AGREEMENT SUMMARY

AGREEMENT NUMBER: AGM215(REV.1-2014)

AMENDMENT NUMBER: 5

1. CONTRACTOR'S NAME: Hallmark Group

2. FEDERAL I.D. NUMBER: 68-0481455

3. AGENCY TRANSMITTING AGREEMENT: Department of Water Resources

4. DIVISION, BUREAU, OR OTHER UNIT: State Water Project Analysis Office

5. AGENCY BILLING CODE: 81000

6. NAME AND TELEPHONE NUMBER OF CONTRACT ANALYST FOR QUESTIONS REGARDING THIS AGREEMENT: Nancy Shaltes; nancy.shaltes@water.ca.gov; (916) 654-7359 / SME: Roger Lee; roger.lee@water.ca.gov; (916)651-9707

7. HAS YOUR AGENCY CONTRACTED FOR THESE SERVICES BEFORE?
   ☐ NO ☐ YES (If YES, enter prior contractor name and Agreement Number)

8. BRIEF DESCRIPTION OF SERVICES - LIMIT 72 CHARACTERS INCLUDING PUNCTUATION AND SPACES
   Amend to add time and money

9. AGREEMENT OUTLINE (Include reason for Agreement: Identify specific problem, administrative requirement, program need or other circumstances making the Agreement necessary; include special or unusual terms and conditions.)

   The purpose of this Amendment is to extend the expiration date by three (3) months from December 31, 2017 to March 31, 2018. The Amendment also adds $696,000.00 to the contract. Additional time and money are needed for continued planning, coordination and oversight of the program. Recent meetings with fish and wildlife agencies have triggered additional planning and permitting activities such as the development of a supplemental EIR/EIS. The Hallmark Group also needs to manage and coordinate the closeout of planning task orders and deliverables. Additionally, this Amendment updates Exhibit C – General Terms and Conditions, and Exhibit E, Attachment 1 – Travel and Per Diem Expenses.

10. PAYMENT TERMS (More than one may apply.)
    ☐ MONTHLY FLAT RATE ☐ QUARTERLY ☐ ONE-TIME PAYMENT ☐ PROGRESS PAYMENT
    ☐ REIMBURSEMENT/REVENUE ☐ WITHHOLD 10% ☐ ADVANCED PAYMENT NOT TO EXCEED $ ___________ or _________%
    ☐ OTHER (Explain) No more often than monthly, in arrears / 10% retention withheld at Contract Manager's discretion.

11. PROJECTED EXPENDITURES

    FUND TITLE: WRRF
    ITEM: 3860-902-0691
    FY: 17/18
    CHAPTER: 186/86
    STATUTE: Sec 135 WC
    PROJECTED EXPENDITURES: $696,000.00

    OBJECT CODE: X.9911.PIF1.111 – G/L 9032640200

    AGREEMENT TOTAL: $696,000.00

    AMOUNT ENCUMBERED BY THIS DOCUMENT: $696,000.00

    PRIOR AMOUNT ENCUMBERED FOR THIS AGREEMENT: $13,808,620.28

    TOTAL AMOUNT ENCUMBERED TO DATE: $14,504,620.28

12. AGREEMENT:

    AGREEMENT
    FROM: 12/01/12
    THROUGH: 12/31/15
    TOTAL COST OF THIS TRANSACTION: $4,155,620.28
    BID, SOLE SOURCE, EXEMPT: RFQ

    AMENDMENT NO. 1
    FROM: 12/01/12
    THROUGH: 12/31/15
    TOTAL COST OF THIS TRANSACTION: $7,300,000.00
    BID, SOLE SOURCE, EXEMPT: 23CCR387

    AMENDMENT NO. 2
    FROM: 12/01/12
    THROUGH: 12/31/15
    TOTAL COST OF THIS TRANSACTION: $0.00
    BID, SOLE SOURCE, EXEMPT: 23CCR387

    AMENDMENT NO. 3
    FROM: 12/01/12
    THROUGH: 12/31/16
    TOTAL COST OF THIS TRANSACTION: $807,000.00
    BID, SOLE SOURCE, EXEMPT: 23CCR387

    AMENDMENT NO. 4
    FROM: 12/01/12
    THROUGH: 12/31/17
    TOTAL COST OF THIS TRANSACTION: $1,546,000.00
    BID, SOLE SOURCE, EXEMPT: 23CCR387

    AMENDMENT NO. 5
    FROM: 12/01/12
    THROUGH: 3/31/18
    TOTAL COST OF THIS TRANSACTION: $696,000.00
    BID, SOLE SOURCE, EXEMPT: 23CCR387

