

Appendix J

**Hydropower and Electric Grid Analysis of Lower
San Joaquin River Flow Alternatives**

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Acronyms and Abbreviations

AGC	Automatic Generation Control
BA	Balancing Authority
BANC	Balancing Authority of Northern California
CAISO	California Independent System Operator
Commission	California Energy Commission
CPUC	California Public Utilities Commission
CVP	Central Valley Project
ft	feet
kV	kilovolt
LCR	local capacity requirement
LCT Study	Local Capacity Technical Study
LSE	load serving entity
LTE	Long-Term Emergency
Merced ID	Merced Irrigation District
MID	Modesto Irrigation District
MSS	metered subsystem
MW	megawatts
NERC	North American Electric Reliability Corporation
PSLF	Positive Sequence Load Flow
RA	Resource Adequacy
RPS	Renewable Portfolio Standard
SCs	Scheduling coordinators
SMUD	Sacramento Municipal Utility District
SNR	Sierra Nevada Region
TID	Turlock Irrigation District
WECC	Western Electricity Coordinating Council

J.1 Introduction

This appendix provides estimates of the potential effects on hydropower generation and electric grid reliability in the Lower San Joaquin River (LSJR) Watershed caused by implementation of the LSJR alternatives. The LSJR alternatives propose a specified percent of unimpaired flows¹ (i.e., 20, 40, or 60 percent) from February–June on the Stanislaus, Tuolumne, and Merced Rivers (three eastside tributaries). The proposed LSJR alternatives could affect reservoir operations and surface water diversions and the associated timing and amount of hydropower generation from the LSJR Watershed, which includes the plan area² as described in Chapter 1, *Introduction*.

This analysis relies on the State Water Resources Control Board’s (State Water Board’s) water supply effects (WSE) model to estimate the effects of the LSJR alternatives on reservoir releases and storage (elevation head), and allowable diversions to off-stream generation facilities, and then calculates the associated change in monthly and annual energy production. This output then provides input to electric grid reliability modeling, which evaluates the potential impacts of these changes on the electric grid reliability under peak load and outage contingency scenarios.

There are three different LSJR alternatives, each consisting of a specified percentage of unimpaired flow requirement for the Stanislaus, Tuolumne, and Merced Rivers. For a particular alternative, each tributary must meet the specified percentage of its own unimpaired flow at its mouth with the LSJR during the months of February–June.³ Details of the LSJR alternatives are presented in Chapter 3, *Alternatives Description*, Section 3.3, *Lower San Joaquin River (LSJR) Alternatives*, of this recirculated substitute environmental document (SED).

Numerous hydropower generation facilities on the three eastside tributaries are evaluated in this analysis. The major facilities potentially affected, however, are those associated with the New Melones Reservoir (New Melones Dam) on the Stanislaus River, New Don Pedro Reservoir (New Don Pedro Dam) on the Tuolumne River, and Lake McClure (New Exchequer Dam) on the Merced River.⁴ Figure J-1 shows the location of these and other hydropower facilities in and around the LSJR Watershed.

¹*Unimpaired flow* represents the water production of a river basin, unaltered by upstream diversions, storage, or by export or import of water to or from other watersheds. It differs from natural flow because unimpaired flow is the flow that occurs at a specific location under the current configuration of channels, levees, floodplain, wetlands, deforestation and urbanization.

² In this appendix plan area and project area are used interchangeably and refer to the area described in Chapter 1, *Introduction*.

³ Any reference in this appendix to 20 percent unimpaired, 40 percent unimpaired, and 60 percent unimpaired is the same as LSJR Alternative 2, LSJR Alternative 3, and LSJR Alternative 4, respectively. The specific minimum unimpaired flow requirement on a tributary for a particular alternative would not apply once flows in the river or downstream are at a level of concern for flooding or public safety. As described in the program of implementation for the flow objectives, such levels will be coordinated by the State Water Board with the appropriate federal, state, and local agencies.

⁴ In this document, the term *rim dams* is used when referencing the three major dams and reservoirs on each of the eastside tributaries: New Melones Dam and Reservoir on the Stanislaus River; New Don Pedro Dam and Reservoir on the Tuolumne River; and New Exchequer Dam and Lake McClure on the Merced River.

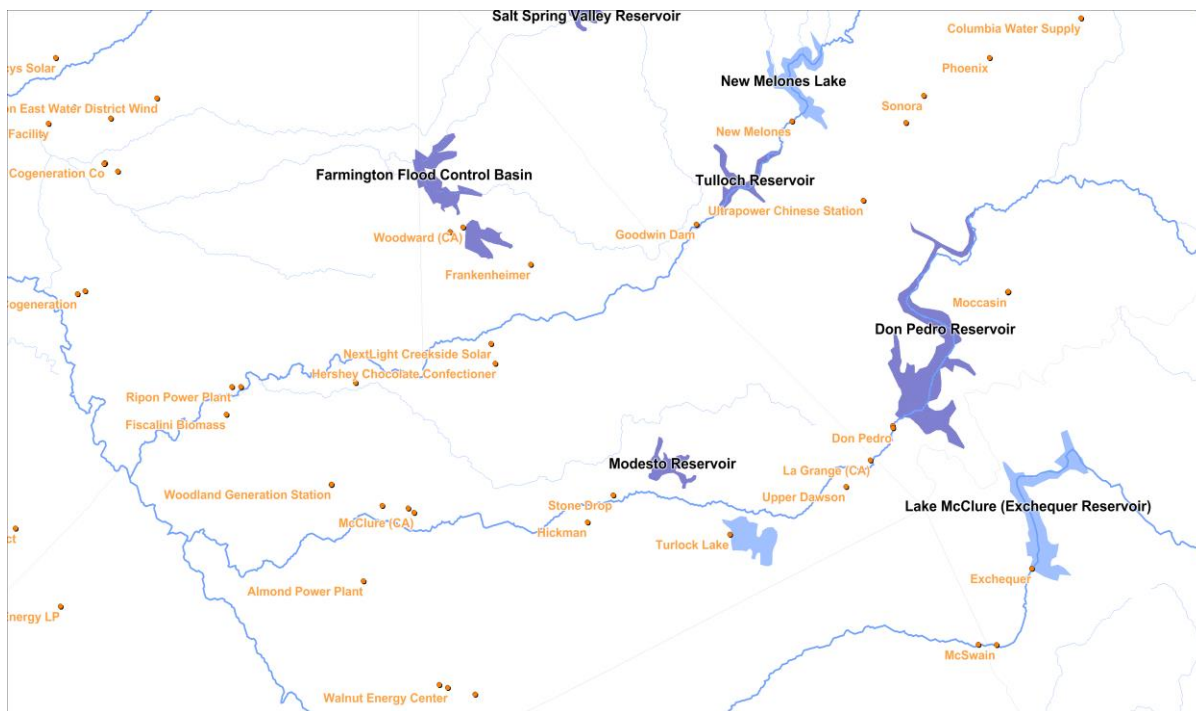


Figure J-1. Location of Hydropower Facilities in the LSJR Watershed (Source: Ventyx n.d.)

J.2 Energy Generation Effects

The analysis in this section estimates the timing and amount of energy in gigawatt hours (GWh) generated by hydropower facilities on the eastside tributaries for the different LSJR alternatives and compares them against baseline. The timing and amounts of energy generated are calculated from the timing, rates of release, and elevation head of reservoirs at in-stream hydropower facilities and allowable diversions to off-stream facilities, estimated across 82 years (between water years 1922 and 2003) by the WSE model for the LSJR alternatives and baseline. The average annual energy generation and the distribution of average monthly energy generation across these 82 years for each LSJR alternative are then compared to those for baseline.

J.2.1 Methodology

For each of the LSJR alternatives, this analysis estimates the amount of energy (GWh) that would be generated on a monthly and annual basis from the various facilities on the eastside tributaries for comparison against the amount generated under baseline conditions. Unless otherwise specified, the quantitative results presented in the figures, tables, and text of this appendix present WSE modeling of the specified unimpaired flow requirement of each LSJR alternative (i.e., 20, 40, or 60 percent). The specified unimpaired flow requirements include the potential range of effects at other percentages of unimpaired flow (i.e., 30 percent and 50 percent) that could occur under adaptive management. Hydropower facilities on the eastside tributaries were grouped into four categories for this analysis based on where they are located relative to the three rim dams, and whether they are in-stream facilities or off-stream. Table J-1 contains a list of the hydropower facilities on the LSJR grouped into these categories, along with some basic facility information.

