

FISCAL YEAR 2015-2016 QUARTERLY REPORT

CUMULATIVE CLEANUP FUND CLAIMS SINCE INCEPTION OF THE FUND

The UST Cleanup Fund Quarterly Report provides information about claims on the Fund, reimbursements of eligible corrective action costs, and accounts receivable owed to the Fund. The table below is a summary of cumulative Fund claims by region since inception of the Fund which includes claims paid, claims closed, and average amount per closed site as of 9-30-15.

GEO. REGION ¹	#CLAIMS PAID ²	AMT. PAID TO CLAIMS ³	#CLAIMS CLOSED ⁴	AMT. PAID FOR CLOSED CLAIMS ⁵	AVG. AMT. PER CLOSED SITE ⁶	%CLAIMS CLOSED ⁷
1	1,072	\$271,039,802	893	\$179,137,352	\$210,009	83%
2	2,622	\$644,673,474	2,148	\$411,852,771	\$201,002	82%
3	621	\$218,160,223	487	\$144,506,313	\$316,900	79%
4	2,118	\$720,090,825	1,743	\$493,891,680	\$302,815	82%
5	2,882	\$870,133,022	2,345	\$558,724,974	\$248,543	82%
6	294	\$107,083,135	253	\$80,554,656	\$331,501	86%
7	193	\$51,115,332	152	\$30,579,340	\$218,424	79%
8	1,040	\$403,405,285	863	\$283,455,398	\$346,522	84%
9	949	\$236,372,456	776	\$158,460,958	\$214,426	82%
TOTAL	11,791	\$3,522,073,554	9,660	\$2,341,163,442	\$255,112	82%

¹ Region – Includes all cases overseen by Regional Water Boards, and LOPs.

² #Claims Paid – Number of claims that have received any reimbursement from the Fund since the inception of the Fund

³ Amt. of Claims Paid – Amount reimbursed since the inception of the Fund

⁴ #Claims Closed – Number of claims closed since the inception of the Fund

⁵ Amt. Paid for Closed Claims – Amount reimbursed to claims that are now closed since the inception of the Fund

⁶ Avg. Amt. Per Closed Site – Average reimbursement amount for closed sites. Average reimbursement in recent years is larger than earlier years as a result of increased unit costs.

⁷ % Claims Closed – Percent of claims closed divided by number of claims that have received reimbursement from the Fund (regional and statewide) since the inception of the Fund.

CLAIM STATUS INFORMATION

1 st QUARTER OF FY 15/16 (Jul – Sep)					2 nd QUARTER OF FY 15/16 (Oct – Dec)				
GEO. REGION ¹	ACTIVE CLAIMS ²	CLAIMS ON PRIORITY LIST ³	CLAIMS CLOSED ⁴ -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-
1	179	113	6	6	1				
2	460	948	23	23	2				
3	129	179	4	4	3				
4	368	1,046	12	12	4				
5	524	618	32	32	5				
6	39	74	5	5	6				
7	45	47	2	2	7				
8	178	547	6	6	8				
9	179	416	6	6	9				
TOTAL	2,101	3,988	96	96	TOTAL				

3 rd QUARTER OF FY 15/16 (Jan – Mar)					4 th QUARTER OF FY 15/16 (Apr – Jun)				
GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR-	CLAIMS CLOSED -YTD-	GEO. REGION	ACTIVE CLAIMS	CLAIMS ON PRIORITY LIST	CLAIMS CLOSED -QTR	CLAIMS CLOSED -YTD-
1					1				
2					2				
3					3				
4					4				
5					5				
6					6				
7					7				
8					8				
9					9				
TOTAL					TOTAL				

¹ Region – Includes all cases overseen by Regional Water Boards, and LOPs.

² Active Claims – All currently active claims. All claims issued a Letter of Commitment (LOC) which have not received claim closure with the Fund.

³ Claims on Priority List – All claims waiting for LOC Issuance and subsequent funding.

⁴ Claims Closed – Includes claims closed during quarter (QTR) and year-to-date (YTD) for sites.

REIMBURSEMENT INFORMATION

1ST QUARTER OF FY 15/16 (Jul - Sep)

PRIORITY	AWAITING REVIEW ¹		BEING REVIEWED ²		AWAITING FUNDS ³ (ON HOLD)		GRAND TOTAL IN PROCESS ⁴		RELEASED PAYMENTS ⁵	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A			11	\$113,620	0	\$0			19	\$198,608
B			333	\$10,128,648	43	\$741,021			305	\$5,922,309
C			235	\$7,439,774	42	\$455,746			222	\$4,434,335
D			314	\$46,718,097	1	\$19,095			83	\$3,226,794
TOTAL			893	\$69,046,441	86	\$1,215,862			629	\$13,782,046

2ND QUARTER OF FY 15/16 (Oct - Dec)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

3RD QUARTER OF FY 15/16 (Jan - Mar)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

4TH QUARTER OF FY 15/16 (Apr - Jun)

