/SED”), §6.12.3 Options, p. 146.

² Indeed, municipal and industrial dischargers combined account for less than 1.4% of the ongoing mercury loading to San Francisco Bay. See, San Francisco Bay Mercury TMDL (2006), [LinkHere](#).

site-specific conditions that exist in California waterbodies. Indeed, while USEPA called for the use of BAFs in its 2001 Guidance for implementing methylmercury criterion, this approach was basically rejected when USEPA issued its new “*Guidance for Implementing the January 2001 Methylmercury Water Quality Criterion*” (USEPA Mercury Guidance)³ because evaluation of the relationship between total mercury concentrations in ambient waters showed no meaningful correlation with the levels of mercury in fish tissue. According to the 2010 Mercury Guidance:

“Assessing and predicting methylmercury bioaccumulation in fish is complicated by a number of factors that influence bioaccumulation. These factors include the age or size of the organism; food web structure; water quality parameters such as pH, DOC, sulfate, alkalinity, and dissolved oxygen; mercury loadings history; proximity to wetlands; watershed land use characteristics; and waterbody productivity, morphology, and hydrology. In combination, these factors influence the rates of mercury bioaccumulation in various - and sometimes competing - ways. For example, these factors might act to increase or decrease the delivery of mercury to a waterbody, alter the net production of methylmercury in a waterbody (through changes in methylation and/or demethylation rates), or influence the bioavailability of methylmercury to aquatic organisms. Although bioaccumulation models have been developed to address these and other factors for mercury, their broad application can be limited by the site- or species-specific nature of many of the factors that influence bioaccumulation and by limitations in the data parameters necessary to run the models.”⁴

Even the Staff Report/SED for the Statewide Mercury Proposal acknowledges that the water quality criteria based on a national BAFs can be over- or under-protective in different water bodies. As such, PSSEP believes that relying on the BAF approach would lead to potentially catastrophic financial results for many *de minimis* municipal and industrial point source dischargers and result in *no measurable improvement* in the levels of mercury in either ambient waters, or in fish that live in and are taken from those waters.

2. *The BAF-derived water concentration objectives will result in end-of-pipe effluent limits that will result in massive compliance costs for insignificant mercury reductions.*

One consequence of using BAFs to establish water column objectives is that it facilitates the application of these water column numbers in the NPDES permitting

³ See, Guidance for Implementing the January 2001 Methylmercury Water Quality Criterion, EPA823-R-10-001, April 2010; hereafter, “USEPA Mercury Guidance”. [LinkHere](#).

⁴ USEPA Mercury Guidance, §3.1.3.1 at p. 26.

process. Indeed, this was one of the primary justifications provided in the Staff Report/SED for recommending “Option 1” for Issue L.⁵ However, the unintended consequence of selecting this Option 1 is that it will cloak the insignificance of NPDES sources to fish tissue concentrations at the broader watershed level, and instead to focus on an end-of-pipe approach to NPDES permitting.

Whereas holistic assessment of mercury sources (as is developed under a TMDL framework) provides a clear picture of the relative importance of NPDES sources to fish tissue levels and provides context for establishing reasonable regulatory requirements, the end-of-pipe permitting approach fails to recognize or account for the relative importance of a permitted source. This leads to the situation - described in the Staff Report/SED - where significant treatment plant technology upgrades are anticipated for municipal and industrial point sources, even though those sources are recognized to be insignificant.⁶ According to a 2013 assessment of treatment technologies available to achieve ultra-low mercury water concentration limits (5 ng/L) in the State of Washington, only **advanced treatment** (micro-filtration/reverse osmosis) can reliably attain such low, end-of-pipe limits, and at a capital cost of approximately \$350 million for a 25 MGD treatment facility.⁷

3. The use of BAFs are not legally required, and would be a poor public policy choice if selected by the State Water Board.

It is important to note that the decision to use BAFs in the proposed mercury WQO (and particularly for implementation of NPDES-permitted municipal and industrial point sources) is not driven by federal or state legal requirements under the Clean Water Act (CWA). The decision to use BAFs, instead, is a policy choice which is intended to simplify the analysis of reasonable potential and the derivation of effluent limitations in the NPDES permitting process. However, this choice is not without many disadvantages, many of which are obliquely recognized in the Staff Report/SED. Given that it is a policy choice for the State Board, it is also appropriate to identify and understand the disadvantages associated with this decision.

With regard to the legal question, it is useful to understand the evolution of the use of BAFs in application to the regulation of mercury at both the federal and state levels. In 2000, USEPA adopted mercury water column standards for California as an element of the California Toxics Rule (CTR), using bioaccumulation factors in reaching that determination. As noted above, USEPA revisited national mercury objectives in 2010 when it adopted the Mercury Guidance for Tribes and states for implementing

⁵ Staff Report/SED §6.12.3 at p. 146.

⁶ Staff Report/SED §6.12.3 at p. 146.

⁷ *Treatment Technology Review and Assessment*, HDR, December 4, 2013. [LinkHere](#). A copy of this report was provided to State Water Board staff in our meeting of February 8, 2017 and is incorporated here as Attachment 2. PSSEP requests that staff include the HDR Report in the “References” section of the Staff Report/SED at p. 275 as it provides a relatively comprehensive look at the technologies available to point sources to further *treat out* mercury from their effluent, and at what costs.

Clean Water Act requirements. The USEPA Mercury Guidance pointedly recommends that mercury criteria be adopted as fish tissue standards, with USEPA even acknowledging that there are many advantages to establishing statewide fish tissue criterion that are then translated (if necessary) to water column standards based on site-specific information about mercury bioaccumulation (*i.e.*, site-specific BAFs), rather than “adopting a water concentration criterion for an entire state or tribal jurisdiction.”⁸ Indeed, USEPA’s 2010 Mercury Guidance specifically states that, “[a] state or authorized tribe could decide to develop TMDLs and calculate WQBELs in NPDES permits directly without first measuring or calculating a BAF.”⁹

In California, two important examples exist to **reject** using the BAF approach for translating fish tissue standards into water column concentration objectives, both of which have been approved by this State Water Board. These examples come from the San Francisco Bay and Sacramento-San Joaquin Delta Mercury TMDLs, which were approved by the State Water Board in 2007 and 2011, respectively. It is important to note that **both** TMDLs rejected the approach of converting fish tissue objectives into water column targets through the use of BAFs. These two important mercury regulatory actions taken by the State Water Board within the past 10 years is solid justification and precedent for the State Water Board to follow again in the present context.

We also emphasize that USEPA Region IX approved both of these fish tissue-based mercury control plans. Not surprisingly, when it adopted the 2010 Mercury Guidance, USEPA concluded that fish tissue standards were more appropriate for mercury criteria development to more “closely tie” the “fishable designated use goal” to particular waterbodies, to more consistently relate applicable fish tissue concentration values with how fish advisories are issued, and because at environmentally relevant concentrations, some forms of mercury are easier to detect in fish tissue than in water samples.¹⁰

Issue #2: Determining “Reasonable Potential” and “Insignificant Discharge”

Proposal: Add/delete the following language to Chapter IV.D.2.c.1 of the Statewide Mercury Proposal, Staff Report/SED, Appendix A (Regulatory Language) at page 303:

“c. Determining Whether A Discharge Requires an Effluent Limitation for Mercury

1) Reasonable Potential Analysis

⁸ See, USEPA Mercury Guidance, §3.1.3 at p. 24.

⁹ USEPA Mercury Guidance, §3.1.2 at p. 21.

¹⁰ See, USEPA Mercury Guidance, §3.1.2.2 at p. 22.

A PERMITTING AUTHORITY is required to apply section 1.3 of the State Water Resources Control Board's Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California (generally referred to as the SIP) (pages 5-8), to determine whether a discharge has REASONABLE POTENTIAL, in which case the permit must contain a water quality-based effluent limitation.

To determine REASONABLE POTENTIAL, the PERMITTING AUTHORITY shall apply Steps 1-8 of section 1.3 of the SIP, as modified by the following:

For mercury and other bio-accumulative pollutants that are regulated through fish tissue objectives, the REASONABLE POTENTIAL determination shall be based on Step 7 of the SIP, as modified below:

Step 7: Replace Step 7 with the following: "Information that may be used to aid in determining if a water quality-based effluent limitation is required includes (but is not limited to): the facility type, the discharge type, mass loading analysis which evaluates the relative contribution of the discharge in comparison to other sources, assessment of the effect of reductions of the discharge loading to attainment of the water quality or fish tissue objective, demonstration of the application of best practices of pollution prevention and industrial pretreatment, presence or lack of dilution, history of compliance problems, potential toxic impact of discharge, fish tissue residue data, existing water quality and beneficial uses of receiving water, CWA 303(d) listing for the pollutant, the presence of endangered or threatened species or critical habitat, and other relevant information. Where a TMDL has been adopted, approved by SWRCB and EPA, and is being implemented, that information should be given special consideration in the determination of the need for a water quality-based effluent limitation for the discharge in question. If data or other information needed to complete the above evaluation is unavailable or insufficient, as described in Section 1.2, to determine if a water quality-based effluent limitation is required, proceed with Step 8."

Step 1: Replace Step 1 of the SIP with the following: Identify the applicable water column concentration (C) for the lowest (most stringent) mercury water quality objective applicable to the receiving water in accordance with Chapter IV.D.2.b.

Step 3: Replace Step 3 of the SIP with the following: Determine the mercury concentration for the effluent using the highest observed annual average

~~effluent mercury concentration. The annual average shall be calculated as an arithmetic mean. For any sample reported as below the detection limit, one half of the detection limit shall be used to calculate the arithmetic mean. For any sample reported as below the quantitation limit and above the detection limit, the estimated concentration shall be used to calculate the arithmetic mean. The annual average concentration is used to account for the long-term nature of the methylmercury bioaccumulation process, which may not otherwise be reflected using the maximum concentration as required by the SIP.~~

~~Step 4: Apply as set forth in the SIP, but utilize the annual average mercury concentration from Step 3 (rather than an MEC) to compare to the C from Step 1.~~

~~Step 5: Apply as set forth in the SIP, but replace the determination of the “maximum” ambient background concentration for mercury (denoted as B in the SIP), with the highest observed annual average ambient background. The annual average shall be calculated as an arithmetic mean as described in Section 1.4.3.2 of the SIP.~~

Discussion/Rationale:

In March 2000, the State Water Board adopted the SIP, which implements criteria for priority toxic pollutants contained in the California Toxics Rule, promulgated by USEPA. In February 2005, the State Water Board adopted amendments to the SIP. Each of these actions was approved by USEPA. Section 1.3 of the SIP sets forth the process and methodology by which the Regional Boards shall conduct an analysis for each priority pollutant with an applicable criterion or objective (excluding priority pollutants for which a total maximum daily load (TMDL) has been developed) to determine if a water quality-based effluent limit (WQBEL) is required in a given discharger’s NPDES permit. Section 1.3 directs the Regional Board to “use all available, valid, relevant [and] representative information” to determine whether priority pollutants in a discharger’s effluent may “cause or contribute to an excursion above any applicable priority pollutant criterion or objective.”

Step 7 of SIP Section 1.3 directs the Regional Board to review “other information available” to determine if a WQBEL is required to protect beneficial uses, notwithstanding the qualitative analysis called for in *Steps 1-6* of Section 1.3. The purpose of *Step 7* is to enable Regional Boards to use their best professional judgement and regulatory discretion in determining the appropriateness of imposing WQBELs for some discharges, given the totality of information which may be available to the Regional Board.

The Statewide Mercury Proposal provides two exceptions to the reasonable potential analysis, either of which is intended to enable a Regional Board to exempt a discharger from “some or all of the provisions of Chapter IV.D.2.c” if certain findings are made. The second exception is for “Insignificant Discharges” where the Regional Board makes a finding that the discharge in question will have no reasonable potential “**with respect to the applicable MERCURY WATER QUALITY OBJECTIVES.**”¹¹ Thus, the purpose of this language is to work in conjunction with Step 7 of SIP Section 1.3 in determining reasonable potential.

PSSEP’s proposed change to Step 7 is intended to allow the Regional Board permit writer to consider the relative mercury loading of a given discharger to a water body and, where appropriate, determine that there is no “reasonable potential” that would require the more restrictive water column concentration effluent limits. These changes would not be mandatory but, rather, would provide sufficient discretion to the permit writer to utilize all appropriate data when determining whether new and more restrictive mercury WQOs should be imposed.

Issue #3: Calculating Effluent Limits

Proposal: Add/delete the following language to Chapter IV.D.2.c.2 of the Statewide Mercury Proposal, Staff Report/SED, Appendix A (Regulatory Language) at page 303-304:

2) Calculation of the Effluent Limitations

If, upon the completion of applying the REASONABLE POTENTIAL analysis set forth in Chapter IV.D.2.c.1, the PERMITTING AUTHORITY does not exempt certain discharges from some or all of the provisions of Chapter IV.D.2 under this Chapter, but determines that a water quality based effluent limitation is required for mercury or other bio-accumulative pollutants that are regulated through fish tissue objectives, then the PERMITTING AUTHORITY shall calculate the effluent limitation as follows: ~~by applying section 1.4 of the SIP.~~

Replace Part A of section 1.4 of the SIP with the following:

“A. If a TMDL is in effect for mercury (or other bio-accumulative pollutant), retain the water quality-based effluent limitation at the existing wasteload allocation (WLA) in the existing TMDL until an amended TMDL is adopted and approved. Upon adoption and approval of an amended new TMDL associated with new mercury-water quality objectives (for mercury or other

¹¹ Staff Report/SED, Appendix. A at p. 305; emphasis added.

bio-accumulative pollutants objectives), adjust the water quality-based effluent limitation to be consistent with the WLAs specified in the ~~new~~amended -TMDL.

If a TMDL is not in effect for mercury (or other bio-accumulative pollutants), set an interim performance-based effluent limitation pending development of a ~~pending or future~~-TMDL for such bio-accumulative pollutants. Also, establish NPDES permit requirements to: (1) ensure implementation of best practices for pollution prevention and industrial pretreatment, (2) require participation in the development and implementation of the TMDL, and (3) require participation in a stakeholder effort to identify control measures on the major sources impacting the levels of mercury or other bio-accumulative pollutants in fish tissue in the receiving waters of the discharge.”

~~If part B of section 1.4 of the SIP applies, the PERMITTING AUTHORITY shall apply Steps 1-7 contained in part B of the SIP as modified by the following:~~

~~Step 1: Replace Step 1 of the SIP with the following: Use the same value for C as used for the REASONABLE POTENTIAL analysis in Chapter IV.D.2.c.1, Step 1, rather than the applicable fish tissue mercury water quality objective. If data are insufficient to calculate the effluent limitation, the RWQCB shall establish interim requirements in accordance with section 2.2.2 of the SIP.~~

~~Step 2: Apply as set forth in the SIP, except the ambient background concentration (referred to as B in the SIP) shall be calculated as an arithmetic mean as described in Section 1.4.3.2 of the SIP. Dilution shall be prohibited if the mercury concentration in fish tissue from fish in the receiving water exceeds the applicable MERCURY WATER QUALITY OBJECTIVES.~~

~~Steps 3-5: Skip Steps 3-5.~~

~~Step 6: Apply as set forth in the SIP but set the effluent limitation as an annual average of total mercury (rather than a monthly average) equal to the effluent concentration allowance (ECA) (from Step 2).~~

~~Step 7: Skip Step 7.~~

Discussion/Rationale:

Where a Regional Board elects **not** to find a discharge to be “insignificant” and thus exempted from some or all of the provisions of Chapter IV.D.2.c, the permit writer is required to calculate effluent limitations for that discharge. However, when the

discharge is shown to be a *de minimis* source of mercury, the implementation language in the proposed Statewide Mercury Proposal should describe an approach for a reasonable and just establishment of effluent limitations.

The Statewide Mercury Proposal suggests an approach that relies on the use of BAFs and water column values which, as discussed above, are questionably derived, likely to be problematic for municipal and industrial wastewater treatment facilities, and are not legally required. Instead, PSSEP recommends an alternative approach be followed that is consistent with past NPDES permitting approaches used in San Francisco Bay and consistent with legal precedent described in *Communities for a Better Environment vs. SWRCB*.¹² This alternative approach intentionally avoids the use of BAFs and the associated problems as described above.

The recommended alternative approach to effluent limitations includes three elements:

- Interim Limitations – In water bodies where mercury TMDLs have been adopted and are being implemented, existing WLAs should serve as interim effluent limitations for point sources until amended TMDLs are developed and adopted. In water bodies where TMDLs are not yet adopted, interim effluent limitations for point sources should be performance-based mass limits, intended to cap mercury mass loads until 303(d) listings and/or TMDLs have been adopted.
- Other interim requirements – In water bodies where TMDLs are being implemented, dischargers shall be required to continue to implement the requirements of those TMDLs. In addition, dischargers should be required to participate in stakeholder processes to identify and assess the feasibility of control measures and strategies to reduce the major sources which are influencing fish tissue concentrations in the subject water body and to otherwise support development and implementation of future TMDLs. In water bodies where TMDLs have not been adopted, dischargers should be required to demonstrate implementation of best practices for mercury source control, including pollution prevention and industrial pretreatment. In addition, dischargers should be required to participate in stakeholder processes to identify and assess the feasibility of control measures and strategies to reduce the major sources which are influencing fish tissue concentrations in the subject water body and to otherwise support development and implementation of future TMDLs.
- Final WQBELs – Final WQBELs shall be the WLAs developed under future TMDLs associated with future designated beneficial uses and associated fish tissue objectives.

¹² 132 Cal.App.4th 1313 (2005) (“CBE-II”)

This alternative approach unequivocally “caps” point sources at existing TMDL wasteload allocations or performance-based levels, pending the development of watershed TMDLs for mercury, thus ensuring that the major sources of mercury to a given waterbody will be required to participate in the mercury load reductions necessary to achieve the fish tissue objectives. At the same time, this approach avoids potential (and irreversible) imposition of end-of-pipe effluent limits that could require millions of dollars of treatment technology upgrades that will have no measurable impact on the levels of mercury in the waterbody or fish within it.

Issue #4: Minor Change to “Insignificant Discharge” Provision

Proposal: Add the following language to Section IV.D, Appendix A at page 305 of the Staff Report/SED (proposed Regulatory Language):

“2) Insignificant Discharges. The PERMITTING AUTHORITY is authorized to exempt certain dischargers from some or all of the provisions of Chapter IV.D.2 if the PERMITTING AUTHORITY makes a finding that the discharge will have no

REASONABLE POTENTIAL with respect to the applicable MERCURY WATER QUALITY OBJECTIVES.

If exempt, the PERMITTING AUTHORITY shall have the discretion to assign routine monitoring as necessary. Routine monitoring schedules for INSIGNIFICANT DISCHARGES shall not exceed the applicable frequency specified in Chapter IV.D.2.d.2 for the discharger’s authorized rate of discharge.

If determined to be exempt, nothing in this provision shall affect any obligation or requirements otherwise imposed by the PERMITTING AUTHORITY in duly adopted permits issued by the PERMITTING AUTHORITY.”

Discussion/Rationale:

This proposed change is to confirm that, where a Regional Board makes a finding of “Insignificant Discharge” due to the information considered in (proposed changed) *Step 7* of SIP Section 1.3, any applicable requirements imposed by the Regional Board in prior regulatory actions (*i.e.*, NPDES permit, Basin Plan, TMDLs, etc.) would still apply.

Issue #5: State and Federal Agency Responsibility for Controlling Sources of Mercury and Other Priority Toxic Pollutants

Proposal: The Statewide Mercury Proposal should acknowledge that the best information available to the Water Boards confirms that most of the ongoing mercury loading to and that affects California’s waterways is coming from historically

“uncontrolled” sources such as open water (associated with aerial deposition of mercury)¹³, historic legacy sources (gold and mercury mining), state and federal lands, or major water projects over which state and federal agencies have responsibility. PSSEP proposes that the State Water Board include specific language in the Resolution adopting the final Statewide Mercury Proposal that signals to the Regional Boards the intent to consider these sources when assigning responsibility under TMDLs and other watershed regulatory actions for mercury abatement, risk reduction, and risk communication. (*See suggested provisions below in Issue #7.*)

Discussion/Rationale:

Some Regional Water Boards, dischargers and interested stakeholder groups have developed substantial technical and analytical data about various priority toxic pollutants for certain water bodies in California since the initial adoption of the SIP in 2000. Much of this information has led to the development of TMDLs for priority toxic pollutants in various regions, such as the San Francisco Bay Mercury TMDL (2006); Calleguas Creek/Mugu Lagoon Mercury TMDL (2007); Guadalupe River Watershed Mercury TMDL (2008); Walker Creek Mercury TMDL (2008); Cache Creek Mercury TMDL (2004); Sacramento-San Joaquin Delta MethylMercury TMDL (2010); and Los Angeles-Long Beach Harbor Mercury TMDL (2011).

Much of the information and technical analyses about the sources and impacts of priority pollutants developed by Regional Water Boards and dischargers demonstrate that, in many impaired water bodies, municipal and industrial point sources regulated via NPDES permits issued by Regional Boards are an inconsequential, or *de minimis*, source of priority toxic pollutants such as mercury, PCBs or dioxins. In the case of ongoing mercury loading to certain water bodies, the *de minimis* nature of these point source contributions can be traced to aggressive pre-treatment, pollution prevention, and active treatment technologies imposed over the past two decades. Indeed, municipal and industrial dischargers (*combined*) account for less than 1.4% of the ongoing mercury loading to San Francisco Bay.¹⁴ Planned NPDES loads to the Delta (based on current permit requirements) will represent less than 0.1% of the methylmercury load in 2030.¹⁵

By comparison, open water, tributaries and existing wetlands are known to account for about 93.8% of ongoing mercury loading in the Delta, predominantly from legacy loads. In San Francisco Bay, over 75% of the continued loading of mercury is coming from the Central Valley watershed, natural bed erosion, and atmospheric deposition. In both instances, the Regional Boards have struggled to find effective means of controlling these “untethered” sources of most of the mercury continuing to be taken-up by fish and other biota in the waters.