Table J-1. List of Hydropower Facilities in the LSJR Watershed (CEC 2012)

River Basin	Hydro-electric Power Plant Name	Nameplate Capacity (MW)	% of Power Capacity in Basin	Location Relative to Rim Dams
Stanislaus	Woodward	2.85	0.4	Off-stream
	Frankenheimer	5.04	0.6	Off-stream
	Tulloch	17.10	2.2	Inline
	Angels	1.40	0.2	Upstream
	Phoenix	1.60	0.2	Upstream
	Murphys	4.50	0.6	Upstream
	New Spicer	6.00	0.8	Upstream
	Spring Gap	6.00	0.8	Upstream
	Beardsley	9.99	1.3	Upstream
	Sand Bar	16.20	2.1	Upstream
	Donnells-Curtis	72.00	9.2	Upstream
	Stanislaus	91.00	11.6	Upstream
	Collierville Ph	249.10	31.8	Upstream
	New Melones	300.00	38.3	Rim Dam
	Upstream Capacity	457.79	58.5	NA
	Affected Capacity	324.99	41.5	NA
Tuolumne	Stone Drop	0.20	0.0	Off-stream
	Hickman	1.08	0.2	Off-stream
	Turlock Lake	3.30	0.5	Off-stream
	La Grange	4.20	0.7	Inline
	Upper Dawson	4.40	0.7	Upstream
	Moccasin Lowhead	2.90	0.5	Upstream
	Moccasin	100.00	16.6	Upstream
	R C Kirkwood	118.22	19.6	Upstream
	Dion R. Holm	165.00	27.4	Upstream
	Don Pedro	203.00	33.7	Rim Dam
	Upstream Capacity	390.52	64.8	NA
	Affected Capacity	211.78	35.2	NA
Merced	Fairfield	0.90	0.8	Off-stream
	Reta - Canal Creek	0.90	0.8	Off-stream
	Merced ID - Parker	3.75	3.2	Off-stream
	Mcswain	9.00	7.6	Inline
	Merced Falls	9.99	8.4	Inline
	New Exchequer	94.50	79.4	Rim Dam
	Upstream Capacity	0.00	0.0	NA
	Affected Capacity	119.04	100%	NA

NA = not applicable

Energy generated from in-stream facilities, and at the rim dams, is estimated by the power equation presented below (Eqn. J-1) using reservoir head and release rates obtained from the WSE model. As described in Appendix C, *Technical Report On The Scientific Basis For Alternative San Joaquin River Flow And Southern Delta Salinity Objectives*, the WSE model provides estimates of reservoir operations and allowable surface water diversions associated with the different LSJR alternatives. As operations change for each LSJR alternative, reservoir release rates and storage levels also change, thus affecting the power generated.

The monthly energy generated from facilities at the rim dams, or facilities in-stream and downstream of the rim dams, was calculated using the following power equation on a monthly time step:

$$HP = \left(e_p \gamma Q h_g \right) \div 550 \quad (\text{Eqn. J-1})$$

where HP is the total horsepower generated by the facility, e_p is the power plant efficiency, (assumed to be 80 percent for all facilities), γ is weight of 1 cubic foot of water (62.4 pounds), Q is the flow released from the reservoir and through the turbines (in cubic feet per second), and h_g is the elevation head (in feet) behind the dam (The Engineering Toolbox 2016). The reservoir release rates (Q) and reservoir elevations (h_g) are obtained from the WSE model output. All hydropower facilities were assumed to operate within the constraints of the facility; spills causing flows greater than capacity do not produce energy above the maximum capacity. In-stream facilities located downstream of the rim dams were assumed to have constant h_g equal to the maximum head of the reservoir as these facilities are generally run-of-the-river. Horsepower obtained from the above equation is then converted to megawatts and multiplied by the number of hours in the month to provide the total energy generated in GWh for that month. Annual energy estimates are the sum of the associated monthly estimates.

An off-stream facility is one supplied by diversions of surface water from the associated river. Energy generated from off-stream facilities for each LSJR alternative was estimated by multiplying the monthly percent of surface water demand met (100 percent means surface water demand is fully met) on the associated river by the facility's nameplate capacity. Additional information related to calculation methods and terminology related to surface water demands is found in Appendix F.1, *Hydrologic and Water Quality Modeling*. The calculation of hydropower generation is completed using the following equation to determine the off-stream generation of each alternative and baseline on a monthly basis:

$$\text{Power} = (\% \text{ of Surface Water Demand Met}) \times (\text{Off-stream Nameplate Capacity}) \quad (\text{Eqn. J-2})$$

where the *Power* is calculated in megawatts (MW), *% of Surface Water Demand Met* is taken from the WSE model and only includes demands by irrigation districts that are routed through the off-stream reservoirs, for the associated tributary (for the respective alternative and baseline), and the *Off-Stream Nameplate Capacity* is the maximum generating capacity of the off-stream power generation facility. This methodology assumes that facilities have been designed and are operated at the nameplate capacity when surface water demands are met in full, but would not be able to operate at nameplate capacity if those demands are not met in full. This methodology is a simplifying and conservative assumption for facilities that represent a relatively small portion of the overall generating capacity in their respective watersheds (1.0 percent on the Stanislaus, 0.7 percent on the Tuolumne, and 4.8 percent on the Merced as shown in Table J-1). The power calculated by Eqn. J-2 is

then multiplied by the number of hours in the month to provide the total amount of energy in GWh generated for that month. Annual energy estimates are the sum of the associated monthly estimates.

Hydropower generated from facilities upstream of the rim dams on the Stanislaus and Tuolumne Rivers is not included in the WSE model because the largest hydrologic effects in terms of volume of water will be at and downstream of the rim dams. The Merced River has no major hydropower reservoirs upstream of Lake McClure (New Exchequer Dam). This appendix focuses on the modeling of hydropower at and downstream of the rim dams. Upstream hydropower effects are qualitatively discussed in Chapter 14, *Energy and Greenhouse Gases*, in Section 14.4.4, *Impacts and Mitigation Measures: Extended Plan Area*.

J.2.2 Results

The LSJR alternatives slightly reduce the annual energy generation and change the monthly generation pattern. Table J-2 contains a summary of the average annual change in total energy generation (GWh) on each of the tributaries due to the LSJR alternatives. Generally, as the percent of unimpaired flow increases from 20 percent to 60 percent, the amount of energy generated annually is slightly reduced. Relative to baseline, hydropower generation is expected to increase with LSJR Alternative 2, remain about the same with LSJR Alternative 3, and decrease with LSJR Alternative 4. These changes are also represented as a percent of baseline energy generation in Table J-3. Although annual generation is only slightly affected, the effect on the monthly pattern is slightly more pronounced.

Table J-2. Average Annual Baseline Energy Generation and Difference from Baseline by Tributary (GWh) (Note: 20% unimpaired flow, 40% unimpaired flow, and 60% unimpaired flow represent LSJR Alternative 2, 3, and 4, respectively)

Alternative	Stanislaus	Tuolumne	Merced	Plan Area
Baseline	586	656	408	1,650
20% UF	18	2	8	29
40% UF	4	-6	-3	-4
60% UF	-23	-41	-23	-87

GWh = gigawatt hours
UF = unimpaired flow

Table J-3. Average Annual Energy Generation Difference as Percent Change from Baseline by Tributary (Note: 20% unimpaired flow, 40% unimpaired flow, and 60% unimpaired flow represent LSJR Alternative 2, 3, and 4, respectively)

Alternative	Stanislaus (% difference from Baseline)	Tuolumne (% difference from Baseline)	Merced (% difference from Baseline)	Plan Area (% difference from Baseline)
Baseline	0%	0%	0%	0%
20% UF	3%	0%	2%	2%
40% UF	1%	-1%	-1%	0%
60% UF	-4%	-6%	-6%	-5%

UF = unimpaired flow

The pattern of total monthly energy generation (over 82 years of simulation) for the LSJR alternatives and baseline are presented in Figure J-2a and the associated average changes in monthly energy generation are presented in Figure J-2b. These figures show an increase in energy produced in February–June, greatest in May, due to increases in flow relative to baseline (i.e., reservoir releases) in those months under each LSJR alternative. This is followed by reductions in July–September for LSJR Alternatives 3 and 4, primarily due to less water being released from the major reservoirs as a result of reduced diversions downstream, reduced flood control releases, and, to a lesser extent, reduced reservoir elevations relative to baseline. From December–January, a decrease in hydropower generation associated with LSJR Alternatives 3 and 4 is primarily related to reduced flood control releases and, to a lesser extent, lower reservoir elevations. These effects are more pronounced as the percentage of unimpaired flow requirement of the LSJR alternatives increases.

Changes in summer hydropower generation will have a slightly greater effect on revenues because the price of energy is generally greater in summer than during the cooler months. An evaluation of the corresponding revenue loss and associated economic effects is evaluated Chapter 20, *Economic Analyses*.

