

**STATEWIDE CONSTRUCTION STORMWATER
GENERAL PERMIT**

**DISCHARGER'S GUIDE TO THE STORMWATER MULTIPLE
APPLICATION AND REPORT TRACKING SYSTEM (SMARTS)
DATABASE**

ANNUAL REPORT



Last Revision October 2024

Construction Stormwater General Permit Annual Report:

Construction stormwater dischargers with a Waste Discharge Identification (WDID) number active for at least three months in the reporting year (July 1st to June 30th) are required to submit an Annual Report no later than September 1st following each reporting year. The Annual Report is submitted via the Stormwater Multiple Application and Report Tracking System (SMARTS).

The Annual Report is a list of questions summarizing the site's compliance with all applicable requirements of the Construction Stormwater General Permit. A Compliance Summary spreadsheet tracking inspection and monitoring information is a required attachment. The Annual Report may be filled out by any SMARTS user linked to the WDID number; however, only a Legally Responsible Person (LRP) or Duly Authorized Representative (DAR) with a valid eAuthorization form on file may certify the report.

The following steps guide the SMARTS user through submittal of an Annual Report:

1. Log into [SMARTS](https://smarts.waterboards.ca.gov) (<https://smarts.waterboards.ca.gov>)

Browser Requirements: SMARTS best works with Google Chrome or Microsoft Edge. Use of other browsers may cause unexpected errors.

California WATER BOARDS
State Water Resources Control Board

Board Programs Drinking Water Water Quality Water Rights Notices Water Boards Search

Stormwater Multiple Application and Report Tracking System

SMARTS

SMARTS LOGIN

User ID: *

Password: *

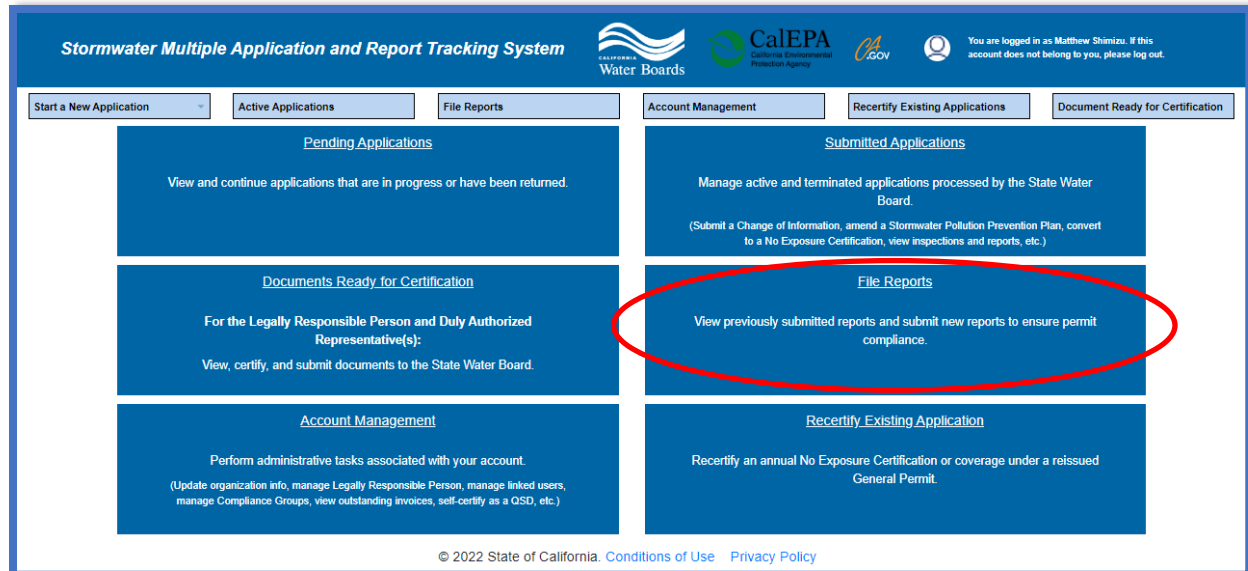
Login Create Account

[Forgot User ID or Password](#)

