

# FISCAL YEAR 2014-2015 QUARTERLY REPORT

## CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 6-30-15.

<b>GEO. REGION<sup>1</sup></b>	<b>#CLAIMS PAID<sup>2</sup></b>	<b>AMT. PAID TO CLAIMS<sup>3</sup></b>	<b>#CLAIMS CLOSED<sup>4</sup></b>	<b>AMT. PAID FOR CLOSED CLAIMS<sup>5</sup></b>	<b>AVG. AMT. PER CLOSED SITE<sup>6</sup></b>	<b>%CLAIMS CLOSED<sup>7</sup></b>
1	1,071	\$274,992,389	886	177,955,247	210,100	83%
2	2,595	\$645,613,799	2,149	409,708,288	200,052	83%
3	615	\$219,442,702	475	138,353,818	311,607	78%
4	2,119	\$723,964,620	1,736	487,093,260	300,304	82%
5	2,850	\$873,846,674	2,336	553,383,198	247,156	82%
6	289	\$108,415,291	254	79,764,525	326,903	88%
7	196	\$52,210,367	147	27,337,554	202,500	75%
8	1,033	\$407,304,935	866	285,806,488	348,119	84%
9	954	\$242,642,205	775	155,493,941	210,982	82%
<b>TOTAL</b>	<b>11,722</b>	<b>\$3,548,432,982</b>	<b>9,624</b>	<b>2,314,896,319</b>	<b>253,354</b>	<b>83%</b>

<sup>1</sup> Region – Includes all cases overseen by Regional Water Boards, and LOPs.

<sup>2</sup> #Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

<sup>3</sup> Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

<sup>4</sup> #Claims Closed – Number of claims closed since the inception of the Fund

<sup>5</sup> Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

<sup>6</sup> Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

<sup>7</sup> % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

# CLAIM STATUS INFORMATION

1 <sup>st</sup> QUARTER OF FY 14/15 (Jul – Sep)					2 <sup>nd</sup> QUARTER OF FY 14/15 (Oct – Dec)				
GEO. REGION <sup>1</sup>	ACTIVE CLAIMS <sup>2</sup>	CLAIMS ON PRIORITY LIST <sup>3</sup>	CLAIMS CLOSED <sup>4</sup> -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	193	150	17	17	1	185	148	14	31
2	464	1,095	28	28	2	455	1,092	24	52
3	154	220	11	11	3	147	219	14	25
4	396	1,170	23	23	4	388	1,163	21	44
5	567	782	47	47	5	556	780	22	69
6	42	99	7	7	6	39	100	4	11
7	53	60	4	4	7	51	60	3	7
8	196	599	17	17	8	191	600	7	24
9	174	466	8	8	9	169	466	9	17
<b>TOTAL</b>	<b>2,239</b>	<b>4,641</b>	<b>162</b>	<b>162</b>	<b>TOTAL</b>	<b>2,181</b>	<b>4,628</b>	<b>118</b>	<b>280</b>

3 <sup>rd</sup> QUARTER OF FY 14/15 (Jan – Mar)					4 <sup>th</sup> QUARTER OF FY 14/15 (Apr – Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR	CLAIMS CLOSED -YTD-
1	187	137	14	45	1	178	126	14	59
2	434	1,045	26	78	2	433	994	22	100
3	135	208	18	43	3	129	200	8	51
4	362	1,117	32	76	4	361	1,057	13	89
5	524	746	44	113	5	507	676	36	149
6	37	92	4	15	6	34	82	3	18
7	50	58	1	8	7	49	50	2	10
8	177	581	18	42	8	163	563	18	60
9	167	454	10	27	9	173	425	14	41
<b>TOTAL</b>	<b>2,073</b>	<b>4,438</b>	<b>167</b>	<b>447</b>	<b>TOTAL</b>	<b>2,027</b>	<b>4,173</b>	<b>130</b>	<b>577</b>

<sup>1</sup> Region – Includes all cases overseen by Regional Water Boards, and LOPs.

<sup>2</sup> Active Claims – All currently active claims. All claims issued a Letter of Commitment (LOC) which have not received claim closure with the Fund.

<sup>3</sup> Claims on Priority List – All claims waiting for LOC Issuance and subsequent funding

<sup>4</sup> Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites

# REIMBURSEMENT INFORMATION

## 1<sup>ST</sup> QUARTER OF FY 14/15 (Jul - Sep)

PRIORITY	AWAITING REVIEW <sup>1</sup>		BEING REVIEWED <sup>2</sup>		AWAITING FUNDS <sup>3</sup> (ON HOLD)		GRAND TOTAL IN PROCESS <sup>4</sup>		RELEASED PAYMENTS <sup>5</sup>	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	9	\$259,167	3	\$19,900	12	\$45,067	17	\$224,434
B	264	\$6,302,770	337	\$8,688,535	39	\$525,105	640	\$15,516,410	684	\$14,219,347
C	236	\$6,711,346	167	\$7,913,689	21	\$351,650	424	\$14,976,685	354	\$9,722,216
D	3	\$69,610	25	\$2,080,806	0	\$0	28	\$2,150,416	80	\$4,532,358
<b>TOTAL</b>	<b>503</b>	<b>\$13,083,726</b>	<b>538</b>	<b>\$18,942,197</b>	<b>63</b>	<b>\$896,655</b>	<b>1,104</b>	<b>\$32,688,578</b>	<b>1,135</b>	<b>\$28,698,355</b>