¹³ Staff Report/SED, §4.4.3 at pp. 49-50.

¹⁴ See, San Francisco Bay Mercury TMDL (2006), [LinkHere](#).

¹⁵ See, *Summit Partners* Comment Letter on Statewide Mercury Proposal, February 17, 2017.

In 2010, the Central Valley Regional Board took the unprecedented step of assigning responsibility for open water and tributary sources of mercury to those State of California and federal agencies responsible for managing the land and water from which these mercury loads are derived. In its 2010 Delta Methylmercury TMDL, the Central Valley Regional Board specifically found that transportation and deposition of mercury-contaminated sediment from water management activities contribute to the Delta fish mercury impairment.

Specifically, the Central Valley Regional Board determined that the State and Federal Water Projects affect the transportation of mercury and the production and transportation of methylmercury. Activities including water management and storage in and upstream of the Delta and Yolo Bypass, maintenance of and changes to salinity objectives, dredging and dredge materials disposal and reuse, and management of flood conveyance flows are subject to the open water methylmercury allocations established in the TMDL. Agencies responsible for these activities in the Delta and Yolo Bypass include, but are not limited to, the Department of Water Resources, State Lands Commission, Central Valley Flood Protection Board, U.S. Bureau of Reclamation, U.S. Army Corps of Engineers (USACE), and State Water Resources Control Board. The Regional Board also determined that the State of California owns and manages lands and waters of the state that contribute to methylmercury loads. As a result, the State Lands Commission and Department of Water Resources were also assigned responsibility for addressing these mercury contributions to the overall fish impairment.¹⁶

Assigning state and federal agency responsibility for mercury loads coming from historic legacy sources (gold and mercury mining), state and federal lands, or major water projects over which these agencies have responsibility is reasonable, fair, and just. Without doing so, there is literally no hope of successfully abating mercury in fish from some California waters. What's more, holding these state and federal agencies responsible is consistent with existing laws, regulations and authorities of the State and Regional Water Boards. When considering application of the water quality objectives adopted [in this proposed action] and implementing control strategies to achieve those objectives, the Regional Boards are directed to consider all available information regarding sources and contributions of mercury to a given water body and, where appropriate, assign responsibility for mercury and abatement control strategies (including any appropriate risk reduction and communication actions) to those State of California and federal agencies responsible for managing land and water from which these mercury contributions are derived.

¹⁶ See, Amendments to the Water Quality Control Plan for the Sacramento River and San Joaquin River Basins for the Control of Methylmercury and Total Mercury in the Sacramento-San Joaquin River Delta Estuary (Attachment 1 to Resolution No. R5-2010-0043) at p. 6. ([LinkHere](#))

Issue #6: State Board Guidance to Regional Boards for Implementing Statewide Mercury Proposal

Proposal: The State Board should include language in the Adopting Resolution for the Statewide Mercury Proposal that directs State Water Board staff to convene a stakeholder working group to provide suggestions for follow-up guidance to the Regional Boards for implementing the Statewide Mercury Proposal, including approaches for and when to designate waterbodies under the new T-CUL, T-SUB and SUB beneficial uses, and implementing the mercury WQOs. Some of the items that should be included in that direction for staff follow-up include:

- Prior to designating waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters, Regional Boards should identify and evaluate all known or suspected sources of priority toxic pollutants. This analysis should consider traditional point sources, non-point sources, aerial deposition, open water, historical or “legacy” sources, and any other reasonably discernable sources of the priority toxic pollutants.

- To the maximum extent possible, all relevant information developed for TMDLs, site specific objectives, use analyses, or other regulatory actions shall be utilized by Regional Boards in designating waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters.

- When determining whether and to what extent to designate waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters, Regional Boards shall consider all available information relevant to ascertaining the geographic extent to which such waters are used for these beneficial uses.

- When determining site specific water quality objectives to protect T-CUL, T-SUB and SUB beneficial uses based on consumption of fish or aquatic-dependent wildlife, the Regional Boards should develop, through a publicly-noticed process, appropriate protocols for determining consumption patterns (i.e., types of fish consumed, volumes of each fish consumed, frequency of consumption, etc.) relative to those waters (or sub-portions of waters) for which T-CUL, T-SUB and SUB beneficial uses have been designated.

- Regional Boards should convene working groups of key stakeholders (e.g., Tribes, subsistence fishing community, environmental justice organizations, regulated community, State of California, federal agencies that own or have responsibility for land or water projects that are a known or suspected source of priority toxic pollutants) to address adoption and implementation of water quality objectives for adopted uses. Considerations should include a full range of possible management and control measures, and their relative efficacy in achieving fish tissue targets.

- The State Water Board should direct staff to work with interested stakeholders (i.e., representatives from the Tribes, subsistence fishing and

environmental justice organizations, dischargers, and public health agency representatives) in developing recommendations for an appropriate risk reduction and communication strategy that could be implemented statewide.¹⁷

Issue #7: Adoption Resolution Provisions

Proposal: PSSEP suggests the following provisions be added to the Adoption Resolution to address the issues raised in this Attachment 1.

[These provisions for the Adoption Resolution apply to our request for modification of the Reasonable Potential Analysis changes.]

x-1. In March 2000, the State Water Board adopted the SIP, which implements criteria for priority toxic pollutants contained in the California Toxics Rule, promulgated by the U.S. Environmental Protection Agency (USEPA). In February 2005, the State Water Board adopted amendments to the SIP. Each of these actions was approved by USEPA.

x-2. Section 1.3 of the SIP sets forth the process and methodology by which the Regional Boards shall conduct an analysis for each priority pollutant with an applicable criterion or objective (excluding priority pollutants for which a total maximum daily load (TMDL) has been developed) to determine if a water quality-based effluent limit (WQBEL) is required in a given discharger's NPDES permit. Section 1.3 directs the Regional Board to "use all available, valid, relevant [and] representative information" to determine whether priority pollutants in a discharger's effluent may "cause or contribute to an excursion above any applicable priority pollutant criterion or objective."

x-3. *Step 7* of Section 1.3 directs the Regional Board to review "other information available" to determine if a WQBEL is required to protect beneficial uses, notwithstanding the qualitative analysis called for in Steps 1-6 of Section 1.3. The purpose of *Step 7* is to enable Regional Boards to use their best professional judgement and regulatory discretion in determining the appropriateness of imposing WQBELs given the totality of information which may be available to the Regional Board.

¹⁷ See, Issue N, Public Exposure Risk Reduction & Communication, Staff Report/SED at p. 166. As an aside, PSSEP notes that the Staff Report/SED describes the risk reduction and communication program associated with the San Francisco Bay Mercury TMDL in the *past tense*, suggesting that the program has expired or been terminated. In fact, the program is very much alive, as described by Mr. Alexander Nguyen from APA Family Support Services/API Family Resource Network at the State Water Board's public hearing on February 7. ([HearingPresentation](#) at 7:38:45). These efforts continue to be financially supported by the Bay Area POTWs and Refineries. PSSEP believes that enhanced risk reduction and communication strategies can serve as important component of protecting beneficial uses while acknowledging the practical and technical limitations of achieving fish tissue targets for mercury within the next 6-8 generations.

x-4. It is the intent of the State Water Board that Regional Boards implement the SIP procedures in a way that is protective of water quality and beneficial uses, as well as cognizant of the overall source loadings and contributions of priority toxic pollutants to a given water body. In order to effect this intent, the State Water Board adopts the following changes to *Step 7* of Section 1.3 of the SIP; these changes are intended to allow the Regional Board permit writer to consider the relative mercury loading of a given discharger to a water body and, where appropriate, determine that there is no “reasonable potential” that would require the more restrictive water column concentration effluent limits. These changes would not be mandatory but, rather, would provide sufficient discretion to the permit writer to utilize ***all*** appropriate data when determining whether new and more restrictive mercury WQOs should be imposed.

[These provisions for the Adoption Resolution apply to general recognition of the information developed from doing various Mercury TMDLs regarding relative contributions, and also on the direction to Regional Boards that state and federal agencies with responsibility for or control over sources of mercury loading, be included in source analysis, load reduction requirements, and all other obligations imposed on any other mercury sources via TMDLs, etc.]

x-5. The State Water Board recognizes that the Regional Water Boards and dischargers have developed substantial technical and analytical data about various priority toxic pollutants for certain water bodies in California since the initial adoption of the SIP in 2000. Much of this information has led to the development of TMDLs for priority toxic pollutants in various regions, such as the San Francisco Bay Mercury TMDL (2006); Calleguas Creek/Mugu Lagoon Mercury TMDL (2007); Guadalupe River Watershed Mercury TMDL (2008); Walker Creek Mercury TMDL (2008); Cache Creek Mercury TMDL (2004); Sacramento-San Joaquin Delta MethylMercury TMDL (2010); and Los Angeles-Long Beach Harbor Mercury TMDL (2011).

x-6. Much of the information and technical analyses developed about the sources and impacts of priority pollutants developed by Regional Water Boards and dischargers demonstrate that, in many impaired water bodies, municipal and industrial point sources regulated via NPDES permits issued by Regional Boards are an inconsequential, or *de minimis*, source of certain priority toxic pollutants. In the case of ongoing mercury loading to certain water bodies, the *de minimis* nature of these point source contributions can be traced to aggressive pre-treatment, pollution prevention, and active treatment technologies imposed over the past two decades. Indeed, municipal and industrial dischargers combined account for less than 1.4% of the ongoing mercury loading to San Francisco Bay. Planned NPDES loads to the Delta (based on current permit requirements) will represent less than 0.1% of the methylmercury load in 2030.

x-7. By comparison, open water, tributaries and existing wetlands are known to account for about 93.8% of ongoing mercury loading in the Delta, predominantly from legacy loads. In San Francisco Bay, over 75% of the continued loading of mercury is coming from the Central Valley watershed, natural bed erosion, and atmospheric

deposition. In both instances, the Regional Boards have struggled to find effective means of controlling these “untethered” sources of most of the mercury continuing to be taken-up by fish and other biota in the waters.

In 2010, the Central Valley Regional Board took the unprecedented step of assigning responsibility for open water and tributary sources of mercury to those State of California and federal agencies responsible for managing the land and water from which these mercury loads are derived. In its 2010 Delta Methylmercury TMDL, the Central Valley Regional Board specifically found that transportation and deposition of mercury-contaminated sediment from water management activities contribute to the Delta fish mercury impairment.

Specifically, the Central Valley Regional Board determined that the State and Federal Water Projects affect the transportation of mercury and the production and transportation of methylmercury. Activities including water management and storage in and upstream of the Delta and Yolo Bypass, maintenance of and changes to salinity objectives, dredging and dredge materials disposal and reuse, and management of flood conveyance flows are subject to the open water methylmercury allocations established in the TMDL. Agencies responsible for these activities in the Delta and Yolo Bypass include, but are not limited to, the Department of Water Resources, State Lands Commission, Central Valley Flood Protection Board, U.S. Bureau of Reclamation, U.S. Army Corps of Engineers (USACE), and State Water Resources Control Board. The Regional Board also determined that the State of California owns and manages lands and waters of the state that contribute to methylmercury loads. As a result, the State Lands Commission and Department of Water Resources were also assigned responsibility for addressing these mercury contributions to the overall fish impairment.

Assigning state and federal agency responsibility for mercury loads coming from historic legacy sources (gold and mercury mining), state and federal lands, or major water projects over which these agencies have responsibility is reasonable, fair, and just. Without doing so, there is literally no hope of successfully abating mercury in fish from some California waters. What’s more, holding these state and federal agencies responsible is consistent with existing laws, regulations and authorities of the State and Regional Water Boards. When considering application of the water quality objectives adopted [in this action] and implementing control strategies to achieve those objectives, the Regional Boards are directed to consider all available information regarding sources and contributions of mercury to a given water body and, where appropriate, assign responsibility for mercury and abatement control strategies (including any appropriate risk reduction and communication actions) to those State of California and federal agencies responsible for managing land and water from which these mercury contributions are derived.

[These provisions for the Adoption Resolution apply to our request for future guidance from the State Board to Regional Boards when adopting the beneficial uses and applying the water quality objectives.]

x-8. The State Board directs its staff, working with the Regional Water Boards and interested stakeholders, to develop guidance for the Regional Water Boards when formally designating waters in their respective regions for T-CUL, T-SUB and SUB beneficial uses that address, without limitation, the following topics:

- Prior to designating waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters, Regional Boards shall identify and evaluate all known or suspected sources of priority toxic pollutants. This analysis should consider traditional point sources, non-point sources, aerial deposition, open water, historical or “legacy” sources, and any other reasonably discernable sources of the priority toxic pollutants.

- To the maximum extent possible, all relevant information developed for TMDLs, site specific objectives, use analyses, or other regulatory actions shall be utilized by Regional Boards in designating waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters.

- When determining whether and to what extent to designate waters for T-CUL, T-SUB and SUB beneficial uses, or implementing water quality objectives for such designated waters, Regional Boards shall consider all available information relevant to ascertaining the geographic extent to which such waters are used for these beneficial uses.

- When determining site specific water quality objectives to protect T-CUL, T-SUB and SUB beneficial uses based on consumption of fish or aquatic-dependent wildlife, the Regional Boards should develop, through a publicly-noticed process, appropriate protocols for determining consumption patterns (*i.e.*, types of fish consumed, volumes of each fish consumed, frequency of consumption, etc.) relative to those waters (or sub-portions of waters) for which T-CUL, T-SUB and SUB beneficial uses have been designated.

- Regional Boards should convene working groups of key stakeholders (*e.g.*, Tribes, subsistence fishing community, regulated community, State of California, federal agencies that own or have responsibility for land or water projects that are a known or suspected source of priority toxic pollutants) to address adoption and implementation of water quality objectives for adopted uses. Considerations should include a full range of possible management and control measures, and their relative efficacy in achieving fish tissue targets.

**Attachment 2 to PSSEP Comments on
Statewide Mercury Proposal dated January 3, 2017**

Treatment Technology Review and Assessment

**Association of Washington Business
Association of Washington Cities
Washington State Association of Counties**

December 4, 2013



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Table of Contents

Executive Summary	ES-1
1.0 Introduction	1
2.0 Derivation of the Baseline Study Conditions and Rationale for Selection of Effluent Limitations	3
2.1 Summary of Water Quality Criteria.....	3
2.2 Background	3
2.3 Assumptions Supporting Selected Ambient Water Quality Criteria and Effluent Limitations	4
3.0 Wastewater Characterization Description	9
3.1 Summary of Wastewater Characterization.....	9
3.2 Existing Wastewater Treatment Facility	9
3.3 Toxic Constituents.....	10
4.0 Treatment Approaches and Costs	11
4.1 Summary of Treatment Approach and Costs	11
4.2 Constituent Removal – Literature Review	11
4.2.1 Polychlorinated Biphenyls	11
4.2.2 Mercury.....	12
4.2.3 Arsenic.....	14
4.2.1 Polycyclic Aromatic Hydrocarbons	17
4.3 Unit Processes Evaluated	18
4.4 Unit Processes Selected	21
4.4.1 Baseline Treatment Process	22
4.4.2 Advanced Treatment – MF/RO Alternative.....	25
4.4.3 Advanced Treatment – MF/GAC Alternative	29
4.5 Steady-State Mass Balance	33
4.6 Adverse Environmental Impacts Associated with Advanced Treatment Technologies	34
4.7 Costs	36
4.7.1 Approach	36
4.7.2 Unit Cost Values.....	37
4.7.3 Net Present Value of Total Project Costs and Operations and Maintenance Cost in 2013 Dollars	38
4.7.4 Unit Cost Assessment	39
4.8 Pollutant Mass Removal.....	44
4.9 Sensitivity Analysis.....	45
5.0 Summary and Conclusions	46
6.0 References	48
7.0 Appendices	52

List of Tables

Table 1: Summary of Effluent Discharge Toxics Limits	7
Table 2. General Wastewater Treatment Facility Characteristics	9
Table 3: Summary of Arsenic Removal Technologies ¹	14
Table 4. Contaminants Removal Breakdown by Unit Process	21
Table 5. Unit Processes Description for Each Alternative	23
Table 6. Brine Disposal Method Relative Cost Comparison	27
Table 7. Energy Breakdown for Each Alternative (5 mgd design flow)	35
Table 8. Economic Evaluation Variables	37
Table 9. Treatment Technology Total Project Costs in 2013 Dollars for a 5 mgd Facility	38
Table 10. Treatment Technology Total Project Costs in 2013 Dollars for a 0.5 mgd Facility and a 25 mgd Facility	42
Table 11. Pollutant Mass Removal by Contaminant for a 5 mgd Facility	44
Table 12. Unit Cost by Contaminant for a 5 mgd Facility Implementing Advanced Treatment using MF/RO	45

List of Figures

Figure 1. Water Treatment Configuration for Arsenic Removal (WesTech).....	15
Figure 2. WesTech Pressure Filters for Arsenic Removal	16
Figure 3. Baseline Flowsheet – Conventional Secondary Treatment	24
Figure 4. Advanced Treatment Flowsheet – Tertiary Microfiltration and Reverse Osmosis	28
Figure 5. Advanced Treatment Flowsheet – Tertiary Microfiltration and Granular Activated Carbon	32
Figure 6. Primary Clarifier Inputs/Outputs.....	33
Figure 7. Greenhouse Gas Emissions for Each Alternative.....	36
Figure 8: Capital Cost Curve Comparison for Baseline Treatment, MF/RO, and MF/GAC	43
Figure 9: NPV Cost Curve Comparison for Baseline Treatment, MF/RO, and MF/GAC	43

List of Appendices

Appendix A - Unit Process Sizing Criteria
Appendix B - Greenhouse Gas Emissions Calculation Assumptions

Acronyms

Acronym	Definition
AACE	Association for the Advancement of Cost Engineering
AOP	advanced oxidation processes
AWB	Association of Washington Businesses
BAC	biological activated carbon
BAP	benzo(a)pyrene
BOD	biochemical oxygen demand
BTU	British thermal unit
CEPT	Chemically-enhanced primary treatment
cf	cubic feet
CIP	clean in place
CRITFC	Columbia River Inter-Tribal Fish Commission
Ecology	Washington Department of Ecology
EPA	U.S. Environmental Protection Agency
FCR	fish consumption rate
g/day	grams per day
GAC	granular activated carbon
gal	gallon
gfd	gallons per square foot per day
GHG	greenhouse gas
gpd	gallons per day
gpm	gallons per minute
GWh	giga watt hours
HDR	HDR Engineering, Inc.
HHWQC	human health water quality criteria
HRT	hydraulic residence time
IPCC	Intergovernmental Panel on Climate Change
kg	kilogram
KWh/MG	kilowatt-hours per million gallons
lb	pound
MBR	membrane bioreactor
MCL	maximum contaminant level
MF	microfiltration
mgd	million gallons per day
mg/L	milligrams per liter
MMBTU	million British thermal units
MWh/d	megawatt-hours per day
NF	nanofiltration
ng/L	nanograms per liter
NPDES	National Pollutant Discharge Elimination System
NPV	net present value
O&M	operations and maintenance
ODEQ	Oregon Department of Environmental Quality
PAC	powdered activated carbon
PAH	polycyclic aromatic hydrocarbons
PCB	polychlorinated biphenyls
PE	population equivalents
PIX	potable ion exchange

Acronym	Definition
ppm	parts per million
RO	reverse osmosis
SDWA	Safe Drinking Water Act
sf	square feet
SGSP	salinity gradient solar pond
SRT	solids retention time
Study Partners	Association of Washington Businesses/Association of Washington Cities and Washington State Association of Counties consortium
TDS	total dissolved solids
TMDL	total maximum daily load
TSS	total suspended solids
UF	ultrafiltration
µg/L	micrograms per liter
USDA	U.S. Department of Agriculture
UV	ultraviolet
WAC	Washington Administrative Code
WAS	waste activated sludge
WLA	waste load allocation
WWTP	wastewater treatment plant
ZLD	zero liquid discharge

Executive Summary

This study evaluated treatment technologies potentially capable of meeting the State of Washington Department of Ecology's (Ecology) revised effluent discharge limits associated with revised human health water quality criteria (HHWQC). HDR Engineering, Inc. (HDR) completed a literature review of potential technologies and an engineering review of their capabilities to evaluate and screen treatment methods for meeting revised effluent limits for four constituents of concern: arsenic, benzo(a)pyrene (BAP), mercury, and polychlorinated biphenyls (PCBs). HDR selected two alternatives to compare against an assumed existing baseline secondary treatment system utilized by dischargers. These two alternatives included enhanced secondary treatment with membrane filtration/reverse osmosis (MF/RO) and enhanced secondary treatment with membrane filtration/granulated activated carbon (MF/GAC). HDR developed capital costs, operating costs, and a net present value (NPV) for each alternative, including the incremental cost to implement improvements for an existing secondary treatment facility.