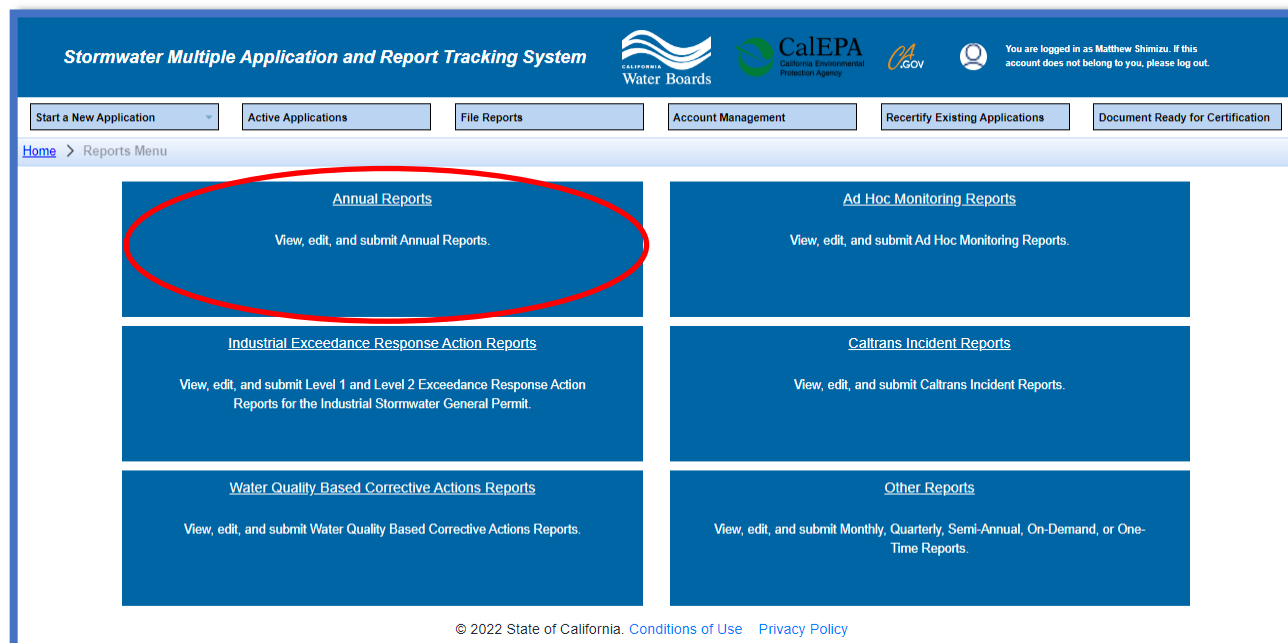
Browser Requirements: SMARTS works best with Microsoft Edge. The use of other browsers may cause unexpected errors.