## 2<sup>ND</sup> QUARTER OF FY 14/15 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	0	\$0	5	\$96,156	3	\$19,900	8	\$116,056	9	\$234,291
B	124	\$3,531,458	385	\$9,381,695	59	\$859,687	568	\$13,772,840	405	\$9,007,505
C	108	\$3,294,682	159	\$4,854,955	54	\$737,851	321	\$8,887,488	330	\$9,303,433
D	0	\$0	22	\$2,690,520	0	\$0	22	\$2,690,520	76	\$3,083,436
<b>TOTAL</b>	<b>232</b>	<b>\$6,826,140</b>	<b>571</b>	<b>\$17,023,326</b>	<b>116</b>	<b>\$1,617,438</b>	<b>919</b>	<b>\$25,466,904</b>	<b>820</b>	<b>\$21,628,665</b>

## 3<sup>RD</sup> QUARTER OF FY 14/15 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	1	\$875	5	\$45,729	0	\$0	6	\$46,604	10	\$69,977
B	40	\$1,011,493	226	\$6,070,908	30	\$601,621	296	\$6,672,529	673	\$14,264,800
C	20	\$663,416	99	\$4,752,672	29	\$348,932	148	\$5,765,020	452	\$9,452,270
D	3	\$978,193	13	\$1,764,514	0	\$0	16	\$2,742,707	39	\$465,625
<b>TOTAL</b>	<b>64</b>	<b>\$2,653,977</b>	<b>343</b>	<b>\$12,633,823</b>	<b>59</b>	<b>\$950,553</b>	<b>466</b>	<b>\$15,226,860</b>	<b>1,174</b>	<b>\$24,252,672</b>

## 4<sup>TH</sup> QUARTER OF FY 14/15 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A	1	\$16,467	1	\$30,030	1	6,152	3	\$52,649	11	\$70,681
B	24	\$719,940	40	\$569,457	28	515,999	92	\$1,805,396	391	\$7,632,991
C	10	\$546,928	23	\$290,032	34	628,247	67	\$1,465,207	226	\$5,504,796
D	17	\$6,055,098	44	\$849,292	0	\$0	61	\$6,904,390	8	\$443,324
<b>TOTAL</b>	<b>52</b>	<b>\$7,338,433</b>	<b>108</b>	<b>\$1,738,811</b>	<b>63</b>	<b>\$1,150,398</b>	<b>223</b>	<b>\$10,227,642</b>	<b>636</b>	<b>\$13,651,792</b>

#RRs - YTD	<b>3,765</b>
APPROVED FOR PAYMENT - YTD <sup>6</sup>	<b>\$88,231,484</b>

- 1 Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)  
 2 Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)  
 3 Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)  
 4 Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding  
 5 Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved  
 6 Approved for Payment – YTD – Year-to-date RRs approved for payment

# ACCOUNTS RECIEVABLE INFORMATION

## UST ACCOUNTS RECEIVABLE – 1<sup>ST</sup> QUARTER OF FY 14/15 (Jul – Sep)

PRIORITY	1 <sup>ST</sup> NOTICES <sub>1</sub>	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES <sub>2</sub>	SENT TO COLLECTIONS <sub>3</sub>	AMT. COLLECTED	SCO PENDING DISCHARGE <sub>4</sub>	SCO DISCHARGE APPROVED
A	\$0	\$0	\$0	\$0	\$0	\$0	\$0
B	\$1,645,258	\$413,298	364,163	\$14,306	\$0	\$29,039	\$0
C	\$390,511	\$222,369	\$45,351	\$0	\$0	\$23,257	\$144,860
D	\$35,095	\$35,095	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$2,070,864</b>	<b>\$670,762</b>	<b>\$409,514</b>	<b>\$14,306</b>	<b>\$0</b>	<b>\$52,296</b>	<b>\$144,860</b>

## UST ACCOUNTS RECEIVABLE – 2<sup>ND</sup> QUARTER OF FY 14/15 (Oct – Dec)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$71,997	\$2,751	\$0	\$0	\$0	\$0	\$0
B	\$4,597,537	\$1,993,073	251,194	\$0	\$29,516	\$18,308	\$0
C	\$2,419,241	\$718,622	\$57,913	\$0	\$0	\$0	\$0
D	\$255,008	\$4,412	\$38,441	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$7,343,783</b>	<b>\$2,718,858</b>	<b>\$347,548</b>	<b>\$0</b>	<b>\$29,516</b>	<b>\$18,308</b>	<b>\$0</b>