Currently, there are no known facilities that treat to the HHWQC and anticipated effluent limits that are under consideration. Based on the literary review, research, and bench studies, the following conclusions can be made from this study:

- Revised HHWQC based on state of Oregon HHWQC (2001) and U.S. Environmental Protection Agency (EPA) "National Recommended Water Quality Criteria" will result in very low water quality criteria for toxic constituents.
- There are limited "proven" technologies available for dischargers to meet required effluent quality limits that would be derived from revised HHWQC.
 - Current secondary wastewater treatment facilities provide high degrees of removal for toxic constituents; however, they are not capable of compliance with water quality-based National Pollutant Discharge Elimination System (NPDES) permit effluent limits derived from the revised HHWQC.
 - Advanced treatment technologies have been investigated and candidate process trains have been conceptualized for toxics removal.
 - Advanced wastewater treatment technologies may enhance toxics removal rates; however, they will not be capable of compliance with HHWQC-based effluent limits for PCBs. The lowest levels achieved based on the literature review were between <0.00001 and 0.00004 micrograms per liter ($\mu\text{g/L}$), as compared to a HHWQC of 0.000064 $\mu\text{g/L}$.
 - Based on very limited performance data for arsenic and mercury from advanced treatment information available in the technical literature, compliance with revised criteria may or may not be possible, depending upon site specific circumstances.
 - Compliance with a HHWQC for arsenic of 0.018 $\mu\text{g/L}$ appears unlikely. Most treatment technology performance information available in the literature is based on drinking water treatment applications targeting a much higher Safe Drinking Water Act (SDWA) maximum contaminant level (MCL) of 10 $\mu\text{g/L}$.
 - Compliance with a HHWQC for mercury of 0.005 $\mu\text{g/L}$ appears to be potentially attainable on an average basis, but perhaps not if effluent limits are structured on a maximum monthly, maximum weekly or maximum daily basis. Some secondary treatment facilities attain average effluent mercury levels of 0.009 to 0.066 $\mu\text{g/L}$. Some treatment facilities with effluent filters attain average effluent mercury levels of 0.002 to 0.010 $\mu\text{g/L}$. Additional

advanced treatment processes are expected to enhance these removal rates, but little mercury performance data is available for a definitive assessment.

- Little information is available to assess the potential for advanced technologies to comply with revised BAP criteria. A municipal wastewater treatment plant study reported both influent and effluent BAP concentrations less than the HHWQC of 0.0013 ug/L (Ecology, 2010).
- Some technologies may be effective at treating identified constituents of concern to meet revised limits while others may not. It is therefore even more challenging to identify a technology that can meet all constituent limits simultaneously.
- A HHWQC that is one order-of-magnitude less stringent could likely be met for mercury and BAP; however, it appears PCB and arsenic limits would not be met.
- Advanced treatment processes incur significant capital and operating costs.
 - Advanced treatment process to remove additional arsenic, BAP, mercury, and PCBs would combine enhancements to secondary treatment with microfiltration membranes and reverse osmosis or granular activated carbon and increase the estimated capital cost of treatment from \$17 to \$29 in dollars per gallon per day of capacity (based on a 5.0-million-gallon-per-day (mgd) facility).
 - The annual operation and maintenance costs for the advanced treatment process train will be substantially higher (approximately \$5 million - \$15 million increase for a 5.0 mgd capacity facility) than the current secondary treatment level.
- Implementation of additional treatment will result in additional collateral impacts.
 - High energy consumption.
 - Increased greenhouse gas emissions.
 - Increase in solids production from chemical addition to the primaries. Additionally, the membrane and GAC facilities will capture more solids that require handling.
 - Increased physical space requirements at treatment plant sites for advanced treatment facilities and residuals management including reverse osmosis reject brine processing.
- It appears advanced treatment technology alone cannot meet all revised water quality limits and implementation tools are necessary for discharger compliance.
 - Implementation flexibility will be necessary to reconcile the difference between the capabilities of treatment processes and the potential for HHWQC driven water quality based effluent limits to be lower than attainable with technology

Table ES-1 indicates that the unit NPV cost for baseline conventional secondary treatment ranges from \$13 to \$28 per gallon per day of treatment capacity. The unit cost for the advanced treatment alternatives increases the range from the low \$20s to upper \$70s on a per gallon per-day of treatment capacity. The resulting unit cost for improving from secondary treatment to advanced treatment ranges between \$15 and \$50 per gallon per day of treatment capacity. Unit costs were also evaluated for both a 0.5 and 25 mgd facility. The range of unit costs for improving a 0.5 mgd from secondary to advanced treatment is \$60 to \$162 per gallon per day of treatment capacity. The range of unit costs for improving a 25 mgd from secondary to advanced treatment is \$10 to \$35 per gallon per day of treatment capacity.

Table ES-1. Treatment Technology Costs in 2013 Dollars for a 5-mgd Facility

Alternative	Total Construction Cost, 2013 dollars (\$ Million)	O&M Net Present Value, 2013 dollars (\$ Million)***	Total Net Present Value, 2013 dollars (\$ Million)	NPV Unit Cost, 2013 dollars (\$/gpd)
Baseline (Conventional Secondary Treatment)*	59 - 127	5 - 11	65 - 138	13 - 28
Incremental Increase to Advanced Treatment - MF/RO	48 - 104	26 - 56	75 - 160	15 - 32
Advanced Treatment - MF/RO**	108 - 231	31 - 67	139 - 298	28 - 60
Incremental Increase to Advanced Treatment - MF/GAC	71 - 153	45 - 97	117 - 250	23 - 50
Advanced Treatment - MF/GAC	131 - 280	50 - 108	181 - 388	36 - 78

* Assumed existing treatment for dischargers. The additional cost to increase the SRT to upwards of 30-days is about \$12 - 20 million additional dollars in total project cost for a 5 mgd design flow.

** Assumes zero liquid discharge for RO brine management, followed by evaporation ponds. Other options are available as listed in Section 4.4.2.

*** Does not include the cost for labor.

mgd=million gallons per day

MG=million gallons

MF/RO=membrane filtration/reverse osmosis

MF/GAC=membrane filtration/granulated activated carbon

O&M=operations and maintenance

Net Present Value = total financed cost assuming a 5% nominal discount rate over an assumed 25 year equipment life.

Costs presented above are based on a treatment capacity of 5.0 mgd, however, existing treatment facilities range dramatically across Washington in size and flow treated. The key differences in cost between the baseline and the advanced treatment MF/RO are as follows:

- Larger aeration basins than the baseline to account for the longer SRT (>8 days versus <8 days).
- Additional pumping stations to pass water through the membrane facilities and granulated activated carbon facilities. These are based on peak flows.
- Membrane facilities (equipment, tanks chemical feed facilities, pumping, etc.) and replacement membrane equipment.
- Granulated activated carbon facilities (equipment, contact tanks, pumping, granulated activated carbon media, etc.)
- Additional energy and chemical demand to operate the membrane and granulated activated carbon facilities
- Additional energy to feed and backwash the granulated activated carbon facilities.
- Zero liquid discharge facilities to further concentrate the brine reject.
 - Zero liquid discharge facilities are energy/chemically intensive and they require membrane replacement every few years due to the brine reject water quality.
- Membrane and granulated activated carbon media replacement represent a significant maintenance cost.

- Additional hauling and fees to regenerate granulated activated carbon off-site.

The mass of pollutant removal by implementing advanced treatment was calculated based on reducing current secondary effluent discharges to revised effluent limits for the four pollutants of concern. These results are provided in Table ES-2 as well as a median estimated unit cost basis for the mass of pollutants removed.

Table ES-2. Unit Cost by Contaminant for a 5-mgd Facility Implementing Advanced Treatment using Membrane Filtration/Reverse Osmosis

Component	PCBs	Mercury	Arsenic	BAPs
Required HHWQC based Effluent Quality (µg/L)	0.0000064	0.005	0.018	0.0013
Current Secondary Effluent Concentration (µg/L)	0.002	0.025	7.5	0.006
Total Mass Removed (lbs) over 25 year Period	0.76	7.6	2,800	1.8
Median Estimated Unit Cost (NPV per total mass removed in pounds over 25 years)	\$290,000,000	\$29,000,000	\$77,000	\$120,000,000

µg/L=micrograms per liter

lbs=pounds

NPV=net present value

Collateral adverse environmental impacts associated with implementing advanced treatment were evaluated. The key impacts from this evaluation include increased energy use, greenhouse gas production, land requirements and treatment residuals disposal. Operation of advanced treatment technologies could increase electrical energy by a factor of 2.3 to 4.1 over the baseline secondary treatment system. Direct and indirect greenhouse gas emission increases are related to the operation of advanced treatment technologies and electrical power sourcing, with increases of at least 50 to 100 percent above the baseline technology. The energy and air emission implications of advanced treatment employing granulated activated carbon construction of advanced treatment facilities will require additional land area. The availability and cost of land adjacent to existing treatment facilities has not been included in cost estimates, but could be very substantial. It is worthwhile noting residual materials from treatment may potentially be hazardous and their disposal may be challenging to permit. Costs assume zero liquid discharge from the facilities.

1.0 Introduction

Washington's Department of Ecology (Ecology) has an obligation to periodically review waterbody "designated uses" and to modify, as appropriate, water quality standards to ensure those uses are protected. Ecology initiated this regulatory process in 2009 for the human health-based water quality criteria (HHWQC) in Washington's *Surface Water Quality Standards* (Washington Administrative Code [WAC] 173-201A). HHWQC are also commonly referred to as "toxic pollutant water quality standards." Numerous factors will influence Ecology's development of HHWQC. The expectation is that the adopted HHWQC will be more stringent than current adopted criteria. National Pollutant Discharge Elimination System (NPDES) effluent limits for permitted dischargers to surface waters are based on U.S. Environmental Protection Agency (EPA) and state guidance. Effluent limits are determined primarily from reasonable potential analyses and waste load allocations (WLAs) from total maximum daily loads (TMDLs), although the permit writer may use other water quality data. Water quality-based effluent limits are set to be protective of factors, including human health, aquatic uses, and recreational uses. Therefore, HHWQC can serve as a basis for effluent limits. The presumption is that more stringent HHWQC will, in time, drive lower effluent limits. The lower effluent limits will require advanced treatment technologies and will have a consequent financial impact on NPDES permittees. Ecology anticipates that a proposed revision to the water quality standards regulation will be issued in first quarter 2014, with adoption in late 2014.

The Association of Washington Businesses (AWB) is recognized as the state's chamber of commerce, manufacturing and technology association. AWB members, along with the Association of Washington Cities and Washington State Association of Counties (collectively referred to as Study Partners), hold NPDES permits authorizing wastewater discharges. The prospect of more stringent HHWQC, and the resulting needs for advanced treatment technologies to achieve lower effluent discharge limits, has led this consortium to sponsor a study to assess technology availability and capability, capital and operations and maintenance (O&M) costs, pollutant removal effectiveness, and collateral environmental impacts of candidate technologies.

The "base case" for the study began with the identification of four nearly ubiquitous toxic pollutants present in many industrial and municipal wastewater discharges, and the specification of pollutant concentrations in well-treated secondary effluent. The pollutants are arsenic, benzo(a)pyrene (BAP), mercury and polychlorinated biphenyls (PCBs), which were selected for review based on available monitoring data and abundant presence in the environment. The purpose of this study is to review the potential water quality standards and associated treatment technologies able to meet those standards for four pollutants.

A general wastewater treatment process and wastewater characteristics were used as the common baseline for comparison with all of the potential future treatment technologies considered. An existing secondary treatment process with disinfection at a flow of 5 million gallons per day (mgd) was used to represent existing conditions. Typical effluent biochemical oxygen demand (BOD) and total suspended solids (TSS) were assumed between 10 and 30 milligrams per liter (mg/L) for such a facility and no designed nutrient or toxics removal was assumed for the baseline existing treatment process.

Following a literature review of technologies, two advanced treatment process options for toxics removal were selected for further evaluation based on the characterization of removal effectiveness from the technical literature review and Study Partners' preferences. The two tertiary treatment options are microfiltration membrane filtration (MF) followed by either reverse osmosis (RO) or granular activated carbon (GAC) as an addition to an existing secondary treatment facility.

The advanced treatment technologies are evaluated for their efficacy and cost to achieve the effluent limitations implied by the more stringent HHWQC. Various sensitivities are examined, including for less stringent adopted HHWQC, and for a size range of treatment systems. Collateral environmental impacts associated with the operation of advanced technologies are also qualitatively described.

2.0 Derivation of the Baseline Study Conditions and Rationale for Selection of Effluent Limitations

2.1 Summary of Water Quality Criteria

Surface water quality standards for toxics in the State of Washington are being updated based on revised human fish consumption rates (FCRs). The revised water quality standards could drive very low effluent limitations for industrial and municipal wastewater dischargers. Four pollutants were selected for study based on available monitoring data and abundant presence in the environment. The four toxic constituents are arsenic, BAP, mercury, and PCBs.

2.2 Background

Ecology is in the process of updating the HHWQC in the state water quality standards regulation. Toxics include metals, pesticides, and organic compounds. The human health criteria for toxics are intended to protect people who consume water, fish, and shellfish. FCRs are an important factor in the derivation of water quality criteria for toxics.

The AWB/City/County consortium (hereafter “Study Partners”) has selected four pollutants for which more stringent HHWQC are expected to be promulgated. The Study Partners recognize that Ecology probably will not adopt more stringent arsenic HHWQC so the evaluation here is based on the current arsenic HHWQC imposed by the National Toxics Rule. Available monitoring information indicates these pollutants are ubiquitous in the environment and are expected to be present in many NPDES discharges. The four pollutants include the following:

- Arsenic
 - Elemental metalloid that occurs naturally and enters the environment through erosion processes. Also widely used in batteries, pesticides, wood preservatives, and semiconductors. Other current uses and legacy sources in fungicides/herbicides, copper smelting, paints/dyes, and personal care products.
- Benzo(a)pyrene (BAP)
 - Benzo(a)pyrene is a polycyclic aromatic hydrocarbon formed by a benzene ring fused to pyrene as the result of incomplete combustion. Its metabolites are highly carcinogenic. Sources include wood burning, coal tar, automobile exhaust, cigarette smoke, and char-broiled food.
- Mercury
 - Naturally occurring element with wide legacy uses in thermometers, electrical switches, fluorescent lamps, and dental amalgam. Also enters the environment through erosion processes, combustion (especially coal), and legacy industrial/commercial uses. Methylmercury is an organometallic that is a bioaccumulative toxic. In aquatic systems, an anaerobic methylation process converts inorganic mercury to methylmercury.
- Polychlorinated Biphenyls (PCBs)
 - Persistent organic compounds historically used as a dielectric and coolant in electrical equipment and banned from production in the U.S. in 1979. Available information indicates continued pollutant loadings to the environment as a byproduct from the use of some pigments, paints, caulking, motor oil, and coal combustion.

2.3 Assumptions Supporting Selected Ambient Water Quality Criteria and Effluent Limitations

Clean Water Act regulations require NPDES permittees to demonstrate their discharge will “not cause or contribute to a violation of water quality criteria.” If a “reasonable potential analysis” reveals the possibility of a standards violation, the permitting authority is obliged to develop “water quality-based effluent limits” to ensure standards achievement. In addition, if ambient water quality monitoring or fish tissue assessments reveal toxic pollutant concentrations above HHWQC levels, Ecology is required to identify that impairment (“303(d) listing”) and develop corrective action plans to force reduction in the toxic pollutant discharge or loading of the pollutant into the impaired water body segment. These plans, referred to as total maximum daily loads (TMDLs) or water cleanup plans, establish discharge allocations and are implemented for point discharge sources through NPDES permit effluent limits and other conditions.

The effect of more stringent HHWQC will intuitively result in more NPDES permittees “causing or contributing” to a water quality standards exceedance, and/or more waterbodies being determined to be impaired, thus requiring 303(d) listing, the development of TMDL/water cleanup plans, and more stringent effluent limitations to NPDES permittees whose treated wastewater contains the listed toxic pollutant.

The study design necessarily required certain assumptions to create a “baseline effluent scenario” against which the evaluation of advanced treatment technologies could occur. The Study Partners and HDR Engineering, Inc (HDR) developed the scenario. Details of the baseline effluent scenario are presented in Table 1. The essential assumptions and rationale for selection are presented below:

- Ecology has indicated proposed HHWQC revisions will be provided in first quarter 2014. A Study Partners objective was to gain an early view on the treatment technology and cost implications. Ecology typically allows 30 or 45 days for the submission of public comments on proposed regulations. To wait for the proposed HHWQC revisions would not allow sufficient time to complete a timely technology/cost evaluation and then to share the study results in the timeframe allowed for public involvement/public comments.
- Coincident with the issuance of the proposed regulation, Ecology has a statutory obligation to provide a Significant Legislative Rule evaluation, one element of which is a “determination whether the probable benefits of the rule are greater than its probable costs, taking into account both the qualitative and quantitative benefits and costs and the specific directives of the statute being implemented” (RCW 34.05.328(1)(d)). A statutory requirement also exists to assess the impact of the proposed regulation to small businesses. The implication is that Ecology will be conducting these economic evaluations in fourth quarter 2013 and early 2014. The Study Partners wanted to have a completed technology/cost study available to share with Ecology for their significant legislative rule/small business evaluations.
- The EPA, Indian tribes located in Washington, and various special interest groups have promoted the recently promulgated state of Oregon HHWQC (2011) as the “model” for Washington’s revisions of HHWQC. The Oregon HHWQC are generally based on an increased FCR of 175 grams per day (g/day) and an excess cancer risk of 10^{-6} . While the Study Partners do not concede the wisdom or appropriateness of the Oregon criteria, or the selection of scientific/technical elements used to derive those criteria, the Study Partners nevertheless have selected the Oregon HHWQC as a viable “starting point” upon which this study could be based.

- The scenario assumes generally that Oregon’s HHWQC for ambient waters will, for some parameters in fact, become effluent limitations for Washington NPDES permittees. The reasoning for this important assumption includes:
 - The state of Washington’s NPDES permitting program is bound by the *Friends of Pinto Creek vs. EPA* decision in the United States Court of Appeals for the Ninth Circuit (October 4, 2007). This decision held that no NPDES permits authorizing new or expanded discharges of a pollutant into a waterbody identified as impaired; i.e., listed on CWA section 303(d), for that pollutant, may be issued until such time as “existing dischargers” into the waterbody are “subject to compliance schedules designed to bring the (waterbody) into compliance with applicable water quality standards.” In essence, any new/expanded discharge of a pollutant causing impairment must achieve the HHWQC at the point of discharge into the waterbody.
 - If a waterbody segment is identified as “impaired” (i.e., not achieving a HHWQC), then Ecology will eventually need to produce a TMDL or water cleanup plan. For an existing NPDES permittee with a discharge of the pollutant for which the receiving water is impaired, the logical assumption is that any waste load allocation granted to the discharger will be at or lower than the numeric HHWQC (to facilitate recovery of the waterbody to HHWQC attainment). As a practical matter, this equates to an effluent limit established at the HHWQC.
 - Acceptance of Oregon HHWQC as the baseline for technology/cost review also means acceptance of practical implementation tools used by Oregon. The HHWQC for mercury is presented as a fish tissue methyl mercury concentration. For the purposes of NPDES permitting, however, Oregon has developed an implementation management directive which states that any confirmed detection of mercury is considered to represent a “reasonable potential” to cause or contribute to a water quality standards violation of the methyl mercury criteria. The minimum quantification level for total mercury is presented as 0.005 micrograms per liter (µg/L) (5.0 nanograms per liter (ng/L)).
 - The assumed effluent limit for arsenic is taken from EPA’s *National Recommended Water Quality Criteria* (2012) (inorganic, water and organisms, 10^{-6} excess cancer risk). Oregon’s 2011 criterion is actually based on a less protective excess cancer risk (10^{-4}). This, however, is the result of a state-specific risk management choice and it is unclear if Washington’s Department of Ecology would mimic the Oregon approach.
 - The assumption is that no mixing zone is granted such that HHWQC will effectively serve as NPDES permit effluent limits. Prior discussion on the impact of the Pinto Creek decision, 303(d) impairment and TMDL Waste Load Allocations processes, all lend support to this “no mixing zone” condition for the parameters evaluated in this study.
- Consistent with Ecology practice in the evaluation of proposed regulations, the HHWQC are assumed to be in effect for a 20-year period. It is assumed that analytical measurement technology and capability will continue to improve over this time frame and this will result in the detection and lower quantification of additional HHWQC in ambient water and NPDES dischargers. This knowledge will trigger the Pinto Creek/303(d)/TMDL issues identified above and tend to pressure NPDES permittees to evaluate and install advanced treatment technologies. The costs and efficacy of treatment for these additional HHWQC is unknown at this time.

Other elements of the Study Partners work scope, as presented to HDR, must be noted:

- The selection of four toxic pollutants and development of a baseline effluent scenario is not meant to imply that each NPDES permittee wastewater discharge will include those pollutants at the assumed concentrations. Rather, the scenario was intended to represent a composite of many NPDES permittees and to facilitate evaluation of advanced treatment technologies relying on mechanical, biological, physical, chemical processes.
- The scalability of advanced treatment technologies to wastewater treatment systems with different flow capacities, and the resulting unit costs for capital and O&M, is evaluated.
- Similarly, a sensitivity analysis on the unit costs for capital and O&M was evaluated on the assumption the adopted HHWQC (and effectively, NPDES effluent limits) are one order-of-magnitude less stringent than the Table 1 values.

Table 1: Summary of Effluent Discharge Toxics Limits

Constituent	Human Health Criteria based Limits to be met with no Mixing Zone (µg/L)	Basis for Criteria	Typical Concentration in Municipal Secondary Effluent (µg/L)	Typical Concentration in Industrial Secondary Effluent (µg/L)	Existing Washington HHC (water + org.), NTR (µg/L)
PCBs	0.000064	Oregon Table 40 Criterion (water + organisms) at FCR of 175 grams/day	0.0005 to 0.0025 ^{b,c,d,e,f}	0.002 to 0.005 ⁱ	0.0017
Mercury	0.005	DEQ IMD ^a	0.003 to 0.050 ^h	0.010 to 0.050 ^h	0.140
Arsenic	0.018	EPA National Toxics Rule (water + organisms) ^k	0.500 to 5.0 ^j	10 to 40 ^j	0.018
Benzo(a)Pyrene	0.0013	Oregon Table 40 Criterion (water + organisms) at FCR of 175 grams/day	0.00028 to 0.006 ^{b,g}	0.006 to 1.9	0.0028

^a Oregon Department of Environmental Quality (ODEQ). Internal Management Directive: Implementation of Methylmercury Criterion in NPDES Permits. January 8, 2013.

