

QUESTIONNAIRE

Please answer the following:

- | | | |
|--|------------------------------|-----------------------------|
| 1. Are any assets held in Trust? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 2. Are any assets pledges or debts secured except as shown? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 3. Have you ever had property repossessed? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 4. Have you ever had a bankruptcy or had a judgment against you? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 5. Have you ever been a principal or guarantor of a firm that declared bankruptcy? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 6. Are you party to any claims or lawsuits? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| 7. Have you been audited by the IRS in the past three (3) years? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |
| a. If yes, is the audit settled? | <input type="checkbox"/> YES | <input type="checkbox"/> NO |

Please include an explanation on a separate page for each "yes" answer.

SCOPE OF WORK AND ESTIMATE OF COSTS

Brief description of the proposed loan project, including why it is necessary to upgrade, remove, or replace the project tanks, including corrective actions, to meet applicable local, state, or federal standards, including, but not limited to, any design, construction, monitoring, operation, or maintenance requirements adopted pursuant to Health and Safety Code section 25284.1, 25292.05, 25292.4, or 41954.

Estimated Eligible Costs to Complete Work: (Attach copies of Quote/Bid/Contract)

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Estimated time to complete project :

VERIFICATION AND SIGNATURE

As the undersigned applicant(s) to the RUST Program, I (we) hereby certify, under penalty of perjury, under the laws of the State of California, that the information provided in this Application, including all information provided to the Financial Development Corporation to assist in preparing my (our) Application, is true and correct and represents the intended use of all sources of funds identified in the Application, and that I will inform the State Water Resources Control Board immediately of any changes therein.

Executed on this _____ day of _____ 20 _____

Signature _____

Printed Name _____ Title _____

Signature _____

Printed Name _____ Title _____

This application provides required information to apply for a Loan through the RUST Program, as authorized in chapter 6.76 (commencing with section 25299.100) of division 20 of the Health & Safety Code.

RUST LOAN APPLICATION INSTRUCTIONS

Complete the entire application in accordance with the following instructions.

APPLICANT INFORMATION

Applicant is a: Check appropriate box to identify if Applicant is the owner, operator, or both of the UST (Underground Storage Tank).

Applicant Name: Provide Applicant's legal name. For example, if the UST is owned/operated by a sole proprietor, put the legal name of sole proprietor.

Db: Only fill in dba (doing business as) if Applicant has a fictitious name statement. Attach fictitious name statement with application.

Applicant Status: Check the appropriate box to indicate the status of the UST owner/operator. For example, the partnership owns/operates the UST.

Mailing Address, City, State, Zip Code, and E-mail Address: Provide Applicant's preferred complete mailing and e-mail addresses.

SSN and Fed Tax ID: Provide social security number (SSN) if Applicant is filing as Individual, Sole Proprietor, or Revocable Trust. Provide Federal Tax ID if Applicant is filing as Partnership, Corporation, LLC, Estate, or Irrevocable Trust.

NOTE: If a federal employer identification number is unavailable, an SSN is required. Section 25299.106 of the Health and Safety Code authorizes the Board to request this information. Pursuant to the Federal Privacy Act of 1974 (Public Law 93-579), you are hereby notified that it is mandatory to provide your SSN. Failure to provide the requested information will result in denial of the loan application. The SSN will be used by the State solely for the purpose of identifying the recipient of the loan funds. Applicants have the right to inspect records containing personal information maintained by the Board.

Business Phone No., Cell Phone No., and Fax No.: Provide all of Applicant's reachable numbers if available.

Contact Person/Title and E-Mail Address: Provide name, title, and e-mail address of preferred contact person who can answer any questions regarding the application or the project site.

PROJECT SITE / BUSINESS INFORMATION

Project Address, City, State, Zip Code, and County: Provide complete address, including county, for the project site.

Number of USTs on Project site: Provide the total number of USTs on project site.

Number of Employees: Provide number of full and part time worker(s) employed by the business. A person working fewer than forty (40) hours a week shall be considered a part-time employee.

Business Type: Check appropriate box(s) to identify type of business.

GUARANTOR INFORMATION

Guarantor(s) is the party(ies) personally guaranteeing the loan.

