STATEWIDE INDUSTRIAL GENERAL PERMIT

DISCHARGER’S GUIDE TO THE STORM WATER MULTIPLE APPLICATION AND REPORT TRACKING SYSTEM (SMARTS) DATABASE

NO EXPOSURE CERTIFICATION ANNUAL CERTIFICATION

Last Revised: May 24, 2018
SMARTS NEC Annual Certification:

A Discharger must electronically certify and submit No Exposure Certification (NEC) Permit Registration Documents (PRDs) via State Water Resources Control Board’s (State Water Board’s) Storm Water Multi-Application and Report Tracking System (SMARTS) to obtain NEC coverage. A Discharger must maintain a condition of “No Exposure” at the facility for the conditional exclusion to remain applicable. By October 1 of each reporting year, the Legally Responsible Person (LRP) must annually electronically re-certify the NEC via SMARTS demonstrating that the facility has been evaluated, and that none of the Industrial Materials or Activities are, or will be in the foreseeable future, exposed to precipitation. If conditions change resulting in the exposure of materials and activities to storm water, the LRP must electronically certify and submit PRDs via SMARTS for Notice of Intent (NOI) coverage under the Industrial General Permit (IGP).

1. Please log into SMARTS: [https://smarts.waterboards.ca.gov](https://smarts.waterboards.ca.gov)
   Please use SMARTS in Microsoft Edge
2. From the Main menu select “Recertification”:

![Recertification Menu](image)

3. From the Recertification menu, select “Industrial”:

![Industrial](image)

4. Select the WDID hyperlink to open the application for recertification:

![Recertification Menu](image)

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1 **NOTE:** Only application(s) that still need to be recertified will be displayed.
5. Review the NEC details, complete the checklist of 11 questions, and review the recertification statement. The LRP certifies and submits the NEC Recertification by selecting the check box, entering their password, answering a security question, then selecting the “Recertify” button:

![NEC Recertification](image)

I certify under penalty of law that I have read and understand the eligibility requirements for claiming a condition of ‘no exposure’ and obtaining an exclusion from NPDES storm water permitting, and that there are no discharges of storm water contaminated by exposure to industrial activities or materials from the industrial facility identified in this document (except as allowed in subsection C above). I understand that I am obligated to submit a no exposure certification form annually to the State Water Board and, if requested, to the operator of the local Municipal Separate Storm Sewer System (MS4) into which this facility discharges (where applicable). I understand that I must allow the Water Board staff or MS4 operator where the discharge is into the local MS4, to perform inspections to confirm the condition of no exposure and to make such inspection reports publicly available upon request. I understand that I must obtain coverage under an NPDES permit prior to any point source discharge of storm water from the facility. I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based upon my inquiry of the person or persons who manage the system, or those persons directly involved in gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Please enter your password and answer to the security question before recertifying the document.

What is your mother’s maiden name?  

Please enter your password.
6. A confirmation screen will then confirm recertification of the NEC in SMARTS and you will able to print a copy for your records:

If you forget to print and need a copy of the record it can also be found when viewing the NEC and selecting the “Print” tab:
- SMARTS Main Menu
- Industrial General Permit
- Active NOIs, NECs
- Select Application ID/ WDID hyperlink for facility

You will be able to print current NEC which will have the date you completed recertification on the bottom:

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2 NOTE: If “Original NEC” is selected it will display the original submittal date when the NEC was first applied for.