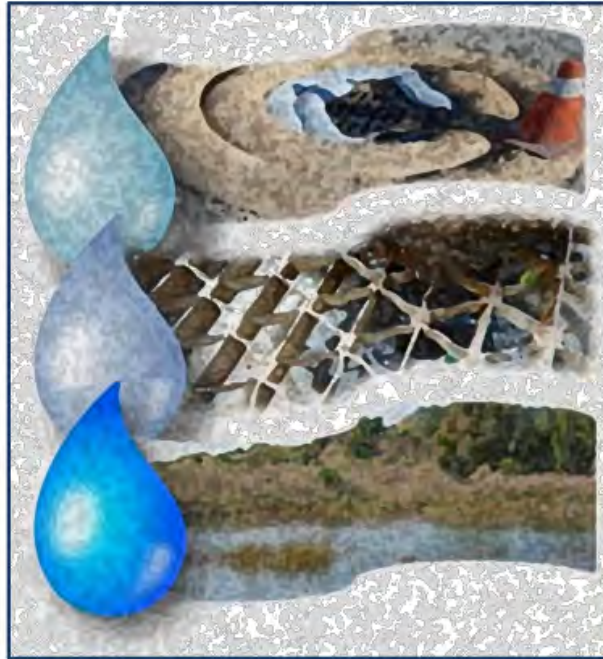


**STATEWIDE INDUSTRIAL STORMWATER GENERAL PERMIT  
DISCHARGER'S GUIDE TO THE STORM WATER MULTIPLE  
APPLICATION AND REPORT TRACKING SYSTEM (SMARTS)  
DATABASE**

**WATER QUALITY BASED  
CORRECTIVE ACTION REPORT**



**Last Revised: July 09, 2021**

### **Water Quality Based Corrective Action Report**

A Discharger is required to complete Water Quality Based Corrective Actions per the Industrial General Permit (Permit) when a discharge from their facility contains a pollutant that causes or contributes to an exceedance of water quality standards. Instances when a Discharger is required to comply with Water Quality Based Corrective Actions include when an exceedance of an applicable Total Maximum Daily Load (TMDL)-related numeric effluent limitation (NEL) (Attachment E) or a receiving water limitation (Permit Order Section VI) occurs. The Water Quality Based Corrective Action requirements are found in Permit Order Section XX.B.

Dischargers who are required to complete Water Quality Based Corrective Actions will need to complete the following:

- Conduct a facility evaluation to identify the pollutant source(s) within the facility and if the best management practices (BMPs) described in a facility's Stormwater Pollution Prevention Plan (SWPPP) are properly implemented.
- Assess the facility's SWPPP and SWPPP implementation to determine if additional best management practices or SWPPP implementation measures are necessary to reduce or prevent pollutant(s) in industrial stormwater discharges to meet the receiving water limitations or the applicable TMDL-related numeric effluent limitation(s).
- Certify and submit documentation in SMARTS based on the facility's evaluation and assessment.

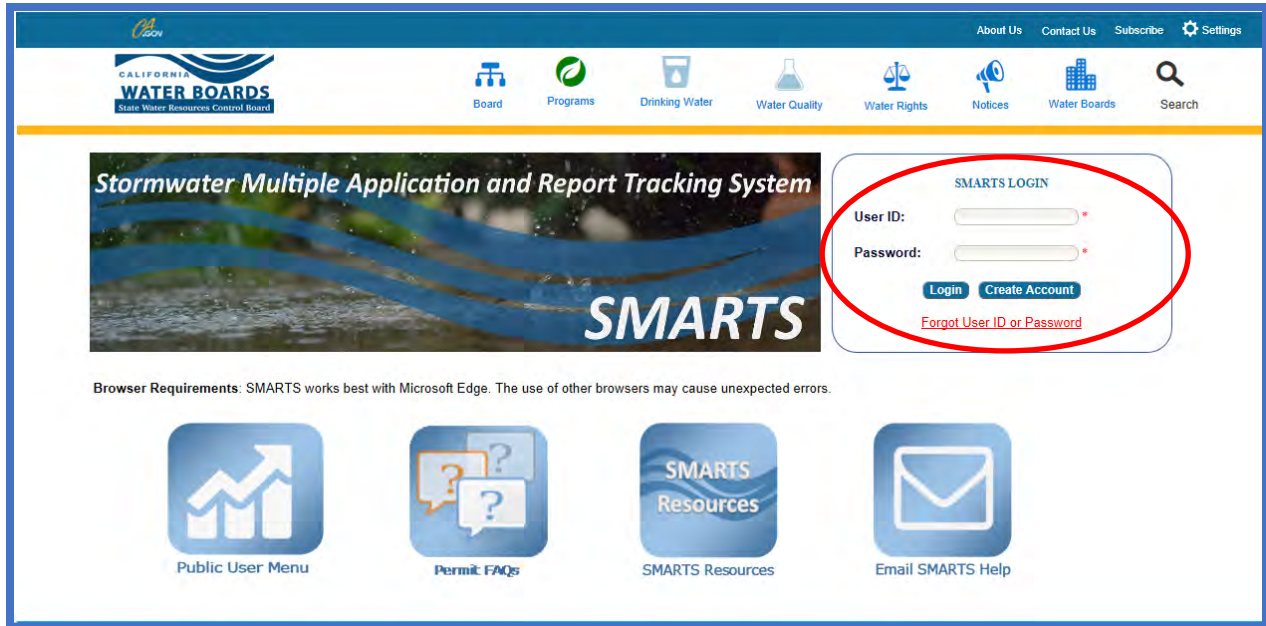
The Regional Water Board can request the Discharger to provide additional information in their documentation. Since there is currently no due date related to these corrective actions, the Regional Water Board may also request that the Water Quality Based Corrective Actions be submitted by a certain date.

