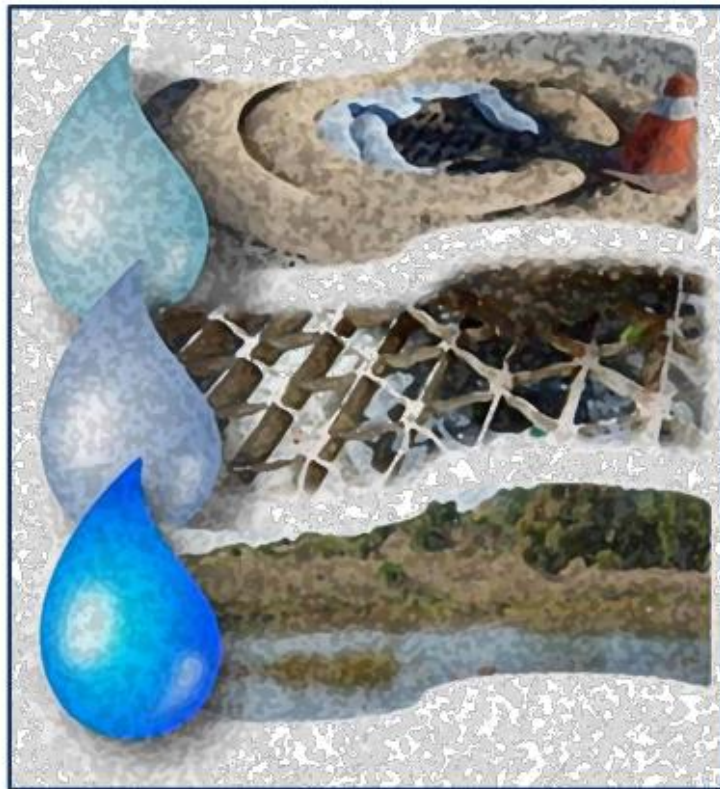




**STATEWIDE INDUSTRIAL GENERAL PERMIT**  
**DISCHARGER'S GUIDE TO THE STORMWATER MULTIPLE**  
**APPLICATION AND REPORT TRACKING SYSTEM (SMARTS)**  
**DATABASE**

# **ANNUAL REPORT**



**Last Revised: June 10, 2020**

## **Industrial Storm Water General Permit Annual Report:**

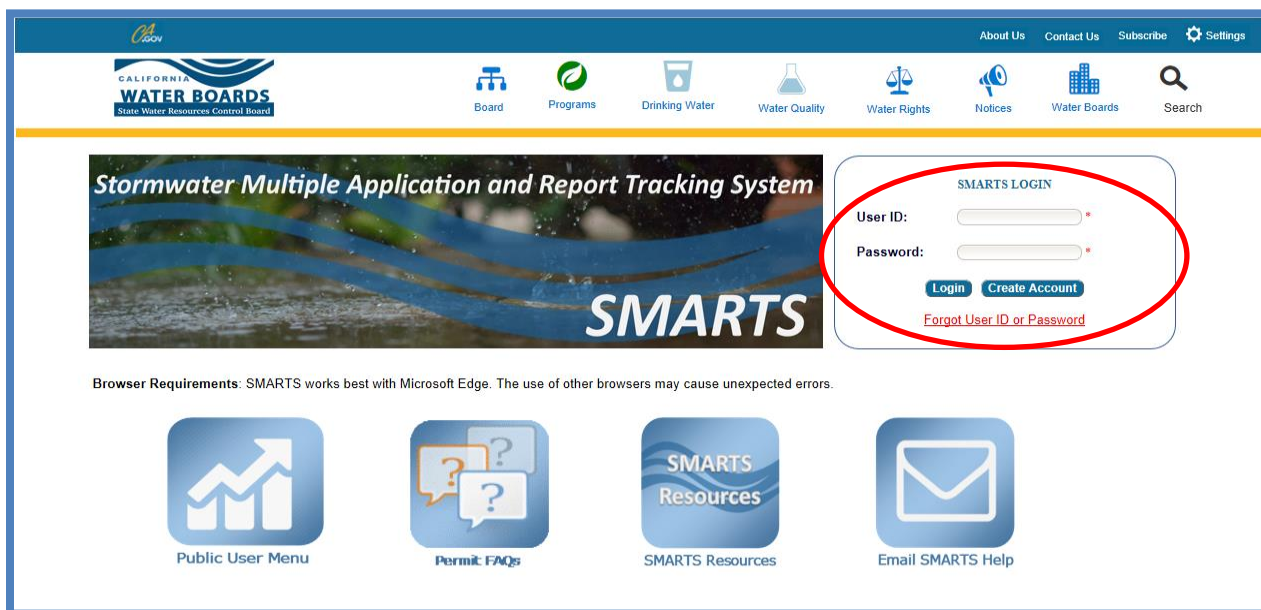
Dischargers are required to certify and submit an Annual Report **no later than July 15 following each reporting year** using the standardized format via the Stormwater Multiple Application and Report Tracking System (SMARTS). The Annual Report is a list of questions for the discharger to complete, which demonstrates that they comply with and address all applicable requirements of the Industrial Storm Water General Permit (IGP). The Annual Report requires an explanation for any non-compliance of requirements within the reporting year and certification that the Annual Comprehensive Facility Compliance Evaluation (Annual Evaluation) was completed. The Annual Report can be entered by any SMARTS user that is linked to the facility but can only be certified and submitted by the Legally Responsible Person (LRP) or Duly Authorized Representative (DAR) with a valid eAuthorization form on file.