^b Control of Toxic Chemicals in Puget Sound, Summary Technical Report for Phase 3: Loadings from POTW Discharge of Treated Wastewater, Washington Department of Ecology, Publication Number 10-10-057, December 2010.

^c Spokane River PCB Source Assessment 2003-2007, Washington Department of Ecology, Publication No. 11-03-013, April 2011.

^d Lower Okanogan River Basin DDT and PCBs Total Maximum Daily Load, Submittal Report, Washington Department of Ecology, Publication Number 04-10-043, October 2004.

^e Palouse River Watershed PCB and Dieldrin Monitoring, 2007-2008, Wastewater Treatment Plants and Abandoned Landfills, Washington Department of Ecology, Publication No. 09-03-004, January 2009

^f A Total Maximum Daily Load Evaluation for Chlorinated Pesticides and PCBs in the Walla Walla River, Washington Department of Ecology, Publication No. 04-03-032, October 2004.

^g Removal of Polycyclic Aromatic Hydrocarbons and Heterocyclic Nitrogenous Compounds by A POTW Receiving Industrial Discharges, Melcer, H., Steel, P. and Bedford, W.K., Water Environment Federation, 66th Annual Conference and Exposition, October 1993.

^h Data provided by Lincoln Loehr's summary of WDOE Puget Sound Loading data in emails from July 19, 2013.

ⁱ NCASI memo from Larry Lefleur, NCASI, to Llewellyn Matthews, NWPPA, revised June 17, 2011, summarizing available PCB monitoring data results from various sources.

^j Professional judgment, discussed in August 6, 2013 team call.

^k The applicable Washington Human Health Criteria cross-reference the EPA National Toxics Rule, 40 CFR 131.36. The EPA arsenic HHC is 0.018 µg/L for water and organisms.

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3.0 Wastewater Characterization Description

This section describes the wastewater treatment discharge considered in this technology evaluation. Treated wastewater characteristics are described, including average and peak flow, effluent concentrations, and toxic compounds of concern.

3.1 Summary of Wastewater Characterization

A general wastewater treatment process and wastewater characteristics were developed as the common baseline to represent the existing conditions as a starting point for comparison with potential future advanced treatment technologies and improvements. A secondary treatment process with disinfection at a flow of 5 mgd as the current, baseline treatment system for existing dischargers was also developed. Typical effluent biochemical oxygen demand (BOD) and total suspended solids (TSS) were assumed between 10 to 30 mg/L from such a facility and no nutrient or toxics removal was assumed to be accomplished in the existing baseline treatment process.

3.2 Existing Wastewater Treatment Facility

The first step in the process is to characterize the existing wastewater treatment plant to be evaluated in this study. The goal is to identify the necessary technology that would need to be added to an existing treatment facility to comply with revised toxic pollutant effluent limits. Rather than evaluating the technologies and costs to upgrade multiple actual operating facilities, the Study Partners specified that a generalized municipal/industrial wastewater treatment facility would be characterized and used as the basis for developing toxic removal approaches. General characteristics of the facility's discharge are described in Table 2.

Table 2. General Wastewater Treatment Facility Characteristics

Average Annual Wastewater Flow, mgd	Maximum Month Wastewater Flow, mgd	Peak Hourly Wastewater Flow, mgd	Effluent BOD, mg/L	Effluent TSS, mg/L
5.0	6.25	15.0	10 to 30	10 to 30

mgd=million gallons per day
 mg/L=milligrams per liter
 BOD=biochemical oxygen demand
 TSS=total suspended solids

In the development of the advanced treatment technologies presented below, the capacity of major treatment elements are generally sized to accommodate the maximum month average wastewater flow. Hydraulic elements, such as pumps and pipelines, were selected to accommodate the peak hourly wastewater flow.

The general treatment facility incorporates a baseline treatment processes including influent screening, grit removal, primary sedimentation, suspended growth biological treatment (activated sludge), secondary clarification, and disinfection using chlorine. Solids removed during primary treatment and secondary clarification are assumed to be thickened, stabilized, dewatered, and land applied to agricultural land. The biological treatment process is assumed to be activated sludge with a relatively short (less than 10-day) solids retention time. The baseline secondary treatment facility is assumed not to have processes dedicated to removing nutrients or toxics. However, some coincident removal of toxics will occur during conventional treatment.

3.3 Toxic Constituents

As described in Section 2.3, the expectation of more stringent HHWQC will eventually trigger regulatory demands for NPDES permittees to install advanced treatment technologies. The Study Group and HDR selected four specific toxic pollutants reflecting a range of toxic constituents as the basis for this study to limit the constituents and technologies to be evaluated to a manageable level.

The four toxic pollutants selected were PCBs, mercury, arsenic, and BAP, a polycyclic aromatic hydrocarbon (PAH). Mercury and arsenic are metals, and PCBs and PAHs are organic compounds. Technologies for removing metals and organic compounds are in some cases different. Key information on each of the compounds, including a description of the constituent, the significance of each constituent, proposed HHWQC, basis for the proposed criteria, typical concentration in both municipal and industrial secondary effluent, and current Washington state water quality criteria, are shown in Table 1. It is assumed that compliance with the proposed criteria in the table would need to be achieved at the “end of pipe” and Ecology would not permit a mixing zone for toxic constituents. This represents a “worst–case,” but a plausible assumption about discharge conditions.

4.0 Treatment Approaches and Costs

4.1 Summary of Treatment Approach and Costs

Two advanced treatment process options for toxics removal for further evaluation based on the characterization of removal effectiveness from the technical literature review and Study Group preferences. The two tertiary treatment options are microfiltration MF followed by either RO or GAC as an addition to an existing secondary treatment facility. Based on the literature review, it is not anticipated that any of the treatment options will be effective in reducing all of the selected pollutants to below the anticipated water quality criteria. A summary of the capital and operations and maintenance costs for tertiary treatment is provided, as well as a comparison of the adverse environmental impacts for each alternative.

4.2 Constituent Removal – Literature Review

The evaluation of treatment technologies relevant to the constituents of concern was initiated with a literature review. The literature review included a desktop search using typical web-based search engines, and search engines dedicated to technical and research journal databases. At the same time, HDR's experience with the performance of existing treatment technologies specifically related to the four constituents of concern, was used in evaluating candidate technologies. A summary of the constituents of concern and relevant treatment technologies is provided in the following literature review section.

4.2.1 Polychlorinated Biphenyls

PCBs are persistent organic pollutants that can be difficult to remove in treatment. PCB treatment in wastewater can be achieved using oxidation with peroxide, filtration, biological treatment or a combination of these technologies. There is limited information available about achieving ultra-low effluent PCB concentrations near the 0.0000064 µg/L range under consideration in the proposed rulemaking process. This review provides a summary of treatment technology options and anticipated effluent PCB concentrations.

Research on the effectiveness of ultraviolet (UV) light and peroxide on removing PCBs was tested in bench scale batch reactions (Yu, Macawile, Abella, & Gallardo 2011). The combination of UV and peroxide treatment achieved PCB removal greater than 89 percent, and in several cases exceeding 98 percent removal. The influent PCB concentration for the batch tests ranged from 50 to 100 micrograms per liter (µg/L). The final PCB concentration (for the one congener tested) was <10 µg/L (10,000 ng/L) for all tests and <5 µg/L (5,000 ng/L) for some tests. The lowest PCB concentrations in the effluent occurred at higher UV and peroxide doses.

Pilot testing was performed to determine the effectiveness of conventional activated sludge and a membrane bioreactor to remove PCBs (Bolzonella, Fatone, Pavan, & Cecchi 2010). EPA Method 1668 was used for the PCB analysis (detection limit of 0.01 ng/L per congener). Influent to the pilot system was a combination of municipal and industrial effluent. The detailed analysis was for several individual congeners. Limited testing using the Aroclor method (total PCBs) was used to compare the individual congeners and the total concentration of PCBs. Both conventional activated sludge and membrane bioreactor (MBR) systems removed PCBs. The effluent MBR concentrations ranged from <0.01 ng/L to 0.04 ng/L compared to <0.01 ng/L to 0.88 ng/L for conventional activated sludge. The pilot testing showed that increased solids retention time (SRT) and higher mixed liquor suspended solids concentrations in the MBR system led to increased removal in the liquid stream.

Bench scale studies were completed to test the effectiveness of GAC and biological activated carbon (BAC) for removing PCBs (Ghosh, Weber, Jensen, & Smith 1999). The effluent from the

GAC system was 800 ng/L. The biological film in the BAC system was presumed to support higher PCB removal with effluent concentrations of 200 ng/L. High suspended sediment in the GAC influent can affect performance. It is recommended that filtration be installed upstream of a GAC system to reduce solids and improve effectiveness.

Based on limited available data, it appears that existing municipal secondary treatment facilities in Washington state are able to reduce effluent PCBs to the range approximately 0.10 to 1.5 ng/L. It appears that the best performing existing municipal treatment facility in Washington state with a microfiltration membrane is able to reduce effluent PCBs to the range approximately 0.00019 to 0.00063 µg/L. This is based on a very limited data set and laboratory blanks covered a range that overlapped with the effluent results (blanks 0.000058 to 0.00061 µg/L).

Addition of advanced treatment processes would be expected to enhance PCB removal rates, but the technical literature does not appear to provide definitive information for guidance. A range of expected enhanced removal rates might be assumed to vary widely from level of the reference microfiltration facility of 0.19 to 0.63 ng/L.

Summary of PCB Technologies

The literature review revealed there are viable technologies available to reduce PCBs **but no research was identified with treatment technologies capable of meeting the anticipated human health criteria based limits for PCB removal**. Based on this review, a tertiary process was selected to biologically reduce PCBs and separate the solids using tertiary filtration. Alternately, GAC was investigated as an option to reduce PCBs, although it is not proven that it will meet revised effluent limits.

4.2.2 Mercury

Mercury removal from wastewater can be achieved using precipitation, adsorption, filtration, or a combination of these technologies. There is limited information available about achieving ultra-low effluent mercury concentrations near the 5 ng/L range under consideration in the proposed rulemaking process. This review provides a summary of treatment technology options and anticipated effluent mercury concentrations.

Precipitation (and co-precipitation) involves chemical addition to form a particulate and solids separation, using sedimentation or filtration. Precipitation includes the addition of a chemical precipitant and pH adjustment to optimize the precipitation reaction. Chemicals can include metal salts (ferric chloride, ferric sulfate, ferric hydroxide, or alum), pH adjustment, lime softening, or sulfide. A common precipitant for mercury removal is sulfide, with an optimal pH between 7 and 9. The dissolved mercury is precipitated with the sulfide to form an insoluble mercury sulfide that can be removed through clarification or filtration. One disadvantage of precipitation is the generation of a mercury-laden sludge that will require dewatering and disposal. The mercury sludge may be considered a hazardous waste and require additional treatment and disposal at a hazardous waste site. The presence of other compounds, such as other metals, may reduce the effectiveness of mercury precipitation/co-precipitation. For low-level mercury treatment requirements, several treatment steps will likely be required in pursuit of very low effluent targets.

EPA compiled a summary of facilities that are using precipitation/co-precipitation for mercury treatment (EPA 2007). Three of the full-scale facilities were pumping and treating groundwater and the remaining eight facilities were full-scale wastewater treatment plants. One of the pump and treat systems used precipitation, carbon adsorption, and pH adjustment to treat groundwater to effluent concentrations of 300 ng/L.

Adsorption treatment can be used to remove inorganic mercury from water. While adsorption can be used as a primary treatment step, it is frequently used for polishing after a preliminary treatment step (EPA 2007). One disadvantage of adsorption treatment is that when the adsorbent is saturated, it either needs to be regenerated or disposed of and replaced with new adsorbent. A common adsorbent is GAC. There are several patented and proprietary adsorbents on the market for mercury removal. Adsorption effectiveness can be affected by water quality characteristics, including high solids and bacterial growth, which can cause media blinding. A constant and low flow rate to the adsorption beds increases effectiveness (EPA 2007). The optimal pH for mercury adsorption on GAC is pH 4 to 5; therefore, pH adjustment may be required.

EPA compiled a summary of facilities that are using adsorption for mercury treatment (EPA 2007). Some of the facilities use precipitation and adsorption as described above. The six summarized facilities included two groundwater treatment and four wastewater treatment facilities. The reported effluent mercury concentrations were all less than 2,000 ng/L (EPA 2007).

Membrane filtration can be used in combination with a preceding treatment step. The upstream treatment is required to precipitate soluble mercury to a particulate form that can be removed through filtration. According to the EPA summary report, ultrafiltration is used to remove high-molecular weight contaminants and solids (EPA 2007). The treatment effectiveness can depend on the source water quality since many constituents can cause membrane fouling, decreasing the effectiveness of the filters. One case study summarized in the EPA report showed that treatment of waste from a hazardous waste combustor treated with precipitation, sedimentation, and filtration achieved effluent mercury concentrations less than the detection limit of 200 ng/L.

Bench-scale research performed at the Oak Ridge Y-12 Plant in Tennessee evaluated the effectiveness of various adsorbents for removing mercury to below the NPDES limit of 12 ng/L and the potential revised limit of 51 ng/L (Hollerman et al. 1999). Several proprietary adsorbents were tested, including carbon, polyacrylate, polystyrene, and polymer adsorption materials. The adsorbents with thiol-based active sites were the most effective. Some of the adsorbents were able to achieve effluent concentrations less than 51 ng/L but none of the adsorbents achieved effluent concentrations less than 12 ng/L.

Bench-scale and pilot-scale testing performed on refinery wastewater was completed to determine treatment technology effectiveness for meeting very low mercury levels (Urgun-Demirtas, Benda, Gillenwater, Negri, Xiong & Snyder 2012) (Urgun-Demirtas, Negri, Gillenwater, Agwu Nnanna & Yu 2013). The Great Lakes Initiative water quality criterion for mercury is less than 1.3 ng/L for municipal and industrial wastewater plants in the Great Lakes region. This research included an initial bench scale test including membrane filtration, ultrafiltration, nanofiltration, and reverse osmosis to meet the mercury water quality criterion. The nanofiltration and reverse osmosis required increased pressures for filtration and resulted in increased mercury concentrations in the permeate. Based on this information and the cost difference between the filtration technologies, a pilot-scale test was performed. The 0.04 um PVDF GE ZeeWeed 500 series membranes were tested. The 1.3 ng/L water quality criterion was met under all pilot study operating conditions. The mercury in the refinery effluent was predominantly in particulate form which was well-suited for removal using membrane filtration.

Based on available data, it appears that existing municipal treatment facilities are capable of reducing effluent mercury to near the range of the proposed HHWQC on an average basis. Average effluent mercury in the range of 1.2 to 6.6 ng/L for existing facilities with secondary treatment and enhanced treatment with cloth filters and membranes. The Spokane County plant data range is an average of 1.2 ng/L to a maximum day of 3 ng/L. Addition of

advanced treatment processes such as GAC or RO would be expected to enhance removal rates. Data from the West Basin treatment facility in California suggests that at a detection limit of 7.99 ng/L mercury is not detected in the effluent from this advanced process train. A range of expected enhanced removal rates from the advanced treatment process trains might be expected to range from meeting the proposed standard at 5 ng/L to lower concentrations represented by the Spokane County performance level (membrane filtration) in the range of 1 to 3 ng/L, to perhaps even lower levels with additional treatment. For municipal plants in Washington, this would suggest that effluent mercury values from the two advanced treatment process alternatives might range from 1 to 5 ng/L (0.001 to 0.005 µg/L) and perhaps substantially better, depending upon RO and GAC removals. It is important to note that industrial plants may have higher existing mercury levels and thus the effluent quality that is achievable at an industrial facility would be of lower quality.

Summary of Mercury Technologies

The literature search revealed limited research on mercury removal technologies at the revised effluent limit of 0.005 µg/L. Tertiary filtration with membrane filters or reverse osmosis showed the best ability to achieve effluent criteria less than 0.005 µg/L.

4.2.3 Arsenic

A variety of treatment technologies can be applied to capture arsenic (Table 3). Most of the information in the technical literature and from the treatment technology vendors is focused on potable water treatment for compliance with a Safe Drinking Water Act (SDWA) maximum contaminant level (MCL) of 10 µg/L. The most commonly used arsenic removal method for a wastewater application (tertiary treatment) is coagulation/ flocculation plus filtration. This method by itself could remove more than 90 to 95 percent of arsenic. Additional post-treatment through adsorption, ion exchange, or reverse osmosis is required for ultra-low arsenic limits in the 0.018 µg/L range under consideration in the proposed rulemaking process. In each case it is recommended to perform pilot-testing of each selected technology.

Table 3: Summary of Arsenic Removal Technologies¹

Technology	Advantages	Disadvantages
Coagulation/filtration	<ul style="list-style-type: none"> • Simple, proven technology • Widely accepted • Moderate operator training 	<ul style="list-style-type: none"> • pH sensitive • Potential disposal issues of backwash waste • As⁺³ and As⁺⁵ must be fully oxidized
Lime softening	<ul style="list-style-type: none"> • High level arsenic treatment • Simple operation change for existing lime softening facilities 	<ul style="list-style-type: none"> • pH sensitive (requires post treatment adjustment) • Requires filtration • Significant sludge operation
Adsorptive media	<ul style="list-style-type: none"> • High As⁺⁵ selectivity • Effectively treats water with high total dissolved solids (TDS) 	<ul style="list-style-type: none"> • Highly pH sensitive • Hazardous chemical use in media regeneration • High concentration SeO₄⁻², F⁻, Cl⁻, and SO₄⁻² may limit arsenic removal

Table 3: Summary of Arsenic Removal Technologies¹

Technology	Advantages	Disadvantages
Ion exchange	<ul style="list-style-type: none"> • Low contact times • Removal of multiple anions, including arsenic, chromium, and uranium 	<ul style="list-style-type: none"> • Requires removal of iron, manganese, sulfides, etc. to prevent fouling • Brine waste disposal
Membrane filtration	<ul style="list-style-type: none"> • High arsenic removal efficiency • Removal of multiple contaminants 	<ul style="list-style-type: none"> • Reject water disposal • Poor production efficiency • Requires pretreatment

¹Adapted from WesTech

The removal of arsenic in activated sludge is minimal (less than 20 percent) (Andrianisa et al. 2006), but biological treatment can control arsenic speciation. During aerobic biological process As (III) is oxidized to As (V). Coagulation/flocculation/filtration removal, as well as adsorption removal methods, are more effective in removal of As(V) vs. As (III). A combination of activated sludge and post-activated sludge precipitation with ferric chloride (addition to MLSS and effluent) results in a removal efficiency of greater than 95 percent. This combination could decrease As levels from 200 µg/L to less than 5 µg/L (5,000 ng/L) (Andrianisa et al. 2008) compared to the 0.018 µg/L range under consideration in the proposed rulemaking process.

Data from the West Basin facility (using MF/RO/AOP) suggests effluent performance in the range of 0.1 to 0.2 µg/L, but it could also be lower since a detection limit used there of 0.15 µg/l is an order of magnitude higher than the proposed HHWQC. A range of expected enhanced removal rates might be assumed to equivalent to that achieved at West Basin in 0.1 to 0.2 µg/L range.

Review of Specific Technologies for Arsenic Removal

Coagulation plus Settling or Filtration

Coagulation may remove more than 95 percent of arsenic through the creation of particulate metal hydroxides. Ferric sulfite is typically more efficient and applicable to most wastewater sources compared to alum. The applicability and extent of removal should be pilot-tested, since removal efficiency is highly dependent on the water constituents and water characteristics (i.e., pH, temperature, solids).

Filtration can be added after or instead of settling to increase arsenic removal. Example treatment trains with filtration are shown in Figures 1 and 2, respectively.

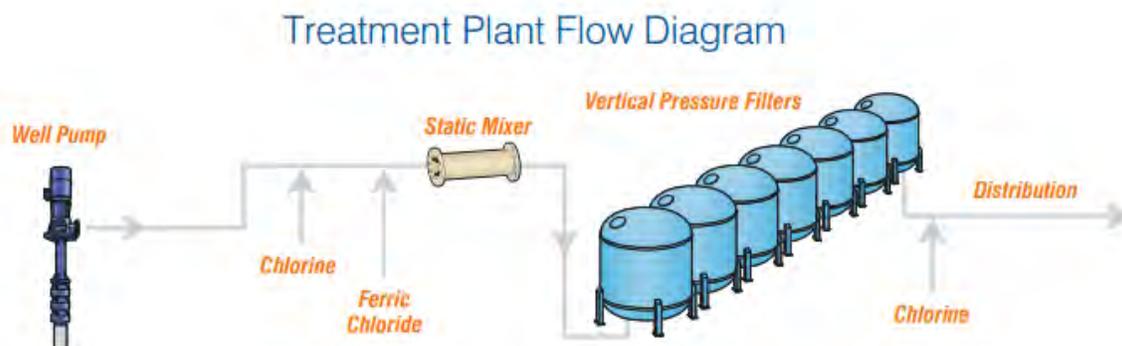


Figure 1. Water Treatment Configuration for Arsenic Removal (WesTech)

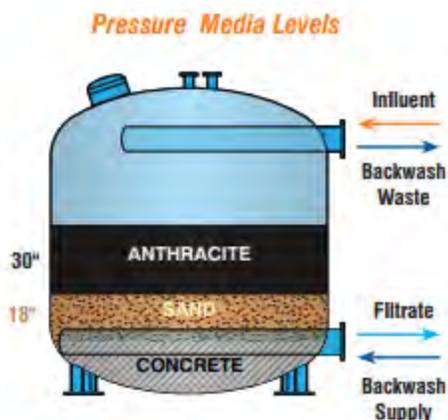


Figure 2. WesTech Pressure Filters for Arsenic Removal

One system for treatment of potable water with high levels of arsenic in Colorado (110 parts per million [ppm]) consists of enhanced coagulation followed by granular media pressure filters that include anthracite/silica sand/garnet media (WesTech). The arsenic levels were reduced to less than the drinking water MCL, which is 10 $\mu\text{g/L}$ (10,000 ng/L). The plant achieves treatment by reducing the pH of the raw water to 6.8 using sulfuric acid, and then adding approximately 12 to 14 mg/L ferric sulfate. The water is filtered through 16 deep bed vertical pressure filters, the pH is elevated with hydrated lime and is subsequently chlorinated and fed into the distribution system.