If a Discharger is required to conduct both Exceedance Response Actions and Water Quality Based Corrective Actions for a pollutant, they can conduct a site assessment and submit documentation that satisfies both requirements. Any documentation that satisfies both requirements should expressly state that it fulfills both requirements.

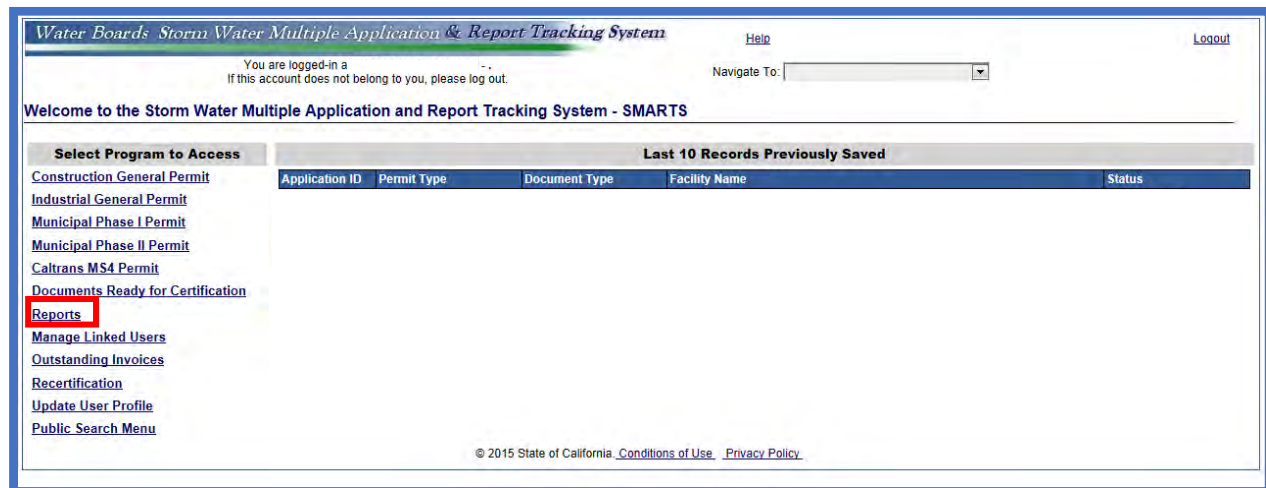
In the Water Quality Based Corrective Action Report module in SMARTS, the user will need to identify the applicable pollutant(s) in violation and will need to prepare and upload related documentation. The report must be certified by the facility's Legally Responsible Person (LRP) or Duly Authorized Representative (DAR). This guidance outlines how to submit Water Quality Based Corrective Actions in SMARTS.

1. Please log into [SMARTS](https://smarts.waterboards.ca.gov) (<https://smarts.waterboards.ca.gov>).

**NOTE: Please use SMARTS in Microsoft Edge.**



2. From the main menu, select “Reports.”



3. Select the reporting period in which the numeric effluent limitation exceedance or receiving water limitation violation occurred and then click "Search".