## **Commonly-used Acronyms:**

The following are acronyms used in the Statewide Industrial Storm Water General Permit and the SMARTS database:

COI	Change of Information
DAR	Duly Authorized Representative
DEP	Data Entry Person
ERA	Exceedance Response Action
IGP	Industrial Storm Water General Permit
LRP	Legally Responsible Person
NAL	Numeric Action Level
NOI	Notice of Intent (Application for regulatory coverage under the Industrial Storm Water General Permit)
SMARTS	Stormwater Multiple Application and Report Tracking System
TMDL	Total Maximum Daily Load
TNAL	Total Maximum Daily Load Numeric Action Level

1. Please [log into SMARTS](https://smarts.waterboards.ca.gov) at the following link using **Microsoft Edge** (<https://smarts.waterboards.ca.gov>):



SMARTS LOGIN

User ID:

Password:

[Login](#) [Create Account](#)

[Forgot User ID or Password](#)

Browser Requirements: SMARTS works best with Microsoft Edge. The use of other browsers may cause unexpected errors.

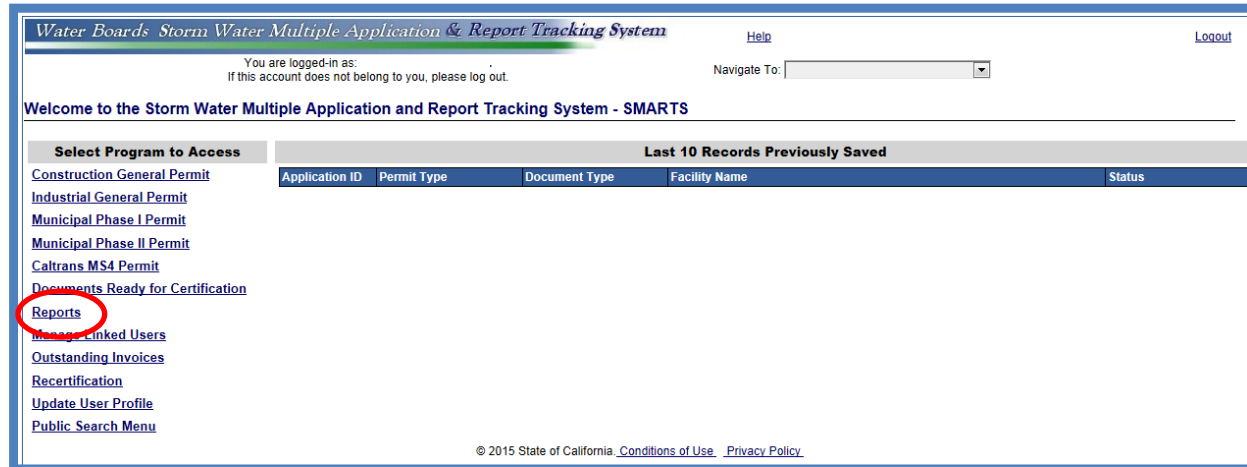
Public User Menu

Permit FAQs

SMARTS Resources

Email SMARTS Help

2. From the Main menu select the “Reports” hyperlink:



Water Boards Storm Water Multiple Application & Report Tracking System

You are logged-in as: .

If this account does not belong to you, please log out.

Navigate To:

Logout

Welcome to the Storm Water Multiple Application and Report Tracking System - SMARTS

Select Program to Access

Construction General Permit

Industrial General Permit

Municipal Phase I Permit

Municipal Phase II Permit

Caltrans MS4 Permit

Documents Ready for Certification

**Reports**

Manage Linked Users

Outstanding Invoices

Recertification

Update User Profile

Public Search Menu

Last 10 Records Previously Saved

Application ID	Permit Type	Document Type	Facility Name	Status
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3. Search for reports using the appropriate reporting period. Any facility that is linked to your account will display once you select “Search”:

Water Boards Storm Water Multiple Application & Report Tracking System

You are logged-in as: [Name] If this account does not belong to you, please log out. Help Logout

Reports Search

Enter the search criteria below. Only the Reporting Period is required to be selected when searching. If the search does not return any results, try entering fewer search fields. If only the Reporting Period is selected and no results display, you are not correctly linked to the Waste Discharge Identification (WDID) Number. Please contact the Legally Responsible Person to link you to the WDID number. [Back to Main Menu](#)

Reporting Period: 2015 - 2016 \*

WDID: [Text Box]

Report Status: Select

Application ID: [Text Box]

Region: All

\* fields are mandatory

Search

Verify the Reporting Period before you begin working on a report.

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Only the “Reporting Period” field is required to be selected when searching. If the search does not return any results, try entering fewer search fields. If only the Reporting Period is selected and no results display, your account is not correctly linked to the Waste Discharge Identification (WDID) number. Please contact the Legally Responsible Person<sup>1</sup> to link you to the Waste Discharge Identification number.

4. Select the facility you wish to start working on by clicking on the “Facility Name” hyperlink:

Reports Search

Enter the search criteria below. Only the Reporting Period is required to be selected when searching. If the search does not return any results, try entering fewer search fields. If only the Reporting Period is selected and no results display, you are not correctly linked to the WDID Number. Please contact the Legally Responsible Person to link you to the WDID number. [Back to Main Menu](#)

Reporting Period: 2015 - 2016 \*

WDID: [Text Box]

Report Status: Select

Application ID: [Text Box]

Region: All

\* fields are mandatory

Search

Verify the Reporting Period before you begin working on a report.