Public User Menu Permit FAQs SMARTS Resources Email SMARTS Help

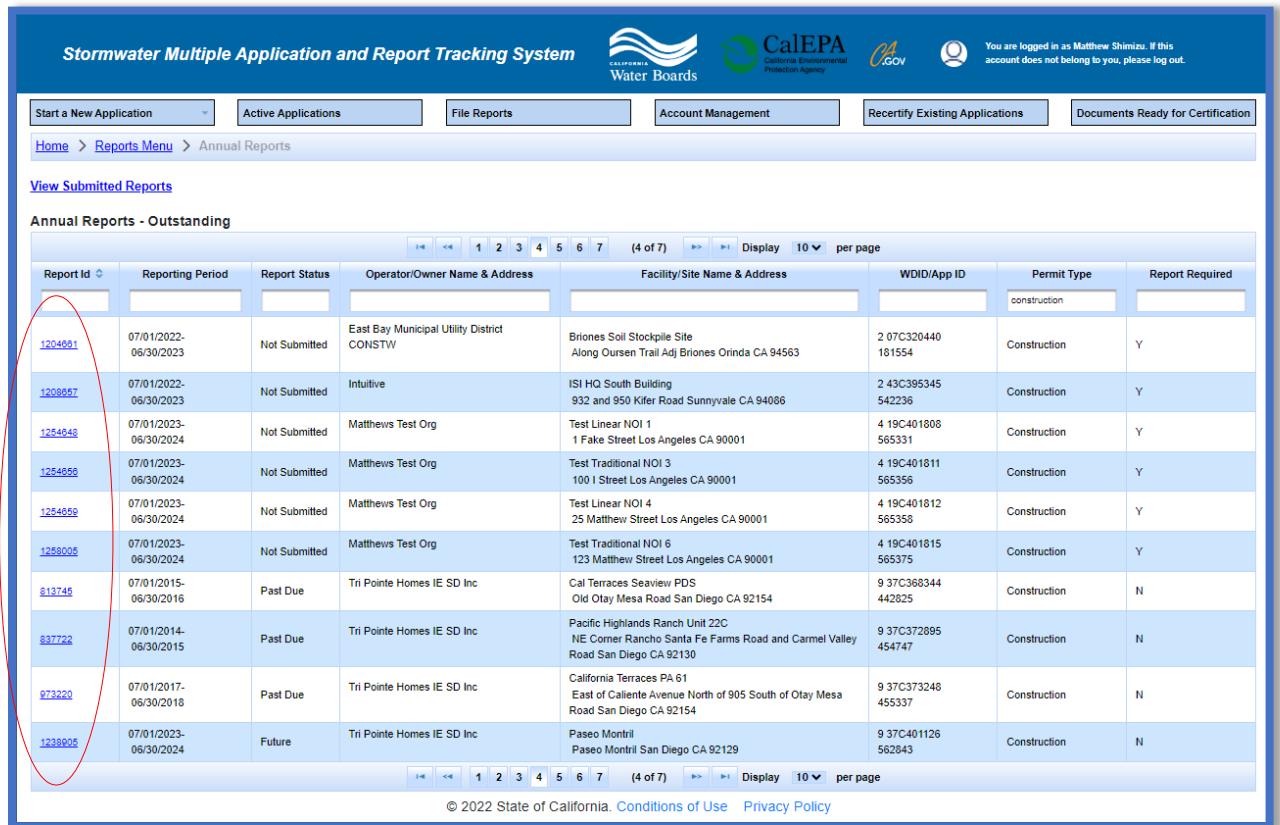
2. From the main menu, select “File Reports”.



3. Select “Annual Reports”.



- Select the applicable WDID number and click on the “Report Id” hyperlink to open the Annual Report. Users may query the specific WDID’s report by using the search boxes at the top of the table.



Stormwater Multiple Application and Report Tracking System

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

Home > Reports Menu > Annual Reports

[View Submitted Reports](#)