**Reports Search**

Enter the search criteria below. Only the Reporting Period is required to be selected when searching. If the search does not return any results, try entering fewer search fields. If only the Reporting Period is selected and no results display, you are not correctly linked to the WDID Number. Please contact the Legally Responsible Person to link you to the WDID number. [Back to Main Menu](#)

Reporting Period: Select Reporting Year | Application ID:

WDID:  | Report Status: Select | Region: All

\* fields are mandatory

Verify the Reporting Period before you begin working on a report.

4. Once the reporting period has been selected, click on the Facility Name in which the numeric effluent limitation or receiving water limitation violation occurred. This will lead the user to the Storm Water Annual Report Monitoring (SWARM) page for the Reporting Period of the associated Waste Discharge Identification (WDID) number.

**Reports Search**

Enter the search criteria below. Only the Reporting Period is required to be selected when searching. If the search does not return any results, try entering fewer search fields. If only the Reporting Period is selected and no results display, you are not correctly linked to the WDID Number. Please contact the Legally Responsible Person to link you to the WDID number. [Back to Main Menu](#)

Reporting Period: 2020 - 2021 \* | Application ID:

WDID:  | Report Status: Select | Region: All

\* fields are mandatory

Verify the Reporting Period before you begin working on a report.

**Industrial Reports**

1 (1 of 1) | Display 10 per page

Facility Name	WDID/App ID	Facility Address	Report Type	Report Period	Status	No. Ad Hoc	Receipt Date	Remand	Delete	Required
<a href="#">Test Owner Co</a>		234 test	Annual Report	07/01/2020-06/30/2021	Not Submitted	1				Y
<span style="border: 1px solid red; padding: 2px;"><a href="#">Test Winery</a></span>		1001 I St	Annual Report	07/01/2020-06/30/2021	Not Submitted					N

5. On the Storm Water Annual Report Monitoring (SWARM) page, select the “New Corrective Action Report” button to start a new report.

You are logged-in as: Test Winery  
If this account does not belong to you, please log out.      Navigate To: [Dropdown]

### Storm Water Annual Report Monitoring (SWARM)

Facility Name: Test Winery      Operator Name:      WDID:      Report Period: 2020-21

**Annual Report:**  
Click on the Report ID below to access the Industrial Annual Report.

Report ID	Report Type	Status	Due Date	Date Submitted	Submitted By	Remand
1066498	Annual Report	Not Submitted	07/15/2021			

**Ad Hoc Report:**     

Click on the "New Ad Hoc Report" button to start a new Industrial Ad Hoc Report. The electronic Ad Hoc Report screens are used to enter Rain Event and Non-Storm Water Discharge Event sampling/monitoring data collected. Ad Hoc Reports associated with this Annual Report are listed below. Click on the Event ID link to access an Ad Hoc Report. The submitted Ad Hoc Reports cannot Remand after report End Date.

Event ID	Event Type	Start Date & Time	End Date & Time	Status	Received Date	Remand	Delete
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**Water Quality Based Corrective Actions**     

Click on the Report ID below to access the Report.

Report ID	Report Type	Status	Due Date	Date Submitted	Submitted By	Report Action	Remand
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6. In the General Info tab of the report module, select a pollutant parameter in which the numeric effluent limitation exceedance or receiving water limitation violation occurred. Once the pollutant parameter is selected, select one of the following statements:
  - Additional BMPs and/or SWPPP implementation measures have been identified and included in the SWPPP to meet the receiving water limitations (Section VI) or applicable NELs (Attachment E); **or**
  - No additional BMPs or SWPPP implementation measures are required to reduce or prevent pollutants in industrial storm water discharges to meet the receiving water limitations (Section VI) or applicable NELs (Attachment E).

Once the pollutant parameter is selected and one of the statements are selected, click on “Save Changes” and the information will populate in the table. Multiple pollutants can be selected in one report.

General Info   Attachments   Certify   Status History   Back to Report Main

Pollutant\*      Certify one of the following:      Remove

No records found.

      Additional BMPs and/or SWPPP implementation measures have been identified and included in the SWPPP to meet the Receiving Water Limitations (Section VI) or applicable NELs (Attachment E)

No additional BMPs or SWPPP implementation measures are required to reduce or prevent pollutants in industrial storm water discharges to meet the Receiving Water Limitations (Section VI) or applicable NELs (Attachment E)