Industrial Annual Reports

Facility Name	WDID/App ID	Facility Address	Report Period	Status	No. Ad Hoc	Receipt Date	Remand	Delete
<a href="#">Fried Chicken People</a>	5S09I026330 467592	6543 Coop Lane	07/01/2015- 06/30/2016	Future				
<a href="#">Mine</a>	5S29I025869 459447	Not yours street	07/01/2015- 06/30/2016	Not Submitted				

<sup>1</sup> Also referred to as LRP. See Commonly-used Acronyms listed on Page 1 of this Guide.

5. Once you have selected the facility, you will be able to select the “Report ID” hyperlink:

Water Boards Storm Water Multiple Application & Report Tracking System [Help](#) [Logout](#)

You are logged-in as:  
If this account does not belong to you, please log out.

Navigate To:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Operator Name:	Test	WDID:	5S29I025869
Report Period:	2015-16				

**Annual Report:**  
Click on the Report ID below to access the Industrial Annual Report.

Report ID	Report Type	Status	Due Date	Date Submitted	Submitted By	Remand
<a href="#">849291</a>	Annual Report	Not Submitted	07/01/2016			

**Ad Hoc Report:** [New Ad Hoc Report](#)  
Click on the “New Ad Hoc Report” button to start a new Industrial Ad Hoc Report. The electronic Ad Hoc Report screens are used to enter Rain Event and Non-Storm Water Discharge Event sampling/monitoring data collected.  
Ad Hoc Reports associated with this Annual Report are listed below. Click on the Event ID link to access an Ad Hoc Report.

Event ID	Event Type	Start Date & Time	End Date & Time	Status	Received Date	Remand	Delete
----------	------------	-------------------	-----------------	--------	---------------	--------	--------

6. The report screen will display facility information for review only. Start the Annual Report by selecting the “Questions” Tab:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Agency:	Test
WDID ID:	5S29I025869	SIC Code(s):	1041-Gold Ores,0213-Hogs
Reporting Period:	2015-16	Report Status:	Not Submitted

[General Info](#) **[Questions](#)** [Attachments](#) [Certify](#) [Status History](#) [Notes](#) [Back To Report Main](#) [Back To NOI Summary](#)

**A. Facility Information (Read-Only)**  
In order to change the information of an NOI, please click the link - [Click here to go to NOI screens](#)

Facility Business Name:	Mine	Facility Contact:	RWQCB Test
Facility WDID No:	5S29I025869	E-Mail:	r5s_stormwater@waterboards.ca.gov
Physical Address:	Not yours street	Phone:	999-999-9999
City:	Auburn	Standard Industrial Classification (SIC) Code(s):	1041-Gold Ores,0213-Hogs
State:	CA	Zip:	95814

**B. Facility Operator Information (Read-Only)**

Operator Name:	Test	Operator Contact:	RWQCB Test
Operator Address:	1001 I Street	E-Mail:	r5s_stormwater@waterboards.ca.gov
City:	Sacramento	Phone:	999-999-9999
State:	CA	Zip:	95814

7. The “Questions” Tab is a series of questions, with the sections displayed by hyperlinks:

[General Info](#) **[Questions](#)** [Attachments](#) [Certify](#) [Status History](#) [Notes](#) [Back To Report Main](#) [Back To NOI Summary](#)

[Questions 1 Thru 6](#) [Questions 7 Thru 10](#) [Questions 11 Thru 12](#)



- a. If the facility has any parameters with Level 2 Status<sup>2</sup>, there are 2 additional questions to answer, which are found in the third section of questions:

A screenshot of a web application's navigation bar. It features a series of tabs: 'General Info', 'Questions' (highlighted in green), 'Attachments', 'Certify', 'Status History', 'Notes', 'Back To Report Main', and 'Back To NOI Summary'. Below the tabs, there are three links: 'Questions 1 Thru 6', 'Questions 7 Thru 10', and 'Questions 11 Thru 14'. The 'Questions 11 Thru 14' link is circled in red.

- b. If the facility is within the following Regional Water Board jurisdictions: San Francisco Bay Region (Region 2), Los Angeles Region (Region 4), Santa Ana Region (Region 8), and San Diego Region (Region 9), you will need to answer the additional Total Maximum Daily Load (TMDL) Questions:

A screenshot of a web application's navigation bar, similar to the one above. It features the same tabs: 'General Info', 'Questions' (highlighted in green), 'Attachments', 'Certify', 'Status History', 'Notes', 'Back To Report Main', and 'Back To NOI Summary'. Below the tabs, there are four links: 'Questions 1 Thru 6', 'Questions 7 Thru 10', 'Questions 11 Thru 12', and 'Questions: TMDLs'. The 'Questions: TMDLs' link is circled in red.

- c. Click the “Save & Next” button at the bottom of each section of questions to save the answers prior to proceeding to the next section in the series of questions:

A screenshot of a web application's question section. At the top, there is a navigation bar with tabs: 'General Info', 'Questions' (highlighted in green), 'Attachments', 'Certify', 'Status History', 'Notes', 'Back To Report Main', and 'Back To NOI Summary'. Below the tabs, there are three links: 'Questions 1 Thru 6', 'Questions 7 Thru 10', and 'Questions 11 Thru 12'. The main content area contains two questions, 11 and 12. Question 11 asks: 'Has the Discharger conducted one (1) annual evaluation during the reporting year as required in Section XV?'. It includes a dropdown menu with 'No' selected, a text box for the date if 'Yes', and a text box for reasoning if 'No'. Question 12 asks: 'Has the Discharger maintained records on-site for the reporting year in accordance with Section XXI.J.3?'. It includes a dropdown menu with 'Yes' selected and a text box for explanation if 'No'. At the bottom of the section, there is a red note: 'If your facility is subject to Effluent Limitation Guidelines in Attachment F of the Industrial General Permit, include your specific Supporting Documentation).'. At the very bottom, there are two buttons: 'Back' and 'Save & Next'. The 'Save & Next' button is circled in red.