(<http://www.westechinc.com/public/uploads/global/2011/3/Fallon%20NV%20Installation%20ReportPressureFilter.pdf>).

Softening (with lime)

Removes up to 90 percent arsenic through co-precipitation, but requires pH to be higher than 10.2.

Adsorption processes

Activated alumina is considered an adsorptive media, although the chemical reaction is an exchange of arsenic ions with the surface hydroxides on the alumina. When all the surface hydroxides on the alumina have been exchanged, the media must be regenerated.

Regeneration consists of backwashing, followed by sodium hydroxide, flushing with water and neutralization with a strong acid. Effective arsenic removal requires sufficient empty bed contact time. Removal efficiency can also be impacted by the water pH, with neutral or slightly acidic conditions being considered optimum. If As (III) is present, it is generally advisable to increase empty bed contact time, as As (III) is adsorbed more slowly than As (V). Alumina dissolves slowly over time due to contact with the chemicals used for regeneration. As a result, the media bed is likely to become compacted if it is not backwashed periodically.

Granular ferric hydroxide works by adsorption, but when the media is spent it cannot be regenerated and must be replaced. The life of the media depends upon pH of the raw water, the concentrations of arsenic and heavy metals, and the volume of water treated daily. Periodic backwashing is required to prevent the media bed from becoming compacted and pH may need to be adjusted if it is high, in order to extend media life. For maximum arsenic removal, filters operate in series. For less stringent removal, filters can operate in parallel.

One type of adsorption media has been developed for application to non-drinking water processes for arsenic, phosphate and for heavy metals removal by sorption (Severent Trent Bayoxide® E IN-20). This granular ferric oxide media has been used for arsenic removal from

mining and industrial wastewaters, selenium removal from refinery wastes and for phosphate polishing of municipal wastewaters. Valley Vista drinking water treatment with Bayoxide® E IN-20 media achieves removal from 31-39 µg/L (31,000-39,000 ng/L) to below 10 µg/L MCL (http://www.severntrentservices.com/News/Successful_Drinking_Water_Treatment_in_an_Arsenic_Hot_Spot_nwMFT_452.aspx).

Another adsorptive filter media is greensand. Greensand is available in two forms: as glauconite with manganese dioxide bound ionically to the granules and as silica sand with manganese dioxide fused to the granules. Both forms operate in pressure filters and both are effective. Greensand with the silica sand core operates at higher water temperatures and higher differential pressures than does greensand with the glauconite core. Arsenic removal requires a minimum concentration of iron. If a sufficient concentration of iron is not present in the raw water, ferric chloride is added.

WesTech filters with greensand and permanganate addition for drinking water systems can reduce As from 15-25 µg/L to non-detect. Sodium hypochlorite and/or potassium permanganate are added to the raw water prior to the filters. Chemical addition may be done continuously or intermittently, depending on raw water characteristics. These chemicals oxidize the iron in the raw water and also maintain the active properties of the greensand itself. Arsenic removal is via co-precipitation with the iron.

Ion Exchange

Siemens offers a potable ion exchange (PIX) arsenic water filtration system. PIX uses ion exchange resin canisters for the removal of organic and inorganic contaminants, in surface and groundwater sources to meet drinking water standards.

Filtronics also uses ion exchange to treat arsenic. The technology allows removal for below the SWDA MCL for potable water of 10 µg/L (10,000 ng/L).

Reverse osmosis

Arsenic is effectively removed by RO when it is in oxidative state As(V) to approximately 1,000 ng/L or less (Ning 2002).

Summary of Arsenic Technologies

The current state of the technology for arsenic removal is at the point where all the processes target the SWDA MCL for arsenic in potable water. Current EPA maximum concentration level for drinking water is 10 µg/l; much higher than 0.0018 µg/L target for arsenic in this study. The majority of the methods discussed above are able to remove arsenic to either EPA maximum contaminant level or to the level of detection. The lowest detection limit of one of the EPA approved methods of arsenic measurements is 20 ng/l (0.020 µg/l) (Grosser, 2010), which is comparable to the 0.018 µg/L limit targeted in this study.

4.2.1 Polycyclic Aromatic Hydrocarbons

BAP During Biological Treatment

During wastewater treatment process, BAP tends to partition into sludge organic matter (Melcer et al. 1993). Primary and secondary processing could remove up to 60 percent of incoming PAHs and BAP in particular, mostly due to adsorption to sludge (Kindaichi et al., NA, Wayne et al. 2009). Biodegradation of BAP is expected to be very low since there are more than five benzene rings which are resistant to biological degradation. Biosurfactant addition to biological process could partially improve biodegradation, but only up to removal rates of 50 percent (Sponza et al. 2010). Existing data from municipal treatment facilities in Washington state have

influent and effluent concentrations of BAP of approximately 0.30 ng/L indicating that current secondary treatment has limited effectiveness at BAP removal.

Methods to Enhance Biological Treatment of BAP

Ozonation prior to biological treatment could potentially improve biodegradability of BAP (Zeng et al. 2000). In the case of soil remediation, ozonation before biotreatment improved biodegradation by 70 percent (Russo et al. 2012). The overall removal of BAP increased from 23 to 91 percent after exposure of water to 0.5 mg/L ozone for 30 minutes during the simultaneous treatment process and further to 100 percent following exposure to 2.5 mg/L ozone for 60 minutes during the sequential treatment mode (Yerushalmi et al. 2006). In general, to improve biodegradability of BAP, long exposure to ozone might be required (Haapea et al. 2006).

Sonication pre-treatment or electronic beam irradiation before biological treatment might also make PAHs more bioavailable for biological degradation..

Recent studies reported that a MBR is capable of removing PAHs from wastewater (Rodrigue and Reilly 2009; Gonzaleza et al. 2012). None of the studies listed the specific PAHs constituents removed.

Removal of BAP from Drinking Water

Activated Carbon

Since BAP has an affinity to particulate matter, it is removed from the drinking water sources by means of adsorption, such as granular activated carbon (EPA). Similarly, Oleszczuk et al. (2012) showed that addition of 5 percent activated carbon could remove 90 percent of PAHs from the wastewater.

Reverse Osmosis

Light (1981) (referenced by Williams, 2003) studied dilute solutions of PAHs, aromatic amines, and nitrosamines and found rejections of these compounds in reverse osmosis to be over 99 percent for polyamide membranes. Bhattacharyya et al. (1987) (referenced by Williams, 2003) investigated rejection and flux characteristics of FT30 membranes for separating various pollutants (PAHs, chlorophenols, nitrophenols) and found membrane rejections were high (>98 percent) for the organics under ionized conditions.

Summary of BAP Technologies

Current technologies show that BAP removal may be 90 percent or greater. The lowest detection limit for BAP measurements is 0.006 µg/L, which is also the assumed secondary effluent BAP concentration assumed for this study. If this assumption is accurate, it appears technologies may exist to remove BAP to a level below the proposed criteria applied as an effluent limit of 0.0013 µg/L; however, detection limits exceed this value and it is impossible to know this for certain. A municipal wastewater treatment plant study reported both influent and effluent BAP concentrations less than the HHWQC of 0.0013 ug/L (Ecology, 2010).

4.3 Unit Processes Evaluated

Based on the results of the literature review, a wide range of technologies were evaluated for toxic constituent removal. A listing of the technologies is as follows:

- Chemically enhanced primary treatment (CEPT): this physical and chemical technology is based on the addition of a metal salt to precipitate particles prior to primary treatment, followed by sedimentation of particles in the primary clarifiers. This technology has been

shown to effectively remove arsenic but there is little data supporting the claims. As a result, the chemical facilities are listed as optional.

- Activated sludge treatment (with a short SRT of approximately 8 days or less): this biological technology is commonly referred to as secondary treatment. It relies on converting dissolved organics into solids using biomass. Having a short SRT is effective at removing degradable organics referred to as BOD compounds for meeting existing discharge limits. Dissolved constituents with a high affinity to adsorb to biomass (e.g., metals, high molecular weight organics, and others) will be better removed compared to smaller molecular weight organics and recalcitrant compounds which will have minimal removal at a short SRT.
- Enhanced activated sludge treatment (with a long SRT of approximately 8 days or more): this technology builds on secondary treatment by providing a longer SRT, which enhances sorption and biodegradation. The improved performance is based on having more biomass coupled with a more diverse biomass community, especially nitrifiers, which have been shown to assist in removal of some of the more recalcitrant constituents not removed with a shorter SRT (e.g., lower molecular weight PAHs). There is little or no data available on the effectiveness of this treatment for removing BAP.

Additional benefits associated with having a longer SRT are as follows:

- Lower BOD/TSS discharge load to receiving water
 - Improved water quality and benefit to downstream users
 - Lower effluent nutrient concentrations which reduce algal growth potential in receiving waters
 - Reduced receiving water dissolved oxygen demand due to ammonia removal
 - Reduced ammonia discharge, which is toxic to aquatic species
 - Improved water quality for habitat, especially as it relates to biodiversity and eutrophication
 - Secondary clarifier effluent more conditioned for filtration and disinfection
 - Greater process stability from the anaerobic/anoxic zones serving as biological selectors
- Coagulation/Flocculation and Filtration: this two-stage chemical and physical process relies on the addition of a metal salt to precipitate particles in the first stage, followed by the physical removal of particles in filtration. This technology lends itself to constituents prone to precipitation (e.g., arsenic).
 - Lime Softening: this chemical process relies on increasing the pH as a means to either volatilize dissolved constituents or inactivate pathogens. Given that none of the constituents being studied are expected to volatilize, this technology was not carried forward.
 - Adsorptive Media: this physical and chemical process adsorbs constituents to a combination of media and/or biomass/chemicals on the media. There are several types of media, with the most proven and common being GAC. GAC can also serve as a coarse roughing filter.
 - Ion Exchange: this chemical technology exchanges targeted constituents with a resin. This technology is common with water softeners where the hard divalent cations are

exchanged for monovalent cations to soften the water. Recently, resins that target arsenic and mercury removal include activated alumina and granular ferric hydroxides have been developed. The resin needs to be cleaned and regenerated, which produces a waste slurry that requires subsequent treatment and disposal. As a result, ion exchange was not considered for further.

- Membrane Filtration: This physical treatment relies on the removal of particles larger than the membranes pore size. There are several different membrane pore sizes as categorized below.
 - Microfiltration (MF): nominal pore size range of typically between 0.1 to 1 micron. This pore size targets particles, both inert and biological, and bacteria. If placed in series with coagulation/flocculation upstream, dissolved constituents precipitated out of solution and bacteria can be removed by the MF membrane.
 - Ultrafiltration (UF): nominal pore size range of typically between 0.01 to 0.1 micron. This pore size targets those solids removed with MF (particles and bacteria) plus viruses and some colloidal material. If placed in series with coagulation/flocculation upstream, dissolved constituents precipitated out of solution can be removed by the UF membrane.
 - Nanofiltration (NF): nominal pore size range of typically between 0.001 to 0.010 micron. This pore size targets those removed with UF (particles, bacteria, viruses) plus colloidal material. If placed in series with coagulation/flocculation upstream, dissolved constituents precipitated out of solution can be removed by the NF membrane.
- MBR (with a long SRT): this technology builds on secondary treatment whereby the membrane (microfiltration) replaces the secondary clarifier for solids separation. As a result, the footprint is smaller, the mixed liquor suspended solids concentration can be increased to about 5,000 – 10,000 mg/L, and the physical space required for the facility reduced when compared to conventional activated sludge. As with the activated sludge option operated at a longer SRT, the sorption and biodegradation of organic compounds are enhanced in the MBR process. The improved performance is based on having more biomass coupled with a more diverse biomass community, especially nitrifiers which have been shown to assist in removal of persistent dissolved compounds (e.g., some PAHs). There is little or no data available on effectiveness at removing BAP. Although a proven technology, MBRs were not carried further in this technology review since they are less likely to be selected as a retrofit for an existing activated sludge (with a short SRT) secondary treatment facility. The MBR was considered to represent a treatment process approach more likely to be selected for a new, greenfield treatment facility. Retrofits to existing secondary treatment facilities can accomplish similar process enhancement by extending the SRT in the activated sludge process followed by the addition of tertiary membrane filtration units.
- RO: This physical treatment method relies on the use of sufficient pressure to osmotically displace water across the membrane surface while simultaneously rejecting most salts. RO is very effective at removing material smaller than the size ranges for the membrane filtration list above, as well as salts and other organic compounds. As a result, it is expected to be more effective than filtration and MBR methods described above at removing dissolved constituents. Although effective, RO produces a brine reject water that must be managed and disposed.

- **Advanced Oxidation Processes (AOPs):** this broad term considers all chemical and physical technologies that create strong hydroxyl-radicals. Examples of AOPs include Fenton's oxidation, ozonation, ultraviolet/hydrogen peroxide (UV-H₂O₂), and others. The radicals produced are rapid and highly reactive at breaking down recalcitrant compounds. Although effective at removing many complex compounds such as those evaluated in this study, AOPs does not typically have as many installations as membranes and activated carbon technologies. As a result, AOPs were not carried forward.

Based on the technical literature review discussed above, a summary of estimated contaminant removal rated by unit treatment process is presented in Table 4.

Table 4. Contaminants Removal Breakdown by Unit Process

Unit Process	Arsenic	BAP	Mercury	Polychlorinated Biphenyls
Activated Sludge Short SRT	No removal	Partial Removal by partitioning		80% removal; effluent <0.88 ng/L
Activated Sludge Long SRT	No removal	Partial removal by partitioning and/or partially biodegradation; MBR could potentially remove most of BAP		>90% removal with a membrane bioreactor, <0.04 ng/L (includes membrane filtration)
Membrane Filtration (MF)	More than 90 % removal (rejection of bound arsenic)	No removal	<1.3 ng/L	>90% removal with a membrane bioreactor, <0.04 ng/L (includes membrane filtration)
Reverse Osmosis (RO)	More than 90% removal (rejection of bound arsenic and removal of soluble arsenic)	More than 98% removal		
Granular Activated Carbon (GAC)	No removal, removal only when carbon is impregnated with iron	90 % removal	<300 ng/L (precipitation and carbon adsorption) <51 ng/L (GAC)	<800 ng/L Likely requires upstream filtration
Disinfection	--	--	--	--

4.4 Unit Processes Selected

The key conclusion from the literature review was that there is limited, to no evidence, that existing treatment technologies are capable of simultaneously meeting all four of the revised discharge limits for the toxics under consideration. Advanced treatment using RO or GAC is expected to provide the best overall removal of the constituents of concern. It is unclear whether these advanced technologies are able to meet revised effluent limits, however these processes may achieve the best effluent quality of the technologies reviewed. This limitation in the findings is based on a lack of an extensive dataset on treatment removal effectiveness in the technical literature for the constituents of interest at the low levels relevant to the proposed criteria, which

approach the limits of reliable removal performance for the technologies. As Table 4 highlights, certain unit processes are capable of removing a portion, or all, of the removal requirements for each technology. The removal performance for each constituent will vary from facility to facility and require a site-specific, detailed evaluation because the proposed criteria are such low concentrations. In some cases, a facility may only have elevated concentrations of a single constituent of concern identified in this study. In other cases, a discharger may have elevated concentrations of the four constituents identified in this study, as well as others not identified in this study but subject to revised water quality criteria. This effort is intended to describe a planning level concept of what treatment processes are required to comply with discharge limits for all four constituents. Based on the literature review of unit processes above, two different treatment trains were developed for the analysis that are compared against a baseline of secondary treatment as follows:

- **Baseline:** represents conventional secondary treatment that is most commonly employed nationwide at wastewater treatment plants. A distinguishing feature for this treatment is the short solids residence time (SRT) (<8 days) is intended for removal of BOD with minimal removal for the toxic constituents of concern.
- **Advanced Treatment – MF/RO:** builds on baseline with the implementation of a longer SRT (>8 days) and the addition of MF and RO. The longer SRT not only removes BOD, but it also has the capacity to remove nutrients and a portion of the constituents of concern. This alternative requires a RO brine management strategy which will be discussed in sub-sections below.
- **Advanced Treatment – MF/GAC:** this alternative provides a different approach to advanced treatment with MF/RO by using GAC and avoiding the RO reject brine water management concern. Similar to the MF/RO process, this alternative has the longer SRT (>8 days) with the capacity to remove BOD, nutrients, and a portion of the toxic constituents of concern. As a result, the decision was made to develop costs for both advanced treatment options.

A description of each alternative is provided in Table 5. The process flowsheets for each alternative are presented in Figure 3 to Figure 5.

4.4.1 Baseline Treatment Process

A flowsheet of the baseline treatment process is provided in Figure 3. The baseline treatment process assumes the current method of treatment commonly employed by dischargers. For this process, water enters the headworks and undergoes primary treatment, followed by conventional activated sludge (short SRT) and disinfection. The solids wasted in the activated sludge process are thickened, followed by mixing with primary solids prior to entering the anaerobic digestion process for solids stabilization. The digested biosolids are dewatered to produce a cake and hauled off-site. Since the exact process for each interested facility in Washington is unique, this baseline treatment process was used to establish the baseline capital and O&M costs. The baseline costs will be compared against the advanced treatment alternatives to illustrate the magnitude of the increased costs and environmental impacts.

Table 5. Unit Processes Description for Each Alternative

Unit Process	Baseline	Advanced Treatment – MF/RO	Advanced Treatment - GAC
Influent Flow	5 mgd	5 mgd	5 mgd
Chemically Enhanced Primary Treatment (CEPT); Optional	--	<ul style="list-style-type: none"> • Metal salt addition (alum) upstream of primaries 	<ul style="list-style-type: none"> • Metal salt addition (alum) upstream of primaries
Activated Sludge	<ul style="list-style-type: none"> • Hydraulic Residence Time (HRT): 6 hrs • Short Solids Residence Time (SRT): <8 days 	<ul style="list-style-type: none"> • Hydraulic Residence Time (HRT): 12 hrs (Requires more tankage than the Baseline) • Long Solids Residence Time (SRT): >8 days (Requires more tankage than the Baseline) 	<ul style="list-style-type: none"> • Hydraulic Residence Time (HRT): 12 hrs (Requires more tankage than the Baseline) • Long Solids Residence Time (SRT): >8 days (Requires more tankage than the Baseline)
Secondary Clarifiers	Hydraulically Limited	Solids Loading Limited (Larger clarifiers than Baseline)	Solids Loading Limited (Larger clarifiers than Baseline)
Microfiltration (MF)	--	Membrane Filtration to Remove Particles and Bacteria	Membrane Filtration to Remove Particles and Bacteria
Reverse Osmosis (RO)	--	Treat 50% of the Flow by RO to Remove Metals and Dissolved Constituents. Sending a portion of flow through the RO and blending it with the balance of plant flows ensures a stable non-corrosive, non-toxic discharge.	--
Reverse Osmosis Brine Reject Mgmt	--	Several Options (All Energy or Land Intensive)	--
Granular Activated Carbon (GAC)	--	--	Removes Dissolved Constituents
Disinfection	Not shown to remove any of the constituents	Not shown to remove any of the constituents	Not shown to remove any of the constituents

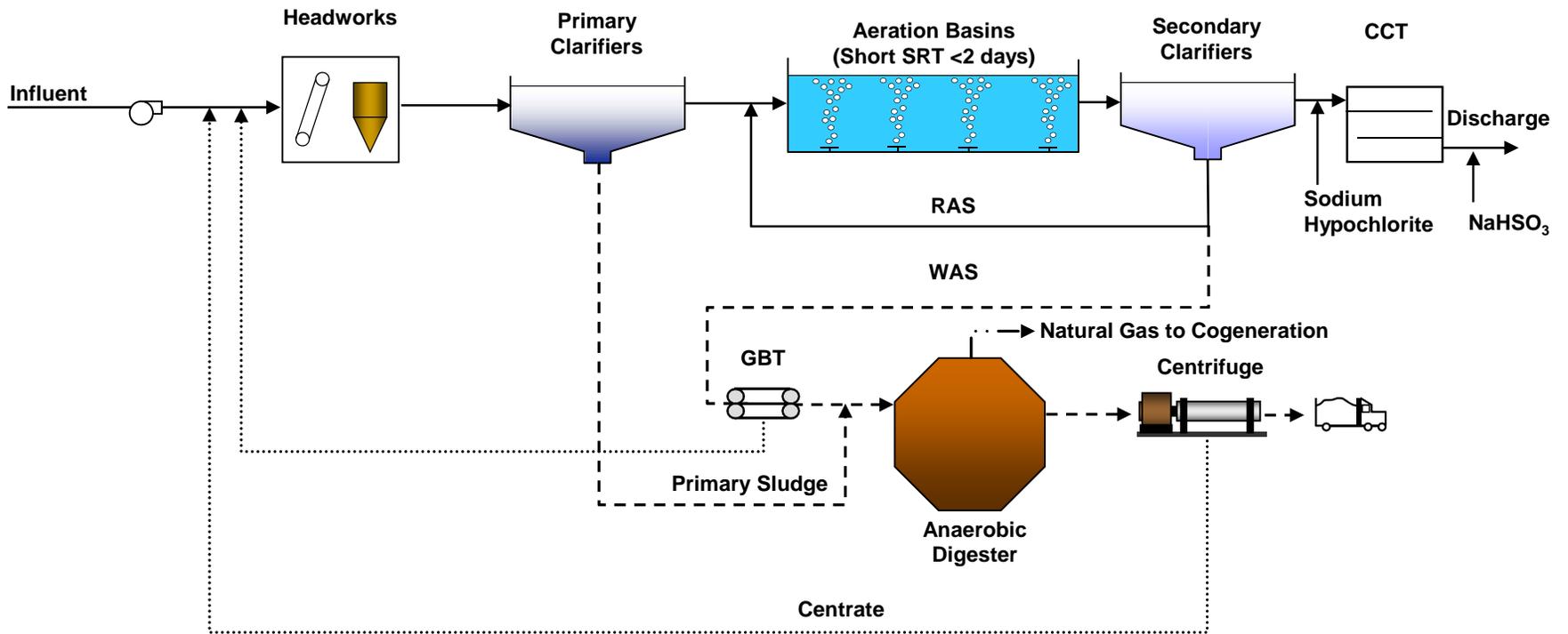


Figure 3. Baseline Flowsheet – Conventional Secondary Treatment

4.4.2 Advanced Treatment – MF/RO Alternative

A flowsheet of the advanced treatment – MF/RO alternative is provided in Figure 4. This alternative builds on the baseline secondary treatment facility, whereby the SRT is increased in the activated sludge process, and MF and RO are added prior to disinfection. The solids treatment train does not change with respect to the baseline. Additionally, a brine management strategy must be considered.