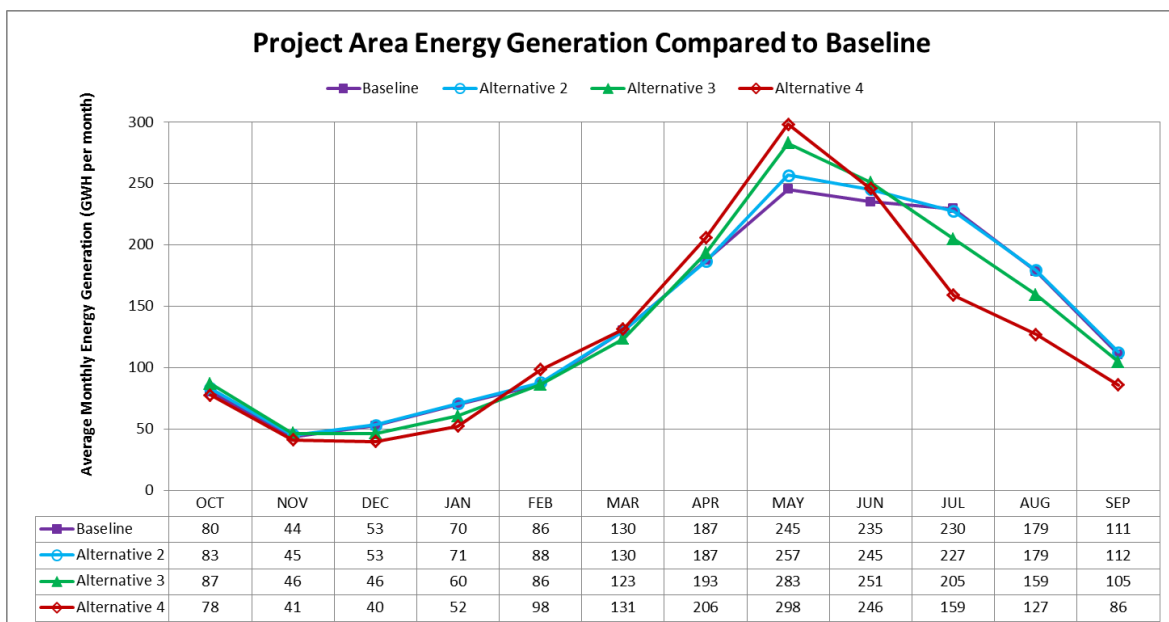


Figure J-2a. Average (across 82 Years of Simulation) of Total Monthly Energy Generation from Hydropower Facilities in the Stanislaus, Tuolumne, and Merced River Watersheds (GWh = gigawatt hours)

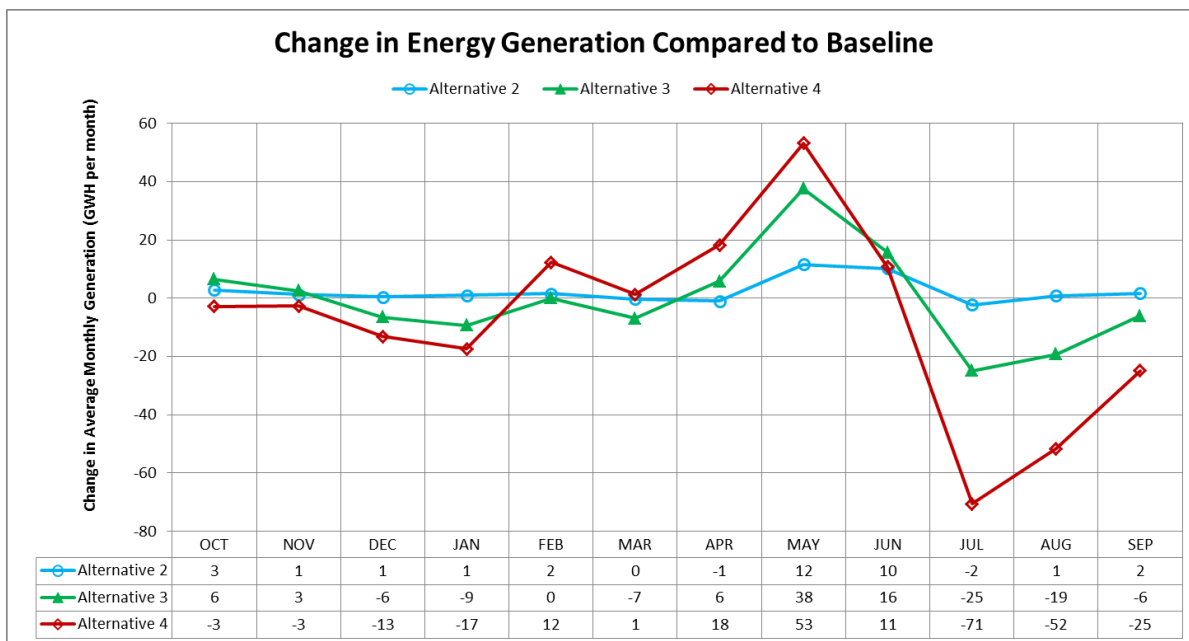


Figure J-2b. Change in Average (across 82 Years of Simulation) of Total Monthly Energy Generation Compared to Baseline (GWh = gigawatt hours)

J.3 Overview of the Transmission System in Central California

The following is a brief overview of the transmission systems and the balancing authorities in which the three hydropower plants, New Melones, New Don Pedro, and New Exchequer are located.⁵ The balancing authorities are listed in Table J-4 and discussed in the sections below. This information is provided to give context for the capacity reduction calculation and power flow analysis discussed in Section J.4, *Effects on Generating Capacity and Electric Grid*.

Table J-4. Balancing Authority of Power Plants Under Study

Power Plant	Balancing Authority
New Exchequer	California Independent System Operator (CAISO)
New Melones	Balancing Authority of Northern California (BANC)
New Don Pedro	Turlock Irrigation District (TID—68%) and Sacramento Municipal Utility District (SMUD)—32%

Source: SNL Financial LC n.d. (Distributed under license from SNL.)

Note: Don Pedro Hydro Power Plant is jointly owned by TID and Modesto Irrigation District (MID). BANC performs the balancing authority function for MID’s portion of the plant while TID is the balancing authority for its portion. SMUD is a member of BANC.

⁵ Balancing authorities are entities responsible for maintaining load-generation balance in their area and supporting the frequency of the interconnected system.

J.3.1 California Independent System Operator

The California Public Utilities Commission (CPUC) adopted the Resource Adequacy (RA) program in 2004 with the twin objectives of providing sufficient resources to the California Independent System Operators (CAISO) to ensure the safe and reliable operation of the grid in real time; and providing appropriate incentives for the siting and construction of new resources needed for reliability in the future (CPUC 2011). As part of the RA program, each load serving entity (LSE) is required to procure enough resources to meet 100 percent of its total forecast load plus a 15 percent reserve. In addition, each LSE is required to file with CPUC demonstrating procurement of sufficient local RA resources to meet its RA obligations in transmission-constrained local areas. Each year CAISO performs the Local Capacity Technical Study (LCT Study) to identify local capacity requirements within its territory. The results of this study are provided to CPUC for consideration in its RA program. These results are also be used by CAISO for identifying the minimum quantity of local capacity necessary to meet the North American Electric Reliability Corporation (NERC) reliability criteria used in the LCT Study (California Independent System Operator 2010).

The LCT Study identifies the local capacity requirement (LCR) under normal and contingency system conditions. The three system conditions under which LCR is evaluated are given below.

- Category A: No Contingencies
- Category B: Loss of a single element (N-1)
- Category C: Category B contingency followed by another Category B contingency but with time between the two to allow operating personnel to make any reasonable and feasible adjustments to the system to prepare for the second Category B contingency.

For any given area or sub-area, the requirement for Category A, B, and C are compared and the most stringent one will dictate that area's LCR requirement. Figure J-3 shows the 10 LCR areas in CAISO for study year 2012. The New Exchequer hydropower plant lies in the Greater Fresno LCR area. The Greater Fresno LCR area is therefore discussed briefly below.

Locational Capacity Requirement in Greater Fresno Area

Table J-5 shows the historical LCR, peak load, and total dependable local area generation for the Greater Fresno area from 2006 to 2015. The exhibit also shows the LCR as a percentage of the total dependable local generation. For example, in 2011, the LCR in Greater Fresno was 2,448 MW while the peak load stood at 3,306 MW; the LCR was 74 percent of the peak load. At the same time, the total dependable generation stood at 2,919 MW, which meant that the LCR was 84 percent of the total dependable generation. In other words, the Greater Fresno has had sufficient local resources available to meet its LCR requirements.