Annual Reports - Outstanding

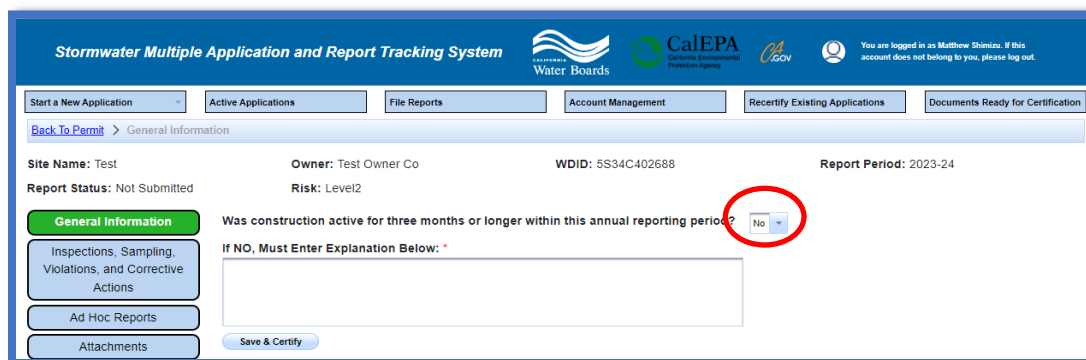
Report Id	Reporting Period	Report Status	Operator/Owner Name & Address	Facility/Site Name & Address	WDID/App ID	Permit Type	Report Required
1204681	07/01/2022-06/30/2023	Not Submitted	East Bay Municipal Utility District CONSTW	Briones Soil Stockpile Site Along Oursen Trail Adj Briones Orinda CA 94563	2 07C320440 181554	Construction	Y
1208957	07/01/2022-06/30/2023	Not Submitted	Intuitive	ISI HQ South Building 932 and 950 Kifer Road Sunnyvale CA 94086	2 43C395345 542236	Construction	Y
1254648	07/01/2023-06/30/2024	Not Submitted	Matthevs Test Org	Test Linear NOI 1 1 Fake Street Los Angeles CA 90001	4 19C401808 565331	Construction	Y
1254656	07/01/2023-06/30/2024	Not Submitted	Matthevs Test Org	Test Traditional NOI 3 100 I Street Los Angeles CA 90001	4 19C401811 565356	Construction	Y
1254659	07/01/2023-06/30/2024	Not Submitted	Matthevs Test Org	Test Linear NOI 4 25 Matthew Street Los Angeles CA 90001	4 19C401812 565358	Construction	Y
1258005	07/01/2023-06/30/2024	Not Submitted	Matthevs Test Org	Test Traditional NOI 6 123 Matthew Street Los Angeles CA 90001	4 19C401815 565375	Construction	Y
813745	07/01/2015-06/30/2016	Past Due	Tri Pointe Homes IE SD Inc	Cal Terraces Seaview PDS Old Otay Mesa Road San Diego CA 92154	9 37C368344 442825	Construction	N
837722	07/01/2014-06/30/2015	Past Due	Tri Pointe Homes IE SD Inc	Pacific Highlands Ranch Unit 22C NE Corner Rancho Santa Fe Farms Road and Carmel Valley Road San Diego CA 92130	9 37C372895 454747	Construction	N
973220	07/01/2017-06/30/2018	Past Due	Tri Pointe Homes IE SD Inc	California Terraces PA 61 East of Caliente Avenue North of 905 South of Otay Mesa Road San Diego CA 92154	9 37C373248 455337	Construction	N
1238905	07/01/2023-06/30/2024	Future	Tri Pointe Homes IE SD Inc	Paseo Montril Paseo Montril San Diego CA 92129	9 37C401126 562843	Construction	N

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5. General Information

Start the Annual Report by answering the question: “Was construction active for three months or longer within this annual reporting period?”

- If “Yes”, continue to complete the remaining report questions:
- If “No”, enter an explanation and submit the annual report by clicking “Save and Certify”¹:



Stormwater Multiple Application and Report Tracking System

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

[Back To Permit](#) > General Information

Site Name: Test Owner: Test Owner Co WDID: 5S34C402688 Report Period: 2023-24

Report Status: Not Submitted Risk: Level2

General Information Was construction active for three months or longer within this annual reporting period? **No**

If NO, Must Enter Explanation Below: *

Inspections, Sampling, Violations, and Corrective Actions

Ad Hoc Reports

Attachments

Save & Certify

¹ **NOTE:** All questions and fields with a red asterisk are required and must be answered to continue.

6. Inspections, Sampling, Violations, and Corrective Actions

Start by answering all of the questions in the drop-down lists for each section and obtain the Annual Report Compliance Summary document² by clicking the “Download” button at the top of the screen. Data from the submitted ad hoc reports within the reporting period is displayed on this tab.

Note: The “Compliance Summary” document can be uploaded on either this tab or the “Attachments” tab. Once uploaded, the document is displayed on both tabs.