## UST ACCOUNTS RECEIVABLE – 3<sup>RD</sup> QUARTER OF FY 14/15 (Jan – Mar)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENIDNG DISCHARGE	SCO DISCHARGE APPROVED
A	\$26,517	\$1,299	\$508	\$0	\$0	\$0	\$0
B	\$644,940	\$269,402	\$315,536	\$101,041	\$0	\$75,055	\$0
C	\$628,438	\$413,328	\$26,726	\$14,257	\$14,257	\$0	\$0
D	\$0	\$0	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$1,299,895</b>	<b>\$684,029</b>	<b>\$342,770</b>	<b>\$115,298</b>	<b>\$14,257</b>	<b>\$75,055</b>	<b>\$0</b>

## UST ACCOUNTS RECEIVABLE – 4<sup>TH</sup> QUARTER OF FY 14/15 (Apr – Jun)

PRIORITY	1 <sup>ST</sup> NOTICES	2 <sup>ND</sup> NOTICES	3 <sup>RD</sup> NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A	\$25,629	\$2,082	\$0	\$0	\$0	\$0	\$0
B	\$918,241	\$177,110	\$52,500	\$0	\$0	\$17,001	\$0
C	\$502,567	\$102,896	\$53,927	\$0	\$0	\$0	\$0
D	\$145,241	\$124,177	\$0	\$0	\$0	\$0	\$0
<b>TOTAL</b>	<b>\$1,591,678</b>	<b>\$406,265</b>	<b>\$106,427</b>	<b>\$0</b>	<b>\$0</b>	<b>\$17,001</b>	<b>\$0</b>

<b>YTD COLLECTED PAYMENTS<sub>5</sub></b>	<b>\$43,773</b>
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<sup>1</sup> 1<sup>st</sup> Notices- 1st Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1<sup>st</sup> Notices be resolved within 30 days.

<sup>2</sup> 3<sup>rd</sup> Notices – 3<sup>rd</sup> Notices include the 1<sup>st</sup> and 2nd A/R Notice dates..

<sup>3</sup> Sent to Collections – After the 3<sup>rd</sup> Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

<sup>4</sup> SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency, it is written off as a liability as a discharge of debt approved by the State Controller's Office.

<sup>5</sup> YTD Collected Payments – Year-to-date payments collected from the collection agency.

## CASE CLOSURE REVIEW INFORMATION

1 <sup>ST</sup> QUARTER OF FY 14/15 (Jul – Sep)					2 <sup>ND</sup> QUARTER OF FY 14/15 (Oct – Dec)						
GEO. REGION <sup>1</sup>	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	2	5	1	4	4	1	0	0	0	8	12
2	21	16	4	2	2	2	10	5	2	8	10
3	3	2	0	1	1	3	2	0	0	0	1
4	17	9	1	1	1	4	17	8	5	3	1
5	14	10	1	13	13	5	12	6	2	14	27
6	0	0	0	2	2	6	1	0	0	1	3
7	0	0	0	0	0	7	0	0	0	0	0
8	4	7	0	0	0	8	6	8	0	3	3
9	4	0	0	0	0	9	9	1	0	2	2
<b>TOTAL</b>	<b>65</b>	<b>49</b>	<b>7</b>	<b>23</b>	<b>23</b>	<b>TOTAL</b>	<b>57</b>	<b>28</b>	<b>9</b>	<b>39</b>	<b>59</b>

3 <sup>RD</sup> QUARTER OF FY 14/15 (Jan – Mar)					4 <sup>TH</sup> QUARTER OF FY 14/15 (Apr – Jun)								
GEO. REGION	FUND RECOMMENDATION <sup>2</sup>			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-		
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				
1	4	4	3	0	3	15	1	6	0	0	0	0	15
2	8	10	3	3	13	2	9	1	0	3	16		
3	3	1	0	1	2	3	2	2	0	0	2		
4	8	9	0	0	1	4	10	3	0	1	2		
5	20	8	4	6	34	5	11	8	0	3	37		
6	1	1	1	0	3	6	0	1	0	1	4		
7	1	1	0	0	0	7	1	0	0	0	0		
8	5	1	0	2	5	8	6	7	0	3	8		
9	8	1	0	0	2	9	10	10	0	1	3		
<b>TOTAL</b>	<b>58</b>	<b>35</b>	<b>8</b>	<b>15</b>	<b>75</b>	<b>TOTAL</b>	<b>55</b>	<b>32</b>	<b>0</b>	<b>12</b>	<b>87</b>		

<sup>1</sup> Region – Includes cases overseen by LOPs and Regional Water Boards.

<sup>2</sup> Fund Recommendation – 5-Year recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Continue with Current Corrective Action; or 3) Pursue Case Closure.