CAISO also identifies sub-areas within the larger LCR area. It is possible that the sub-areas are resource deficient even though the larger area may have sufficient resources to meet its LCR requirement. For 2015, the Greater Fresno LCR area is divided into four sub-areas: Wilson, Herndon, Handford and Reedley. While Wilson, Herndon, and Hanford have sufficient resources to meet their current LCR requirement, Reedley shows a deficiency of 46 MW under Category C contingency conditions. A summary of each sub-area critical contingencies and LCRs is presented below.

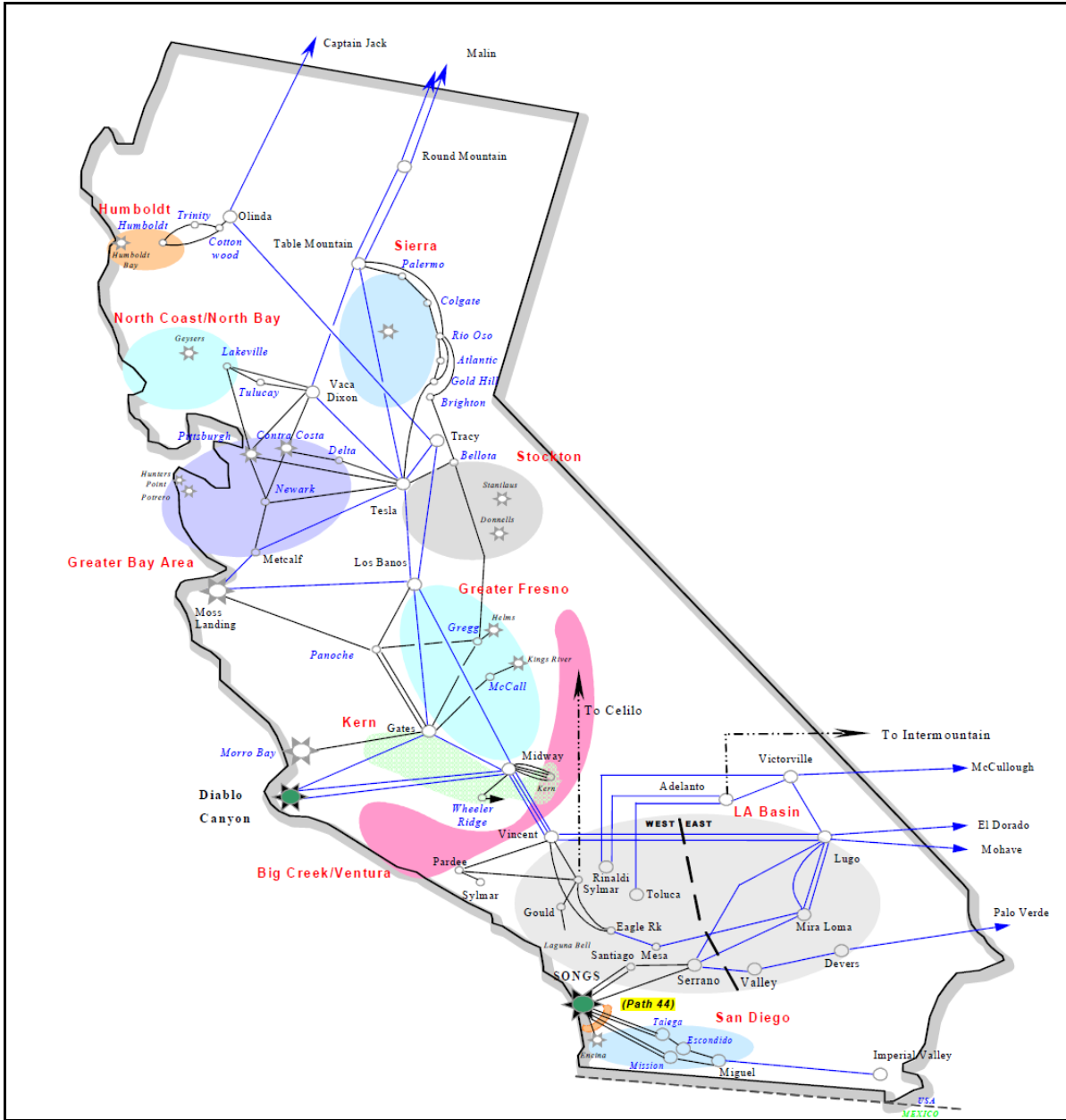


Figure J-3. Local Capacity Area Map of CAISO (Source: CAISO 2010b)

Table J-5. Local Capacity Needs vs. Peak Load and Local Area Generation for Greater Fresno Area

Year	LCR (MW)	Peak Load (MW)	LCR as % of Peak Load	Dependable Local Area Generation (MW)	LCR as % of Total Area Generation
2006	2,837	3,117	91	2,651	107
2007	2,219	3,154	70	2,912	76
2008	2,382	3,260	73	2,991	80
2009	2,680	3,381	79	2,829	95
2010	2,640	3,377	78	2,941	90
2011	2,448	3,306	74	2,919	84
2012	1,907	3,120	61	2,770	69
2013	1,786	3,032	59	2,817	63
2014	1,857	3,246	57	2,828	66
2015	2,439	3,217	76	2,848	86

Source: CAISO 2005.

MW = megawatts

The Wilson sub-area largely defines constraints on importing power into Fresno. The most critical contingency in the Wilson sub-area is the loss of the Melones-Wilson 230 kilovolt (kV) line concurrent with one of the Helms units out of service. The worst overload under this contingency would occur on the Warnerville-Wilson 230 kV line and establishes an LCR of 2,393 MW in 2015. A number of generation units in the Wilson sub-area are found to be capable of reducing the overload with varying degree of effectiveness. New Exchequer is one of these units.

The most critical contingency for the Herndon sub-area is the loss of the Herndon-Barton 230 kV line concurrent with Kerckhoff II generator out of service, which would overload the Herndon-Manchester 115 kV line and establishes an LCR of 439 MW in 2015 as the minimum generation capacity necessary for reliable load serving capability within this sub-area. A number of generation units in the Herndon sub-area are found to be capable of reducing the overload with varying degrees of effectiveness.

In the Hanford sub-area, the most critical contingency is the loss of both 115 kV circuits between McCall and Kingsburg Circuit 1, which would overload Henrietta-GWF 115 kV line. This limiting contingency establishes an LCR of 128 MW in 2015.

The Reedley sub-area is a new sub-area identified by CAISO in 2015 Local Capacity Technical Analysis, and loss of McCall-Reedley 115 kV line followed by Sangar-Reedley 115 kV line establishes an LCR of 56 MW in 2015. In the study, CAISO has identified deficiency of 46 MW in the Reedley sub-area.

Transmission Expansion Plans and New Generator Additions

In the board-approved 2010/2011 transmission plan, CAISO identified a number of transmission upgrades that are needed in the Greater Fresno area to maintain system reliability between 2011 and 2020. PG&E proposed a number of projects to mitigate these reliability violations during the 2010 request window (CAISO 2011). Table J-6 lists the major PG&E projects that were found to be needed by CAISO to maintain system reliability in the Greater Fresno Area.

Table J-6. Reliability Based Transmission Projects in Greater Fresno

Transmission Project Name	Purpose	In-Service Date
Kerckhoff PH #2– Oakhurst 115 kV Line Project	Relieve expected overload on the Corsgold to Oakhurst 115 kV line under 2016–2020 system conditions	2015
Wilson 115 kV Area Reinforcement Project	Relieve a number of reliability violations expected under 2015–2020 system conditions	2015
Oro Loma 70 kV Area Reinforcement Project	Relieve overloads on lines and transformers in the Oro Loma Area under 2015–2020 system conditions	2015
Gates-Gregg 230 kV Transmission Line	Improve transmission reliability in the Greater Fresno area. Assist in the integration of renewable energy, helping to meet California’s Renewable Portfolio Standard (RPS). Alleviate constraints at the Helms pumped storage plant.	2022

Source: CAISO 2015a.
kV = kilovolt

A number of generators are also seeking interconnection in the Greater Fresno Area through 2018. Table J-7 provides a list of selected projects that are at an advanced stage of the interconnection process.