The RO process concentrates contaminants into a smaller volume reject stream. Disposing of the RO reject stream can be a problem because of the potentially large volume of water involved and the concentration of contaminants contained in the brine. For reference, a 5 mgd process wastewater flow might result in 1 mgd of brine reject requiring further management. The primary treatment/handling options for RO reject are as follows:

- Zero liquid discharge
- Surface water discharge
- Ocean discharge
- Haul and discharge to coastal location for ocean discharge
- Sewer discharge
- Deep well injection
- Evaporate in a pond
- Solar pond concentrator

Many of the RO brine reject management options above result in returning the dissolved solids to a “water of the state” such as surface water, groundwater, or marine waters. Past rulings in Washington State have indicated that once pollutants are removed from during treatment they are not to be re-introduced to a water of the state. As a result, technologies with this means for disposal were not considered viable options for management of RO reject water in Washington.

Zero Liquid Discharge

Zero liquid discharge (ZLD) is a treatment process that produces a little or no liquid brine discharge but rather a dried residual salt material. This process improves the water recovery of the RO system by reducing the volume of brine that must be treated and disposed of in some manner. ZLD options include intermediate treatment, thermal-based technologies, pressure driven membrane technologies, electric potential driven membrane technologies, and other alternative technologies.

Summary

There are many techniques which can be used to manage reject brine water associated with RO treatment. The appropriate alternative is primarily governed by geographic and local constraints. A comparison of the various brine management methods and potential costs are provided in Table 6.

Of the listed options, ZLD was considered for this analysis as the most viable approach to RO reject water management. An evaporation pond was used following ZLD. The strength in this combination is ZLD reduces the brine reject volume to treat, which in turn reduces the required evaporation pond footprint. The disadvantage is that evaporation ponds require a substantial amount of physical space which may not be available at existing treatment plant sites. It is also important to recognize that the greenhouse gas (GHG) emissions vary widely for the eight brine management options listed above based on energy and chemical intensity.

Table 6. Brine Disposal Method Relative Cost Comparison

Disposal Method	Description	Relative Capital Cost	Relative O&M Cost	Comments
Zero Liquid Discharge (ZLD)	Further concentrates brine reject for further downstream processing	High	High	This option is preferred as an intermediate step. This rationale is based on the reduction in volume to handle following ZLD. For example, RO reject stream volume is reduced on the order of 50-90%.
Surface Water Discharge	Brine discharge directly to surface water. Requires an NPDES permit.	Lowest	Lowest	Both capital and O&M costs heavily dependent on the distance from brine generation point to discharge. Not an option for nutrient removal.
Ocean Discharge	Discharge through a deep ocean outfall.	Medium	Low	Capital cost depends on location and availability of existing deep water outfall.
Sewer Discharge	Discharge to an existing sewer pipeline for treatment at a wastewater treatment plant.	Low	Low	Both capital and O&M costs heavily dependent on the brine generation point to discharge distance. Higher cost than surface water discharge due to ongoing sewer connection charge. Not an option for wastewater treatment.
Deep Well Injection	Brine is pumped underground to an area that is isolated from drinking water aquifers.	Medium	Medium	Technically sophisticated discharge and monitoring wells required. O&M cost highly variable based on injection pumping energy.
Evaporation Ponds	Large, lined ponds are filled with brine. The water evaporates and a concentrated salt remains.	Low – High	Low	Capital cost highly dependent on the amount and cost of land.
Salinity Gradient Solar Ponds (SGSP)	SGSPs harness solar power from pond to power an evaporative unit.	Low – High	Lowest	Same as evaporation ponds plus added cost of heat exchanger and pumps. Lower O&M cost due to electricity production.
Advanced Thermal Evaporation	Requires a two-step process consisting of a brine concentrator followed by crystallizer	High	Highest	Extremely small footprint, but the energy from H ₂ O removal is by far the most energy intensive unless waste heat is used.

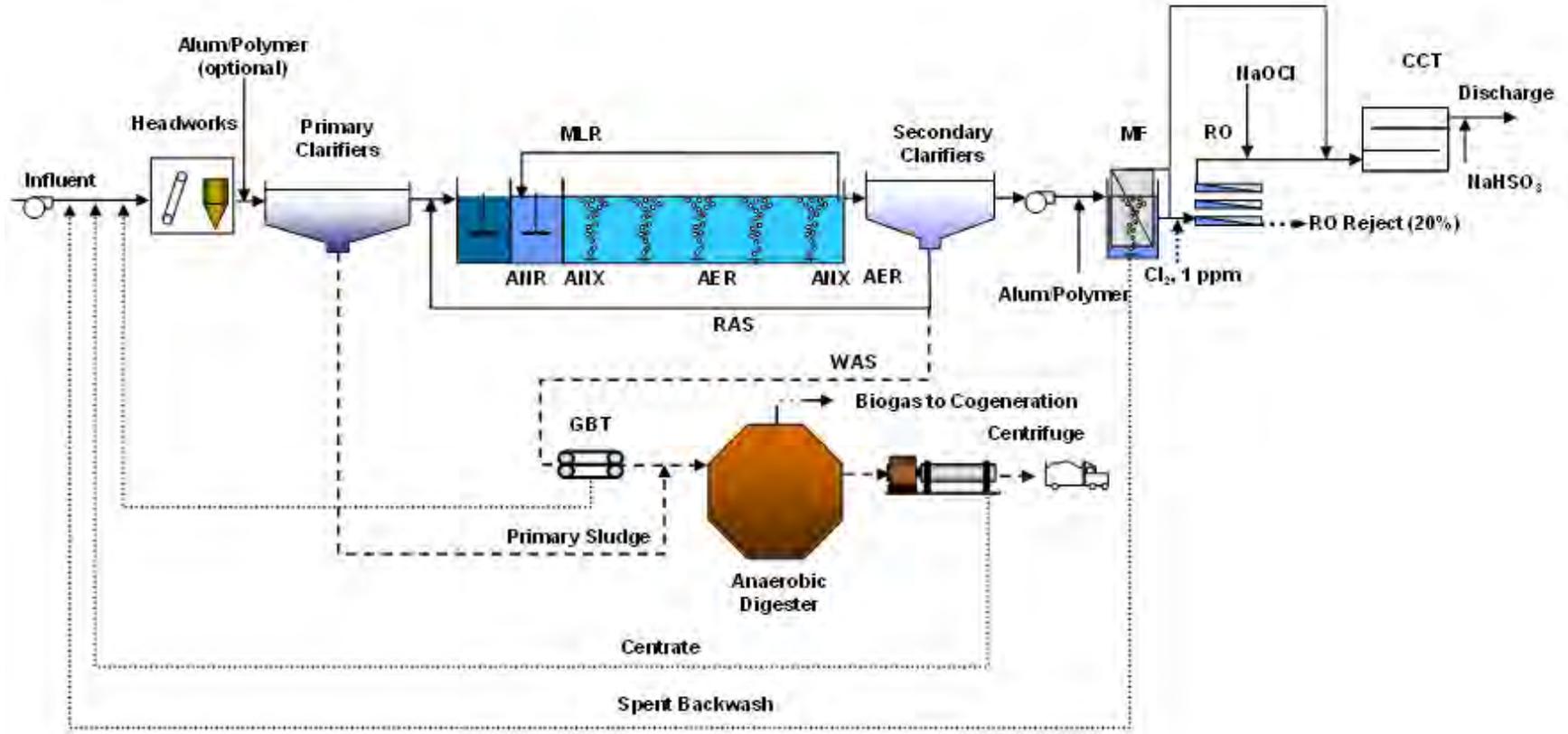


Figure 4. Advanced Treatment Flowsheet – Tertiary Microfiltration and Reverse Osmosis

4.4.3 Advanced Treatment – MF/GAC Alternative

A flowsheet of the advanced treatment – MF/GAC alternative is provided in Figure 5. Following the MF technology, a GAC contactor and media are required.

This alternative was developed as an option that does not require a brine management technology (e.g., ZLD) for comparison to the MF/RO advanced treatment alternative. However, this treatment alternative does require that the GAC be regenerated. A baseline secondary treatment facility can be retrofitted for MF/GAC. If an existing treatment facility has an extended aeration lagoon, the secondary effluent can be fed to the MF/GAC. The longer SRT in the extended aeration lagoon provides all the benefits associated with the long SRT in an activated sludge plant as previously stated:

- Lower BOD/TSS discharge load
- Higher removal of recalcitrant constituents and heavy metals
- Improved water quality and benefit to downstream users
- Less downstream algal growth
- Reduced receiving water dissolved oxygen demand due to ammonia removal
- Reduced ammonia discharge loads, which is toxic to several aquatic species
- Improved water quality for habitat, especially as it relates to biodiversity and eutrophication
- Secondary clarifier effluent more conditioned for filtration and disinfection
- Greater process stability from the anaerobic/anoxic zones serving as a selector

If an existing treatment facility employs a high rate activated sludge process (short SRT) similar to the baseline, it is recommended that the activated sludge process SRT be increased prior to the MF/GAC unit processes. The longer SRT upstream of the MF is preferred to enhance the membrane flux rate, reduce membrane biofouling, increase membrane life, and reduce the chemicals needed for membrane cleaning.

The key technical and operational challenges associated with the tertiary add-on membrane filtration units are as follows:

- The membrane filtration technology is a proven and reliable technology. With over 30 years of experience, it has made the transition in recent years from an emerging technology to a proven and reliable technology.
- Membrane durability dependent on feed water quality. The water quality is individual facility specific.
- Membranes are sensitive to particles, so upstream screening is critical. The newer generations of membranes have technical specifications that require a particular screen size.
- Membrane area requirements based on peak flows as water must pass through the membrane pores. Additionally, membranes struggle with variable hydraulic loading. Flow equalization upstream can greatly reduce the required membrane surface area and provide uniform membrane loading.

- Membrane tanks can exacerbate any foam related issues from the upstream biological process. Foam entrapment in the membrane tank from the upstream process can reduce membrane filtration capacity and in turn result in a plant-wide foam problem.
- Reliable access to the membrane modules is key to operation and maintenance. Once PLC is functionary properly, overall maintenance requirements for sustained operation of the system are relatively modest.
- The membranes go through frequent membrane relaxing or back pulse and a periodic deep chemical clean in place (CIP) process.
- Sizing of membrane filtration facilities governed by hydraulic flux. Municipal wastewaters have flux values that range from about 20 to 40 gallons per square foot per day (gfd) under average annual conditions. The flux associated with industrial applications is wastewater specific.

Following the MF is the activated carbon facilities. There are two kinds of activated carbon used in treating water: powdered activated carbon (PAC) and GAC. PAC is finely-ground, loose carbon that is added to water, mixed for a short period of time, and removed. GAC is larger than PAC, is generally used in beds or tanks that permit higher adsorption and easier process control than PAC allows, and is replaced periodically. PAC is not selective, and therefore, will adsorb all active organic substances making it an impractical solution for a wastewater treatment plant. As a result, GAC was considered for this analysis. The type of GAC (e.g., bituminous and subbituminous coal, wood, walnut shells, lignite or peat), gradation, and adsorption capacity are determined by the size of the largest molecule/ contaminant that is being filtered (AWWA, 1990).

As water flows through the carbon bed, contaminants are captured by the surfaces of the pores until the carbon is no longer able to adsorb new molecules. The concentration of the contaminant in the treated effluent starts to increase. Once the contaminant concentration in the treated water reaches an unacceptable level (called the breakthrough concentration), the carbon is considered "spent" and must be replaced by virgin or reactivated GAC.

The capacity of spent GAC can be restored by thermal reactivation. Some systems have the ability to regenerate GAC on-site, but in general, small systems haul away the spent GAC for off-site regeneration (EPA 1993). For this study, off-site regeneration was assumed.

The basic facilities and their potential unit processes included in this chapter are as follows:

- GAC supply and delivery
- Influent pumping
 - Low head feed pumping
 - High head feed pumping (assumed for this study as we have low limits so require high beds)
- Contactors and backwash facilities
 - Custom gravity GAC contactor
 - Pre-engineered pressure GAC contactor (Used for this study)
 - Backwash pumping
- GAC transport facilities
 - Slurry pumps
 - Eductors (Used for this study)

- Storage facilities
 - Steel tanks
 - Concrete tanks (Used for this study; larger plants would typically select concrete tanks)
- Spent carbon regeneration
 - On-site GAC regeneration
 - Off-Site GAC regeneration

Following the MF is the GAC facility. The GAC contactor provides about a 12-min hydraulic residence time for average annual conditions. The GAC media must be regenerated about twice per year in a furnace. The constituents sorbed to the GAC media are removed during the regeneration process. A typical design has full redundancy and additional storage tankage for spent and virgin GAC. Facilities that use GAC need to decide whether they will regenerate GAC on-site or off-site. Due to challenges associated with receiving air emission permits for new furnaces, it was assumed that off-site regeneration would be evaluated.

The key technical and operational challenges associated with the tertiary add-on GAC units are as follows:

- Nearest vendor to acquire virgin GAC – How frequently can they deliver virgin GAC and what are the hauling costs?
- Contactor selection is typically based on unit cost and flow variation. The concrete contactor is typically more cost effective at higher flows so it was used for this evaluation. The pre-engineered pressure contactor can handle a wider range of flows than a concrete contactor. Additionally, a pressure system requires little maintenance as they are essentially automated
- Periodical contactor backwashing is critical for maintaining the desired hydraulics and control biological growth
- Eductors are preferred over slurry pumps because they have fewer mechanical components. Additionally, the pump with eductors is not in contact with the carbon, which reduces wear.
- Off-site GAC regeneration seems more likely due to the challenges with obtaining an air emissions permit.

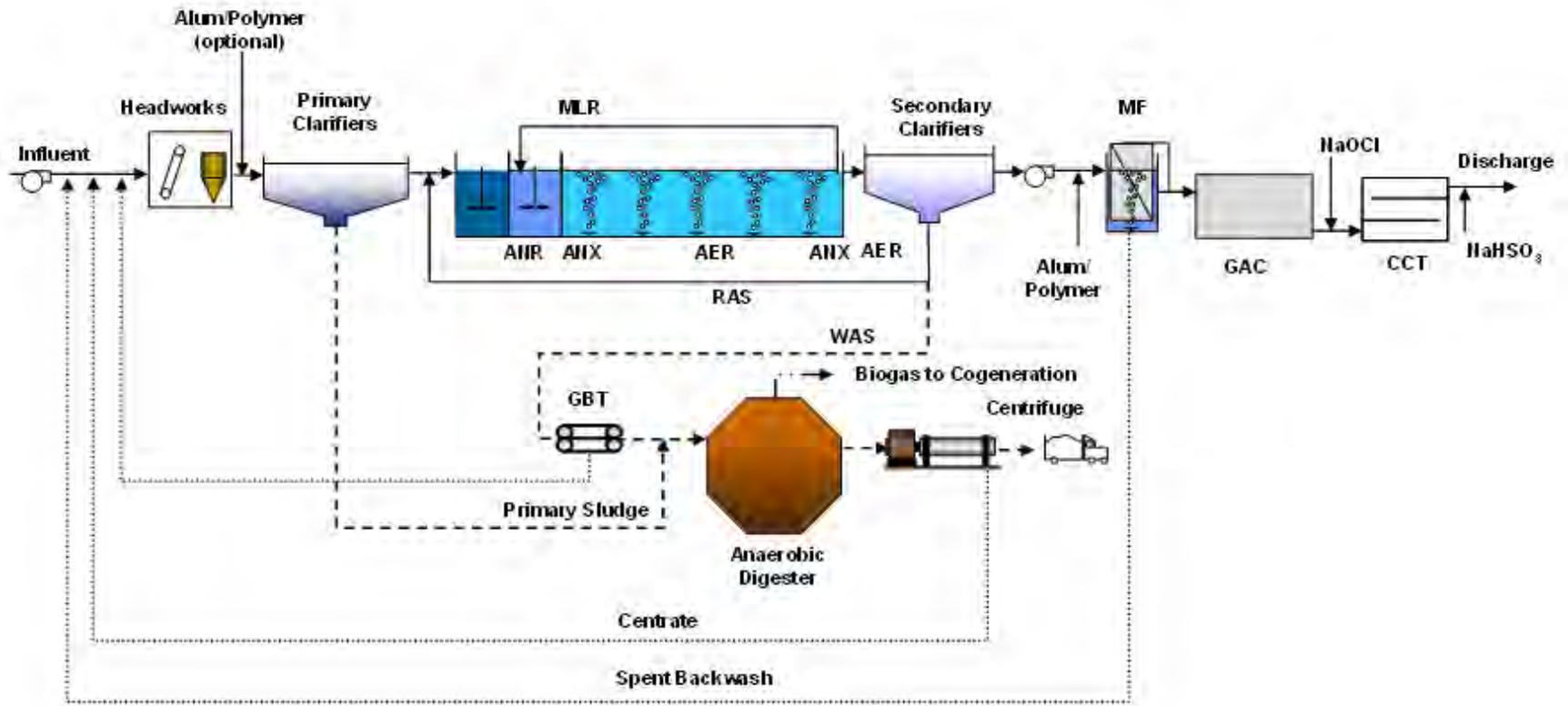


Figure 5. Advanced Treatment Flowsheet – Tertiary Microfiltration and Granular Activated Carbon

4.5 Steady-State Mass Balance

HDR used its steady-state mass balance program to calculate the flows and loads within the candidate advanced treatment processes as a means to size facilities. The design of wastewater treatment facilities are generally governed by steady-state mass balances. For a steady-state mass balance, the conservation of mass is calculated throughout the entire wastewater treatment facility for defined inputs. Dynamic mass balance programs exist for designing wastewater facilities, but for a planning level study such as this, a steady state mass balance program is adequate. A dynamic program is generally used for detailed design and is site-specific with associated requirements for more detailed wastewater characterization.

The set of model equations used to perform a steady-state mass balance are referred to as the model. The model equations provide a mathematical description of various wastewater treatment processes, such as an activated sludge process, that can be used to predict unit performance. The program relies on equations for each unit process to determine the flow, load, and concentration entering and leaving each unit process.

An example of how the model calculates the flow, load, and concentration for primary clarifiers is provided below. The steady-state mass balance equation for primary clarifiers has a single input and two outputs as shown in the simplified Figure 6. The primary clarifier feed can exit the primary clarifiers as either effluent or sludge. Solids not removed across the primaries leave as primary effluent, whereas solids captured leave as primary sludge. Scum is not accounted for.

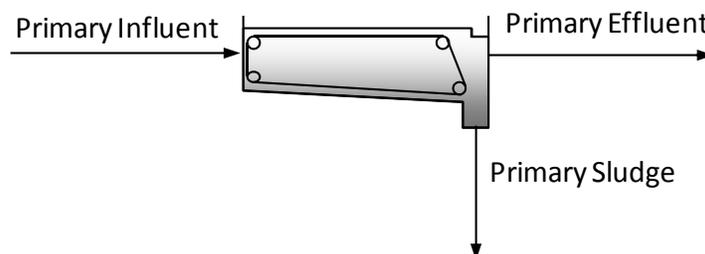


Figure 6. Primary Clarifier Inputs/Outputs

The mass balance calculation requires the following input:

- Solids removal percentage across the primaries (based on average industry accepted performance)
- Primary solids thickness (i.e., percent solids) (based on average industry accepted performance)

The steady-state mass balance program provides a reasonable first estimate for the process performance, and an accurate measure of the flows and mass balances at various points throughout the plant. The mass balance results were used for sizing the facility needs for each alternative. A listing of the unit process sizing criterion for each unit process is provided in Appendix A. By listing the unit process sizing criteria, a third-party user could redo the analysis and end up with comparable results. The key sizing criteria that differ between the baseline and treatment alternatives are as follows:

- Aeration basin mixed liquor is greater for the advanced treatment alternatives which in turn requires a larger volume
- The secondary clarifiers are sized based on hydraulic loading for the baseline versus solids loading for the advanced treatment alternatives

- The MF/GAC and MF/RO sizing is only required for the respective advanced treatment alternatives.

