WATER QUALITY CONTROL PLAN
FOR THE
NORTH COAST REGION

MAY 2011

NORTH COAST REGIONAL WATER QUALITY CONTROL BOARD
5550 Skylane Blvd., Suite A
Santa Rosa, CA 95403
Telephone: (707) 576-2220
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FORWORD</strong></td>
<td>v</td>
</tr>
<tr>
<td><strong>SECTION 1 – INTRODUCTION</strong></td>
<td>1-1.00</td>
</tr>
<tr>
<td>History of Basin Planning In the North Coast Region</td>
<td>1-1.00</td>
</tr>
<tr>
<td>Planning Relationships</td>
<td>1-2.00</td>
</tr>
<tr>
<td>Function and Objectives of the Basin Plan</td>
<td>1-2.00</td>
</tr>
<tr>
<td>Legal Basis and Authority</td>
<td>1-3.00</td>
</tr>
<tr>
<td>Triennial Review and Basin Plan Amendment Process</td>
<td>1-4.00</td>
</tr>
<tr>
<td>Regional Setting of the North Coast Region</td>
<td>1-5.00</td>
</tr>
<tr>
<td>The Klamath River Basin</td>
<td>1-6.00</td>
</tr>
<tr>
<td>The North Coastal Basin</td>
<td>1-6.00</td>
</tr>
<tr>
<td>Population and Land Use</td>
<td>1-8.00</td>
</tr>
<tr>
<td>Water Resources and Water Use</td>
<td>1-8.00</td>
</tr>
<tr>
<td>The Klamath River Basin</td>
<td>1-8.00</td>
</tr>
<tr>
<td>The North Coastal Basin</td>
<td>1-9.00</td>
</tr>
<tr>
<td>Water Quantity and Quality Problems</td>
<td>1-11.00</td>
</tr>
<tr>
<td><strong>SECTION 2 - BENEFICIAL USES</strong></td>
<td>2-1.00</td>
</tr>
<tr>
<td>Introduction</td>
<td>2-1.00</td>
</tr>
<tr>
<td>Beneficial Use Definitions</td>
<td>2-1.00</td>
</tr>
<tr>
<td>Key to Table 2-1</td>
<td>2-3.00</td>
</tr>
<tr>
<td>Table 2-1: Beneficial Uses of Waters of the North Coast Region</td>
<td>2-5.00</td>
</tr>
<tr>
<td>Identifying Present and Potential Beneficial Uses</td>
<td>2-13.00</td>
</tr>
<tr>
<td>Designation of the “RARE” Beneficial Use</td>
<td>2-14.00</td>
</tr>
<tr>
<td>Beneficial Uses for Specific Waterbodies</td>
<td>2-15.00</td>
</tr>
<tr>
<td>Coastal Waters</td>
<td>2-15.00</td>
</tr>
<tr>
<td>Inland Surface Waters</td>
<td>2-16.00</td>
</tr>
<tr>
<td>Groundwater</td>
<td>2-18.00</td>
</tr>
<tr>
<td><strong>SECTION 3 - WATER QUALITY OBJECTIVES</strong></td>
<td>3-1.00</td>
</tr>
<tr>
<td>General Objective</td>
<td>3-2.00</td>
</tr>
<tr>
<td>Objectives for Ocean Waters</td>
<td>3-3.00</td>
</tr>
<tr>
<td>Objectives for Inland Surface Waters, Enclosed Bays, and Estuaries</td>
<td>3-3.00</td>
</tr>
<tr>
<td>Water Quality Objectives for Groundwaters</td>
<td>3-12.00</td>
</tr>
<tr>
<td>Compliance with Water Quality Objectives</td>
<td>3-12.00</td>
</tr>
<tr>
<td><strong>SECTION 4 - IMPLEMENTATION PLANS</strong></td>
<td>4-1.00</td>
</tr>
<tr>
<td>Point Source Measures</td>
<td>4-1.00</td>
</tr>
<tr>
<td>Waste Discharge Prohibitions</td>
<td>4-1.00</td>
</tr>
<tr>
<td>Schedules of Compliance</td>
<td>4-2.00</td>
</tr>
<tr>
<td>Action Plan for Humboldt Bay Area</td>
<td>4-4.00</td>
</tr>
<tr>
<td>Action Plan for the Santa Rosa Area</td>
<td>4-5.00</td>
</tr>
<tr>
<td>Interim Action Plan for the Trinity River</td>
<td>4-6.00</td>
</tr>
<tr>
<td>Interim Policy on the Regulation of Waste Discharges from Underground Petroleum Tank Systems</td>
<td>4-8.00</td>
</tr>
<tr>
<td>Interim Action Plan for Cleanup of Groundwaters Polluted with Petroleum Products and Halogenated Volatile Hydrocarbons</td>
<td>4-9.00</td>
</tr>
<tr>
<td>Action Plan for Low Threat Discharges</td>
<td>4-9.00</td>
</tr>
<tr>
<td>Action Plan for Storm Water Discharges</td>
<td>4-10.00</td>
</tr>
<tr>
<td>Policy on the Control of Water Quality with Respect to On-Site Waste Treatment and Disposal Practices</td>
<td>4-12.00</td>
</tr>
<tr>
<td>Policy on Disposal of Solid Wastes</td>
<td>4-25.00</td>
</tr>
</tbody>
</table>
TABLE OF CONTENTS

Policy for Agricultural Wastewater Management ............................................................................ 4-26.00
Action Plan for Regulation of Mining Wastes .................................................................................... 4-27.00
Action Plan for Accidental Spills and Contingencies .................................................................... 4-27.00
Policy on the Regulation of Fish Hatcheries, Fish Rearing Facilities, and Aquaculture Operations .. 4-28.00
Policy on Powerplant Cooling ............................................................................................................ 4-28.00
Policy on Residual Wastes .................................................................................................................. 4-28.00
Nonpoint Source Measures .................................................................................................................. 4-28.00
Action Plan for Logging, Construction, and Associated Activities .................................................... 4-29.00
Guidelines for Implementation and Enforcement of Discharge Prohibitions Relating to Logging,     
Construction, or Associated Activities ................................................................................................. 4-29.00
Policy for the Control of Discharges of Herbicide Wastes from Silvicultural Applications .......... 4-33.00
Action Plan for Control of Discharges of Herbicide Wastes from Silvicultural Applications .......... 4-33.00
Total Maximum Daily Loads ............................................................................................................. 4-35.00
Policies and Regulatory Tools Applicable to TMDLs ....................................................................... 4-35.00
Action Plan for the Garcia River Watershed Sediment TMDL ......................................................... 4-37.00
Action Plan for the Scott River Sediment and Temperature TMDLs ............................................. 4-56.00
Action Plan for the Shasta River Watershed Temperature and Dissolved Oxygen TMDLs ...... 4-69.00
Action Plan for the Klamath River TMDLs Addressing Temperature, Dissolved Oxygen,       
Nutrient, and Microcystin Impairments in the Klamath River in California and Lost River         
Implementation Plan ......................................................................................................................... 4-94.00

SECTION 5 - PLANS AND POLICIES .................................................................................................. 5-1.00