<sup>2</sup> Level 2 Status per Section XII.D of the Industrial Storm Water General Permit.

8. The Annual Report is composed of a series of questions that are to be answered with “Yes” or “No” responses. The next steps display each question and provide reference to the applicable section of the Industrial Storm Water General Permit sections. Selecting the [blue](#) hyperlink in the Annual Report question in SMARTS will display the referenced Industrial Storm Water General Permit section.

a. Question 1

1. Has the Discharger conducted monthly visual observations (including authorized and unauthorized Non-Storm Water Discharges and Best Management Practices) in accordance with [Section XI.A.1?](#) Yes  \*

If "No", explain in the text box below.

If each monthly observation was not completed, an explanation is required. Records of these observations are not required to be uploaded but are required to be maintained on site in the Storm Water Pollution Prevention Plan (SWPPP) records for a 5-year period.

b. Question 2

2. Has the Discharger conducted sampling event visual observations at each discharge location where a sample was obtained in accordance with [Section XI.A.2?](#) Yes  \*

If "No", explain in the text box below.

If observations were not completed for each sampling event, an explanation is required. Records of these observations are not required to be uploaded but are required to be maintained on site in the Storm Water Pollution Prevention Plan records for a 5-year period.

c. Question 3

3. Did you sample the required number of Qualifying Storm Events during the reporting year for all discharge locations, in accordance with [Section XI.B?](#) Yes  \*

If "No" to , explain in the text box below.

Industrial storm water sampling results are required to be entered as Ad Hoc Reports, which are required to be certified and submitted in SMARTS within 30

days of receiving the Lab analysis report<sup>3</sup>. Lab analysis reports are required to be uploaded to the corresponding Ad Hoc report, not the Annual Report. If sampling was not completed for the reporting year, an explanation is required.

d. Question 4

4.	How many storm water discharge locations are at your facility?	<input type="text" value="4"/>	*
----	--	--------------------------------	---

Enter a numeric value for how many discharge locations are at the facility.

e. Question 5

5.	Has the Discharger chosen to select Alternative Discharge Locations in accordance with <a href="#">Section XI.C.3?</a>	<input type="text" value="No"/>	*
----	--	---------------------------------	---

Dischargers are required to identify, when practicable, alternate discharge locations if: (1) the facility's industrial drainage areas are affected by storm water run-on from surrounding areas that cannot be controlled, or (2) discharge locations are difficult to observe or sample (e.g. submerged discharge outlets, dangerous discharge location accessibility). If you answered "Yes" to this question, revisions to the Monitoring Implementation Plan (part of the Storm Water Pollution Prevention Plan) will need to be uploaded in the Attachments portion of the Annual Report.

f. Question 6

6.	Has the Discharger reduced the number of sampling locations, within a drainage area in accordance with the Representative Sampling Reduction in <a href="#">Section XI.C.4?</a>	<input type="text" value="No"/>	*
----	---	---------------------------------	---

For each drainage area with multiple discharge locations (e.g. roofs with multiple downspouts, loading/unloading areas with multiple storm drain inlets), the Discharger may reduce the number of discharge locations to be sampled if the conditions in Section XI.C.4 in the Industrial Storm Water General Permit are met. If you answered "Yes" to this question, revisions to the Monitoring Implementation Plan (part of the Storm Water Pollution Prevention Plan) will need to be uploaded in the Attachments portion of this report.

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<sup>3</sup> **NOTE:** See the [Ad Hoc Report Help Guide](http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/dischargers_guide_adhocreport.pdf) for more information on reporting and entering sample analysis.  
([http://www.swrcb.ca.gov/water\\_issues/programs/stormwater/docs/dischargers\\_guide\\_adhocreport.pdf](http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/dischargers_guide_adhocreport.pdf))



g. Question 6.1

6.1

Has the Discharger reduced the frequency of sampling at the facility area in accordance with the Sample Frequency Reduction in [Section XI.C.7](#)?

No  \*

The Discharger is eligible to reduce the number of Qualifying Storm Events sampled each reporting year if the conditions in Section XI.C.7 in the Industrial Storm Water General Permit are met. If you answered “Yes” to this question, revise the Storm Water Pollution Prevention Plan according and upload it in the Attachments via a Change of Information form<sup>4</sup>.

h. Question 7

7.

Permitted facilities located within an impaired watershed must assess for potential pollutants that may be present in the facility's industrial storm water discharge. \*  
Using the table below, populated based on the facility's location, indicate the presence of the potential pollutant at the facility.

Parameter	Pollutant	Present at Facility?
E.Coli and Enterococcus	Bacteria	Yes <input type="button" value="v"/>
E.Coli and Enterococcus	Fecal Coliform	No <input type="button" value="v"/>

The Discharger must refer to Appendix 3 of the Industrial Storm Water General Permit to identify Clean Water Act section 303(d) listed water body impairments within the facility's 10-digit Hydrologic Unit Code (HUC-10) watershed.

