

FISCAL YEAR 2012-2013 QUARTERLY REPORT

CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Cleanup Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 6-30-13.

GEO. REGION¹	#CLAIMS PAID²	AMT. PAID TO CLAIMS³	#CLAIMS CLOSED⁴	AMT. PAID FOR CLOSED CLAIMS⁵	AVG. AMT. PER CLOSED SITE⁶	%CLAIMS CLOSED⁷
1	1,037	\$254,944,216	763	\$120,495,525	\$165,972	73%
2	2,512	\$594,182,859	1,997	\$329,044,843	\$173,364	79%
3	585	\$199,741,002	393	\$81,447,140	\$224,992	67%
4	2,047	\$674,569,873	1,593	\$378,062,316	\$256,140	78%
5	2,779	\$806,738,728	2,053	\$399,508,124	\$204,143	74%
6	284	\$100,945,638	225	\$61,179,956	\$283,241	79%
7	192	\$46,644,769	134	\$20,631,052	\$169,107	70%
8	1,017	\$377,601,161	763	\$193,319,688	\$269,247	75%
9	902	\$217,525,442	707	\$112,924,948	\$168,545	78%
TOTAL	11,355	\$3,272,893,688	8,638	\$1,696,613,592	\$208,301	75%

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, and LIAs

² #Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

³ Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

⁴ #Claims Closed – Number of claims closed since the inception of the Fund

⁵ Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

⁶ Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

⁷ % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

CLAIM STATUS INFORMATION

1 st QUARTER OF FY 12/13 (Jul – Sep)					2 nd QUARTER OF FY 12/13 (Oct – Dec)				
GEO. REGION ¹	ACTIVE CLAIMS ²	CLAIMS ON PRIORITY LIST ³	CLAIMS CLOSED ⁴ -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	303	155	11	11	1	287	153	18	29
2	574	1,113	17	17	2	544	1,112	32	49
3	212	221	9	9	3	205	221	7	16
4	541	1,211	21	21	4	509	1,211	32	53
5	804	811	23	23	5	779	807	32	55
6	68	105	5	5	6	62	104	7	12
7	65	59	5	5	7	62	59	3	8
8	279	603	9	9	8	267	602	13	22
9	211	473	4	4	9	199	473	12	16
TOTAL	3,057	4,751	104	104	TOTAL	2,914	4,742	156	260

3 rd QUARTER OF FY 12/13 (Jan – Mar)					4 th QUARTER OF FY 12/13 (Apr – Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR	CLAIMS CLOSED -YTD-
1	288	148	4	33	1	274	149	15	48
2	537	1,111	12	61	2	514	1,106	38	99
3	198	223	8	24	3	192	226	12	36
4	483	1,176	19	72	4	454	1,176	41	113
5	760	804	23	78	5	726	805	46	124
6	62	104	0	12	6	59	102	5	17
7	61	62	1	9	7	58	61	4	13
8	259	595	11	33	8	254	599	12	45
9	197	470	3	19	9	195	474	5	24
TOTAL	2,845	4,693	81	341	TOTAL	2,726	4,698	178	519

¹ Region – Includes all cases overseen by Regional Water Boards, LOPs, LIAs

² Active Claims – All currently active claims

³ Claims on Priority List – All claims waiting for activation and subsequent funding

⁴ Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

PAYMENTS INFORMATION

1ST QUARTER OF FY 12/13 (Jul - Sep)

PRIORITY	AWAITING REVIEW ¹		BEING REVIEWED ²		AWAITING FUNDS ³ (ON HOLD)		GRAND TOTAL IN PROCESS ⁴		RELEASED PAYMENTS ⁵	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	2	\$44,310	1	\$3,956	0	\$0	3	\$48,266	27	\$370,245
B	66	\$1,918,042	7	\$66,650	0	\$0	73	\$1,984,692	686	\$15,033,888
C	270	\$7,188,532	829	\$28,865,192	71	\$951,278	1,170	\$37,005,002	453	\$12,030,847
D	61	\$2,858,931	33	\$568,160	52	\$1,448,893	146	\$9,875,984	221	\$14,319,999
TOTAL	399	\$12,009,815	1,172	\$44,251,891	123	\$2,400,171	1,392	\$48,913,944	1,387	\$41,754,979