4.6 Adverse Environmental Impacts Associated with Advanced Treatment Technologies

The transition from the baseline (conventional secondary treatment) to either advanced treatment alternatives has some environmental impacts that merit consideration, including the following:

- Land area for additional system components (which for constrained facility sites, may necessitate land acquisition and encroachment into neighboring properties with associated issues and challenges, etc.).
- Increased energy use and atmospheric emissions of greenhouse gases and criteria air contaminants associated with power generation to meet new pumping requirements across the membrane filter systems (MF and RO) and GAC.
- Increased chemical demand associated with membrane filters (MF and RO).
- Energy and atmospheric emissions associated with granulated charcoal regeneration.
- RO brine reject disposal. The zero liquid discharge systems are energy intensive energy and increase atmospheric emissions as a consequence of the electrical power generation required for removing water content from brine reject.
- Increase in sludge generation while transitioning from the baseline to the advanced treatment alternatives. There will be additional sludge captured with the chemical addition to the primaries and membrane filters (MF and RO). Additionally, the GAC units will capture more solids.
- Benefits to receiving water quality by transitioning from a short SRT (<2 days) in the baseline to a long SRT (>8 days) for the advanced treatment alternatives (as previously stated):
 - Lower BOD/TSS discharge load
 - Higher removal of recalcitrant constituents and heavy metals
 - Improved water quality and benefit to downstream users
 - Reduced nutrient loadings to receiving waters and lower algal growth potential
 - Reduced receiving water dissolved oxygen demand due to ammonia removal
 - Reduced ammonia discharge loads, which is toxic to aquatic species
 - Improved water quality for habitat, especially as it relates to biodiversity and eutrophication
 - Secondary clarifier effluent better conditioned for subsequent filtration and disinfection
 - Greater process stability from the anaerobic/anoxic zones serving as a biological selectors

HDR calculated GHG emissions for the baseline and advanced treatment alternatives. The use of GHG emissions is a tool to normalize the role of energy, chemicals, biosolids hauling, and fugitive emissions (e.g., methane) in a single unit. The mass balance results were used to quantify energy demand and the corresponding GHG emissions for each alternative. Energy

demand was estimated from preliminary process calculations. A listing of the energy demand for each process stream, the daily energy demand, and the unit energy demand is provided in Table 7. The advanced treatment options range from 2.3 to 4.1 times greater than the baseline. This large increase in energy demand is attributed to the energy required to pass water through the membrane barriers and/or the granular activated carbon. Additionally, there is energy required to handle the constituents removed as either regenerating the GAC or handling the RO brine reject water. This additional energy required to treat the removed constituents is presented in Table 7.

Table 7. Energy Breakdown for Each Alternative (5 mgd design flow)

Parameter	Units	Baseline	Advanced Treatment – MF/GAC	Advanced Treatment – MF/RO
Daily Liquid Stream Energy Demand	MWh/d	11.6	23.8	40.8
Daily Solids Stream Energy Demand	MWh/d	-1.6	-1.1	-1.1
Daily Energy Demand	MWh/d	10.0	22.7	39.7
Unit Energy Demand	kWh/MG Treated	2,000	4,500	7,900

MWh/d = megawatt hours per day
 kWh/MG = kilowatt hours per million gallons

Details on the assumptions used to convert between energy demand, chemical demand and production, as well as biologically-mediated gases (i.e., CH₄ and N₂O) and GHG emissions are provided in Appendix B.

A plot of the GHG emissions for each alternative is shown in Figure 7. The GHG emissions increase from the baseline to the two advanced treatment alternatives. The GHG emissions increase about 50 percent with respect to baseline when MF/GAC is used and the GHG emissions increase over 100 percent with respect to baseline with the MF/RO advanced treatment alternative.

The MF/GAC energy demand would be larger if GAC regeneration was performed on-site. The GHG emissions do not include the energy or air emissions that result from off-site GAC regeneration. Only the hauling associated with moving spent GAC is included. The energy associated with operating the furnace would exceed the GHG emissions from hauling spent GAC.

The zero liquid discharge in the MF/RO alternative alone is comparable to the Baseline. This contribution to increased GHG emissions by zero liquid discharge brine system highlights the importance of the challenges associated with managing brine reject.

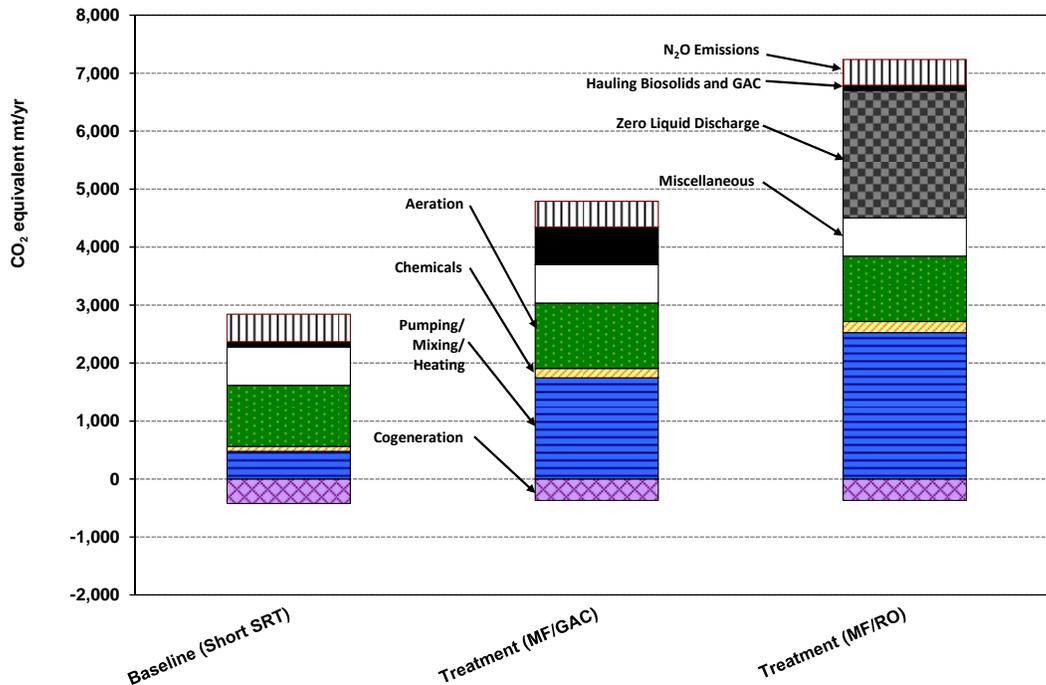


Figure 7. Greenhouse Gas Emissions for Each Alternative

The use of GHG emissions as a measure of sustainability does not constitute a complete comparison between the baseline and advanced treatment alternatives. Rather, it is one metric that captures the impacts of energy, chemical demand and production, as well as biologically-mediated gases (i.e., CH₄ and N₂O). The other environmental impacts of advanced treatment summarized in the list above should also be considered in decision making beyond cost analysis.

4.7 Costs

Total project costs along with the operations and maintenance costs were developed for each advanced treatment alternative for a comparison with baseline secondary treatment.

4.7.1 Approach

The cost estimates presented in this report are planning level opinions of probable construction costs for a nominal 5 mgd treatment plant design flow representing a typical facility without site specific details about local wastewater characteristics, physical site constraints, existing infrastructure, etc. The cost estimates are based on wastewater industry cost references, technical studies, actual project cost histories, and professional experience. The costs presented in this report are considered planning level estimates. A more detailed development of the advanced treatment process alternatives and site specific information would be required to further refine the cost estimates. Commonly this is accomplished in the preliminary design phase of project development for specific facilities following planning.

The cost opinion includes a range of costs associated with the level of detail used in this analysis. Cost opinions based on preliminary engineering can be expected to follow the Association for the Advancement of Cost Engineering (AACE International) Recommended Practice No. 17R-97 Cost Estimate Classification System estimate Class 4. A Class 4 estimate is based upon a 5 to 10 percent project definition and has an expected accuracy range of -30 to +50 percent and typical end usage of budget authorization and cost control. It is considered an

“order-of-magnitude estimate.” The life-cycle costs were prepared using the net present value (NPV) method.

The cost associated for each new unit process is based on a unit variable, such as required footprint, volume, demand (e.g., lb O₂/hr), and others. This approach is consistent with the approach developed for the EPA document titled “Estimating Water Treatment Costs: Volume 2- Cost Curves Applicable to 1 to 200 mgd Treatment Plants” dated August 1979. The approach has been updated since 1979 to account for inflation and competition, but the philosophy for estimating costs for unit processes has not changed. For example, the aeration system sizing/cost is governed by the maximum month airflow demand. Additionally, the cost associated constructing an aeration basin is based on the volume. The cost considers economies of scale.

The O&M cost estimates were calculated from preliminary process calculations. The operations cost includes energy and chemical demand. For example, a chemical dose was assumed based on industry accepted dosing rates and the corresponding annual chemical cost for that particular chemical was accounted for. The maintenance values only considered replacement equipment, specifically membrane replacement for the Advanced Treatment Alternatives.

4.7.2 Unit Cost Values

The life-cycle cost evaluation was based on using the economic assumptions shown in Table 8. The chemical costs were based on actual values from other projects. To perform detailed cost evaluations per industry, each selected technology would need to be laid out on their respective site plan based on the location of the existing piping, channels, and other necessary facilities.

Table 8. Economic Evaluation Variables

Item	Value
Nominal Discount Rate	5%
Inflation Rate:	
General	3.5%
Labor	3.5%
Energy	3.5%
Chemical	3.5%
Base Year	2013
Project Life	25 years
Energy	\$0.06/kWh
Natural Gas	\$0.60/therm
Chemicals:	
Alum	\$1.1/gal
Polymer	\$1.5/gal
Hypochlorite	\$1.5/gal
Salt	\$0.125/lb
Antiscalant	\$12.5/lb
Acid	\$0.35/lb
Deionized Water	\$3.75/1,000 gal
Hauling:	

Table 8. Economic Evaluation Variables

Item	Value
Biosolids Hauling Distance	100 miles (one way)
Biosolids Truck Volume	6,000 gal/truck
Biosolids Truck Hauling	\$250/truck trip
GAC Regeneration Hauling Distance	250 miles (round trip)
GAC Regeneration Truck Volume	\$20,000 lb GAC/truck
GAC Regeneration Truck Hauling	Included in cost of Virgin GAC

kWh= kilowatt hours; lbs=pounds; GAC=granulated activated carbon; gal=gallon

4.7.3 Net Present Value of Total Project Costs and Operations and Maintenance Cost in 2013 Dollars

An estimate of the net present value for the baseline treatment process and the incremental cost to implement the advanced treatment alternatives is shown in Table 9. The cost for the existing baseline treatment process was estimated based on new construction for the entire conventional secondary treatment process (Figure 3). The incremental cost to expand from existing baseline secondary treatment to advanced treatment was calculated by taking the difference between the baseline and the advanced treatment alternatives. These values serve as a benchmark for understanding the prospective cost for constructing advanced treatment at the planning level of process development.

Table 9. Treatment Technology Total Project Costs in 2013 Dollars for a 5 mgd Facility

Alternative	Total Construction Cost, 2013 dollars (\$ Million)	O&M Net Present Value, 2013 dollars (\$ Million)*	Total Net Present Value, 2013 dollars (\$ Million)	NPV Unit Cost, 2013 dollars (\$/gpd)
Baseline (Conventional Secondary Treatment)*	59 - 127	5 - 11	65 - 138	13 - 28
Advanced Treatment – MF/RO**	108 - 231	31 - 67	139 - 298	28 - 60
Advanced Treatment – MF/GAC	131 - 280	50 - 108	181 - 388	36 - 78
Incremental Increase to Advanced Treatment MF/RO	48 - 104	26 - 56	75 - 160	15 - 32
Incremental Increase to Advanced Treatment MF/GAC	71 - 153	45 - 97	117 - 250	23 - 50

* The additional cost to increase the SRT to upwards of 30-days is about \$12 - 20 million additional dollars in total project cost for a 5 mgd design flow

** Assumes zero liquid discharge for RO brine management, followed by evaporation ponds. Other options are available as listed in Section 4.4.2.

O&M=operations and maintenance; MF/RO=membrane filtration/reverse osmosis; MF/GAC=membrane filtration/granulated activated carbon; gpd=gallons per day

4.7.4 Unit Cost Assessment

Costs presented above are based on a treatment capacity of 5.0 mgd, however, existing treatment facilities range dramatically across Washington in size and flow treated. Table 9 indicates that the unit capital cost for baseline conventional secondary treatment for 5.0 mgd ranges between \$13 to 28 per gallon per day of treatment capacity. The unit cost for the advanced treatment alternatives increases the range from the low \$20s to upper \$70s on a per-gallon per-day of capacity. The increase in cost for the advanced treatment alternatives is discussed in the sub-sections below.

Advanced Treatment MF/RO

The advanced treatment MF/RO alternative has a total present worth unit cost range of \$28 to \$60 million in per gallon per day of capacity. This translates to an incremental cost increase with respect to the baseline of \$15 to \$32 million dollars in per gallon per day treatment capacity. The key differences in cost between the baseline and the advanced treatment MF/RO are as follows:

- Larger aeration basins than the baseline to account for the longer SRT (<8 days versus >8 days).
- Additional pumping stations to pass water through the membrane facilities (MF and RO). These are based on peak flows.
- Membrane facilities (MF and RO; equipment, tanks chemical feed facilities, pumping, etc.) and replacement membrane equipment.
- Additional energy and chemical demand to operate the membrane facilities (MF and RO) and GAC.
- Zero liquid discharge facilities to further concentrate the brine reject.
- Zero liquid discharge facilities are energy/chemically intensive and they require membrane replacement every few years due to the brine reject water quality.
- An evaporation pond to handle the brine reject that has undergone further concentration by zero liquid discharge.

The advanced treatment MF/RO assumes that 100 percent of the flow is treated by MF, followed by 50 percent of the flow treated with RO. Sending a portion of flow through the RO and blending it with the balance of plant flows ensures a stable water to discharge. The RO brine reject (about 1.0 mgd) undergoes ZLD pre-treatment that further concentrates the brine reject to about 0.1-0.5 mgd. The recovery for both RO and ZLD processes is highly dependent on water quality (e.g., silicate levels).

ZLD technologies are effective at concentrating brine reject, but it comes at a substantial cost (\$17.5 per gallon per day of ZLD treatment capacity of brine reject). The zero liquid discharge estimate was similar in approach to the demonstration study by Burbano and Brandhuber (2012) for La Junta, Colorado. The ability to further concentrate brine reject was critical from a management standpoint. Although 8 different options were presented for managing brine reject in Section 4.4.2, none of them is an attractive approach for handling brine reject. ZLD provides a viable pre-treatment step that requires subsequent downstream treatment. Evaporation ponds following ZLD were used for this study. Without ZLD, the footprint would be 3-5 times greater.

Roughly 30 acres of evaporation ponds, or more, may be required to handle the ZLD concentrate, depending upon concentrator effectiveness, local climate conditions, residuals

accumulation, residual removal, etc. Precipitation throughout Washington is highly variable which can greatly influence evaporation pond footprint. The approach for costing the evaporation pond was in accordance with Mickley et al. (2006) and the cost was about \$2.6 million.

Recent discussions with an industry installing evaporation ponds revealed that they will use mechanical evaporators to enhance evaporation rates. The use of mechanical evaporators was not included in this study, but merits consideration if a facility is performing a preliminary design that involves evaporation ponds. The mechanical evaporators have both a capital costs and annual energy costs.

Advanced Treatment MF/GAC

The advanced treatment MF/GAC alternative has a total present worth unit cost range of \$36 to \$78 million in per gallon per day capacity. This translates to an incremental cost increase with respect to the baseline of \$23 to \$50 million dollars on a per gallon per day of treatment capacity basis. The key differences in cost between the baseline and the advanced treatment MF/GAC are as follows:

- Larger aeration basins than the baseline to account for the longer SRT (<8 days versus >8 days).
- Additional pumping stations to pass water through the MF membrane and GAC facilities. These are based on peak flows.
- GAC facilities (equipment, contact tanks, pumping, GAC media, etc.)
- Additional energy to feed and backwash the GAC facilities.
- GAC media replacement was the largest contributor of any of the costs.
- Additional hauling and fees to regenerate GAC off-site.

The advanced treatment MF/GAC assumes that 100 percent of the flow is treated by MF, followed by 100 percent of the flow treated with GAC. The GAC technology is an established technology. The costing approach was in accordance with EPA guidelines developed in 1998.

The critical issue while costing the GAC technology is whether a GAC vendor/regeneration facility is located within the region. On-site regeneration is an established technology with a furnace.

However, there are several concerns as listed in Section 4.4.3:

- Ability to obtain an air emissions permit
- Additional equipment to operate and maintain
- Energy and air emissions to operate a furnace on-site
- Operational planning to ensure that furnace is operating 90-95 percent of the time. Otherwise, operations is constantly starting/stopping the furnace which is energy intensive and deleterious to equipment
- If not operated properly, the facility has the potential to create hazardous/toxic waste to be disposed

If located within a couple hundred miles, off-site regeneration is preferred. For this study, off-site regeneration was assumed with a 250-mile (one-way) distance to the nearest vendor that can provide virgin GAC and a regeneration facility.

Incremental Treatment Cost

The difference in costs between the baseline and the advanced treatment alternatives is listed in Table 10. The incremental cost to retrofit the baseline facility to the advanced treatment was calculated by taking the difference between the two alternatives. These values should serve as a planning level benchmark for understanding the potential cost for retrofitting a particular facility. The incremental cost is unique to a particular facility. Several reasons for the wide range in cost in retrofitting a baseline facility to advanced treatment are summarized as follows:

- Physical plant site constraints. A particular treatment technology may or may not fit within the constrained particular plant site. A more expensive technology solution that is more compact may be required. Alternately, land acquisition may be necessary to enlarge a plant site to allow the addition of advanced treatment facilities. An example of the former is stacking treatment processes vertically to account for footprint constraints. This is an additional financial burden that would not be captured in the incremental costs presented in Table 10.
- Yard piping. Site specific conditions may prevent the most efficient layout and piping arrangement for an individual facility. This could lead to additional piping and pumping to convey the wastewater through the plant. This is an additional financial burden that would not be captured in the incremental costs presented in Table 10.
- Pumping stations. Each facility has unique hydraulic challenges that might require additional pumping stations not captured in this planning level analysis. This is an additional financial burden that would not be captured in the incremental costs presented in Table 10.

A cursory unit cost assessment was completed to evaluate how costs would compare for facilities with lower (0.5 mgd) and higher capacity (25 mgd), as presented in Table 10. Capital costs were also evaluated for a 0.5 mgd and 25 mgd facility using non-linear scaling equations with scaling exponents. The unit capital cost for baseline conventional secondary treatment for 0.5 mgd and 25 mgd is approximately \$44 and \$10 per gallon per day of treatment capacity, respectively. The incremental unit costs to implement an advanced treatment retrofit for 0.5 mgd would range between \$30 to \$96 per gallon per day of treatment capacity and would be site and discharger specific. The incremental unit costs to implement an advanced treatment retrofit for 25 mgd would range between \$10 to 35 per gallon per day of treatment capacity and would be site and discharger specific. The larger flow, 25 mgd, is not as expensive on a per gallon per day of treatment capacity. This discrepancy for the 0.5 and 25 mgd cost per gallon per day of treatment capacity is attributed to economies of scale. Cost curve comparisons (potential total construction cost and total net present value) for the baseline and the two tertiary treatment options (MF/RO and MF/GAC) are shown in Figure 8 and Figure 9 between the flows of 0.5 and 25 mgd. It is important to note that while the economies of scale suggest lower incremental costs for the larger size facilities, some aspects of the advanced treatment processes may become infeasible at larger capacities due to factors such as physical space limitations and the large size requirements for components such as RO reject brine management.

Table 10. Treatment Technology Total Project Costs in 2013 Dollars for a 0.5 mgd Facility and a 25 mgd Facility

Alternative	Total Construction Cost, 2013 dollars (\$ Million)	O&M Net Present Value, 2013 dollars (\$ Million)*	Total Net Present Value, 2013 dollars (\$ Million)	NPV Unit Cost, 2013 dollars (\$/gpd)
0.5 mgd:				
Baseline (Conventional Secondary Treatment)	15 - 32	0.5 - 1.1	15 - 33	31 - 66
Advanced Treatment – MF/RO**	27 - 58	3.2 - 6.8	30 - 65	60 - 130
Advanced Treatment – MF/GAC	33 - 70	5 - 10.8	38 - 81	76 - 162
Incremental Increase to Advanced Treatment MF/RO	12 - 26	2.7 - 5.7	15 - 32	30 - 64
Incremental Increase to Advanced Treatment MF/GAC	18 - 38	4.6 - 9.8	22 - 48	45 - 96
25 mgd:				
Baseline (Conventional Secondary Treatment)	156 - 335	25 - 54	182 - 389	7 - 16
Advanced Treatment – MF/RO**	283 - 606	157 - 336	440 - 942	18 - 38
Advanced Treatment – MF/GAC	343 - 735	252 - 541	595 - 1276	24 - 51
Incremental Increase to Advanced Treatment MF/RO	127 - 272	131 - 281	258 - 553	10 - 22
Incremental Increase to Advanced Treatment MF/GAC	187 - 401	226.9 - 486	414 - 887	17 - 35

* Does not include the cost for labor.