Introduction ........................................................................................................................................ 5-1.00
State Water Board Plans .................................................................................................................... 5-1.00
Thermal Plan ....................................................................................................................................... 5-1.00
Ocean Plan .......................................................................................................................................... 5-1.00
Nonpoint Source Management Plan ................................................................................................. 5-1.00
State Water Board Policies .................................................................................................................. 5-1.00
Policy With Respect to Maintaining High Quality Waters in California ..................................... 5-1.00
Sources of Drinking Water Policy ...................................................................................................... 5-1.00
Bays and Estuaries Policy ................................................................................................................... 5-2.00
Power Plant Cooling Policy .................................................................................................................. 5-2.00
Reclamation Policy ............................................................................................................................... 5-2.00
Shredder Waste Disposal Policy ......................................................................................................... 5-2.00

SECTION 6 - SURVEILLANCE AND MONITORING ................................................................. 6-1.00
Statewide Monitoring Programs ......................................................................................................... 6-1.00
Toxic Substances Monitoring Program ............................................................................................... 6-1.00
State Mussel Watch Program .............................................................................................................. 6-1.00
Bay Protection and Toxic Cleanup Program ....................................................................................... 6-2.00
Water Quality Assessment ................................................................................................................... 6-2.00
Water Quality Inventory ..................................................................................................................... 6-2.00
Inland Surface Waters Toxicity Testing Program ............................................................................. 6-2.00
Regional Monitoring Programs .......................................................................................................... 6-3.00
Surface Water Monitoring .................................................................................................................. 6-3.00
Discharger Self-Monitoring .................................................................................................................. 6-3.00
Compliance Monitoring ...................................................................................................................... 6-3.00
Complaint Investigations .................................................................................................................... 6-3.00
Special Studies/Intensive Surveys ......................................................................................................... 6-3.00
Aerial Surveillance ............................................................................................................................... 6-4.00
Water Quality Models .......................................................................................................................... 6-4.00
TABLE OF CONTENTS

Groundwater Monitoring ........................................................................................................................ 6-4.00
Nonpoint Source Investigations ............................................................................................................. 6-4.00
Laboratory Support and Quality Assurance .......................................................................................... 6-4.00

TABLES

<table>
<thead>
<tr>
<th>Table</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Table 2-1</td>
<td>Beneficial Water Uses of the North Coast Region</td>
</tr>
<tr>
<td>Table 3-1</td>
<td>Specific Water Quality Objectives for the North Coast Region</td>
</tr>
<tr>
<td>Table 3-1a</td>
<td>Site Specific Objectives for Dissolved Oxygen in Mainstem Klamath River</td>
</tr>
<tr>
<td>Table 3-2</td>
<td>Inorganic, Organic, and Fluoride Concentrations Not to be Exceeded in Domestic or Municipal Supply</td>
</tr>
<tr>
<td>Table 4-1</td>
<td>Minimum Setback Distances</td>
</tr>
<tr>
<td>Table 4-2</td>
<td>Rates of Wastewater Application for Absorption Areas</td>
</tr>
<tr>
<td>Table 4-3</td>
<td>Numeric Targets for the Garcia River Watershed</td>
</tr>
<tr>
<td>Table 4-4</td>
<td>Average Annual Sediment Load [for the Garcia River Watershed]</td>
</tr>
<tr>
<td>Table 4-5</td>
<td>Schedule for Reducing Sediment Delivery from Land Management Activities in the Garcia River Watershed</td>
</tr>
<tr>
<td>Table 4-6</td>
<td>Summary of Monitoring Parameters and Protocols [for the Garcia River Watershed]</td>
</tr>
<tr>
<td>Table 4-7</td>
<td>Scott River Sediment Source Analysis Results in tons/sq.mi.-yr</td>
</tr>
<tr>
<td>Table 4-8</td>
<td>Scott River Sediment Load Allocations</td>
</tr>
<tr>
<td>Table 4-9</td>
<td>Scott River Temperature TMDL Expressed Numerically</td>
</tr>
<tr>
<td>Table 4-10</td>
<td>Scott River Sediment and Temperature TMDL Implementation Actions</td>
</tr>
<tr>
<td>Table 4-11</td>
<td>Solar Heat Allocations for the Mainstem Shasta River</td>
</tr>
<tr>
<td>Table 4-12</td>
<td>Shasta River Watershed Temperature Load Allocations</td>
</tr>
<tr>
<td>Table 4-13</td>
<td>Shasta River TMDL River Reach Load Allocations and Total Oxygen Demand Reductions Needed for Water Quality Compliance</td>
</tr>
<tr>
<td>Table 4-14</td>
<td>Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions</td>
</tr>
<tr>
<td>Table 4-15</td>
<td>Temperature Load Allocations</td>
</tr>
<tr>
<td>Table 4-16</td>
<td>Nutrient and Organic Matter Load Allocations (lbs/day)</td>
</tr>
<tr>
<td>Table 4-17</td>
<td>Tributaries to the Klamath River Known to Provide Thermal Refugia In and Around Their Confluence</td>
</tr>
<tr>
<td>Table 4-18</td>
<td>Implementation Actions</td>
</tr>
</tbody>
</table>

FIGURES

<table>
<thead>
<tr>
<th>Figure</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Figure 1-1</td>
<td>North Coast Hydrologic Basin Planning Area</td>
</tr>
<tr>
<td>Figure 4-1</td>
<td>Minimum Depth to Groundwater Below Leaching Trench</td>
</tr>
<tr>
<td>Figure 4-2</td>
<td>Soil Percolation Suitability Chart for Onsite Waste Treatment Systems</td>
</tr>
<tr>
<td>Figure 4-3</td>
<td>Subwatersheds in the Scott River Watershed</td>
</tr>
<tr>
<td>Figure 4-4</td>
<td>Scott River Temperature TMDL Expressed Graphically</td>
</tr>
<tr>
<td>Figure 4-5</td>
<td>Scott River Temperature Load Allocations</td>
</tr>
<tr>
<td>Figure 4-6</td>
<td>Existing (baseline) and Potential Solar Radiation Transmittance for the Left Bank (A) and Right Bank (B) of the Shasta River</td>
</tr>
</tbody>
</table>

APPENDIX SECTION

Appendix 1 | Summary of Basin Plan Amendments |
Appendix 2 | California Regional Water Quality Control Board, North Coast Region Resolution Nos. 87-113, 89-131 and 92-135, Waiving Waste Discharge Requirements for Specific Types of Discharges |
| Appendix 3 | Water Quality Control Plan for the Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California (Thermal Plan) |
| Appendix 4 | Water Quality Control Plan, Ocean Waters of California (Ocean Plan) |
| Appendix 5 | Water Quality Control Policy for the Enclosed Bays and Estuaries of California (Bays and Estuaries Policy) |
| Appendix 6 | State Water Resources Control Board Resolution No. 68-16, Statement of Policy with Respect to Maintaining High Quality Waters in California |
| Appendix 6-B | Federal Antidegradation Policy |
| Appendix 7 | State Water Resources Control Board Resolution No. 88-63, A Policy Entitled “Sources of Drinking Water” |
| Appendix 8 | Water Quality Control Policy for the Use and Disposal of Inland Waters Used for Power Plant Cooling (Power Plant Cooling Policy) |
| Appendix 9 | Policy with Respect to Water Reclamation in California (Reclamation Policy) |
| Appendix 10 | Policy on the Disposal of Shredder Waste (Shredder Waste Policy) |
The need for comprehensive water quality planning is set forth in both California and federal law. California's Porter-Cologne Water Quality Control Act, which is contained in California Water Code, Division 7, Chapters 1 through 17, and the Federal Water Pollution Control Act as amended by the Clean Water Act of 1977 require water quality control plans for the waters of the State as well as public review of the plans. The basic purpose of the state's planning effort is to determine the future direction of water quality control for protection of California's waters.