Dischargers who have obtained Notice of Intent (NOI) coverage that have 303(d) listed impaired water bodies in the corresponding HUC-10 watershed of the facility must sample for any industrial pollutants identified that have the potential to discharge.


Dischargers are also required to identify pollutants related to the Clean Water Act section 303(d) listed impairments in the HUC-10 watershed in the SWPPP's pollutant source assessment. The Storm Water Pollution Prevention Plan's pollutant source assessment of the Industrial Storm Water General Permit, Dischargers must identify the industrial pollutants related to the receiving waters with Clean Water Act section 303(d) listed impairments identified in Appendix 3 that may be causing or contributing to an exceedance of a water quality standard in the receiving waters (as provided in Section X.G.2.a.ix. of the Industrial Storm Water General Permit). The Industrial Storm Water General Permit requires a Discharger to monitor additional parameters if the discharge(s) from its facility

<sup>4</sup> **NOTE:** See the [Change of Information \(COI\) Guide](#) for more information on completing the form:

[http://www.swrcb.ca.gov/water\\_issues/programs/stormwater/docs/coi\\_guide.pdf](http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/coi_guide.pdf)

contributes pollutants to receiving waters that are listed as 303(d) listed for those pollutants. See Question 8 for follow up to responses entered.

i. Question 8

8.	Has the Discharger included the above pollutants in the SWPPP <a href="#">pollutant source assessment</a> and assessed the need for analytical monitoring for the pollutants?	Yes <input type="button" value="v"/> *
	If "No" what date the parameter(s) will be added to the SWPPP and Monitoring Implementation Plan?	<input type="text"/>  (mm/dd/yyyy)

Dischargers should include a discussion in their Storm Water Pollution Prevention Plan regarding the potential pollutant sources identified in their HUC-10 watershed and determine if the potential pollutant sources are applicable to their facility. If the answer is "Yes," the Discharger is stating the Storm Water Pollution Prevention Plan addresses all pollutants from the table in Question 7. If answer is "No," the Discharger must revise the Monitoring Implementation Plan section of the Storm Water Pollution Prevention Plan and update it by the date provided by the Discharger. Once completed, the Discharger is required to upload the revisions in the Attachments via the Change of Information form<sup>5</sup>.

j. Question 9

9.	Were all samples collected in accordance with <a href="#">Section XI.B.5</a> ?	Yes <input type="button" value="v"/> *
	If "No", explain in the text box below.	
	<input type="text"/>	

If samples were not collected properly, an explanation is required.

k. Question 10

10.	Has any contained storm water been discharged from the facility this reporting year?	No <input type="button" value="v"/> *
	If "Yes", explain in the text box below.	
	<input type="text"/>	

For contained storm water (from ponds or capture systems), samples are only collected when there is a discharge of the contained storm water. If you do not have any contained storm water systems, answer "No." If the answer is "Yes," provide when the discharges occurred.

<sup>5</sup> **NOTE:** See the [Change of Information \(COI\) Help Guide](#) for more information on completing the form.  
([http://www.swrcb.ca.gov/water\\_issues/programs/stormwater/docs/coi\\_guide.pdf](http://www.swrcb.ca.gov/water_issues/programs/stormwater/docs/coi_guide.pdf))

I. Question 11

11. Has the Discharger conducted one (1) annual evaluation during the reporting year as required in [Section XV](#)? Yes  \*

If "Yes", what date was the annual evaluation conducted? 06/30/2016

If "No", explain in the text box below.

Please review Section XV. of the Industrial Storm Water General Permit for requirements of the Annual Comprehensive Facility Compliance Evaluation (Annual Evaluation). If you completed this evaluation, answer "Yes" and provide the date when it was completed. If it was not completed, provide an explanation.

m. Question 12

12. Has the Discharger maintained records on-site for the reporting year in accordance with [Section XXI.J.3?](#) Yes  \*

If "No", explain in the text box below.

Records are not required to be uploaded but are required to be maintained on site in the Storm Water Pollution Prevention Plan for a 5-year period. If they are not being maintained, provide an explanation.

Level 2 Status Questions

Questions 13 and 14 are specific to, and only appear for, facilities that have parameters with Level 2 status. Please review Section XII.D.3.c. of the Industrial Storm Water General Permit for requirements on annually updating the Level 2 Exceedance Response Action Technical Report<sup>6</sup>.

n. Question 13

13. Did additional NAL exceedances occur in the same drainage area for the facility's Level 2 parameter(s) (if no Level 2 parameters, select No)? Select  \*

If additional Numeric Action Level<sup>7</sup> exceedances occurred within this reporting year for existing Level 2 parameters, answer "Yes." If not, answer "No."

<sup>6</sup> Also referred to as the Level 2 ERA Technical Report.

<sup>7</sup> Also referred to as NALs in the Industrial Storm Water General Permit and the SMARTS database.

o. Question 14

The screenshot shows a form interface for Question 14. The question text is "Was the Level 2 ERA Technical Report updated?". To the right of the question is a dropdown menu labeled "Select" with a downward arrow. Below the question is a text input field with the placeholder text "Enter an explanation below:". The entire form area is enclosed in a blue border.

Answer “Yes” if the Level 2 Exceedance Response Action Technical Report was updated due to additional exceedances of the existing Level 2 parameters or for other requirements stated in Section XII.D.3.c. of the Industrial Storm Water General Permit. Answer “No” if the Level 2 Exceedance Response Action Technical Report was not updated. Provide an explanation.

