

# FISCAL YEAR 2013-2014 QUARTERLY REPORT

## CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 6-30-14.

<b>GEO. REGION<sup>1</sup></b>	<b>#CLAIMS PAID<sup>2</sup></b>	<b>AMT. PAID TO CLAIMS<sup>3</sup></b>	<b>#CLAIMS CLOSED<sup>4</sup></b>	<b>AMT. PAID FOR CLOSED CLAIMS<sup>5</sup></b>	<b>AVG. AMT. PER CLOSED SITE<sup>6</sup></b>	<b>%CLAIMS CLOSED<sup>7</sup></b>
1	1,043	\$273,325,851	832	\$152,221,990	\$19,157	80%
2	2,544	\$643,633,573	2,066	\$365,261,690	\$185,884	81%
3	598	\$218,265,366	436	\$109,195,110	\$269,618	74%
4	2,082	\$721,054,346	1,662	\$425,015,073	\$274,735	81%
5	2,798	\$869,716,416	2,194	\$471,260,954	\$224,838	78%
6	286	\$106,839,258	236	\$68,239,065	\$300,612	83%
7	192	\$51,763,906	139	\$23,239,720	\$182,990	72%
8	1,022	\$406,272,495	810	\$231,853,967	\$303,077	79%
9	917	\$240,833,244	737	\$127,675,568	\$182,394	81%
<b>TOTAL</b>	<b>11,482</b>	<b>\$3,532,304,455</b>	<b>9,112</b>	<b>\$1,973,963,137</b>	<b>\$228,865</b>	<b>80%</b>

<sup>1</sup> Region – Includes all cases overseen by Regional Water Boards, and LOPs.

<sup>2</sup> #Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

<sup>3</sup> Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

<sup>4</sup> #Claims Closed – Number of claims closed since the inception of the Fund

<sup>5</sup> Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

<sup>6</sup> Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

<sup>7</sup> % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

# CLAIM STATUS INFORMATION

1 <sup>st</sup> QUARTER OF FY 13/14 (Jul – Sep)					2 <sup>nd</sup> QUARTER OF FY 13/14 (Oct – Dec)				
GEO. REGION <sup>1</sup>	ACTIVE CLAIMS <sup>2</sup>	CLAIMS ON PRIORITY LIST <sup>3</sup>	CLAIMS CLOSED <sup>4</sup> -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	258	155	15	15	1	245	155	17	32
2	508	1,134	32	32	2	494	1,141	20	52
3	184	229	15	15	3	176	229	10	25
4	442	1,230	30	30	4	425	1,237	24	54
5	692	825	48	48	5	648	827	48	96
6	58	106	3	3	6	56	106	2	5
7	58	62	1	1	7	57	62	1	2
8	246	609	11	11	8	236	609	12	23
9	197	485	10	10	9	192	485	8	18
<b>TOTAL</b>	<b>2,643</b>	<b>4,835</b>	<b>165</b>	<b>165</b>	<b>TOTAL</b>	<b>2,529</b>	<b>4,851</b>	<b>142</b>	<b>307</b>

3 <sup>rd</sup> QUARTER OF FY 13/14 (Jan – Mar)					4 <sup>th</sup> QUARTER OF FY 13/14 (Apr – Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR	CLAIMS CLOSED -YTD-
1	235	151	13	45	1	211	156	26	71
2	480	1,109	17	69	2	476	1,144	23	92
3	165	226	13	38	3	157	232	12	50
4	414	1,182	10	64	4	403	1,239	25	89
5	623	800	27	123	5	605	821	36	159
6	54	102	2	7	6	49	106	5	12
7	56	64	1	3	7	54	63	3	6
8	227	599	9	32	8	211	612	17	49
9	184	470	8	26	9	176	485	10	36
<b>TOTAL</b>	<b>2,438</b>	<b>4,703</b>	<b>100</b>	<b>407</b>	<b>TOTAL</b>	<b>2,342</b>	<b>4,858</b>	<b>157</b>	<b>564</b>

<sup>1</sup> Region – Includes all cases overseen by Regional Water Boards, and LOPs.

<sup>2</sup> Active Claims – All currently active claims. All claims issued a Letter of Commitment (LOC) which have not received claim closure with the Fund.