The Water Quality Control Plan for the North Coast Region (Basin Plan) is comprehensive in scope. It contains a brief description of the North Coast Region, and describes its water quality and quantity problems and the present and potential beneficial uses of the surface and ground waters within the Region. The water quality objectives contained in the Basin Plan are prescribed for the purposes of protecting the beneficial uses. The implementation plans section describes the measures, which include specific prohibitions, action plans, and policies which form the basis for the control of water quality.

Statewide plans and policies are included as well as a description of Regional Water Board surveillance and monitoring activities. The plan contains provision for public participation, complies with the requirements of the California Environmental Quality Act, and establishes a setting and the framework for the development of discharger regulation.

Integral to the basin planning process is the provision for change. In that respect, the water quality control plans are reviewed triennially to determine the needed changes and to keep pace with technologies, policies, changes in the law, and physical changes within the Region. A prioritized list of issues which the Regional Water Board has determined necessary for further evaluation and potential development into a basin plan revision, is adopted at the conclusion of each Triennial Review.
1. INTRODUCTION

The primary responsibility for the protection and enhancement of water quality in California has been assigned by the California legislature to the State Water Resources Control Board (State Water Board) and the nine regional water quality control boards (regional water boards). The State Water Board provides state-level coordination of the water quality control program by establishing statewide policies and plans for the implementation of state and federal laws and regulations. The regional water boards adopt and implement water quality control plans (basin plans) which recognize the unique characteristics of each region with regard to natural water quality, actual and potential beneficial uses, and water quality problems.

HISTORY OF BASIN PLANNING IN THE NORTH COAST REGION

The nine regional water boards were established as "regional water pollution control boards" by the Dickey Act of 1949. The names of the regional water boards were changed, and their authority broadened, by the Porter-Cologne Water Quality Control Act of 1969. The development of comprehensive basin plans was initiated in response to both federal and state directives.

The North Coast Regional Water Quality Control Board (Regional Water Board) first adopted an interim Basin Plan in 1971. This was a brief, basic document which was used until comprehensive basin plans for its two natural hydrologic basins, the Klamath River Basin 1A and the North Coastal Basin 1B, were developed, adopted by the Regional Water Board, and approved by the State Water Board in 1975. Also in 1975, the comprehensive plans were condensed into two abstracts which were adopted by the Regional Water Board and approved by the State Water Board.

In the development of the 1975 comprehensive plans, the California Department of Water Resources was the major contractor for planning in Basin 1A. A three-member consortium (basin contractor) consisting of Brown and Caldwell, Water Resources Engineers, Inc. and Yoder-Trotter-Orlob and Associates conducted the planning for Basin 1B. The basin contractors were aided by several subcontractors for specialized studies outside the contractors’ expertise. The State Water Board contracted with agencies to organize and supply their respective data for each subbasin. The Regional Water Board and staff participated throughout the planning process and were responsible for organizing and conducting the public meetings and workshops. An Office of Technical Coordination (OTC) was established by contract with the State Water Board to provide technical criteria, coordination and standardization to the Basin Planning Program. OTC reviewed the plans for technical content and coordination on a statewide level.

In 1975, the State Water Board’s Office of Planning and Research in conjunction with the regional water boards organized and directed the statewide basin planning program. Planning areas were defined in accordance with natural hydrologic boundaries. At that time, a total of 16 study basins were defined within the nine administrative regional water boards and two of these basins, the Klamath River Basin 1A and the North Coastal Basin 1B comprised the boundaries of the North Coast Regional Water Quality Control Board.

In 1980, the State Water Board, the Department of Water Resources, and the U.S. Geological Survey entered into an agreement which redefined the hydrologic basin planning areas within the State of California. The North Coast Region is Hydrologic Unit Number 1. This hydrologic unit is divided into hydrologic areas and subareas as shown on Figure 1-1 (located in the map pocket). The names and areas shown on Figure 1-1 are the same as used by the Department of Water Resources in its Bulletin 94 series.

Since 1975, the Regional Water Board and Regional Water Board staff have had the primary responsibility for basin planning. The Regional Water Board observes the formal public hearing process while considering basin planning issues, and before submitting its decision to the State Water Board for approval. The Basin Planning Unit of the State Water Board’s Division of Water Quality serves to coordinate planning efforts among the nine regional water boards as well as the Office of Administrative Law and the U.S. Environmental Protection Agency.

The comprehensive plans and abstracts have been amended several times to serve the needs of the Regional Water Board, its staff, and the public. On April 28, 1988, the Regional Water Board combined and updated the two comprehensive plans and their abstracts into a single Water Quality Control Plan for the North Coast Region (Basin Plan). The Appendix Section of this Plan contains a summary of Basin Plan amendments since 1975.
Planning Relationships

This Basin Plan is only one of a number of plans which deal directly or indirectly with the water resources of the North Coast Region.

At the federal level, overall guidance on the course of future development of water and related land resources is provided by the Comprehensive Framework Study, California Region. This study was completed in 1971 by the Water Resources Council, pursuant to the Water Resources Planning Act of 1965.

At the state level, the California Water Plan calls for the orderly and coordinated control, protection, conservation, development, and use of the state's water resources. Basin plans became part of the California Water Plan after the basin plans were adopted by the regional water boards and approved by the State Water Board.

In addition, several state agencies are involved in planning for resources whose protection and development are dependent on high water quality. Completed plans related to water quality include the California Fish and Wildlife Plan (1966), the California Comprehensive Ocean Area Plan (1967), the California Protected Waterways Plan (1971) and the California Coastal Plan (1975). Senate Bill 1285, an outgrowth of the Protected Waterways Plan, mandated that detailed waterway management plans be prepared for the major North Coast rivers. These plans were prepared by the Protected Waterways Program. Other related plans are the California Outdoor Recreation Resources Plan, the California Coastal Zone Conservation Plan, and the California Wild and Scenic Rivers Management Plan.

All of the counties in the North Coast Region have prepared general plans which include water and sewage disposal elements. These plans are used by the counties for establishing priorities for meeting current and future water and sewerage needs. The counties have prepared solid waste management plans in response to the Nejedly-Z'berg-Dills Solid Waste Management and Resource Recovery Act of 1972, and these are reviewed triennially. In addition, Assembly Bill 2948 of 1986 (the Tanner Bill), requires all counties to adopt plans for the management and disposal of the hazardous and toxic wastes generated within their boundaries.

The protection and orderly development of the Region's water resources make it essential that all planning efforts be coordinated.

FUNCTION AND OBJECTIVES OF THE BASIN PLAN

The basic purpose of the state's basin planning effort is to determine the future direction of water quality control for protection of California's waters.

The goal of this Basin Plan is to provide a definitive program of actions designed to preserve and enhance water quality and to protect beneficial uses of water in the North Coast Region. The plan is concerned with all factors and activities which might affect water quality. It emphasizes, however, actions to be taken by the State Water Board and the Regional Water Board since they have primary responsibility for maintenance of water quality in the North Coast Region.