2ND QUARTER OF FY 12/13 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	3	\$102,125	1	\$55,240	4	\$157,365	19	\$232,892
B	357	\$8,469,378	150	\$1,794,978	144	\$2,520,452	651	\$12,784,808	636	\$12,457,362
C	224	\$5,138,152	79	\$1,631,630	166	\$3,064,680	469	\$9,834,462	328	\$7,085,560
D	34	\$1,399,308	55	\$526,163	0	\$0	89	\$1,925,471	99	\$5,493,527
TOTAL	615	\$15,006,838	288	\$4,054,896	312	\$5,641,392	1,247	\$24,702,106	1,082	\$25,269,341

3RD QUARTER OF FY 12/13 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	5	\$91,547	0	\$0	5	\$91,547	32	\$411,818
B	416	\$9,229,824	553	\$18,947,791	293	\$4,718,889	1,262	\$32,896,504	850	\$17,746,832
C	210	\$4,491,221	230	\$8,212,172	476	\$9,751,656	916	\$22,455,049	496	\$10,514,583
D	38	\$1,109,399	92	\$8,400,044	0	\$0	130	\$9,509,433	53	\$3,790,446
TOTAL	664	\$14,830,444	880	\$35,654,782	769	\$14,470,545	2,313	\$64,952,533	1,431	\$32,463,679

4TH QUARTER OF FY 12/13 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	4	\$108,795	0	\$0	4	\$0	7	\$180,934
B	254	\$6,652,414	538	\$17,151,818	0	\$0	792	\$23,804,232	1,166	\$23,353,984
C	216	\$5,645,221	229	\$6,940,755	32	\$428,251	477	\$13,014,227	453	\$8,435,358
D	29	\$1,970,030	90	\$6,344,279	525	\$11,181,272	644	\$19,495,581	103	\$7,296,511
TOTAL	499	\$14,267,665	861	\$30,545,647	557	\$11,609,523	1,917	\$56,314,040	1,729	\$39,266,787

#RRs - YTD	5,629
APPROVED FOR PAYMENT - YTD ⁶	\$138,754,786

¹ Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RR and dollars requested)

² Being Reviewed – RR being reviewed during the quarter (number of RR and dollars requested)

³ Awaiting Funds – RR approved and waiting for available funding (number of RR and dollars approved)

⁴ Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding

⁵ Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved

⁶ Approved for Payment – YTD – Year-to-date RRs approved for payment

ACCOUNTS RECEIVABLE INFORMATION

UST ACCOUNTS RECEIVABLE – 1ST QUARTER OF FY 12/13 (Jul – Sep)

PRIORITY	1 ST NOTICES ¹	2 ND NOTICES	3 RD NOTICES ²	SENT TO COLLECTIONS ³	AMT. COLLECTED	SCO PENDING DISCHARGE ⁴	SCO DISCHARGE APPROVED
A	\$355,211	\$0	\$0	\$0	\$0	\$0	\$0
B	\$7,570,121	\$81,551	\$366,890	\$0	\$82,578	\$20,528	\$0
C	\$3,005,544	\$112	\$112	\$0	\$0	\$0	\$0
D	\$1,046,542	\$0	\$14,208	\$0	\$0	\$0	\$0
TOTAL	\$11,977,418	\$81,663	\$381,210	\$0	\$82,578	\$20,528	\$0

UST ACCOUNTS RECEIVABLE – 2ND QUARTER OF FY 12/13 (Oct – Dec)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$136,702	\$106,467	\$2,077	\$0	\$0	\$0	\$0
B	\$7,256,224	\$5,253,427	\$929,333	\$11,784	\$36,729	\$15,834	\$4,693
C	\$3,964,620	\$1,841,269	\$234,202	\$0	\$0	\$0	\$0
D	\$813,317	\$604,784	\$38,163	\$0	\$0	\$0	\$0
TOTAL	\$12,170,863	\$7,805,947	\$1,203,775	\$11,784	\$36,729	\$15,834	\$4,693