Attachment F - Effluent Limitation Guidelines

p. Subchapter N Effluent Limitation Guidelines – Annual Report Requirements

The red text displayed after Question 12<sup>8</sup> “If your facility is subject to Effluent Limitation Guidelines in Attachment F of the Industrial General Permit, include your specific reporting requirements as an attachment to the Annual Report (attach as file type: Supporting Documentation).” is a note for Dischargers subject to Section XI.D.1.b of the Industrial Storm Water General Permit. Dischargers with applicable Effluent Limitation Guidelines from 40 Code of Federal Regulations parts 419<sup>9</sup> and 443<sup>10</sup> are required to submit volume and mass estimate calculations (completed by a California Licensed Professional Engineer) with their Annual Report. This would be submitted as “Supporting Documentation” in the “Attachments” Tab of the Annual Report.

Attachment E – Total Maximum Daily Loads (TMDLs)

q. Questions: TMDLs

“Questions: TMDLs” are applicable to facilities that are located in Regions 2, 4, 8, and 9<sup>11</sup>. Dischargers are required to identify pollutants related to the receiving waters with approved Total Maximum Daily Loads that may be causing or contributing to an exceedance of a water quality standard in the receiving waters as specified by Section X.G.2.a.ix.

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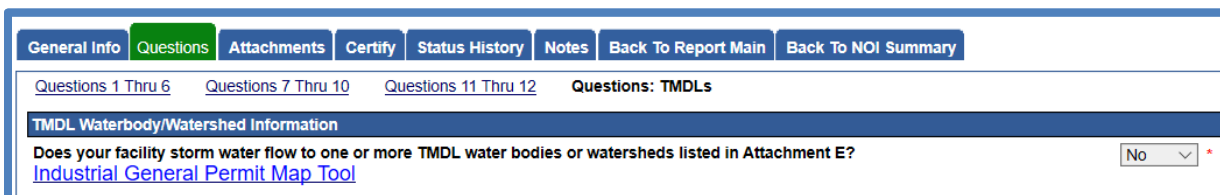
<sup>8</sup> Or after Question 14 if you are answering questions regarding Level 2 parameters.

<sup>9</sup> Petroleum refining point source category

<sup>10</sup> Paving and roofing materials using tar and asphalt point source category

<sup>11</sup> For facilities located in Regions 1, 3, 5, 6, and 7, this question is not applicable and they will not see this question.

Please determine if your facility directly discharges to or discharges through a Municipal Separate Storm Sewer System (MS4) to a Total Maximum Daily Load associated water body/watershed using Attachment E of the Industrial Storm Water General Permit prior to answering this question. You can use the Industrial General Permit Mapping Tool to assist in determining if your facility discharges to Total Maximum Daily Load associated water body/watershed:



General Info Questions Attachments Certify Status History Notes Back To Report Main Back To NOI Summary

Questions 1 Thru 6 Questions 7 Thru 10 Questions 11 Thru 12 Questions: TMDLs

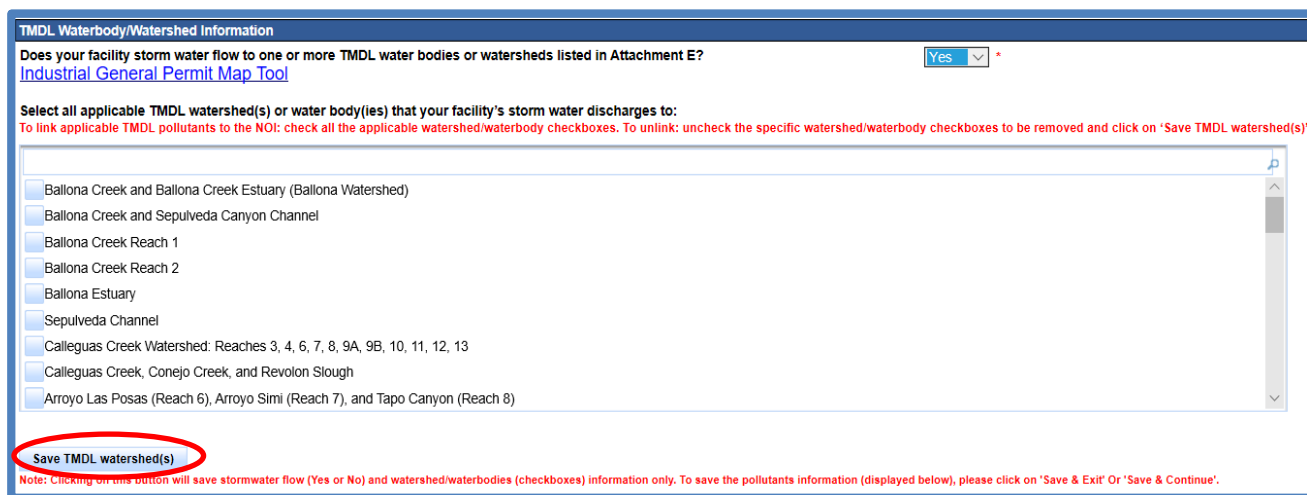
**TMDL Waterbody/Watershed Information**

Does your facility storm water flow to one or more TMDL water bodies or watersheds listed in Attachment E? No \*

[Industrial General Permit Map Tool](#)

If your facility storm water does not flow into a Total Maximum Daily Load associated water body or watershed listed in Attachment E of the Industrial Storm Water General Permit, answer “No” and click on “Save & Next” to go to the next section.