This Basin Plan is comprehensive in scope. It contains a brief description of the North Coast Region, and describes its water quality and quantity problems and the present and potential beneficial uses of the surface and ground waters within the Region. The water quality objectives contained in the plan are prescribed for the purposes of protecting the beneficial uses. The Implementation Plans section describes the measures, which include specific prohibitions, action plans, and policies which form the basis for the control of water quality. Statewide plans and policies are included as well as a description of Regional Water Board surveillance and monitoring activities. The plan contains provisions for public participation, complies with the requirements of the California Environmental Quality Act, and establishes a setting and the framework for the development of discharger regulation.

Basin plans complement and may be more stringent than water quality control plans and policies adopted by the State Water Board, such as the "Water Quality Control Plan for Ocean Waters of California" and the "Water Quality Control Policy for the Enclosed Bays and Estuaries of California". Provisions of State Water Board plans supersede basin plans; however, the same state plans may allow for site-specific objectives and exceptions in order to meet localized needs and circumstances.

This Basin Plan is used as a regulatory tool by the
Regional Water Board’s technical staff. Regional Water Board orders cite the Basin Plan's water quality standards and prohibitions applicable to a particular discharge. The Basin Plan also is used by other agencies in their permitting and resource management activities. It also serves as an educational and reference document for staff, dischargers and members of the public.

LEGAL BASIS AND AUTHORITY

Comprehensive water quality planning is mandated by California and federal law. The federal Clean Water Act contains the law protecting navigable waters, and the California Water Code is the state body of law protecting groundwaters and fresh and marine surface waters.

The federal Clean Water Act (Section 303, 33 U.S.C. § 1313) requires states to adopt water quality standards (water quality objectives and beneficial uses) for navigable waters of the United States and to review and update those standards on a triennial basis. Other provisions of the Clean Water Act related to basin planning include Section 208, which authorizes the preparation of areawide wastewater management plans, and Section 319 (added by 1987 amendments) which provides for more specific planning related to control of nonpoint source problems. The 1987 amendments to the federal Clean Water Act also mandated adoption by the states of numerical standards for 126 "priority pollutant" toxic chemicals.

The State Water Board and regional water boards implement the federal Clean Water Act in California under the oversight of the U.S. Environmental Protection Agency (EPA), Region IX. Direction for implementation of the Clean Water Act is provided by the Code of Federal Regulations (40 CFR) and by a variety of EPA guidance documents on specific subjects.

The Porter-Cologne Water Quality Control Act (Porter-Cologne) is codified in the California Water Code (CWC) and establishes the State Water Board and the nine regional water boards in their current form. It authorizes the State Water Board to adopt, review and revise state water policy, which may include water quality objectives, principles, and guidelines (CWC Sections 13142-13143). It directs the State Water Board to formulate, adopt and revise general procedures for the basin planning process by regional water boards (CWC Section 13164). Porter-Cologne also authorizes the State Water Board to adopt water quality control plans on its own initiative (CWC Section 13170); such plans supersede regional basin plans to the extent of any conflict.

Article 3 of Chapter 4 of Porter-Cologne directs regional water boards to adopt, review, and revise basin plans, and provides specific guidance on factors which must be considered in adoption of water quality objectives and implementation measures. The format for basin plans as described in Sections 13241-13247 of Porter-Cologne follows a logical progression towards water quality protection by:

1) describing the resources and beneficial uses to be protected;
2) stating water quality objectives for the protection of those uses;
3) providing implementation plans (which include specific prohibitions, action plans and policies) to achieve the water quality objectives;
4) describing the statewide plans and policies which apply to the waters of the region; and
5) describing the region's surveillance and monitoring activities.


TRIENNIAL REVIEW AND BASIN PLAN AMENDMENT PROCESS

Both Porter-Cologne (CWC Section 13240) and the Clean Water Act (Section 303(c)(1)) require review of basin plans at least once each three-year period to keep pace with changes in regulations, new technologies and policies, and physical changes within the Region. The Regional Water Board is responsible for this triennial review, and is required to: 1) identify those portions of the Basin Plan which are in need of modification or new additions; 2) adopt standards as appropriate; and 3) recognize the portions of the Basin Plan which are appropriate as written. The review includes a public hearing process, thus providing a forum for the public to raise issues for the Regional Water Board to consider for incorporation into its Basin Plan.

At the conclusion of the triennial review the Regional Water Board adopts a resolution by the Regional Water Board which: 1) summarizes those sections of the Basin Plan which the Regional Water Board has determined to be appropriate and up to date, and 2) sets forth a prioritized list of issues (priority list) which the Regional Water Board has determined are necessary for further evaluation and potential development into a basin plan revision.

The triennial review priority list directs the planning efforts of the Regional Water Board for a period of three years following its adoption. As staffing and budget allows, and starting at the top of the list, the Regional Water Board considers each of the issues identified on the priority list for potential basin plan revisions. The Regional Water Board may also initiate Basin Plan revisions apart from the triennial review process in response to urgent needs which arise after completion of the triennial review.

Once an issue has been evaluated, a proposed amendment is noticed for public hearing. The hearing considers testimony specific to each proposed amendment. This process allows the Regional Water Board to consider each potential amendment on its own merits, to thoroughly identify the problem, to consider alternatives for action, and to assess the expected environmental impact of the proposed action.

Following their adoption by the Regional Water Board, basin plan amendments and supporting documents are submitted to the State Water Board for review and approval. The State Water Board may approve the amendments or remand them to the Regional Water Board with directions for change. Certain basin plan amendments approved by the State Water Board after June 1, 1992, must be reviewed and approved by the Office of Administrative Law (OAL). For purposes of state law, all amendments take effect upon approval by the OAL. Adoption or revision of surface water standards are subject to the approval of the U.S. Environmental Protection Agency.

Public Participation

Public participation is a key element in both state and federal planning requirements. California Code of Regulations, Title 23, Division 3, Chapter 1.5, Section 647.2 describes the Notice and Agenda requirements for all meetings of the Regional Water Board. Water Code Section 13244 requires advance public notice of basin plan amendments and periodic reviews. Federal public participation requirements of 40 CFR Part 25 also apply.

The public participation requirements are intended to foster public awareness and the open processes of governmental decision-making. The Regional Water Board seeks to implement public participation requirements by requesting the public’s input, assimilating its viewpoints and preferences, and demonstrating that those viewpoints have been considered.

In the basin planning process, a notice of the proposed action is published in area newspapers and distributed to a list of interested persons or organizations. All basin plan and statewide plan amendments are subject to the California Environmental Quality Act (CEQA); however, the basin planning process has been certified by the Secretary of Resources as being exempt from CEQA’s requirement for preparation of declaration and initial study (California Code of Regulations (CCR) Title 14, Section 15251). Under the basin planning process, the plan amendment, as well as the staff report and backup materials, serve as a "functional equivalent" to an EIR or negative
declaration and initial study. A CEQA "notice of filing" as well as a hearing notice must be published. Under normal circumstances, these notices are published concurrently and at least 45 days prior to the hearing. The notice for noncontroversial matters may be reduced to 30 days. Additionally, under limited emergency situations, further reduction of the advance notice may be possible. The notice sets out dates for public meetings and requests comments from the public. The notice must describe the availability of related reports, include a discussion of possible alternative actions, and an environmental impact analysis of the proposed action(s). All materials related to the proposed action must be available at least thirty days in advance of the public hearing.