Please use the provided Compliance Summary, or other documentation, throughout the reporting year to submit the required annual report information.

[Download](#)

Visual Inspection Exceptions

Were there any visual inspection exceptions? *

Please enter the required information for the 'Visual Inspection Exceptions' section within the Compliance Summary.

Sampling

Sampling data is obtained from submitted ad hoc reports within the reporting period.

Drainage Area	Monitoring Location	Sample Date/Time	TMDL Impaired Waterbody/Watershed	Parameter	Result	Units	Analytical Method	Method Detection Limit (MDL)	Analyzed By	Qualified SWPPP Practitioner
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	Dieldrin	= 1	ug/L		0.1	LAB	test
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	Nitrogen, Total (as N)	= 1	mg/L	E360.1	0.1	SELF	test
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	Phosphorus, Total (as P)	= 1	mg/L	E365.1	0.1	SELF	test
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	pH	= 2	SU	pH_Paper	0.1	LAB	test
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	Polychlorinated Biphenyls (PCBs), Sum	= 1	mg/L	DU	0.1	SELF	test
Test	Test	2024-08-14 08:00:00.0 - 08:00	Ballona Estuary	Turbidity	= 54	NTU	E180.1	0.1	LAB	test

Were there any sampling exceptions? *

Violations

Were there any General Permit violations? *

Attachments

Please click on the "Upload Attachment" button to upload the corresponding files. [Upload Attachment](#)

Attached files: The following are the current documents related to the SWARM Report (General Info). Click on the Attachment ID to view them

Attachment ID	File Type	File Title	File Description	Document Date	Part No.	Date Attached	Upload By	Delete
No records found.								

[Save and Continue](#)

² **NOTE:** The Annual Report Compliance Summary document is a spreadsheet to document data throughout the reporting year. Users may use the provided Compliance Summary document or a similar document per Order, Section IV.P.3.

7. Ad Hoc Reports

This tab allows users to create new Ad Hoc Reports or view ad hoc reports previously started and their statuses³. Users can create new Ad Hoc Reports by selecting the “Start New Ad Hoc Report” button.

The screenshot shows the 'Ad Hoc Reports' section of the system. The left sidebar contains navigation buttons: General Information, Inspections, Sampling, Violations, and Corrective Actions, Ad Hoc Reports (highlighted in green), Attachments, Certification, Status History, and Notes. The main content area displays the following information:

- Site Name: Test Traditional NOI 3
- Owner: Matthews Test Org
- WDID: 4 19C401811
- Report Period: 2023-24
- Report Status: Not Submitted
- Risk: Level2

A message states: "To submit monitoring data please start a new Ad Hoc Report." Below this, a button labeled "Start New Ad Hoc Report" is circled in red. A data summary table follows:

Report ID	Reporting Period	Report Status	Status Date	Event Type	Delete
1254557	07/02/2023 - 07/05/2023	Submitted	08/31/2023 13:51:25	Dewatering Event	Delete

At the bottom, there is a "Continue" button and a copyright notice: "© 2022 State of California. Conditions of Use Privacy Policy".

8. Attachments

This tab allows users to upload additional documents or other relevant data. If no additional attachments are required, move to the “Certification” tab by selecting the “Continue” button. To upload documents, select the “Upload Attachment” button.

The screenshot shows the 'Attachments' section of the system. The left sidebar contains navigation buttons: General Information, Inspections, Sampling, Violations, and Corrective Actions, Ad Hoc Reports, Attachments (highlighted in green), Certification, Status History, and Notes. The main content area displays the following information:

- Site Name: Test Traditional NOI 3
- Owner: Matthews Test Org
- WDID: 4 19C401811
- Report Period: 2023-24
- Report Status: Not Submitted
- Risk: Level2

A message states: "Please click on the 'Upload Attachment' button to upload the corresponding files." Below this, a button labeled "Upload Attachment" is circled in red. A table titled "Attached files: The following are the current documents related to the SWARM report. Click on the Attachment ID to view them." is shown:

Attachment ID	File Type	File Title	File Description	Document Date	Part No.	Date Attached	Upload By	Delete
No records found.								