Table J-7. Expected New Generator Additions in Greater Fresno

Fuel Type	Interconnecting Sub-Station	Capacity (MW)	Expected In-Service Date	County
Natural Gas	Gates Substation 230kV bus	600	6/1/2017	Kings
Solar	Schindler-Coalinga #2 70kV line	20	12/31/2015	Fresno
Solar	Corcoran- Kingsburg #1 115kV line	20	6/1/2015	Kings
Solar	Schindler-Huron-Gates 70kV line	20	12/1/2016	Fresno
Solar	Panoche-Oro Loma 115kV Line	20	3/31/2016	Fresno
Solar	Merced #1 70 kV	20	5/31/2018	Merced
Solar	Los Banos-Westley 230kV	110	1/26/2016	Merced
Solar	Henrietta-GWF 115 kV Line	100	1/10/2016	Kings
Solar	Mendota Substation 115 kV bus	60	1/12/2016	Fresno
Solar	Henrietta-Tulare Lake 70kV	20	12/30/2015	Kings
Solar	Gates-Gregg 230 kV and Gates-McCall 230 kV	100	9/30/2016	Kings
Solar	Helm-Panoche 230 kV and Panoche-Kearney 230kV	200	9/30/2016	Fresno
Solar	Dairyland - Legrand 115 kV	20	12/1/2015	Madera
Solar	Henrietta-Tulare Lake 70kV	20	12/31/2015	Kings
Solar	Panoche-Schindler #1 & #2 115kV	60	10/1/2016	Fresno
Solar	Giffen substation 70 KV	20	12/20/2016	Fresno
Solar	Borden Sub 230 KV Bus	50	4/10/2016	Madera
Solar	Los Banos-Panoche #1 230kV	200	10/1/2016	Merced
Solar	Mustang Switchyard 230kV	150	30/9/2016	Kings

Source: CAISO 2015b.
Note: All above listed generators have signed interconnection agreements.
kV = kilovolt

J.3.2 Ancillary Service Market

CAISO procures various ancillary services in the market. In the day-ahead and real-time markets, CAISO procures regulation reserve, spinning reserve, and non-spinning reserve. In the hour-ahead market, it procures only operating reserves, which comprise spinning and non-spinning reserves. The ancillary services procured in the market are defined below.

- **Regulation Reserves:** The generating resources that are running and synchronized with the grid, which can provide reserve capacity so that the operating levels can be increased or decreased within 10 minutes through Automatic Generation Control (AGC) signal based on the regulating ramp rate of the resource. CAISO operates two distinct capacity markets for this service, upward and downward regulation reserve.
- **Spinning Reserves:** Reserved capacity provided by generating resources that are running with additional capacity that is capable of ramping over a specified range within 10 minutes and able to run for at least 2 hours. CAISO needs this reserve to maintain system frequency stability during emergency operating conditions.
- **Non-Spinning Reserves:** Reserved capacity provided by the generating resources that are available but not running. These generating resources must be capable of being synchronized to the grid and ramping to a specified level within 10 minutes, and then able to run for at least 2 hours. The CAISO needs non-spinning reserve to maintain system frequency stability during emergency conditions.

The market participants (i.e., electricity providers) can self-provide any or all of these ancillary service products, bid them into the CAISO markets, or purchase them from CAISO. The same resource capacity may be offered for more than one ancillary service into the same CAISO market at the same time. In addition, resources that have registered with a metered subsystem (MSS) that has elected the load following option may submit self-provision bids for load following up and load following down. Scheduling coordinators (SCs) simultaneously submit bids to supply the ancillary service products to CAISO in conjunction with their preferred day-ahead and hour-ahead schedules.

J.3.3 Balancing Authority of Northern California and Sacramento Municipal Utility District

The Balancing Authority of Northern California (BANC) is a joint powers authority comprised of the Sacramento Municipal Utility District (SMUD), MID, Roseville Electric, Redding Electric Utility and Trinity Public Utility District. The third largest balancing authority in California, BANC assumed balancing authorities from SMUD in 2011.

The SMUD, established in 1946, is the nation's sixth largest community-owned electric utility in terms of customers served (approximately 590,000) and covers a 900 square-mile area that includes Sacramento County and a small portion of Placer County. The service territory of SMUD is shown in Figure J-4.

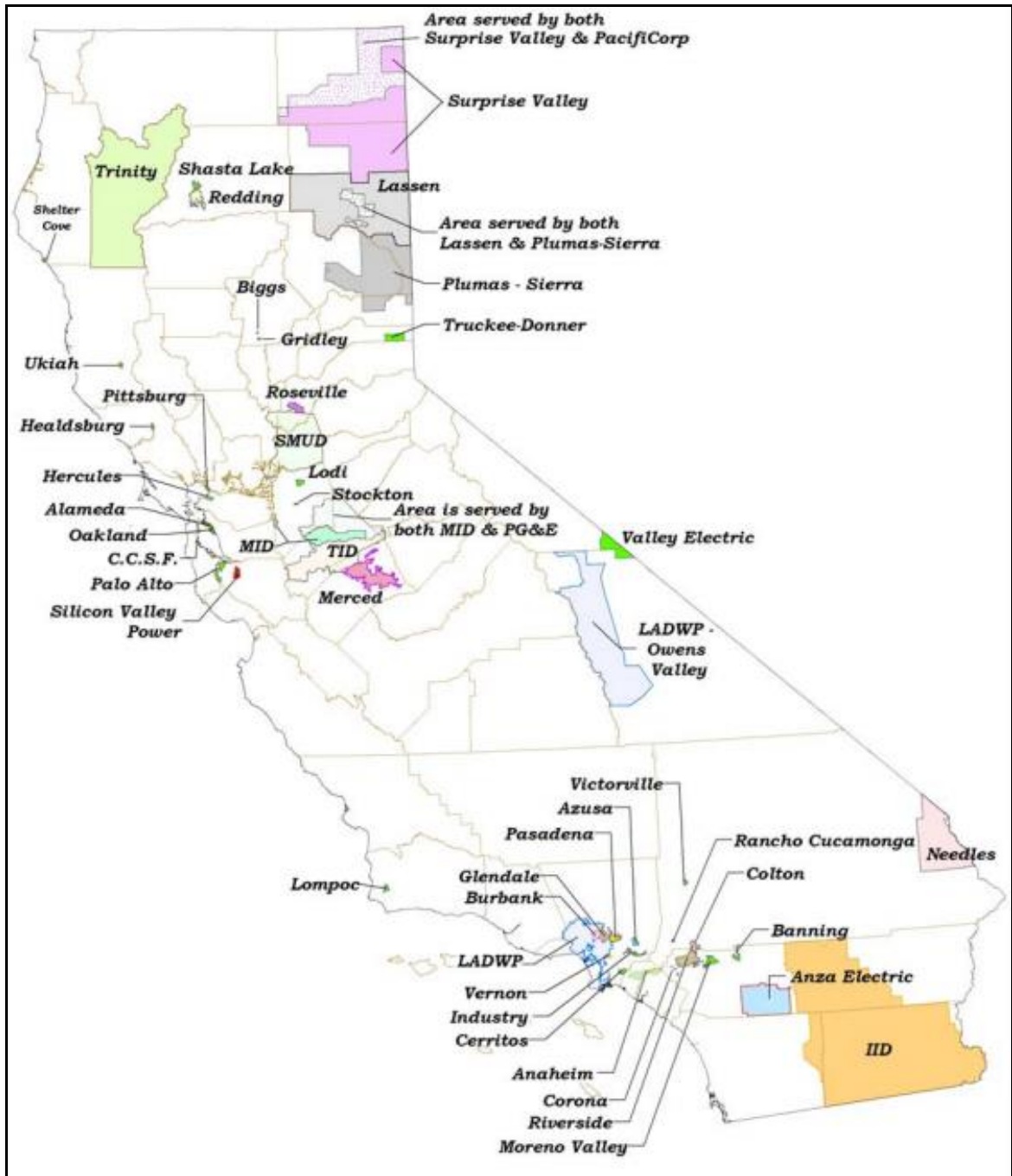


Figure J-4. SMUD Service Territory and Other Territories in California (Source: CEC 2012)

As part of the biennial resource adequacy and resource plan assessments for publically owned utilities, California Energy Commission (Commission) published its biennial report in November 2009 detailing the need and availability of generation resources to meet the future load and planning reserve margin requirements within the territory of publically owned utilities (California Energy Commission 2009). The report indicates that SMUD will be able to meet its resource adequacy requirements in the near term; however, in 2018 SMUD’s generation resources may not be sufficient to meet its load and planning reserve margin obligations. The deficiency expected in 2018 is estimated at 347 MW, but the Commission does not expect this to be an issue due to the lead time available to resolve the expected deficiency.

Transmission Expansion Plans and New Generator Additions

SMUD also carries out an annual 10-year transmission planning process to ensure that NERC and Western Electricity Coordinating Council (WECC) Reliability Standards are met each year of the 10-year planning horizon. Major projects that have been proposed in the 2010 transmission plan for the 2016 to 2020 time period are listed in Table J-8 (Sacramento Municipal Utility District 2010). These projects are expected to improve the reliability of SMUD’s electric system as well as increase its load serving capability.