Input from interested persons may be either through written correspondence, through public workshop sessions, or at the hearing. At the hearing all interested persons are given the opportunity to speak and respond to the material being considered, within reasonable limitations as determined by the Regional Water Board.

California Code of Regulations, Title 23, Division 4, Chapter 1.5, Section 3781 requires that Regional Water Board approval of basin plan amendments be followed by a Notice of Decision which is filed with the Secretary of the Resources Agency. The Resources Agency is to post this notice for public inspection for at least 30 days.

REGIONAL SETTING OF THE NORTH COAST REGION

This section provides an overview of the environmental and socioeconomic setting of the North Coast Region.

The North Coast Region is defined in Section 13200(a) of Porter-Cologne as follows:

North Coast region, which comprises all basins including Lower Klamath Lake and Lost River Basins draining into the Pacific Ocean from the California-Oregon state line southerly to the southerly boundary of the watershed of the Estero de San Antonio and Stemple Creek in Marin and Sonoma Counties.

The North Coast Region is divided into two natural drainage basins, the Klamath River Basin and the North Coastal Basin. The North Coast Region covers all of Del Norte, Humboldt, Trinity, and Mendocino Counties, major portions of Siskiyou and Sonoma Counties, and small portions of Glenn, Lake, and Marin Counties.

The North Coast Region encompasses a total area of approximately 19,390 square miles, including 340 miles of scenic coastline and remote wilderness areas, as well as urbanized and agricultural areas.

The North Coast Region is characterized by distinct temperature zones. Along the coast, the climate is moderate and foggy and the temperature variation is not great. For example, at Eureka, the seasonal variation in temperature has not exceeded 63°F for the period of record. Inland, however, seasonal temperature ranges in excess of 100°F have been recorded.

Precipitation over the North Coast Region is greater than for any other part of California, and damaging floods are a fairly frequent hazard. Particularly devastating floods occurred in the North Coast area in December of 1955, in December of 1964, and in February of 1986.

Ample precipitation in combination with the mild climate found over most of the North Coast Region has provided a wealth of fish, wildlife, and scenic resources. The mountainous nature of the Region, with its dense coniferous forests interspersed with grassy or chaparral covered slopes, provides shelter and food for deer, elk, bear, mountain lion, furbearers and many upland bird and mammal species. The numerous streams and rivers of the Region contain anadromous fish, and the reservoirs, although few in number, support both coldwater and warmwater fish.

Tidelands, and marshes too, are extremely important to many species of waterfowl and shore birds, both for feeding and nesting. Cultivated land and pasture lands also provide supplemental food for many birds, including small pheasant populations. Tideland areas along the north coast provide important habitat for marine invertebrates and nursery areas for forage fish, game fish, and crustaceans. Offshore coastal rocks are used by many species of seabirds as nesting areas.

Major components of the economy are tourism and recreation, logging and timber milling, aggregate mining, commercial and sport fisheries, sheep, beef and dairy production, and vineyards and some wineries.
In all, the North Coast Region offers a beautiful natural environment with opportunities for scientific study and research, recreation, sport and commerce. To ensure their perpetuation, the resources must be used wisely.

The Klamath River Basin

The Klamath River Basin covers an area of approximately 10,830 square miles within northern California tributary to the Klamath, Smith, Applegate, Illinois, and Winchuck Rivers, as well as the closed Lost River and Butte Valley hydrologic drainage areas. The Basin is bounded by the Oregon state border on the north, the Pacific Ocean on the west, Redwood Creek and Mad River hydrologic units on the south, and by the Sacramento Valley to the east. The Basin covers all of Del Norte County, and major portions of Humboldt, Trinity, Siskiyou and Modoc counties.

The western portion of the Basin is within the Klamath Mountains and Coast Range provinces, characterized by steep, rugged peaks ranging to elevations of 6,000 to 8,000 feet with relatively little valley area. The mountain soils are shallow and often unstable. Precipitation ranges from 60 to 125 inches per year. The 45-mile coastline is dominated by a narrow coastal plain where heavy fog is common.

The eastern portion of the Basin receives low to moderate rainfall and includes predominantly high, broad valleys such as the Butte, Shasta, and Scott Valleys.

The Lost River and Butte Valley hydrologic areas are located in the Modoc-Oregon Lava Plateau. The area is characterized by broad valleys ranging from 4,000 to 6,000 feet in elevation. Typical annual precipitation is 15 to 25 inches.

The Shasta Valley hydrologic area lies principally within the Cascade Range province. The valley floor elevation is about 2,500 to 3,000 feet, and surrounding mountains range up to 14,162 feet (Mt. Shasta). Annual precipitation ranges from below 15 inches in the valley to over 60 inches in the mountains.

The Scott River hydrologic area is in the Klamath Mountains province. The valley floor elevation is also about 2,500 to 3,000 feet, and surrounding mountains range up to approximately 8,500 feet. Annual precipitation ranges from below 20 inches in the valley to over 70 inches in the western mountains.

The North Coastal Basin

The North Coastal Basin covers an area of approximately 8,560 square miles located along the north-central California Coast. The Basin is bounded by the Pacific Ocean on the west, by the Klamath River and Trinity River Basins on the north, by the Sacramento Valley, Clear Lake, Putah and Cache Creeks and the Napa River Basin on the east, and by the Marin-Sonoma area on the south. The Basin covers all of Mendocino County, major portions of Humboldt and Sonoma counties, about one-fifth of Trinity County, and small portions of Glenn, Lake and Marin counties.

Most of the Basin consists of rugged, forested coastal mountains dissected by six major river systems: Eel, Russian, Mad, Navarro, Gualala, and Noyo rivers and numerous smaller river systems. Soils are generally unstable and erodible, and rainfall is high. The area along the eastern boundary of the Basin is mostly National Forest land administered by the United States Forest Service. Major population areas are centered around Humboldt Bay in the northern portion of the Basin and around Santa Rosa in the southern portion. The Santa Rosa area is on the northern fringe of the greater San Francisco Bay urban area and has experienced rapid population growth in the period following the Second World War. The economy of the remainder of the Basin has developed much more slowly than other areas in California.
1. INTRODUCTION

Population and Land Use

The planning process must consider past, existing, and future population and land uses. Recent population trends and projections are contained in the county general plans. In addition, the Department of Finance provides annual estimates of the population by county.

Approximately two percent of the total population of California reside in the North Coast Region. The largest urban centers continue to be located in the Eureka area of Humboldt County and in the Santa Rosa area of Sonoma County, which has experienced the highest population change of all the counties within the Region.

WATER RESOURCES AND WATER USE

There are 14 major surface water hydrologic units in the North Coast Region, as shown in Figure 1-1. Each of these hydrologic units is divided into smaller units called hydrologic areas and hydrologic subareas.

The North Coast Region is abundant in surface water and groundwater resources. Although the North Coast Region constitutes only about 12 percent of the area of California, it produces about 40 percent of the annual runoff. This runoff contributes to flow in surface water streams, storage in lakes and reservoirs, and replenishes groundwater.

Several groundwater basins have been identified by the Department of Water Resources (DWR). Additional unnamed groundwater basins exist throughout the North Coast Region. Groundwater exists even where groundwater basins have not been identified. Groundwater basins do not always follow the same boundaries as surface waters. Groundwater is used widely throughout the Region for domestic, agricultural, and industrial water supply.