Guarantor is a: Check appropriate box to identify if Guarantor is the owner, operator, or both of the UST for the project site.

Guarantor Address, City, State, Zip Code: Provide complete address of the Guarantor(s).

Business Phone No., Cell Phone No., and Fax No., E-Mail Address and Contact Phone No.: Provide all of Guarantor's reachable telephone numbers, if available, and e-mail address.

QUESTIONNAIRE

Check appropriate boxes for questions 1 through 7. Please include an explanation on a separate page for each "yes" answer.

SCOPE OF WORK AND ESTIMATE OF COSTS

NOTE: Replacing, Removing, or Upgrading Underground Storage Tanks (RUST) loans are available to assist small business underground storage tank (UST) owners and operators in financing up to 100 percent of the costs necessary to upgrade, remove, or replace project tanks, including corrective actions, to meet applicable local, state, or federal standards, including, but not limited to, any design, construction, monitoring, operation, or maintenance requirements adopted pursuant to Health and Safety Code section 25284.1, 25292.05, 25292.4, or 41954.

Brief description: Provide a summary of the proposed scope of work including why it is necessary to upgrade, remove, or replace the project tanks to meet applicable local, state, or federal standards, including, but not limited to, any design, construction, monitoring, operation, or maintenance requirements adopted pursuant to Health and Safety Code section 25284.1, 25292.05, 25292.4, or 41954.

Estimated Eligible Costs to Complete Work: Provide copy of proposal (Quote/Bid/Contract) from contractor. The proposal must include breakdown of labor and parts/equipment.

Estimated time to complete project: Provide an estimate of how long it will take to complete the project.

VERIFICATION AND SIGNATURE

Applicant must sign and date application and submit original application with required documents from the UST LOAN APPLICATION CHECKLIST to one of the available Financial Development Corporations (FDCs).

A list of approved FDCs is located at:

http://www.waterboards.ca.gov/water_issues/programs/ustcf/docs/rust/fdc_listings.pdf

CONTACT INFORMATION

For questions regarding the RUST Loan Program, please contact:

Janice Clemons at (916) 341-5657 or Janice.Clemons@waterboards.ca.gov

Maria Fotos at (916) 319-9323 or Maria.Fotos@waterboards.ca.gov

RUST LOAN APPLICATION CHECKLIST

When submitting a RUST Loan application, all required documents listed below must be included with the application package.

APPLICANT CHECKLIST

- Application, signed and dated
- Ownership Documentation
 - If a dba: Copy of Fictitious Name Statement
 - If a Partnership: Copy of Partnership agreement
 - If a Corporation or LLC: Copy of Articles of Incorporation
 - If a Trust: Copy of the trust
 - If an Estate: Copy of will, letters of administration, and/or other relevant legal documents
- Copy of Applicant's most recent two (2) years Federal Tax Returns
 - If Applicant is Individual, Sole Proprietor, or Estate/Trust: Copy of most recent Federal tax returns
 - If Applicant is Partnership: Copy of Partnership's **and** each Partner's most recent Federal tax returns
 - If Applicant is Corporation or LLC: Copy of Corporation's/LLC's **and** President of Corporation's/LLC's most recent CA tax returns
- For a Partnership, Corporation, or LLC, list of name(s) and resident address(es) of each owner and partner or corporate officer
- Photos of the subject property
- Copy of current Underground Storage Tank (UST) permit for each Project Tank from Local Oversight Program (LOP) or Certified Unified Program Agency (CUPA)
- Copy of Permit to Operate (PTO) from Air Quality Management District (AQMD) or Air Pollution Control District (APCD)
- List of other owned or operated USTs in California and address(es)
 - Copies of current UST and PTO permits for each station
- Copy of proposal (Quote/Bid/Contract) from contractor including cost breakdown of labor and parts/equipment
- Complete legal description of the subject property, usually found on the Grant Deed
- If the subject property is leased, a copy of the current lease

FDC CHECKLIST

- Certification of Financial Responsibility
- Financial Statement Certification
- Environmental Audit
- Credit Reports
- Current Schedule of Debt
- Financial Statements of the Applicant(s)