At the bottom, there is a "Continue" button and a copyright notice: "© 2022 State of California. Conditions of Use Privacy Policy".

³ **NOTE:** Only ad hoc reports within the same reporting period are displayed.

- **Attachment File Type:** Select “SWPPP”, “Facility/Site Map”, or other file types corresponding to the required documents. Additional files such as “Supporting Documentation” may be attached as applicable.
- **Attachment Title:** Enter the title of the document.
- **Choose File:** Locate the file on the computer and select it.
- **Upload Files:** Select “Upload Files” when all attachments are ready to be uploaded.

Note: The maximum file size is 100MB and the file name cannot be longer than 30 characters and does not contain any special characters or symbols.

Please click on the "Upload Attachment" button to upload the corresponding files.

Attachment File Type	Attachment Title	File Description	Part No.	Document Date	File Name
Select			Part of		Choose File No file chosen

Upload Files Cancel Add New Row

Attached files: The following are the current documents related to the SWARM Report. Click on the Attachment ID to view them.

Attachment ID	File Type	File Title	File Description	Document Date	Part No.	Date Attached	Upload By	Delete
No records found.								

Continue

- Once the documents are uploaded correctly, select “Continue”.

Please click on the "Upload Attachment" button to upload the corresponding files. Upload Attachment

Attached files: The following are the current documents related to the SWARM Report. Click on the Attachment ID to view them.

Attachment ID	File Type	File Title	File Description	Document Date	Part No.	Date Attached	Upload By	Delete
3722567	Compliance Summary	Test Compliance Summary			/	10/08/2024	Matthew Shimizu	Delete

Continue

9. Certification

This tab provides a completion check for the Annual Report to verify the mandatory questions are answered.

Stormwater Multiple Application and Report Tracking System

Water Boards CalEPA California Environmental Protection Agency AGOV

You are logged in as Matthew Shimizu. If this account does not belong to you, please log out.

Start a New Application Active Applications File Reports Account Management Recertify Existing Applications Documents Ready for Certification

Back To Permit > Certification

Site Name: Test Traditional NOI 3 Owner: Matthews Test Org WDID: 4 19C401811 Report Period: 2023-24

Report Status: Not Submitted Risk: Level2

General Information Perform Completion Check

Inspections, Sampling, Violations, and Corrective Actions

Ad Hoc Reports

Attachments

Certification

Status History

Notes

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Any user may perform this check, but if a Data Entry Person (DEP) performs the check and the report is complete, the system provides a “Notify LRP/DAR” button. Pressing the button notifies the LRP or DAR that the report is completed and requires their certification. This also updates the report’s status to “Not Submitted-certification required”.

LRP or DAR Certification

There are two options for the LRP or DAR to submit the Annual Report:

- a. If the DEP has properly sent the Annual Report for certification to the LRP or DAR and set the report status to “Not Submitted – certification required”, the LRP or DAR may access the “Documents Ready for Certification” SMARTS menu option. Here the LRP or DAR can see all items marked for their certification.
 - Select the report to certify by checking the box next to the Report ID.
 - Check the box next to the certification statement, answer the security questions, and enter the user account password.
 - Click “Certify Selected” to submit the report.

Annual Reports

Select	Report ID	Reporting Period	WDID	Permit Type	Operator/Owner Name & Address	Facility/Site Name & Address	Annual Report PDF
<input type="checkbox"/>	1255142	07/01/2023-06/30/2024	5S34C373653	Construction	Test	Boo Boo 11020 Sun Center Drive Rancho Cordova CA 95670	Annual PDF

Certification & Submission Checklist

You can now certify the above documents by completing the form below:

I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system or those persons directly responsible for gathering the information, to the best of my knowledge and belief, the information submitted is, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations. I am also aware that my user ID and password constitute my electronic signature and any information I indicate I am electronically certifying contains my signature. I understand that my electronic signature is the legal equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is for my own use, that I will keep it confidential, and that I will not delegate or share it with any other person. Should I wish to delegate such authority, I will do so formally in writing and electronically notify the State Water Board using SMARTS of such delegation within 10 days of the delegation. I further certify that I will protect my electronic signature from unauthorized use, and that I will contact the State Water Board, within two business days of discovery, if I suspect that my electronic signature has been lost, stolen, or otherwise compromised.