The Klamath River Basin

The Klamath River Basin includes five hydrologic units: Winchuck River, Rogue River, Smith River, Klamath River and Trinity River.

The Winchuck River and Rogue River hydrologic units, located near the California-Oregon border, have had no significant surface water development. Consumptive water use in these units include domestic, agricultural, and industrial water supply. No significant groundwater basins have been identified by DWR in these units.

In the Smith River hydrologic unit no significant surface water development has occurred. Domestic, agricultural, and industrial water needs are supplied through surface water diversions and groundwater pumping. DWR has identified one groundwater basin, the Smith River Plain basin, in this hydrologic unit.

The Klamath River hydrologic unit is divided into seven hydrologic areas: Lost River, Butte Valley, Shasta Valley, Scott River, Middle Klamath, Salmon River and Lower Klamath River. Water resources and water use are described for each of these hydrologic areas in the following paragraphs.

Groundwater is the primary source of domestic water supply in the Lost River hydrologic area. Groundwater basins identified by DWR are the Klamath River Valley, Fairchild Swamp Valley, Modoc Plateau Recent Volcanic Area, and Modoc Plateau Pleistocene Volcanic Area.

The Bureau of Reclamation's Klamath Project located in the Lost River hydrologic area is the largest irrigation development in the Klamath River Basin. It serves irrigation water to 233,625 acres of irrigable land in Oregon and the Lost River area of California. The project's water supply is derived from the Klamath River in Oregon and the Lost River. The principal feature within the basin is the 527,000 acre-foot Clear Lake Reservoir on the Upper Lost River. Runoff and drainage reaching the 13,200 acre Tule Lake is pumped to the 9,000 acre Lower Klamath Lake Sump for irrigation and wildlife refuge use. Water not used for irrigation in Lower Klamath Lake Sump is pumped to the Oregon portion of the Klamath River via the Klamath Straits Drain to regulate the water table within the Tule Lake Irrigation District area. The Klamath Project serves a majority of the irrigable land in the Lost River subunit. The Tulelake Irrigation District, the basin's largest, serves 60,600 acres in California with Klamath Project water.

Water use in the Butte Valley hydrologic area comes mostly from groundwater pumping. Groundwater basins identified by DWR in the Butte Valley hydrologic area are the Butte Valley, Bray Town Area, and Red Rock Valley. Approximately 28,000 acres are irrigated in the Butte Valley. Water not used for irrigation is pumped from the 4,000 acre Meiss Lake to the Klamath River via drainage facilities operated by Meiss Lake Ranch in order to regulate the water table.
In the Shasta Valley hydrologic area, domestic and agricultural water supply needs have historically been met through surface water diversions and from springs. Groundwater is used increasingly for domestic and agricultural supply. DWR has identified one groundwater basin in the Butte Valley. The principal water service agency in the Shasta Valley hydrologic area is the Montague Water Conservation District, which serves over 14,000 of the 48,000 acres irrigated in the subunit. The District's main supply source is 50,000 acre-foot Lake Shastina on the Shasta River. Several smaller irrigation districts in Shasta Valley serve from 1,500 to 3,500 acres each.

Domestic and agricultural water supply needs in the Scott Valley hydrologic area are met through surface water diversions, groundwater pumping, and springs. Approximately 33,000 acres are irrigated in the Scott Valley area. Increases in groundwater pumping for irrigation have prompted adjudication of groundwater in Scott Valley. DWR has identified one groundwater basin in this hydrologic area.

Domestic and agricultural water supply needs in the Middle Klamath hydrologic area are met through surface water diversions, groundwater pumping, and springs. DWR has identified two groundwater basins in this hydrologic area: Happy Camp Town Area and Seiad Valley.

Domestic water use in the Salmon River hydrologic area is supplied by surface water diversions and springs. No groundwater basins have been identified by DWR in this hydrologic area.

In the Lower Klamath River hydrologic area, domestic and agricultural water supply is provided through surface water diversions and groundwater pumping. DWR has identified one groundwater basin in this hydrologic area.

Four Pacific Power and Light Company hydroelectric reservoirs regulate Klamath River flows in the Upper and Middle Klamath River hydrologic areas. The uppermost is John Boyle Dam, located in Oregon about ten miles upstream from the border; it's installed power plant capacity is 80,000 kilowatts (kw). Copco No. 1 (20,000 kw) is located just inside the California border; it is a 77,000 acre-foot reservoir impounded by a 132-foot high dam. Copco No. 2 is a 55 acre-foot diversion reservoir which serves a 27,000 kw power plant downstream. The lowermost power development is the 58,000 acre-foot Iron Gate Reservoir, located 17 miles downstream from the state line; it is formed by a 183 foot-high dam and supports an 18,000 kw power plant. The upper three plants are operated on a peaking basis, while Iron Gate is a baseload plant.

In the Trinity River hydrologic unit, domestic, agricultural, and industrial water is supplied through surface water diversions, groundwater pumping, and springs. Groundwater basins identified by DWR in this hydrologic unit are in the Hayfork Valley, Hoopa Valley, and Hyampon Valley.

The Trinity River Division of the Central Valley Project is the largest water development in the Klamath River Basin. The 538-foot-high Trinity Dam forms 2.5 million acre-foot Clair Engle Lake. Releases pass through the 105,556 kw Trinity power plant to Lewiston Reservoir (14,660 acre-feet), from which approximately one million acre-feet per year are diverted by tunnel to the Sacramento Valley. The diverted flows pass through two additional power plants with a combined capacity of 291,444 kw.

Further major developments on the Klamath and Trinity Rivers or on the Smith River and any of its tributaries are forbidden by the 1972 California Wild and Scenic Rivers Act. Only minor additional surface water development for local use is foreseen, primarily because of the high costs in relation to crops which can be grown in the area.

The North Coastal Basin

The North Coastal Basin is divided into nine hydrologic units: Redwood Creek, Trinidad, Mad River, Eureka Plain, Eel River, Cape Mendocino, Mendocino Coast, Russian River, and Bodega.

In the Redwood Creek and Trinidad hydrologic units, there are no significant surface water developments. Groundwater and surface water diversions supply most of the domestic and agricultural needs. Groundwater basins identified by DWR in these units are in the Prairie Creek Area, Redwood Creek Valley, and Big Lagoon Area.
1. INTRODUCTION

PHOTO PAGE
In the Mad River and Eureka Plain hydrologic units, water supply is adequate to meet currently projected requirements. The only major surface storage is provided by the 48,030 acre-foot capacity Ruth Reservoir on the Mad River which regulates municipal and industrial water supply for the Eureka/Arcata area by exporting Mad River subbasin water to the Eureka Plain subbasin. Groundwater basins have been identified by DWR in both of these hydrologic units. The main groundwater sources in the Eureka Plain are in the Elk River/Salmon Creek area and the Jacoby Creek/Freshwater Creek area.

The only major surface water development in the Eel River hydrologic unit is Lake Pillsbury, which is formed by Scott Dam, with a storage capacity of 80,700 acre-feet. This facility, in conjunction with Van Arsdale Dam and the Potter Valley Tunnel, provides for power and export of Eel River water to the Russian River unit. The City of Willits obtains its water supply from the 723 acre-feet capacity Morris Reservoir and the 635 acre-feet capacity Centennial Reservoir, both located on James Creek. Fifteen groundwater basins have been identified by DWR in this unit: Eel River Valley, Pepperwood Town Area, Larabee Valley, Hettenshaw Valley, Dinsmore Town Area, Laytonville Valley, Little Lake Valley, Weott Town Area, Garberville Town Area, Lower Laytonville Valley, Gravelly Valley, Round Valley, Williams Valley, and Eden Valley. The Eel River hydrologic unit is an area of water surplus for currently projected requirements.