<sup>3</sup> Claims on Priority List – All claims waiting for LOC Issuance and subsequent funding

<sup>4</sup> Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

# REIMBURSEMENT INFORMATION

## 1<sup>ST</sup> QUARTER OF FY 13/14 (Jul - Sep)

PRIORITY	AWAITING REVIEW <sup>1</sup>		BEING REVIEWED <sup>2</sup>		AWAITING FUNDS <sup>3</sup> (ON HOLD)		GRAND TOTAL IN PROCESS <sup>4</sup>		RELEASED PAYMENTS <sup>5</sup>	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	8	\$399,444	1	\$28,101	9	\$427,545	13	\$338,404
B	193	\$4,799,249	480	\$12,959,182	46	\$582,339	719	\$18,340,770	843	\$18,552,659
C	173	\$3,695,649	243	\$5,303,080	53	\$840,412	469	\$9,839,141	945	\$18,151,522
D	0	\$0	61	\$7,819,048	0	\$0	61	\$7,819,048	75	\$4,122,024
<b>TOTAL</b>	<b>366</b>	<b>\$8,494,898</b>	<b>792</b>	<b>\$26,480,754</b>	<b>100</b>	<b>\$1,450,852</b>	<b>1,258</b>	<b>\$36,426,504</b>	<b>1,876</b>	<b>\$41,164,609</b>

## 2<sup>ND</sup> QUARTER OF FY 13/14 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	6	\$55,860	0	\$0	6	\$55,860	12	\$34,697
B	11	\$138,249	481	\$12,186,777	23	\$385,752	515	\$12,710,778	829	\$18,681,668
C	12	\$181,691	242	\$6,112,601	20	\$433,809	274	\$6,728,101	426	\$7,518,992
D	15	\$1,142,280	66	\$8,910,222	0	\$0	81	\$10,052,502	86	\$7,449,984
<b>TOTAL</b>	<b>38</b>	<b>\$1,462,220</b>	<b>795</b>	<b>\$27,280,255</b>	<b>43</b>	<b>\$819,561</b>	<b>876</b>	<b>\$29,562,036</b>	<b>1,353</b>	<b>\$33,685,341</b>

## 3<sup>RD</sup> QUARTER OF FY 13/14 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	1	\$18,525	9	\$131,590	1	\$153,008	11	\$303,123	17	\$532,139
B	206	\$4,179,973	337	\$9,570,856	15	\$161,471	558	\$13,912,300	1,098	\$19,372,390
C	83	\$2,775,741	148	\$4,671,747	7	\$76,639	238	\$7,524,124	695	\$7,261,904
D	5	\$696,630	64	\$5,857,817	0	\$0	69	\$6,554,447	145	\$9,045,789
<b>TOTAL</b>	<b>295</b>	<b>\$7,670,869</b>	<b>558</b>	<b>\$20,232,010</b>	<b>23</b>	<b>\$391,118</b>	<b>876</b>	<b>\$28,293,994</b>	<b>1,955</b>	<b>\$36,212,222</b>

## 4<sup>TH</sup> QUARTER OF FY 13/14 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	9	\$131,590	0	\$0	9	\$131,590	21	\$485,670
B	226	\$5,765,736	346	\$9,765,575	47	\$643,477	619	\$16,174,788	712	\$14,465,287
C	132	\$5,113,006	156	\$4,875,602	36	\$741,956	324	\$10,730,564	407	\$8,548,004
D	29	\$1,813,409	65	\$6,064,787	1	\$46,021	95	\$7,924,217	51	\$3,738,839
<b>TOTAL</b>	<b>387</b>	<b>\$12,692,151</b>	<b>576</b>	<b>\$20,837,554</b>	<b>84</b>	<b>\$1,431,454</b>	<b>1,047</b>	<b>\$34,961,159</b>	<b>1,191</b>	<b>\$27,237,800</b>

#RRs - YTD	6,375
APPROVED FOR PAYMENT - YTD <sup>6</sup>	\$138,299,972

- <sup>1</sup> Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)  
<sup>2</sup> Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)  
<sup>3</sup> Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)  
<sup>4</sup> Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding  
<sup>5</sup> Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved  
<sup>6</sup> Approved for Payment – YTD – Year-to-date RRs approved for payment

# ACCOUNTS RECIEVABLE INFORMATION

## UST ACCOUNTS RECEIVABLE – 1<sup>ST</sup> QUARTER OF FY 13/14 (Jul – Sep)

PRIORITY	1 <sup>ST</sup> NOTICES <sub>1</sub>	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES <sub>2</sub>	SENT TO COLLECTIONS <sub>3</sub>	AMT. COLLECTED	SCO PENDING DISCHARGE <sub>4</sub>	SCO DISCHARGE APPROVED
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$1,259,170	\$915,401	1,007,418	\$175,943	\$6,550	\$11,355	\$0
C	\$209,539	\$272,172	\$141,661	\$481	\$0	\$0	\$0
D	\$0	\$0	\$0	\$533	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$1,468,709</b>	<b>\$1,187,573</b>	<b>\$1,149,079</b>	<b>\$176,957</b>	<b>\$6,550</b>	<b>\$11,355</b>	<b>\$0</b>