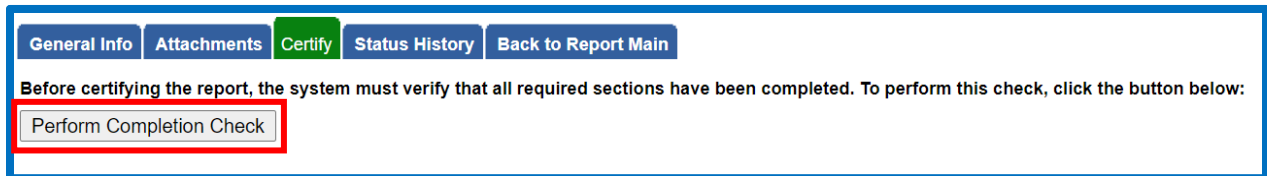
 

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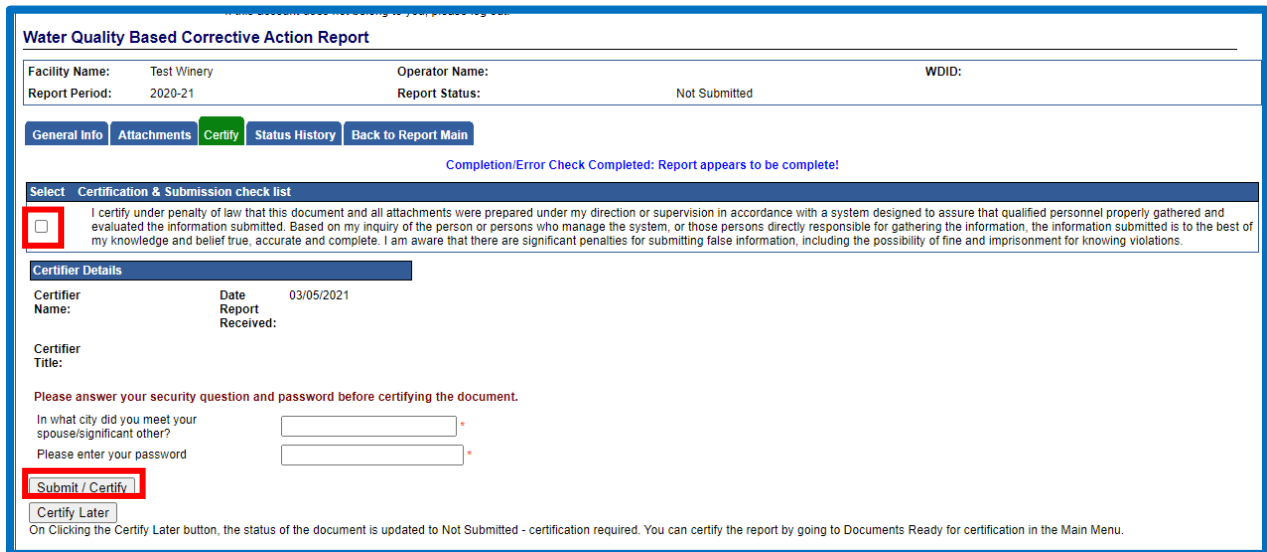
7. After all applicable pollutants have been selected and changes have been saved, click “Next” to proceed to the Attachments tab.



10. Click on “Perform Completion Check” in the Certify tab. The “Perform Completion Check” function will provide the user with a notification of any errors that must be corrected prior to submission of the Water Quality Based Corrective Action Report. (e.g., correct attachments uploaded). Any user can perform this check. If a Data Entry Person (DEP) performs the check and the Water Quality Based Corrective Action Report is complete, SMARTS only allows the Data Entry Person to notify the Legally Responsible Person or Duly Authorized Representative (via a generated SMARTS email) that the Water Quality Based Corrective Action Report must be certified and submitted.



11. The Legally Responsible Person or Duly Authorized Representative will need to certify and submit the Water Quality Based Corrective Action Report by selecting the check box, entering their password, answering a security question, and selecting the “Submit/Certify” button.



12. A confirmation screen will then confirm submission of the Water Quality Based Corrective Action Report in SMARTS.

General Info Attachments **Certify** Status History Back to Report Main

Your electronic event Report has been successfully received by the State Water Resources Control Board's database and is hereby certified. Your confirmation information for this certification is as follows:

WDID
Report Period 2020-21
Certifier Name
Date Certified 06/29/2021
Certification ID 1145091

All records must be retained for 5 years from the date of the report or monitoring activity.

13. On the Storm Water Annual Report Monitoring (SWARM) page, a user may access the report any time by using the Report ID. If a user needs to make changes to the report, remand the report and click on the Report ID.

**Water Quality Based Corrective Actions:**

Click on the Report ID below to access the Report.

Report ID	Report Type	Status	Date Submitted	Submitted By	Report Action	Remand
1148993	WQBCA Report	Submitted	04/22/2021			Remand