No significant surface water development has occurred in the Cape Mendocino hydrologic unit. Groundwater is used for domestic supply in this unit. DWR has identified two groundwater basins in this unit: Mattole River Valley and Honeydew Town Area.

There is no significant surface water storage within the Mendocino Coast hydrologic unit. Surface water diversions and groundwater pumping are used to supply agricultural needs. Groundwater is the principal source of domestic water supply. Eleven groundwater basins have been identified by DWR: Ten Mile River, Cottoneva Creek Valley, Branscomb Town Area, Little Valley, Fort Bragg Terrace Area, Big River Valley, Navarro River Valley, Anderson Valley, Garcia River Valley, Gualala River Valley, and Annapolis Ohlson Ranch Formation Highlands. The Mendocino Coast hydrologic unit is reaching its existing capacity.

Surface water storage in the Russian River hydrologic unit includes Lake Mendocino, which stores imported Eel River water and East Fork Russian River water, and Lake Sonoma, which is located on Dry Creek, a tributary of the Russian River. Lake Mendocino is formed by Coyote Dam and has a maximum storage capacity of 122,500 acre-feet with 70,000 acre-feet allocated to water supply. Lake Sonoma is formed by Warm Springs Dam and has a maximum storage capacity of 381,000 acre-feet with 212,000 acre-feet allocated to water supply. DWR has identified a number of groundwater basins in this unit. These include: Potter Valley, Ukiah Valley, Sanel Valley, MacDowell Valley, Cloverdale Area, Alexander Area, Alexander Valley, Healdsburg Area, Santa Rosa Plain, Santa Rosa Valley, Kenwood/Rincon Valley, Lower Russian River Valley, and Sebastopol Merced Formation Highlands. Groundwaters are used for domestic supply by the cities of Ukiah, Windsor, Santa Rosa, Rohnert Park, and Sebastopol, as well as in unincorporated areas outside of the City of Santa Rosa. There is sufficient water supply within this hydrologic unit to meet currently projected demands for the foreseeable future. Russian River water also is exported to northern Marin County.

The Bodega hydrologic unit has no significant surface water storage. One groundwater basin has been identified in the unit.

Four hydroelectric power generation plants exist in the North Coastal Basin. Matthews Dam at Ruth Reservoir is equipped with a 2 megawatt facility. Van Arsdale Dam supports a 9 megawatt plant. Coyote Dam at Lake Mendocino supports two power generation units with a combined capacity of 3.5 megawatts. Warm Springs Dam at Lake Sonoma is equipped with a 2.6 megawatt facility.

WATER QUANTITY AND QUALITY PROBLEMS

The present water quality within the Region generally meets or exceeds the water quality objectives set forth in Section 3 of this Plan. In most cases the water quality is sufficient to support, and in some cases, enhance the beneficial uses assigned to water bodies in Section 2 of this Plan. However, there are a number of present or potential water quality problems which may interfere with beneficial uses or create nuisances or health hazards.
1. INTRODUCTION

Updated summaries of existing water quality throughout much of the Region are contained in bulletins published by the Department of Water Resources and the U.S. Geological Survey, as well as in special reports issued periodically by the Regional Water Board.

An opportunity to address and assess water quality problems is provided in the triennial review of the Basin Plan. It is at this time that the Regional Water Board utilizes the input of interested agencies and individuals to identify and prioritize the water quality issues within the Region. In addition, the Regional Water Board, in its budget review process, addresses its water quality problem areas on an annual basis to determine the time and effort expended on each identified issue.
2. BENEFICIAL USES

INTRODUCTION

The basis for the discussion of beneficial water uses, which follows, is Section 13050(f) of California’s Porter-Cologne Water Quality Control Act, which states:

“Beneficial uses” of the waters of the state that may be protected against water quality degradation include, but are not necessarily limited to, domestic, municipal, agricultural, and industrial supply; power generation; recreation; aesthetic enjoyment; navigation; and preservation and enhancement of fish, wildlife, and other aquatic resources or preserves.

An essential part of a water quality control plan is an assessment of the beneficial uses, which are to be designated and protected. Table 2-1 identifies beneficial uses for each hydrologic area in the Region, as well as for specific waterbodies and broad categories of waters (i.e., bays, estuaries, minor coastal streams, ocean waters, wetlands, and groundwaters). Protection will be afforded to the present and potential beneficial uses of waters of the North Coast Region as designated and presented in Table 2-1. The beneficial uses of any specifically identified water body generally apply to all its tributaries.

Water quality standards are adopted to protect public health or welfare, enhance the quality of water, and serve the purposes of the Clean Water Act (as defined in Sections 101(a)(2), and 303(c) of the Act). Water quality standards consist of 1) designated beneficial uses; 2) the water quality objectives to protect those designated uses; 3) implementation of the Federal and State policies for antidegradation; and 4) general policies for application and implementation. Chapter 3 of the Basin Plan contains numeric and narrative water quality objectives, including Resolution 68–16, designed to ensure that all designated beneficial uses of water in the Region are maintained and protected. Chapter 4 contains the implementation plans and Policies intended to meet water quality objectives and protect beneficial uses. Chapter 5 describes the Region and statewide monitoring and surveillance methods to measure achievement of the water quality objectives. The objective of the State’s Policy for Maintaining High Quality of Waters in California (Antidegradation Policy - Resolution 68-16) is explained in Chapter 3, on page 3-2.00. The entire text of this Policy is contained in Appendix 6 to the Basin Plan. The federal Antidegradation Policy also applies to the protection of beneficial uses. The federal Antidegradation Policy is contained in Appendix 6-B.

BENEFICIAL USE DEFINITIONS

In 1972, the State Water Board adopted a uniform list of beneficial uses, including descriptions, to be applied throughout all basins of the State. This list was updated in 1996. In addition to the beneficial uses identified on the statewide list, the following uses have been identified in this Region: Three wetland beneficial uses, recognizing the value of protecting these unique waterbodies: Wetland Habitat (WET); Water Quality Enhancement (WQE); and Flood Peak Attenuation/Flood Water Storage (FLD). The Native American Cultural (CUL) use and Subsistence Fishing (FISH) use have been added, identifying the traditional and cultural uses of waters within the Region.

The following beneficial uses are designated within the North Coast Region.

Municipal and Domestic Supply (MUN) Uses of water for community, military, or individual water supply systems including, but not limited to, drinking water supply.

Agricultural Supply (AGR) Uses of water for farming, horticulture, or ranching including, but not limited to, irrigation, stock watering, or support of vegetation for range grazing.

Industrial Service Supply (IND) Uses of water for industrial activities that do not depend primarily on water quality including, but not limited to, mining, cooling water supply, hydraulic conveyance, gravel washing, fire protection, or oil well repressurization.

Industrial Process Supply (PRO) Uses of water for industrial activities that depend primarily on water quality.

Groundwater Recharge (GWR) Uses of water for natural or artificial recharge of groundwater for purposes of future extraction, maintenance of water...
quality, or halting of saltwater intrusion into freshwater aquifers.