☐ I certify

Certified By

Certifier Name: Matthew Shimizu
Certifier Title: Scientific Aid
Date: 02/02/2024

Please answer your security question before certifying the document.

What was your High School Mascot?

Enter your password:

Note: If a checkbox is not available under the “Select” column, then the LRP or DAR does not have their signed Electronic Authorization Form on file. Once the signed form is on file, the LRP or DAR may select that checkbox.

- b. If the report is not listed in the “Documents Ready for Certification” section, the LRP or DAR may access the report directly.
 - Log in and go to “Reports” in the main menu.
 - Go to the Annual Reports.
 - Locate the applicable WDID number.
 - Click on the “Certification” tab and perform the completion check.
 - After reading the certification statement check the box, answer the security questions, and enter the user account password.

- “Certify Selected” to submit the report.

Stormwater Multiple Application and Report Tracking System

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

[Back To Permit](#) > Certification

Site Name: Test Traditional NOI 3 | Owner: Matthews Test Org | WIDID: 4 19C401811 | Report Period: 2023-24
Report Status: Not Submitted | Risk: Level2

General Information | Inspections, Sampling, Violations, and Corrective Actions | Ad Hoc Reports | Attachments | **Certification** | Status History | Notes

Completion/Error Check Completed: Report appears to be complete!

Please take a moment to review and print (if necessary).
[Review & Print Annual Report](#)

Report Certification: You can now certify this Report by completing the form below:

Select Certification & Submission check list

☐ I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

Certifier Details	
Certifier Name:	Matthew Shimizu
Certifier Title:	Scientific Aid
Date:	10/04/2023

Please answer your security question before certifying the document.

What city were you born?

Please enter your password

Certify Annual Report | Certify Later

On Clicking the Certify Later button, the status of the document is updated to Not Submitted - certification required. You can later certify it in bulk by going to Not Submitted - certification required Documents in Pending Documents link in the Main Menu.

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A confirmation screen displays verifying submission of the Annual Report in SMARTS. Users may download a copy for personal records by selecting the “Download Copy of Record” button. The “Copy of Record” is a zip file that contains information pertaining to the submitted Annual Report. It contains a PDF summary of the submitted report and any uploaded attachments.

Stormwater Multiple Application and Report Tracking System

Start a New Application | Active Applications | File Reports | Account Management | Recertify Existing Applications | Documents Ready for Certification

[Back To Permit](#) > Certification

Site Name: Test Traditional NOI 1 | Owner: Test Org | WIDID: 4 19C402302 | Report Period: 2023-24
Report Status: Submitted | Risk: Level2

General Information | Inspections, Sampling, Violations, and Corrective Actions | Ad Hoc Reports | Attachments | **Certification** | Status History | Notes

Completion/Error Check Completed: Report appears to be complete!

Please take a moment to review and print (if necessary).
[Review & Print Annual Report](#)

Your electronic event Report has been successfully received by the State Water Resources Control Board's database and is hereby certified. Your confirmation information for this certification is as follows:

WIDID	4 19C402302
Report Period	2023-24
Certifier Name	Matthew Shimizu
Date Certified	10/10/2023
Certification ID	1267204

Please print out this screen as proof of certification. You will not be allowed to make any further changes to the certified report. If you need to correct any information you must contact your Regional Board representative.

All records must be retained for 5 years from the date of the report or monitoring activity.

Download Copy of Record

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