Table J-8. Proposed Transmission Upgrades in SMUD 2016–2020

Project Name	Project Description	Expected In-Service Date
Franklin 230/69 kV Substation	New Distribution Substation	May 31, 2016
O’Banion-Sutter 230 kV Double Circuit Transmission Line Conversion	Add circuit breakers to convert O’Banion-Sutter line to double circuit tower line	May 31, 2016
Installation of 200 MVAR transmission capacitors	Install transmission capacitors	May 31, 2019
400 MW Iowa Hill Pump Storage Facility	New Hydropower Plant in the Upper American River Project	May 31, 2020
Lake-Folsom 230 kV and Folsom - Orangevale 230 kV Reconductoring	Reconductor the Lake-Folsom –Orangevale 230 kV Lines	May 31, 2020

kV = kilovolt
MW = megawatts

The New Melones Power Plant physically resides in the CAISO Balancing Authority (BA) Area. However, Sierra Nevada Region (SNR)⁶, SMUD, and the CAISO operate New Melones as a pseudo-tie generation export from CAISO into the SMUD BA Area (Western 2010). This arrangement implies that New Melones is electronically and operationally included as part of the SMUD BA Area. For purposes of qualifying capacity, SNR has designated the New Melones Power Plant as part of the Central Valley Project (CVP) resource in the SMUD BA Area. The location of New Melones is shown in Figure J-1.

⁶ Sierra Nevada Region (SNR), is a certified scheduling coordinator and an LSE for certain loads and resources within the CAISO Balancing Authority Area.

J.3.4 Turlock Irrigation District

The Turlock Irrigation District (TID) operates as a BA located between Sacramento and Fresno in California’s Central Valley (California Transmission Planning Group 2011). Westley 230 kV and Oakdale 115 kV lines provide import access for TID. The TID BA incorporates all 662 square miles of TID’s electric service territory (Figure J-5) as well as a 115 kV loop with three 115 kV substations owned by the Merced Irrigation District (Merced ID). The Merced ID facilities are interconnected to TID’s August and Tuolumne 115 kV substations and are located just south of TID’s service territory and north of the city of Merced. TID is the majority owner and operating partner of the Don Pedro Hydroelectric Project, with 68.46 percent ownership; MID has a 31.54 percent ownership.

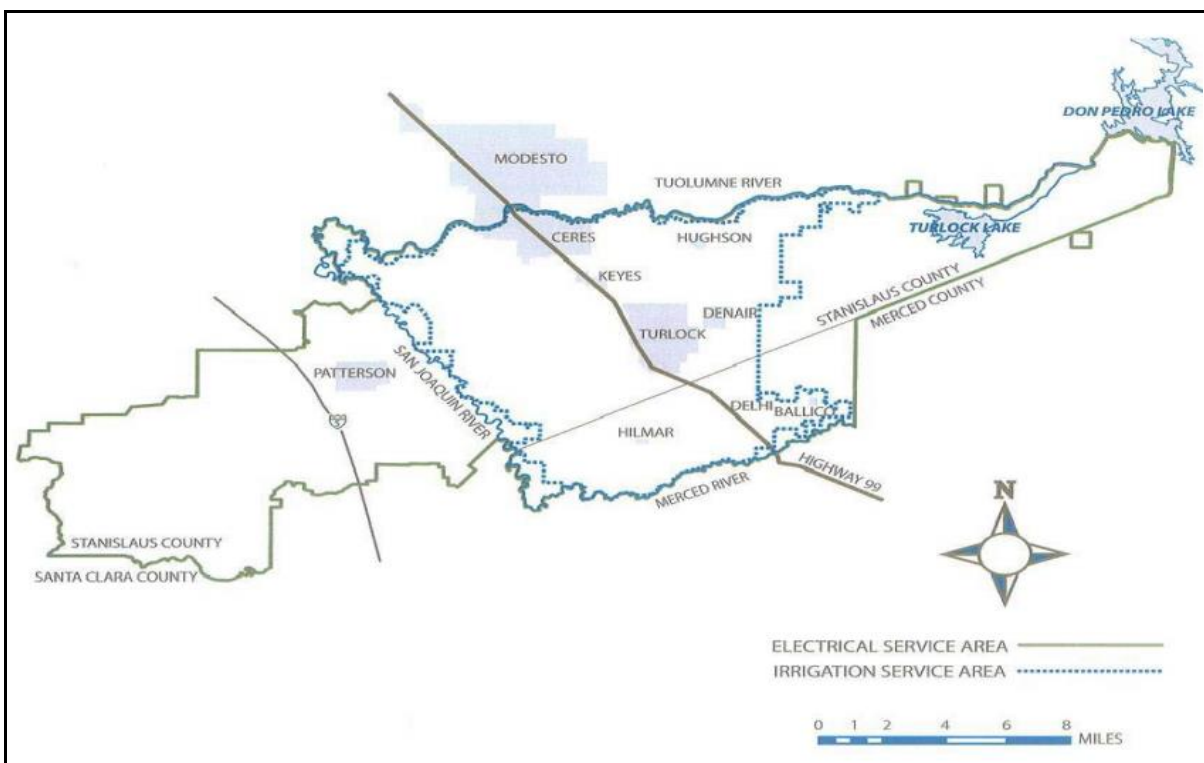


Figure J-5. Turlock Irrigation District Service Area (Source: California Transmission Planning Group 2011)

J.4 Effects on Generating Capacity and Electric Grid

In Section J.2, *Energy Generation Effects*, the total annual or monthly amounts of energy generated (in GWh) by each LSJR alternative and the baseline were estimated and compared. This section considers the effect of the LSJR alternatives on the amount of available power generating capacity during the peak energy-use months of July and August (peak generating capacity) from the major hydropower facilities in the LSJR Watershed (New Melones, New Don Pedro, and New Exchequer) and the corresponding potential to affect the functioning of the electric grid (power flow assessment) during the peak energy-use months of July and August.

J.4.1 Peak Generating Capacity

Peak generating capacity, expressed as MW, refers to the available generating capacity during the peak energy-use months of July and August. This is the power that can be generated with full design flow through the turbines at a given set of reservoir storages during July and August. As the storage elevation in the reservoir is increased, the generating capacity through the turbines is increased. The WSE model was used to estimate the end-of-month reservoir storage elevations for each LSJR alternative and baseline across the 82 years of simulation.

Generating capacity during July and August is calculated based on estimates of the available head (i.e. the difference between end-of-month reservoir storage elevation and tail-water elevation) for generating electric power. The maximum potential capacity is assumed to occur at maximum head (i.e., difference between the maximum elevation and tail-water elevation). Table J-9 shows the maximum head and the corresponding maximum potential capacity for the New Melones, Don Pedro, and New Exchequer hydropower facilities. Since the power generation capacity in MW is directly proportional to the available head, the available capacity of affected hydropower plants in any month under each LSJR alternative is estimated by prorating the maximum plant capacity by the available head estimated from the WSE model. For example, if for any month, the model estimated available head for New Melones is 500 feet (ft); using the maximum head and maximum capacity values from Table J-9, its available capacity for that month is estimated at 256 MW or $(300 \text{ MW} \times [500 \text{ ft}/585 \text{ ft}])$.

Available capacity = maximum potential capacity X (available head/maximum potential head)

Figures J-6 and J-7 present the total available generating capacity (MW) from New Melones, New Don Pedro, and New Exchequer using this approach for peak demand months July and August respectively across the 82 years of WSE model simulated hydrology for the LSJR alternatives and baseline. At times when reservoir levels and hydropower capacity has been low under baseline, reservoir levels and hydropower capacity under all three LSJR alternatives are higher. This is primarily due to the increased storage in the driest years. These figures also show a decrease in the available generation capacity for LSJR Alternatives 3 and 4 relative to baseline during at times when reservoir levels and generating capacities were relatively high under baseline. LSJR Alternative 2 is either similar to or higher than baseline at all capacity levels.