If your facility storm water flows into one or more Total Maximum Daily Load water bodies or watersheds listed in Attachment E of the Industrial Storm Water General Permit, answer “Yes” and applicable water bodies and watersheds will pop up based on the Region you are located in:



**TMDL Waterbody/Watershed Information**

Does your facility storm water flow to one or more TMDL water bodies or watersheds listed in Attachment E? Yes \*

[Industrial General Permit Map Tool](#)

Select all applicable TMDL watershed(s) or water body(ies) that your facility's storm water discharges to:

To link applicable TMDL pollutants to the NOI: check all the applicable watershed/waterbody checkboxes. To unlink: uncheck the specific watershed/waterbody checkboxes to be removed and click on 'Save TMDL watershed(s)'

- ☐ Ballona Creek and Ballona Creek Estuary (Ballona Watershed)
- ☐ Ballona Creek and Sepulveda Canyon Channel
- ☐ Ballona Creek Reach 1
- ☐ Ballona Creek Reach 2
- ☐ Ballona Estuary
- ☐ Sepulveda Channel
- ☐ Calleguas Creek Watershed: Reaches 3, 4, 6, 7, 8, 9A, 9B, 10, 11, 12, 13
- ☐ Calleguas Creek, Conejo Creek, and Revolon Slough
- ☐ Arroyo Las Posas (Reach 6), Arroyo Simi (Reach 7), and Tapo Canyon (Reach 8)

**Save TMDL watershed(s)**

Note: Clicking on this button will save stormwater flow (Yes or No) and watershed/waterbodies (checkboxes) information only. To save the pollutants information (displayed below), please click on 'Save & Exit' Or 'Save & Continue'.



Select the applicable water bodies/watersheds that your facility's storm water directly discharges to. Once you have selected those, click on "Save TMDL watershed(s)." The pollutant parameters pertaining to the selected water bodies/watersheds will appear:

TMDL	Impaired Waterbody/Watershed	Pollutant	Present in Industrial Facility Storm Water Discharge?
Los Angeles and Long Beach Harbor Waters TMDL	Greater Los Angeles/Long Beach Harbor Waters	4,4-DDT	Select ▼ *
Los Angeles and Long Beach Harbor Waters TMDL	Greater Los Angeles/Long Beach Harbor Waters	Copper, Total	Select ▼ *
Los Angeles and Long Beach Harbor Waters TMDL	Greater Los Angeles/Long Beach Harbor Waters	Lead, Total	Select ▼ *
Los Angeles and Long Beach Harbor Waters TMDL	Greater Los Angeles/Long Beach Harbor Waters	Polychlorinated Biphenyls (PCBs), Sum	Select ▼ *
Los Angeles and Long Beach Harbor Waters TMDL	Greater Los Angeles/Long Beach Harbor Waters	Zinc, Total	Select ▼ *

Back Save & Next

Select "Yes" for pollutant parameters that are present in the facility's industrial storm water discharge as identified in the facility's pollutant source assessment. If the pollutant parameters are not present in the facility's industrial storm water discharge, then select "No"<sup>12</sup>. Select "Save & Next" when you have finished selecting the parameters to continue to the "Attachments" tab.

- Once all questions are completed, the Discharger should upload any documentation such as Storm Water Pollution Prevention Plan revisions or other explanatory documentation to the Annual Report. This can be done by clicking on the "Upload Attachment" button. If no attachments are necessary, you may move to the "Certify" Tab by selecting "Next":

General Info	Questions	Attachments	Certify	Status History	Notes	Back To Report Main	Back To NOI Summary
Please click on the "Upload Attachment" button to upload the corresponding files						Upload Attachment	
Attached files: The following are the current documents related to the SWARM Report. Click on the Attachment ID to view them							
Attachment ID	File Type	File Title	File Description	Document Date	Par No		
No records found.							
Back		Next					

If you are adding attachments to this Annual Report, make sure to fill out the all required fields<sup>13</sup>.

<sup>12</sup> **NOTE:** If you are not discharging any of the listed pollutants to the associated TMDL watershed or waterbody, TMDL implementation requirements and monitoring do not apply to your facility.

<sup>13</sup> **NOTE:** For SWPPP revisions please use the file type "SWPPP" for all other Annual Report documents (if any) use the file type "Supporting Documentation".

General Info Questions **Attachments** Certify Status History Notes Back To Report Main Back To NOI Summary

Please click on the "Upload Attachment" button to upload the corresponding files.

Attachment File Type	Attachment Title	File Description	Part No.	Document Date	File Name
SWPPP	SWPPP	Revision to SWPPP	Part 1 of 1		Browse

**Upload Files** Cancel Add New Row

Attached files: The following are the current documents related to the SWARM Report. Click on the Attachment ID to view them.

Click on the "Upload Files" button to refresh the screen and see that the attachment properly uploaded<sup>14</sup>. Once you have checked that it uploaded correctly, select "Next":

General Info Questions **Attachments** Certify Status History Notes Back To Report Main Back To NOI Summary

Please click on the "Upload Attachment" button to upload the corresponding files. **Upload Attachment**

Attached files: The following are the current documents related to the SWARM Report. Click on the Attachment ID to view them.