    TOTAL: $14,504,620.28
13. BIDDING METHOD USED:

- [ ] REQUEST FOR PROPOSAL (RFP)  
  (Attach justification if secondary method is used)
- [ ] INVITATION FOR BID (IFB)  
  (Attach STD. 821)
- [ ] USE OF MASTER SERVICE AGREEMENT  
  (Attach justification if secondary method is used)
- [ ] SOLE SOURCE CONTRACT  
  (Give authority for exempt status)
- [ ] EXEMPT FROM BIDDING  
  (Attach STD. 821, Contract Advertising Exemption Request, must be attached)

NOTE: Proof of advertisement in the State Contracts Register or an approved form

14. SUMMARY OF BIDS (List of bidders, bid amount and small business status) (If an amendment, sole source, or exempt, leave blank)

N/A - Amendment

15. IF AWARD OF AGREEMENT IS TO OTHER THAN THE LOWER BIDDER, PLEASE EXPLAIN REASON(S) (If an amendment, sole source, or exempt, leave blank)

N/A - Amendment

16. WHAT IS THE BASIS FOR DETERMINING THAT THE PRICE OR RATE IS REASONABLE?

The original negotiated rates were compared to other contracts’ rates providing similar services, and based on comparison were considered fair and reasonable. This Amendment does not change the rates originally negotiated.

17(a) JUSTIFICATION FOR CONTRACTING OUT (Check one)

- [ ] Contracting out is based on cost savings per Government Code 19130(a). The State Personnel Board has been so notified.
- [ ] Contracting out is justified based on Government Code 19130(b).

Justification:

N/A - RFQ pursuant to Government Code Section 4525 et. Seq.

17(b) EMPLOYEE BARGAINING UNIT NOTIFICATION

- [ ] By checking this box, I hereby certify compliance with Government Code section 19132(b)(1).

N/A - RFQ pursuant to Government Code Section 4525 et. Seq.

18. FOR AGREEMENTS IN EXCESS OF $5,000, HAS THE LETTING OF THE AGREEMENT BEEN REPORTED TO THE DEPARTMENT OF FAIR EMPLOYMENT AND HOUSING?

- [ ] NO  
- [ ] YES  
- [ ] N/A

19. HAVE CONFLICT OF INTEREST ISSUES BEEN IDENTIFIED AND RESOLVED AS REQUIRED BY THE STATE CONTRACT MANUAL SECTION 7.10?

- [ ] NO  
- [ ] YES  
- [ ] N/A

20. FOR CONSULTING AGREEMENTS, DID YOU REVIEW ANY CONTRACTOR EVALUATIONS ON FILE WITH THE DGS LEGAL OFFICE?

- [ ] NO  
- [ ] YES  
- [ ] NONE ON FILE  
- [ ] N/A

21. IS A SIGNED COPY OF THE FOLLOWING ON FILE AT YOUR AGENCY FOR THIS CONTRACTOR?

- [ ] CONTRACTOR CERTIFICATION CLAUSES  
- [ ] STD. 204, VENDOR DATA RECORD  
- [ ] N/A

22. REQUIRED RESOLUTIONS ARE ATTACHED

- [ ] NO  
- [ ] YES  
- [ ] N/A

23. ARE DISABLED VETERANS BUSINESS ENTERPRISE GOALS REQUIRED? (If an amendment, explain changes, if any)

- [ ] NO  
- [ ] YES  
- [ ] N/A

DISABLED VETERAN BUSINESS ENTERPRISES: 3 % OF AGREEMENT

Explain:

DVBE program requirements are applied on a Task order basis for this contract.

24. IS THIS A SMALL BUSINESS CERTIFIED BY OFFICE OF SMALL BUSINESS AND DISABLED VETERAN BUSINESS ENTERPRISE SERVICES?

- [ ] NO  
- [ ] YES (Indicate Industry Group)

SMALL BUSINESS REFERENCE NUMBER

25. IS THIS AGREEMENT (WITH AMENDMENTS) FOR A PERIOD OF TIME LONGER THAN TWO YEARS? (If YES, provide justification)

- [ ] NO  
- [ ] YES

The DHCCP, when finalized, will provide essential environmental restoration and water supply to California. This multi-year contract provides Program Management services. In order for the DHCCP to be successful, continual Program Management services need to be in place; therefore a multi-year contract is in the best interest of the State.

I certify that all copies of the referenced Agreement will conform to the original Agreement sent to the Department of General Services.

Signature/Title

Pedro Villalobos, Chief SWPAO

Date Signed 12/18/17