Table J-9. Existing Maximum Potential Power Generation Capacity

Power Plants	Maximum Potential Elevation (Feet)	Tail-water Elevation (Feet)	Maximum Potential Head (Feet)	Maximum Potential Capacity (MW)
New Melones	1,088	503	585	300
Don Pedro	830	310	520	203
New Exchequer	867	400	467	95

MW = megawatt

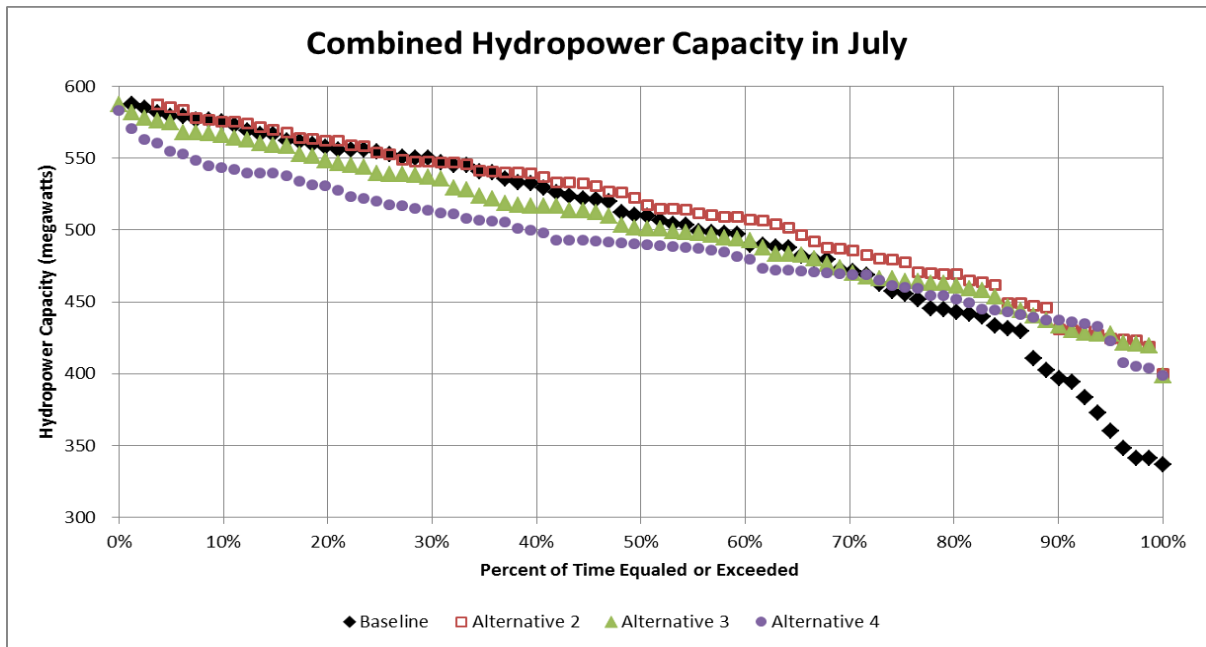


Figure J-6. Exceedance Plot of Total Generating Capacity (megawatts) in July, Across 82 Years of Simulation, from the Three Major Tributary Hydropower Facilities, Comparing LSJR Alternatives 2-4 and Baseline.

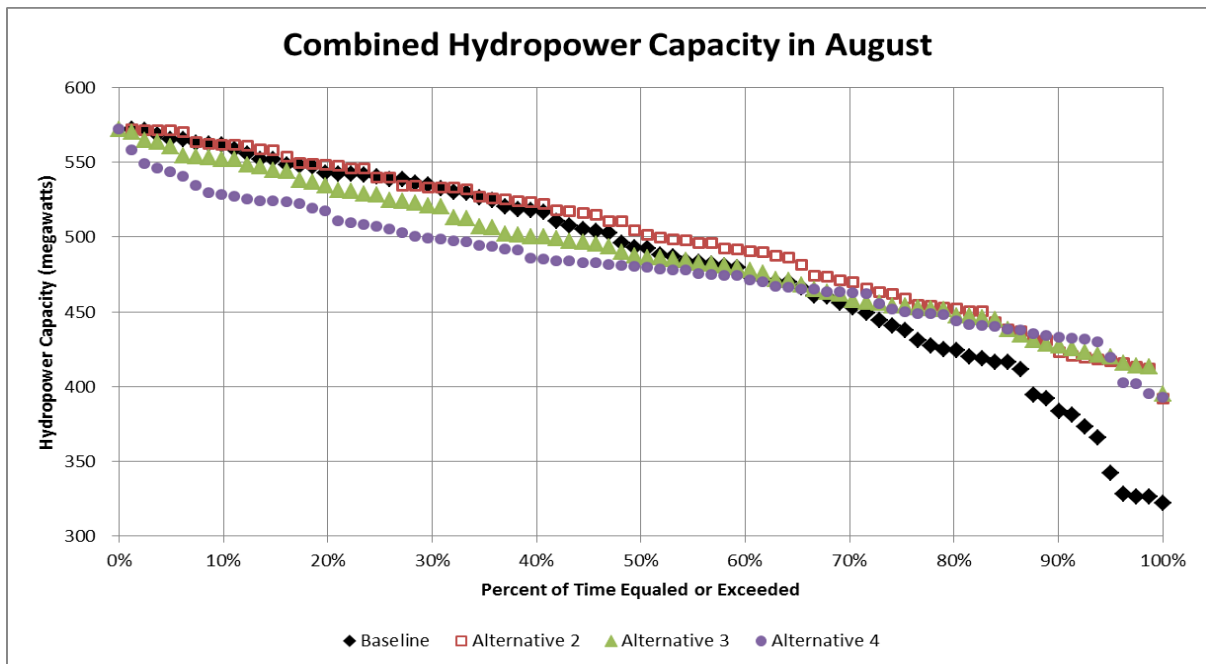


Figure J-7. Exceedance Plot of Total Generating Capacity (megawatts) in August, Across 82 Years of Simulation, from the Three Major Tributary Hydropower Facilities, Comparing LSJR Alternatives 2-4 and Baseline.

J.4.2 Power Flow Assessment Methodology

As shown in the previous section, the LSJR alternatives have the potential to reduce hydropower generation in the summer months because less water would be stored during those months as a result of it being released earlier in the year, thereby reducing the amount of water available for hydropower generation. Because California's electric grid is most stressed during the summer months of June–August, with peak demand typically occurring in the month of July, a reduction in hydropower capacity during this time has the potential to further stress the grid.

LSJR Alternative 2 would not cause a reduction in power capacity from the baseline condition. LSJR Alternatives 3 and 4 resulted in reductions of 2 percent and 4 percent, respectively, to median July hydropower capacity of the three main facilities. The largest reductions in the distributions of the July-August hydropower capacities occurred at the 60th to 70th percentiles (i.e., 40th to 30th percent exceedance levels) and were 3 percent and 7 percent under LSJR Alternatives 3 and 4, respectively. Percent reductions during August were similar to July.

In the WSE modeling, the reduced capacity available from hydropower facilities is not materially different from the previous WSE model results provided in the Public 2012 SED used for the power flow analysis. The previous power flow analysis conducted for LSJR Alternatives 3 and 4 assumed a reduction in July capacity of 5 percent and 8 percent, respectively (slightly greater than the currently modeled largest reductions of 3 percent and 7 percent). The results of 5 percent and 8 percent can inform potential impacts on California's electric grid.

According to NERC, reliability of an electric system comprises two interrelated elements—adequacy and security. Adequacy refers to the amount of capacity resources required to meet peak demand and security refers to the ability of the system to withstand contingencies or other system disturbances, such as the loss of a generating unit or transmission line. Both of these reliability aspects can be gauged from sub-station voltages and transmission line loadings. A steady state power flow assessment of the California grid was performed to check if reduction in hydropower capacities of the three rim dams would adversely impact the grid reliability as defined by NERC.⁷

The power flow assessment was a multi-step process. These steps and assumptions are listed below.

- Prepare a Base Case (California electric grid model under normal and contingency conditions, assuming the facility is in normal operation).⁸
- Prepare two separate Change Cases (California electric grid model under normal and contingency conditions assuming reduced output of the facilities) assuming a 5 percent and 8 percent reduction in available hydropower generating capacity from the New Melones, New Don Pedro, and New Exchequer hydropower facilities.
- Develop criteria for selection of generator and transmission contingencies.
- Develop criteria for voltage and thermal limits.
- Select the areas where transmission line/transformer loadings and sub-station voltages would be monitored.

⁷ Power flow software models simulate the operation of the grid and calculate substation voltages and power flowing on transmission lines/transformers. These calculated values can then be compared with standard voltage limits and line/transformer thermal ratings to identify violations.

⁸ Under normal conditions, all generation and transmission facilities are assumed to be in service. Contingency conditions refer to the unplanned outage of power system equipment.

Base and Change Case Development

The base case was the latest 2011 heavy summer (high summer power demand) electric grid model of the entire Western Interconnection developed by WECC. This case had a detailed representation of the California electric grid. A summary of load, generation, area interchange, and area losses in the base case is shown in Table J-10.