PRIORITY	AWAITING REVIEW		BEING REVIEWED		AWAITING FUNDS (ON HOLD)		GRAND TOTAL IN PROCESS		RELEASED PAYMENTS	
	#RRs	REQ. \$	#RRs	REQ. \$	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED	#RRs	AMT. APPROVED
A										
B										
C										
D										
TOTAL										

#RRs - YTD	629
APPROVED FOR PAYMENT - YTD ⁶	\$13,782,046

¹ Awaiting Review – Reimbursement Requests (RRs) received to date but waiting for review (number of RRs and dollars requested)
² Being Reviewed – RRs being reviewed during the quarter (number of RRs and dollars requested)
³ Awaiting Funds – RRs approved and waiting for available funding (number of RRs and dollars approved)
⁴ Total in Process – Total RRs awaiting review, RRs being reviewed, and RRs awaiting funding
⁵ Released Payments – RRs approved for payment. Check issuance 4-6 weeks after payment is approved
⁶ Approved for Payment – YTD – Year-to-date RRs approved for payment

ACCOUNTS RECIEVABLE INFORMATION

UST ACCOUNTS RECEIVABLE – 1ST QUARTER OF FY 15/16 (Jul – Sep)

PRIORITY	1 ST NOTICES ¹	2 ND NOTICES	3 RD NOTICES ²	SENT TO COLLECTIONS ³	AMT. COLLECTED	SCO PENDING DISCHARGE ⁴	SCO DISCHARGE APPROVED
A	\$13,636	\$0	\$15,830	\$0	\$0	\$0	\$0
B	\$1,699,236	\$324,931	\$227,775	\$136,572	\$0	\$0	\$0
C	\$1,582,114	\$350,747	\$130,441	\$20,807	\$20,807	\$0	\$0
D	\$76,632	0	\$124,177	\$0	\$0	\$0	\$0
TOTAL	\$3,500,976	\$828,899	\$498,223	\$157,379	\$20,807	\$0	\$0

UST ACCOUNTS RECEIVABLE – 2ND QUARTER OF FY 15/16 (Oct – Dec)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A							
B							
C							
D							
TOTAL							

UST ACCOUNTS RECEIVABLE – 3RD QUARTER OF FY 15/16 (Jan – Mar)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENIDNG DISCHARGE	SCO DISCHARGE APPROVED
A							
B							
C							
D							
TOTAL							

UST ACCOUNTS RECEIVABLE – 4TH QUARTER OF FY 15/16 (Apr – Jun)

PRIORITY	1 ST NOTICES	2 ND NOTICES	3 RD NOTICES	SENT TO COLLECTIONS	AMT. COLLECTED	SCO PENDING DISCHARGE	SCO DISCHARGE APPROVED
A							
B							
C							
D							
TOTAL							

YTD COLLECTED PAYMENTS⁵	\$20,807
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¹ 1st Notices- 1st Notices are sent to Claimants for amount required to repay the Fund (accounts receivable – A/Rs). The majority of 1st Notices be resolved within 30 days.

² 3rd Notices – 3rd Notices include the 1st and 2nd A/R dates.

³ Sent to Collections – After the 3rd Notice, A/Rs are sent to an outside agency with whom the State Water Board has a state contract in order to recover costs.

⁴ SCO Discharge (Pending/Approved) – When a debt cannot be recouped by a state agency, it is written off as a liability as a discharge of debt approved by the State Controller’s Office.

⁵ YTD Collected Payments – Year-to-date payments collected from the collection agency.

CASE CLOSURE REVIEW INFORMATION

1 ST QUARTER OF FY 15/16 (Jul – Sep)					2 ND QUARTER OF FY 15/16 (Oct – Dec)						
GEO. REGION ¹	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1	4	1	0	1	1						
2	13	8	0	0	0						
3	5	2	0	0	0						
4	14	3	0	0	0						
5	7	2	1	2	2						
6	0	0	0	0	0						
7	0	0	0	0	0						
8	2	6	0	0	0						
9	13	0	1	0	0						
TOTAL	58	23	2	3	3						

3 RD QUARTER OF FY 15/16 (Jan – Mar)					4 TH QUARTER OF FY 15/16 (Apr – Jun)						
GEO. REGION	FUND RECOMMENDATION ²			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-	GEO. REGION	FUND RECOMMENDATION			CASES CLOSED FOR REVIEW SUMMARY REPORT -QTR-	CASES CLOSED FOR REVIEW SUMMARY REPORT -YTD-
	ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION				ADDT'L CORR. ACTION	CASE CLOSURE	CONTINUE CURRENT CORR. ACTION		
1											
2											
3											
4											
5											
6											
7											
8											
9											
TOTAL											

¹ Region – Includes cases overseen by LOPs and Regional Water Boards.

² Fund Recommendation – 5-Year recommended: 1) Additional Corrective Action beyond initial site assessment; 2) Continue with Current Corrective Action; or 3) Pursue Case Closure.