UST ACCOUNTS RECEIVABLE – 3RD QUARTER OF FY 12/13 (Jan – Mar)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$78,186	\$662	\$662	\$0	\$0	\$0	\$0
B	\$4,253,610	\$1,361,075	\$1,172,557	\$0	\$18,050	\$467	\$15,366
C	\$2,121,791	\$223,557	\$212,790	\$0	\$0	\$0	\$0
D	\$728,962	\$325,268	\$58,674	\$0	\$0	\$0	\$0
TOTAL	\$7,182,549	\$1,910,268	\$1,444,683	\$0	\$18,050	\$467	\$15,366

UST ACCOUNTS RECEIVABLE – 4TH QUARTER OF FY 12/13 (Apr – Jun)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$1,974	\$0	\$0	\$0	\$0	\$0	\$0
B	\$2,772,990	\$1,518,429	\$94,690	\$166,325	\$0	\$20,528	\$0
C	\$922,313	\$376,753	\$86,684	\$481	\$33,498	\$0	\$0
D	\$49,575	\$49,575	\$0	\$0	\$0	\$0	\$0
TOTAL	\$3,746,852	\$1,944,757	\$181,374	\$166,806	\$33,498	\$20,528	\$0

YTD COLLECTED PAYMENTS⁵	\$170,855
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¹ 1st Notices- 1s Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1st Notices result in payment within 30 days.

² 3rd Notices – 3rd Notices include the original A/R plus the amount the Fund would be billed by Collections and by the State Controller's Office for discharge of debt.

³ Sent to Collections – After the 3rd Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

⁴ SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency, it is written off as a liability as a discharge of debt approved by the State Controller's Office.

⁵ YTD Collected Payments – Year-to-date payments collected from a collection agency.

FIVE-YEAR REVIEW INFORMATION

1 st QUARTER OF FY 12/13 (Jul – Sep)						2 nd QUARTER OF FY 12/13 (Oct – Dec)					
GEO. REGION ¹	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	17	10	4	9	9	1	0	0	2	8	17
2	19	7	6	16	16	2	1	1	0	7	23
3	3	2	3	5	5	3	0	1	0	8	13
4	14	17	19	12	12	4	0	2	1	1	13
5	5	11	19	16	16	5	0	4	4	9	25
6	1	0	0	1	1	6	0	0	0	0	1
7	0	0	1	0	0	7	0	1	1	0	0
8	0	11	3	3	3	8	0	0	1	2	5
9	2	3	7	3	3	9	0	0	1	1	4
TOTAL	60	61	62	64	64	TOTAL	1	9	10	36	101

3 rd QUARTER OF FY 12/13 (Jan – Mar)						4 th QUARTER OF FY 12/13 (Apr – Jun)					
GEO. REGION	FUND RECOMMENDATION ²			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW ³ -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-	GEO. REGION	FUND RECOMMENDATION			CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -QTR-	CLAIMS CLOSED SUBSEQ. TO 5-YR REVIEW -YTD-
	ADDT'L COOR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	0	0	0	6	23	1	0	0	0	8	31
2	2	0	1	11	34	2	2	0	3	2	36
3	0	0	0	4	17	3	0	0	0	2	19
4	0	0	0	8	21	4	0	0	0	0	21
5	0	0	0	11	36	5	1	0	4	6	42
6	0	0	0	0	1	6	0	0	0	0	1
7	0	0	0	0	0	7	0	0	1	0	0
8	1	0	1	0	5	8	2	0	2	1	6
9	0	1	1	2	6	9	0	0	1	1	7
TOTAL	3	1	3	42	143	TOTAL	5	0	11	20	163

¹ Region – Includes cases overseen by LOPs and Regional Water Boards.

² Fund Recommendation – 5-Year recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Current Correct Action; or 3) Pursue Case Closure.

³ Claims Closed Subseq. to 5-YR Review – Claims closed Subsequent to 5-Year Review.