Table J-10. Representation of the California Electric Grid (Base Case)

Power Flow Area #	Power Flow Area Name	Area Generation (MW)	Area Load (MW)	Area Interchange (MW)	Area Loss (MW)
10	NEW MEXICO	2,955	2,690	105	159
11	EL PASO	978	1,644	-730	64
14	ARIZONA	26,323	19,753	6,284	286
18	NEVADA	5,721	6,338	-708	91
20	MEXICO-CFE	2,108	2,304	-230	34
21	IMPERIALCA	1,100	978	90	31
22	SANDIEGO	3,666	4,930	-1,371	107
24	SOCALIF	17,929	25,278	-7,842	492
26	LADWP	4,554	6,537	-2,410	427
30	PG AND E	27,231	27,050	-784	966
40	NORTHWEST	30,956	25,165	4,507	1,285
50	B.C.HYDRO	11,137	7,900	2,572	665
52	FORTISBC	879	733	127	20
54	ALBERTA	9,971	10,022	-400	349
60	IDAHO	4,058	3,703	139	216
62	MONTANA	3,192	1,837	1,252	102
63	WAPA U.M.	56	-44	92	7
64	SIERRA	1,889	2,037	-208	60
65	PACE	7,914	8,528	-918	304
70	PSCOLORADO	7,531	7,840	-510	200
73	WAPA R.M.	5,998	4,870	941	188

MW = megawatt

Two change cases were developed for the hydropower generation facilities. One change case was prepared with the peak generating capacity of each hydropower facility (New Melones, New Don Pedro, and New Exchequer) reduced by 5 percent of its value in the base case (5 percent less available peak generating capacity than in the base case). The second change case was prepared assuming 8 percent of its value in the base case. Table J-11 summarizes the modeled cases. The total peak generating capacity for these three hydropower facilities assumed in the WECC base case simulation is approximately 400 MW and represents a level that is exceeded about 90 percent of years in both July and August as shown in Figures J-6 and J-7, respectively.

Table J-11. Description of Test Cases Modeled

Case Description	Peak Generating Capacity	Normal Conditions	Contingency Conditions
Base Case	Normal ^(a)	√	√
Change Case #1	Reduced by 5%	√	√
Change Case #2	Reduced by 8%	√	√

^{a.} WECC base case peak generating capacities for New Melones, New Don Pedro, and New Exchequer facilities.

Contingency Selection Criteria

Base and change cases were analyzed for single contingency outage of all the transmission facilities rated 115 kV and above within the BA of the generating facilities, and 230 kV and above in the neighboring BAs or regions.⁹ Single contingency outage of all generators rated 100 MW or above, both within the BA of the facilities and in the neighboring BAs, were also used to analyze the performance of electric grid under base and change cases. In the power flow, all the facilities are shown to be a part of PG&E area with Southern California Edison, Northwest, and Sierra as neighboring regions.

Voltage and Transmission Line Limits

The transmission line limits used in the study were the normal and Long-Term Emergency (LTE) ratings. Under normal and contingency conditions, transmission line flows are expected to remain within the normal and long-term emergency ratings, respectively. Similarly, voltage limits were established relative to the nominal voltages. Under normal conditions, system operators regulate nodal voltages within ±5 percent of their nominal values. Under contingency conditions, this limit is relaxed to ±10 percent of the nominal value.

Criteria for Monitoring Transmission Elements

Within the BA of the facilities, the following criteria for monitoring transmission line/transformer loadings and sub-station voltages were used:¹⁰

- All transmission lines with nominal voltage greater than 115 kV.
- All transformers with both nominal primary and secondary voltage greater than 115 kV.

In the neighboring Balancing Authorities, the following criteria for monitoring transmission/transformer loadings and sub-station voltages were used:

- All transmission lines with nominal voltage greater than 230 kV.
- All transformers with both primary and secondary voltage greater than 230 kV.

⁹ In the context of this analysis, neighboring region or neighboring BA is defined as a region which has a direct transmission link with the region in which the facility is located.

¹⁰ The loading of a transmission line or transformer is measured as a ratio of the actual flow across the facility in amperes or mega-volt amperes to the rated value of current. In this analysis, only those lines/transformers whose loading exceeds 90% of the applicable rating are recorded.

The WECC paths in California (referred to as “interfaces” hereafter) were also monitored. These are listed in Table J-12.¹¹

Table J-12. WECC Paths Monitored

WECC Path Number	WECC Path Name
15	Midway-Los Banos
24	PG&E-Sierra
25	PacifiCorp/PG&E 115 kV Interconnection
26	Northern-Southern California
52	Silver Peak-Control 55 kV
60	Inyo-Control 115 kV Tie
66	COI
76	Alturas Project

Source: Western Congestion Analysis Task Force 2006.
kV = kilovolt

J.4.3 Power Flow Simulation Tools

The *GE® Positive Sequence Load Flow (PSLF)* model was used for this analysis. PSLF is ideal for simulating the transfer of large blocks of power across a transmission grid or for importing or exporting power to neighboring systems. The model can be used to perform comprehensive and accurate load flow, dynamic simulation, short circuit and contingency analysis, and system fault studies. Using this tool, engineers can also analyze transfer limits while performing economic dispatch. PSLF can simulate large-scale power systems of up to 80,000 buses.¹²

J.4.4 Assumptions for Facilities

The assumptions for the generation facility characteristics and interconnection substations are shown in Table J-13. Other assumptions, including transmission facility normal and long-term emergency ratings, transmission line impedances, and substation nominal voltages were defined in the WECC power flow cases used for the assessment.

Table J-13. Unit Assumptions for the Engineering Assessment

Unit Name	Unit Bus Number in WECC Power Flow Case	Interconnection Voltage (kV)
New Melones	37561, 37562	230
Don Pedro	38550, 38552, 38554	69
New Exchequer	34306	115

kV = kilovolt

¹¹ WECC Paths refer to either an individual transmission line or a combination of parallel transmission lines on which the total power flow should not exceed a certain value to maintain system reliability.

¹² In Power Flow modeling a “bus” represents all the sub-station equipment that is at the same voltage level and is connected together.

J.4.5 Results and Conclusions

Thousands of transmission lines, nodal voltages, and interfaces under normal system conditions and contingency outages of hundreds of transmission lines and generators were monitored under the base and change cases. The base case sub-station voltages and line/transformer loadings were then compared with those of the change cases. If the comparison showed that sub-station voltages or transmission line/transformer loadings are within limits in the base case, but outside the limits in the change cases (i.e., the 5 percent and 8 percent identified in Section J.4.2, *Power Flow Assessment Methodology*), the unimpaired flow alternatives could be considered to have an adverse impact on the reliability of California's electric grid. Results of the power flow assessment are discussed below.

Comparison between Base and Change Case Line/Transformer Loadings under Normal Conditions

Under normal operating conditions, no transmission line or transformer was found that violated the ratings exclusively in the change cases.

Comparison between Base and Change Case Line/Transformer Loadings under Line/Transformer Contingencies

When base and change cases were studied under transmission line and transformer contingencies, no line/transformer limit violation was found for the base case and change case #1. However, for change case #2, the 230 kV line between Borden and Gregg substations showed a minor violation (100.04 percent of its LTE rating) under the outage of the 230 kV line between Gregg and Storey substations. This minor overload was mitigated through a 5 MW reduction in the total power dispatch (1,148 MW in the base case) of the three Helms units. The new loading of the monitored element after this re-dispatch was 99.81 percent.

Comparison between Base and Change Case Line/Transformer Loadings under Generator Contingencies

Under generator contingencies, no line/transformer limit violations were found that could be exclusively attributed to either change case.

Comparison between Base and Change Case Substation Voltages under Normal and Line/Transformer/Generator Contingencies

No voltage violations were found that could be exclusively attributed to the reduced hydropower capacity in the change cases.

Comparison between Base and Change Case Interface Loadings under Normal and Line/Transformer/Generator Contingencies

No interface limit violations were found that could be exclusively attributed to the reduced hydropower capacity in the change cases.

In conclusion, an engineering assessment was performed to determine if implementation of the unimpaired flow alternatives on the tributaries, and the resulting change in hydropower generation at the hydropower plants, would adversely impact the reliability of California's electric grid.

As described in Section J.4.1, *Peak Generating Capacity*, there is a less-than-significant reduction in available hydropower generating capacity associated with the LSJR alternatives in the peak summer load months of July and August. Additional evaluation determined the electric grid could adapt to 5 percent and 8 percent reductions in available generating capacity from the New Melones, New Don Pedro, and New Exchequer hydropower facilities with less-than-significant impact on its reliability. Based on the results of this study, the San Joaquin River Flow Objectives project would not adversely impact the reliability of California's electric grid.

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