Attachment ID	File Type	File Title	File Description	Document Date	Part No.	Date Attached	Upload By	Delete
2394196	SWPPP	SWPPP	Revision to SWPPP	05/22/2019	1/1	05/22/2019	Test Tester	Delete

Back **Next**

10. The "Certify" Tab provides a completion check of the Annual Report in progress:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name: Mine Agency: Test  
WDID ID: 5S29I025869 SIC Code(s): 1041-Gold Ores,0213-Hogs  
Reporting Period: 2015-16 Report Status: Not Submitted

General Info Questions Attachments **Certify** Status History Notes Back To Report Main Back To NOI Summary

Before certifying your Annual Report, the system must verify that all required sections have been completed. To perform this check, click the button below:

**Perform Completion Check**

The "Perform Completion Check" function will provide you with a notification of any errors that must be corrected prior to submission of the Annual Report (e.g. All Questions answered). Any user can perform this check. If a Data Entry Person<sup>15</sup> performs the check and the Annual Report is complete, SMARTS only allows the Data Entry Person to notify the Legally Responsible Person or Duly Authorized

<sup>14</sup> **NOTE:** If an incorrect attachment was uploaded you can delete the attachment by selecting "delete" on the right.

<sup>15</sup> Also referred to as DEP in the Industrial Storm Water General Permit and the SMARTS database.

Representative<sup>16</sup> (via a generated SMARTS email) that the Annual Report must be certified and submitted. A Data Entry Person completing this check will see this:

General Info Questions Attachments **Certify** Status History Notes Back To Report Main Back To NOI Summary

[Review and Print Annual Report](#)

The Annual Report Application appears to be complete. A Data Entry Person cannot certify the application. Please contact the Legally Responsible Person, Approved Signatory, or Duly Authorized Representative to certify and submit the application.

**Send Email to LRP/AS**

On Clicking the Send Email to LRP/AS button, the status of the document is updated as Not Submitted - certification required. An email is sent to the LRP/AS informing them that the data entry is complete.

Once the Data Entry Person selects “Send Email to LRP/DAR”, an email will be sent and the status is “Not Submitted – certification required” will be shown as follows:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Agency:	Test
WDID ID:	5S29I025869	SIC Code(s):	1041-Gold Ores 0213-Hoags
Reporting Period	2015-16	Report Status:	<b>Not Submitted - certification required</b>

- The Legally Responsible Person or Duly Authorized Representative must certify and submit the Annual Report. The Legally Responsible Person or Duly Authorized Representative must identify the Annual Report in SMARTS (same steps as outlined by steps 1 - 4 of this guide) and select the Annual Report that requires certification<sup>17</sup>:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Operator Name:	Test
Report Period:	2015-16		

**Annual Report:**

Click on the Report ID below to access the Industrial Annual Report.

Report ID	Report Type	Status	Due Date
<a href="#">849291</a>	Annual Report	<b>Not Submitted - certification required</b>	07/01/2016

The Legally Responsible Person or Duly Authorized Representative must review the prepared Annual Report for information accuracy, prior to continuing to the “Certify” Tab to perform the completion check:

<sup>16</sup> Also referred to as LRP or DAR in the Industrial Storm Water General Permit and the SMARTS database.

<sup>17</sup> **NOTE:** If the Data Entry Person (DEP) has properly sent the Annual Report for certification to the Legally Responsible Person (LRP) or Duly Authorized Representative (DAR), then they can also view reports ready for certification in the “Documents Ready for Certification” SMARTS menu option.

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Agency:	Test
WDID ID:	5S29I025869	SIC Code(s):	1041-Gold Ores,0213-Hogs
Reporting Period	2015-16	Report Status:	Not Submitted - certification required

General Info Questions Attachments **Certify** Status History Notes Back To Report Main Back To NOI Summary

Before certifying your Annual Report, the system must verify that all required sections have been completed. To perform this check, click the button below:

**Perform Completion Check**

12. The Legally Responsible Person or Duly Authorized Representative certifies and submits the Annual Report by selecting the check box, entering their password, answering a security question, then selecting the “Certify Annual Report” button:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Agency:	Test
WDID ID:	5S29I025869	SIC Code(s):	1041-Gold Ores,0213-Hogs
Reporting Period	2015-16	Report Status:	Not Submitted - certification required

General Info Questions Attachments **Certify** Status History Notes Back To Report Main Back To NOI Summary

Completion/Error Check Completed: Report appears to be complete!

**Certification & Submission Checklist :**

[Review and Print Annual Report](#)

Report Certification: You can now certify this Annual Report by completing the form below:

☐ I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Certifier Name: \_\_\_\_\_ Certified Date: 05/31/2016  
Certifier Title: \_\_\_\_\_

Please answer your security question before certifying the document.

In what city did you meet your spouse/significant other? \_\_\_\_\_  
Please enter your password \_\_\_\_\_

**Certify Annual Report**

**Certify Later**

On Clicking the Certify Later button, the status of the document is updated to Not Submitted - certification required. You can later certify it in bulk by going to Applications submitted to LRP for certification (NOI, NOT, Annual Report, Ad Hoc Report, COI) in Pending Documents link in the Main Menu.

A confirmation screen will then confirm submission of the Annual Report in SMARTS and you will be able to print a copy for your records:

**Storm Water Annual Report Monitoring (SWARM)**

Facility Name:	Mine	Agency:	Test
WDID ID:	5S29I025869	SIC Code(s):	1041-Gold Ores,0213-Hogs
Reporting Period	2015-16	Report Status:	<b>Submitted</b>

General Info Questions Attachments **Certify** Status History Notes Back To Report Main Back To NOI Summary

Your electronic Annual Report has been successfully received by the State Water Resources Control Board's database and is hereby certified. Your confirmation information for this certification is as follows:

WDID	5S29I025869
Reporting Period	2015-16
Certifier Name	_____
Certifier Title	Test
Date Certified	05/31/2016
Certification ID	849291

All records must be retained for 5 years from the date of the report or monitoring activity.

**Print Annual Report**