## UST ACCOUNTS RECEIVABLE – 2<sup>ND</sup> QUARTER OF FY 13/14 (Oct – Dec)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$106,700	\$11,116	\$0	\$0	\$0	\$0	\$0
B	\$2,741,101	\$621,368	\$201,735	\$0	\$0	\$0	\$7,550
C	\$1,109,507	\$322,337	\$1,517	\$0	\$0	\$144,860	\$0
D	\$107,927	\$0	\$0	\$0	\$533	\$0	\$0
<b>TOTAL</b>	<b>\$4,065,235</b>	<b>\$954,821</b>	<b>\$203,252</b>	<b>\$0</b>	<b>\$533</b>	<b>\$144,860</b>	<b>\$7,550</b>

## UST ACCOUNTS RECEIVABLE – 3<sup>RD</sup> QUARTER OF FY 13/14 (Jan – Mar)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENIDNG DISCHARGE	SCO DISCHARGE APPROVED
A	\$16,268	\$11,299	\$22,415	\$0	\$0	\$0	\$0
B	\$1,373,710	\$933,123	\$586,241	\$0	\$17,273	\$0	\$0
C	\$415,148	\$304,474	\$161,078	\$0	\$0	\$144,860	\$0
D	\$55,850	\$49,562	\$49,562	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$1,860,976</b>	<b>\$1,298,458</b>	<b>\$819,296</b>	<b>\$0</b>	<b>\$17,273</b>	<b>\$144,860</b>	<b>\$0</b>

## UST ACCOUNTS RECEIVABLE – 4<sup>TH</sup> QUARTER OF FY 13/14 (Apr – Jun)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$20,401	\$8,067	\$2,355	\$662	\$0	\$0	\$0
B	\$1,468,227	\$184,484	\$707,951	\$107,825	\$75,694	\$0	\$11,355
C	\$592,276	\$229,043	\$72,840	\$0	\$0	\$144,860	\$0
D	\$203,013	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$2,283,917</b>	<b>\$421,594</b>	<b>\$783,146</b>	<b>\$108,487</b>	<b>\$75,694</b>	<b>\$144,860</b>	<b>\$11,355</b>

<b>YTD COLLECTED PAYMENTS<sub>5</sub></b>	<b>\$100,050</b>
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<sup>1</sup> 1<sup>st</sup> Notices- 1st Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1<sup>st</sup> Notices be resolved within 30 days.

<sup>2</sup> 3<sup>rd</sup> Notices – 3<sup>rd</sup> Notices include the 1<sup>st</sup> and 2<sup>nd</sup> A/Rs Notice dates..

<sup>3</sup> Sent to Collections – After the 3<sup>rd</sup> Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

<sup>4</sup> SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency, it is written off as a liability as a discharge of debt approved by the State Controller's Office.

<sup>5</sup> YTD Collected Payments – Year-to-date payments collected from the collection agency.

## CASE CLOSURE REVIEW INFORMATION

1 <sup>ST</sup> QUARTER OF FY 13/14 (Jul – Sep)					2 <sup>ND</sup> QUARTER OF FY 13/14 (Oct – Dec)						
GEO. REGION <sup>1</sup>	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	0	0	0	4	4	1	7	3	3	3	7
2	2	3	1	5	5	2	7	10	2	6	11
3	1	0	0	10	10	3	5	4	0	6	16
4	2	2	0	10	10	4	10	7	6	3	13
5	6	1	3	23	23	5	22	15	7	12	35
6	0	0	0	2	2	6	10	0	0	4	6
7	0	0	0	0	0	7	0	0	1	0	0
8	2	0	1	2	2	8	3	1	0	3	5
9	1	0	1	1	1	9	7	0	4	1	2
<b>TOTAL</b>	<b>14</b>	<b>6</b>	<b>6</b>	<b>57</b>	<b>57</b>	<b>TOTAL</b>	<b>71</b>	<b>40</b>	<b>23</b>	<b>38</b>	<b>95</b>

3 <sup>RD</sup> QUARTER OF FY 13/14 (Jan – Mar)					4 <sup>TH</sup> QUARTER OF FY 13/14 (Apr – Jun)						
GEO. REGION	FUND RECOMMENDATION <sup>2</sup>			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	0	4	1	8	15	1	0	1	0	0	15
2	6	8	3	11	22	2	8	7	0	0	22
3	2	0	0	7	23	3	0	0	0	0	23
4	6	1	3	11	24	4	6	6	1	0	24
5	5	5	3	21	56	5	2	2	3	1	57
6	0	0	0	0	6	6	0	0	0	0	6
7	0	0	0	0	0	7	0	0	0	0	0
8	1	4	3	11	16	8	0	5	0	2	18
9	1	3	1	7	9	9	0	0	0	0	9
<b>TOTAL</b>	<b>21</b>	<b>25</b>	<b>14</b>	<b>76</b>	<b>171</b>	<b>TOTAL</b>	<b>16</b>	<b>21</b>	<b>4</b>	<b>3</b>	<b>174</b>

<sup>1</sup> Region – Includes cases overseen by LOPs and Regional Water Boards.

<sup>2</sup> Fund Recommendation – 5-Year recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Continue with Current Corrective Action; or 3) Pursue Case Closure.