** Assumes zero liquid discharge for RO brine management, followed by evaporation ponds. Other options are available as listed in Section 4.4.2.

MF/RO=membrane filtration/reverse osmosis

MF/GAC=membrane filtration/granulated activated carbon

O&M=operations and maintenance

gpd=gallons per day

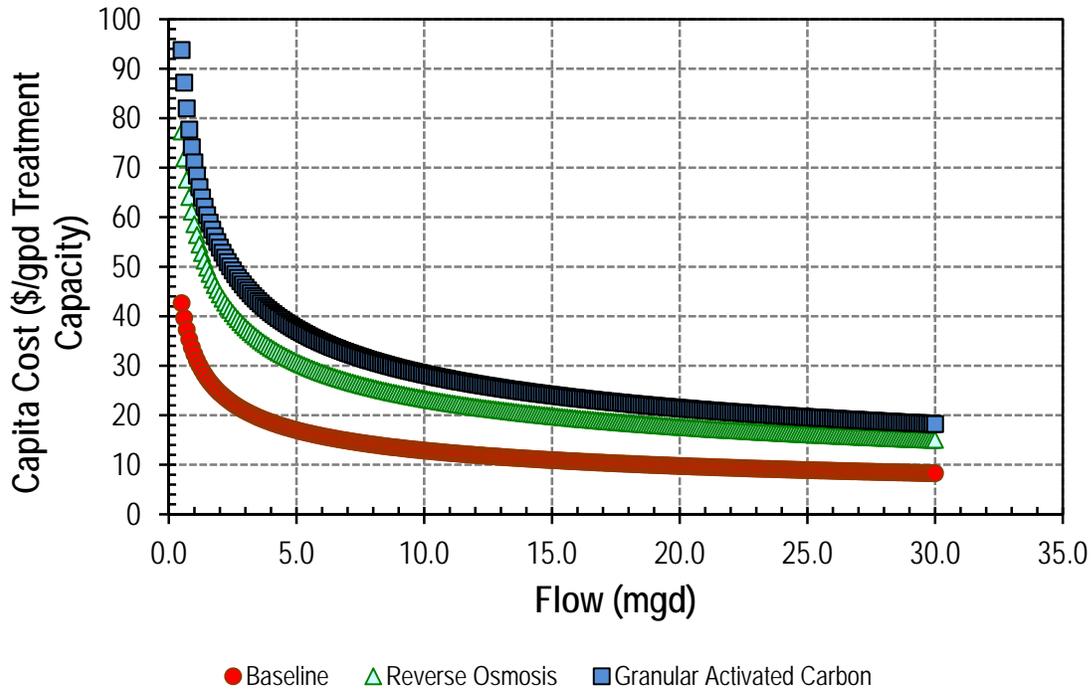


Figure 8: Capital Cost Curve Comparison for Baseline Treatment, MF/RO, and MF/GAC

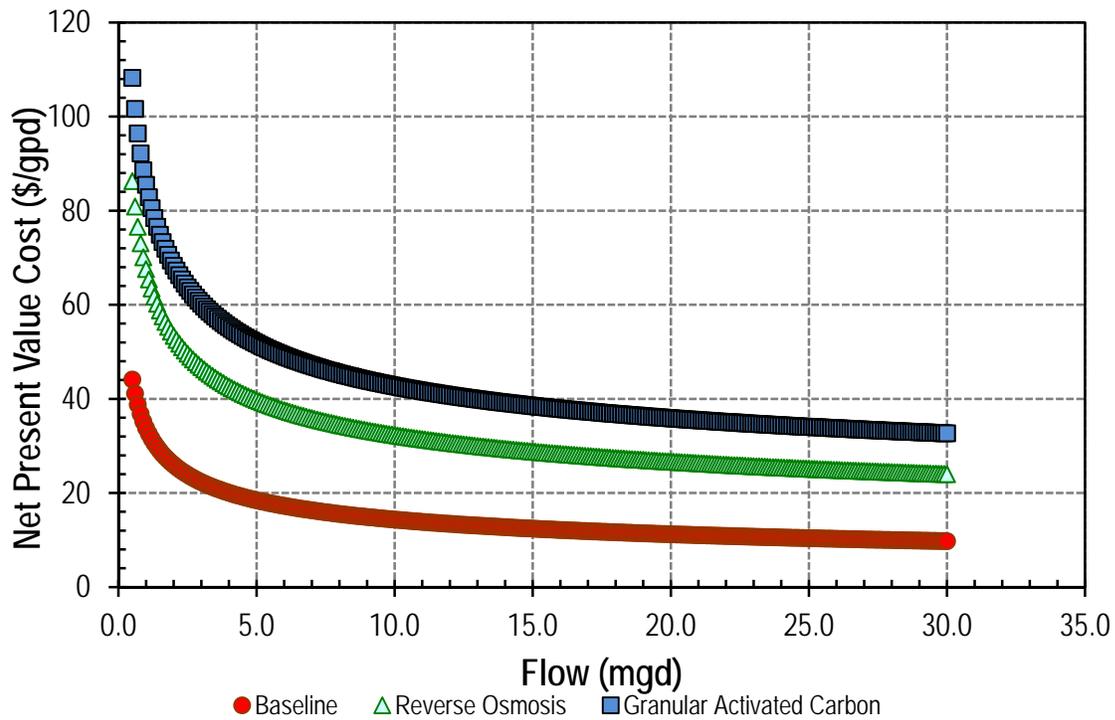


Figure 9: NPV Cost Curve Comparison for Baseline Treatment, MF/RO, and MF/GAC

4.8 Pollutant Mass Removal

An estimate of the projected load removal for the four constituents of concern was developed and is presented in Table 11. The current secondary effluent and advanced treatment effluent data is based on the only available data to HDR and is from municipal treatment plant facilities. Data is not available for advanced treatment facilities such as MF/RO or MF/GAC. Due to this lack of data, advanced treatment using MF/RO or MF/GAC was assumed to remove an additional zero to 90 percent of the constituents presented resulting in the range presented in Table 11. It is critical to note these estimates are based on limited data and are presented here simply for calculating mass removals. Current secondary effluent for industrial facilities would likely be greater than the data presented here and as a result, the projected effluent quality for industrial facilities would likely be higher as well. Based on the limited actual data from municipal treatment facilities, Table 11 indicates that mercury and BAP effluent limits may potentially be met using advanced treatment at facilities with similar existing secondary effluent quality.

Table 11. Pollutant Mass Removal by Contaminant for a 5 mgd Facility

Component	PCBs	Mercury	Arsenic	BAP
Required HHWQC based Effluent Quality (µg/L)	0.0000064	0.005	0.018	0.0013
Current Secondary Effluent Concentration (µg/L)*	0.0015	0.025	7.5	0.00031
Projected Effluent Quality (µg/L) from Advanced Treatment (MF/RO or MF/GAC)**	0.000041 – 0.00041	0.00012 – 0.0012	0.38 – 3.8	0.000029 - 0.00029
Mass Removed (mg/d)**	21 - 28	451 - 471	71,000 – 135,000	0.4 – 5.0
Mass Removed (lb/d)**	0.000045 – 0.000061	0.00099 – 0.0010	0.16 – 0.30	0.0000010 – 0.0000012

* Based on or estimated for actual treatment plant data from municipal facilities. Data sets are limited and current secondary effluent for industrial facilities would likely be greater than the data presented here.

** 1 lb = 454,000 mg

HHWQC=human health-based water quality criteria

MF/RO=membrane filtration/reverse osmosis

MF/GAC=membrane filtration/granulated activated carbon

µg/L=micrograms per liter

mg/d=milligrams per day

lb/d=pounds per day

Unit costs were developed based on required mass removal from a 5 mgd facility for each of the four constituents of concern to reduce discharges from current secondary effluent quality to the assumed required effluent quality (HHWQC). It is important to note that this study concludes it is unclear if existing technology can meet the required effluent quality, however, the information presented in Table 12 assumes HHWQC would be met for developing unit costs. The unit costs are expressed as dollars in NPV (over a 25 year period) per pound of constituent removed over the same 25 year period using advanced treatment with MF/RO. The current secondary effluent quality data presented are based on typical secondary effluent quality expected for a municipal/industrial discharger. Table 12 suggests unit costs are most significant in meeting the PCB, mercury, and PAH required effluent quality.

Table 12. Unit Cost by Contaminant for a 5 mgd Facility Implementing Advanced Treatment using MF/RO

Component	PCBs	Mercury	Arsenic	PAHs
Required HHWQC based Effluent Quality (µg/L)	0.0000064	0.005	0.018	0.0013
Current Secondary Effluent Concentration (µg/L)*	0.002	0.025	7.5	0.006
Total Mass Removed (lbs) over 25-year Period	0.76	7.6	2,800	1.8
Unit Cost (NPV per total mass removed in pounds over 25 years)	\$290,000,000	\$29,000,000	\$77,000	\$120,000,000

*Derived from data presented in Table 3.

**Based on assumed 25-year NPV of \$219,000,000 (average of the range presented in Table 10) and advanced treatment using MF/RO.

NPV=net present value

HHWQC=human health-based water quality criteria

µg/l=micrograms per liter

4.9 Sensitivity Analysis

The ability of dischargers to meet a HHWQC one order of magnitude less stringent (than HHWQC presented in Table 3 and used in this report) was considered. The same advanced treatment technologies using MF/RO or MF/GAC would still be applied to meet revised effluent quality one order-of-magnitude less stringent despite still not being able to meet less stringent effluent limits. As a result, this less stringent effluent quality would not impact costs. Based on available data, it appears the mercury and BAP limits would be met at a less stringent HHWQC. PCB effluent quality could potentially be met if advanced treatment with RO or GAC performed at the upper range of their projected treatment efficiency. It does not appear the less stringent arsenic HHWQC would be met with advanced treatment. It is important to note that a discharger's ability to meet these less stringent limits depends on existing secondary effluent characteristics and is facility specific. Facilities with higher secondary effluent constituent concentrations will have greater difficulty meeting HHWQC.

5.0 Summary and Conclusions

This study evaluated treatment technologies potentially capable of meeting revised effluent discharge limits associated with revised HHWQC. HDR completed a literature review of potential technologies and engineering review of their capabilities to evaluate and screen treatment methods for meeting revised effluent limits for four constituents of concern: arsenic, BAP, mercury, and PCBs. HDR selected two alternatives to compare against a baseline, including enhanced secondary treatment, enhanced secondary treatment with MF/RO, and enhanced secondary treatment with MF/GAC. HDR developed capital costs, operating costs, and a NPV for each alternative, including the incremental cost to implement from an existing secondary treatment facility.

The following conclusions can be made from this study.

- Revised HHWQC based on state of Oregon HHWQC (2001) and EPA “National Recommended Water Quality Criteria” will result in very low water quality criteria for toxic constituents.
- There are limited “proven” technologies available for dischargers to meet required effluent quality limits that would be derived from revised HHWQC.
 - Current secondary wastewater treatment facilities provide high degrees of removal for toxic constituents; however, they will not be capable of compliance with water quality-based NPDES permit effluent limits derived from revised HHWQC.
 - Advanced treatment technologies have been investigated and candidate process trains have been conceptualized for toxics removal.
 - Advanced wastewater treatment technologies may enhance toxics removal rates, however they will not be capable of compliance with HHWQC based effluent limits for PCBs. The lowest levels achieved based on the literature review were between <0.00001 and 0.00004 $\mu\text{g/L}$, as compared to a HHWQC of 0.0000064 $\mu\text{g/L}$.
 - Based on very limited performance data for arsenic and mercury from advanced treatment information available in the technical literature, compliance with revised criteria may or may not be possible, depending upon site specific circumstances.
 - Compliance with a HHWQC for arsenic of 0.018 $\mu\text{g/L}$ appears unlikely. Most treatment technology performance information available in the literature is based on drinking water treatment applications targeting a much higher SDWA MCL of 10 $\mu\text{g/L}$.
 - Compliance with a HHWQC for mercury of 0.005 $\mu\text{g/L}$ appears to be potentially attainable on an average basis but perhaps not if effluent limits are structured on a maximum monthly, weekly or daily basis. Some secondary treatment facilities attain average effluent mercury levels of 0.009 to 0.066 $\mu\text{g/L}$. Some treatment facilities with effluent filters attain average effluent mercury levels of 0.002 to 0.010 $\mu\text{g/L}$. Additional advanced treatment processes are expected to enhance these removal rates, but little mercury performance data is available for a definitive assessment.
 - Little information is available to assess the potential for advanced technologies to comply with revised benzo(a)pyrene criteria. A municipal wastewater treatment plant study reported both influent and effluent BAP concentrations less than the HHWQC of 0.0013 $\mu\text{g/L}$ (Ecology, 2010).

-
- Some technologies may be effective at treating identified constituents of concern to meet revised limits while others may not. It is therefore even more challenging to identify a technology that can meet all constituent limits simultaneously.
 - A HHWQC that is one order-of-magnitude less stringent could likely be met for mercury and PAHs however it appears PCB and arsenic limits would not be met.
 - Advanced treatment processes incur significant capital and operating costs.
 - Advanced treatment process to remove additional arsenic, benzo(a)pyrene, mercury, and PCBs would combine enhancements to secondary treatment with microfiltration membranes, reverse osmosis, and granular activated carbon and increase the estimated capital cost of treatment from \$17 to \$29 in dollars per gallon per day of capacity (based on a 5.0 mgd facility).
 - The annual operation and maintenance costs for the advanced treatment process train will be substantially higher (approximately \$5 million - \$15 million increase for a 5.0 mgd capacity facility) than the current secondary treatment level.
 - Implementation of additional treatment will result in additional collateral impacts.
 - High energy consumption.
 - Increased greenhouse gas emissions.
 - Increase in solids production from chemical addition to the primaries. Additionally, the membrane and GAC facilities will capture more solids that require handling.
 - Increased physical space requirements at treatment plant sites for advanced treatment facilities and residuals management including reverse osmosis reject brine processing.
 - It appears advanced treatment technology alone cannot meet all revised water quality limits and implementation tools are necessary for discharger compliance.
 - Implementation flexibility will be necessary to reconcile the difference between the capabilities of treatment processes and the potential for HHWQC driven water quality based effluent limits to be lower than attainable with technology

6.0 References

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7.0 Appendices

- Appendix A - Unit Process Sizing Criteria
- Appendix B - Greenhouse Gas Emissions Calculation Assumptions

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APPENDIX A - UNIT PROCESS SIZING CRITERIA

Table A-1. Unit Processes Sizing Criteria for Each Alternative

Unit Process	Units	Baseline Treatment	Advanced Treatment	Comment
Influent Pumping Station	unitless	3 Times Ave Flow	3 Times Ave Flow	This is peaking factor used to size the pumps (peak flow:average flow)
Alum Dose for CEPT (optional)	mg/L	20	20	This is the metal salt upstream of the primaries
Primary Clarifiers	gpd/sf	1000	1000	This is for average annual flows
Primary Solids Pumping Station	unitless	1.25 Times Ave Flow	1.25 Times Ave Flow	This is peaking factor used to size the pumps (maximum month flow:average flow)
Aeration System Oxygen Uptake Rate (OUR)	mg/L/hr	25	25	Average annual OUR is used in tandem with mixed liquor to determine the required aeration basin volume (the limiting parameter governs the activated sludge basin volume)
Aeration Basin Mixed Liquor	mg/L	1250	2500	Average annual mixed liquor is used in tandem with OUR (see next row) to determine the required aeration basin volume (the limiting parameter governs the activated sludge basin volume)
Secondary Clarifiers Hydraulic Loading	gpd/sf	650	--	Only use for Baseline as clarifiers governed hydraulically with short SRT (<2 days)
Secondary Clarifiers Solids Loading	lb/d/sf	--	24	Only use for Advanced Treatment as clarifiers governed by solids with long SRT (>8 days)
Return Activated Sludge (RAS) Pumping Station	unitless	1.25 Times Ave Flow	1.25 Times Ave Flow	RAS must have capacity to meet 100% influent max month Flow. The influent flow is multiplied by this peaking factor to determine RAS pumping station capacity.
Waste Activated Sludge (WAS) Pumping Station	gpm	1.25 Times Ave Flow	1.25 Times Ave Flow	WAS must have capacity to meet max month WAS flows. The average annual WAS flow is multiplied by this peaking factor to determine WAS pumping station capacity.
Microfiltration (MF) Flux	gfd	--	25	Based on average annual pilot experience in Coeur D'Alene, ID
MF Backwash Storage Tank	unitless	--	1.25	Storage tanks must have capacity to meet maximum month MF backwash flows. The average annual MF backwash volume is multiplied by this peaking factor to determine required volume.

Table A-1. Unit Processes Sizing Criteria for Each Alternative

Unit Process	Units	Baseline Treatment	Advanced Treatment	Comment
MF Backwash Pumps	unitless	--	1.25	Backwash pumps must have capacity to meet maximum month MF backwash flows. The average annual MF backwash flow is multiplied by this peaking factor to determine required flows.
Reverse Osmosis (RO)	gallon per square foot per day (gfd)	--	10	
RO Reject	%	--	20	This represents the percentage of feed flow that is rejected as brine
Chlorination Dose	mg/L	15	15	
Chlorination Storage Capacity	days	14	14	
Chlorine Contact Tank	min	30	30	This is for average annual conditions.
Dechlorination Dose	mg/L	15	15	
Dechlorination Storage Capacity	days	14	14	
Gravity Belt Thickener	gpm/m	200	200	This is for maximum month conditions using the 1.25 peaking factor from average annual to maximum month
Anaerobic Digestion	Hydraulic residence time (HRT)	18	18	This is for average annual conditions
Dewatering Centrifuge	gpm	120	120	This is for maximum month conditions using the 1.25 peaking factor from average annual to maximum month

gpd=gallons per day; sf=square feet; gpm=gallons per minute

Appendix B – Greenhouse Gas Emissions Calculation Assumptions

The steady state mass balance results were used to calculate GHG emissions. The assumptions used to convert between energy demand, chemical demand and production, as well as biologically-mediated gases (i.e., CH₄ and N₂O) and GHG emissions are provided in Table B-1. The assumptions are based on EPA (2007) values for energy production, an adaptation of the database provided in Ahn et al. (2010) for N₂O emissions contribution, Intergovernmental Panel on Climate Change (IPCC) (2006) for fugitive CH₄ emissions, and various resources for chemical production and hauling from production to the wastewater treatment plant (WWTP). Additionally, the biogas produced during anaerobic digestion that is used as a fuel source is converted to energy with MOP8 (2009) recommended waste-to-energy values.

Table B-1. Greenhouse Gas Emissions Assumptions

Parameters	Units	Value	Source
N ₂ O to CO ₂ Conversion	lb CO ₂ /lb N ₂ O	296	IPCC, 2006
CH ₄ to CO ₂ Conversion	lb CO ₂ /lb CH ₄	23	IPCC, 2006
Energy Production			
CO ₂	lb CO ₂ /MWh	1,329	USEPA (2007)
N ₂ O	lb N ₂ O/GWh	20.6	USEPA (2007)
CH ₄	lb CO ₂ /GWh	27.3	USEPA (2007)
Sum Energy Production	lb CO ₂ /MWh	1336	USEPA (2007)
GHGs per BTU Natural Gas			
CO ₂	lb CO ₂ /MMBTU Natural Gas	52.9	CA Climate Action Registry Reporting Tool
N ₂ O	lb N ₂ O/MMBTU Natural Gas	0.0001	CA Climate Action Registry Reporting Tool
CH ₄	lb CO ₂ /MMBTU Natural Gas	0.0059	CA Climate Action Registry Reporting Tool
Sum Natural Gas		53.1	CA Climate Action Registry Reporting Tool
Non-BNR N ₂ O Emissions	g N ₂ O/PE/yr	32	Ahn et al. (2010)
BNR N ₂ O Emissions	g N ₂ O/PE/yr	30	Ahn et al. (2010)
Biogas Purity	% Methane	65	WEF, 2009
Biogas to Energy	BTU/cf CH ₄	550	WEF, 2009
Digester Gas to Electrical Energy Transfer Efficiency	%	32	HDR Data

Table B-1. Greenhouse Gas Emissions Assumptions

Parameters	Units	Value	Source
Chemical Production			
Alum	lb CO ₂ /lb Alum	0.28	SimaPro 6.0 - BUWAL250, Eco-indicator 95
Polymer	lb CO ₂ /lb Polymer	1.18	Owen (1982)
Sodium Hypochlorite	lb CO ₂ /lb Sodium Hypochlorite	1.07	Owen (1982)
Building Energy Efficiency	kBTU/sf/yr	60	Calif. Commercial End-Use Survey (2006)
Hauling Distance		-	
Local	miles	100	-
Hauling Emissions			
Fuel Efficiency	miles per gallon	8	
CO ₂	kg CO ₂ /gal diesel	10.2	CA Climate Action Registry Reporting Tool
N ₂ O	kg N ₂ O/gal diesel	0.0001	CA Climate Action Registry Reporting Tool
CH ₄	kg CH ₄ /gal diesel	0.003	CA Climate Action Registry Reporting Tool
Sum Hauling Fuel	kg CO ₂ /gal diesel	10.2	CA Climate Action Registry Reporting Tool

GWh = Giga Watt Hours
 MWh = Mega Watt Hours
 MMBTU = Million British Thermal Units
 BTU = British Thermal Unit
 PE = Population Equivalents
 kBTU/sf/yr = 1,000 British Thermal Units per Square Foot per Year
 cf = cubic feet
 lb = pound
 kg = kilogram
 gal = gallon