**Freshwater Replenishment (FRSH)** Uses of water for natural or artificial maintenance of surface water quantity or quality (e.g., salinity).

**Navigation (NAV)** Uses of water for shipping, travel, or other transportation by private, military or commercial vessels.

**Hydropower Generation (POW)** Uses of water for hydropower generation.

**Water Contact Recreation (REC-1)** Uses of water for recreational activities involving body contact with water, where ingestion of water is reasonably possible. These uses include, but are not limited to, swimming, wading, water-skiing, skin and scuba diving, surfing, white-water activities, fishing, or use of natural hot springs.

**Non-Contact Water Recreation (REC-2)** Uses of water for recreational activities involving proximity to water, but not normally involving body contact with water, where ingestion of water is reasonably possible. These uses include, but are not limited to, picnicking, sunbathing, hiking, beachcombing, camping, boating, tidepool and marine life study, hunting, sightseeing, or aesthetic enjoyment in conjunction with the above activities.

**Commercial and Sport Fishing (COMM)** Uses of water for commercial, recreational (sport) collection of fish, shellfish, or other aquatic organisms including, but not limited to, uses involving organisms intended for human consumption or bait purposes.

**Aquaculture (AQUA)** Uses of water for aquaculture or mariculture operations including, but not limited to, propagation, cultivation, maintenance, or harvesting of aquatic plants and animals for human consumption or bait purposes.

**Warm Freshwater Habitat (WARM)** Uses of water that support warm water ecosystems including, but not limited to, preservation or enhancement of aquatic habitats, vegetation, fish, or wildlife, including invertebrates.

**Cold Freshwater Habitat (COLD)** Uses of water that support cold water ecosystems including, but not limited to, preservation or enhancement of aquatic habitats, vegetation, fish, or wildlife, including invertebrates.

**Inland Saline Water Habitat (SAL)** Uses of water that support inland saline water ecosystems including, but not limited to, preservation or enhancement of aquatic saline habitats, vegetation, fish, or wildlife, including invertebrates.

**Estuarine Habitat (EST)** Uses of water that support estuarine ecosystems including, but not limited to, preservation or enhancement of estuarine habitats, vegetation, fish, shellfish, or wildlife (e.g., estuarine mammals, waterfowl, shorebirds).

**Marine Habitat (MAR)** Uses of water that support marine ecosystems including, but not limited to, preservation or enhancement of marine habitats, vegetation such as kelp, fish, shellfish, or wildlife (e.g., marine mammals, shorebirds).

**Wildlife Habitat (WILD)** Uses of water that support terrestrial ecosystems including, but not limited to, preservation and enhancement of terrestrial habitats, vegetation, wildlife (e.g., mammals, birds, reptiles, amphibians, invertebrates), or wildlife water and food sources.

**Preservation of Areas of Special Biological Significance (ASBS)** Includes marine life refuges, ecological reserves and designated areas of special biological significance, such as areas where kelp propagation and maintenance are features of the marine environment requiring special protection.

**Rare, Threatened, or Endangered Species (RARE)** Uses of water that support habitats necessary, at least in part, for the survival and successful maintenance of plant or animal species established under state or federal law as rare, threatened or endangered.

**Migration of Aquatic Organisms (MIGR)** Uses of water that support habitats necessary for migration or other temporary activities by aquatic organisms, such as anadromous fish.

**Spawning, Reproduction, and/or Early Development (SPWN)** Uses of water that support high quality aquatic
habitats suitable for reproduction and early development of fish.

**Shellfish Harvesting (SHELL)** Uses of water that support habitats suitable for the collection of filter-feeding shellfish (e.g., clams, oysters, and mussels) for human consumption, commercial, or sports purposes.

**Water Quality Enhancement (WQE)** Uses of waters, including wetlands and other waterbodies, that support natural enhancement or improvement of water quality in or downstream of a waterbody including, but not limited to, erosion control, filtration and purification of naturally occurring water pollutants, streambank stabilization, maintenance of channel integrity, and siltation control.

**Flood Peak Attenuation/Flood Water Storage (FLD)** Uses of riparian wetlands in flood plain areas and other wetlands that receive natural surface drainage and buffer its passage to receiving waters.

**Wetland Habitat (WET)** Uses of water that support natural and man-made wetland ecosystems, including, but not limited to, preservation or enhancement of unique wetland functions, vegetation, fish, shellfish, invertebrates, insects, and wildlife habitat.

**Native American Culture (CUL)** Uses of water that support the cultural and/or traditional rights of indigenous people such as subsistence fishing and shellfish gathering, basket weaving and jewelry material collection, navigation to traditional ceremonial locations, and ceremonial uses.

**Subsistence Fishing (FISH)** Uses of water that support subsistence fishing.

### Table 2-1

Table 2-1 lists designated beneficial uses of inland surface waters by hydrologic unit, hydrologic area, hydrologic subarea, and in a few cases, by specific waterbody. General categories at the bottom of the table list the beneficial uses of bays/harbors, estuaries/lagoons, ocean waters, minor coastal streams, freshwater and saline wetlands, and groundwater.

Within Table 2-1, hydrologic unit, area, and sub-area numbers are shown as developed for the State’s hydrologic basin planning system. For uniformity purposes, the Calwater system was developed by a State and Federal interagency committee in 1997. Calwater is a set of standardized watershed boundaries for California nested into larger previously standardized watersheds, which meet standardized delineation criteria.

**“CALWATER (Rbuas) Number”** This column contains a numeric identifier in a specified order representing specific subdivisions of drainage used by the Calwater classification system. The number follows the format below: Hydrologic Region + Basin/ HU + HA + HSA

**“Hydrologic Unit/Subunit/Drainage Feature”** This column contains (in bold type) the names of watersheds and subwatersheds corresponding to the hydrologic unit (HU), hydrologic area (HA), or hydrologic subarea (HSA) number in the preceding column. The definitions of these area classifications are provided below.

**HU: Hydrologic Unit** Each hydrologic region is divided into hydrologic units, which are defined by surface drainage as well as topographic and geographic conditions. A hydrologic unit may encompass a major river watershed or a major groundwater basin, contiguous watersheds with similar hydrogeologic characteristics, or a closed drainage area, such as a desert basin or group of such basins.

**HA: Hydrologic Area** Major subdivisions of hydrologic units. Best described as major tributaries of a river, large valley groundwater basin, or a component of a stream or desert basin group.

**HSA: Hydrologic Subarea** Consist of a major segment of a hydrologic area having significant...
2. BENEFICIAL USES

geographical characteristics of hydrological homogeneity.

Drainage Feature/Waterbody  An individual waterbody, which has been listed as a distinct feature of the hydrologic subunit in which it exists, based on unique designated beneficial uses.

Beneficial Uses  The subheadings under this heading are abbreviations of beneficial uses, which are defined above. An "E" or a "P" in a column beneath one of these designates an existing or potential beneficial use for a given hydrologic area, sub-area or waterbody, respectively. The complete list of beneficial uses follows:

- MUN: Municipal and Domestic Supply
- AGR: Agricultural Supply
- IND: Industrial Service Supply
- PRO: Industrial Process Supply
- GWR: Groundwater Recharge
- FRSH: Freshwater Replenishment
- NAV: Navigation
- POW: Hydropower Generation
- REC-1: Water Contact Recreation
- REC-2: Non-Contact Water Recreation
- COMM: Commercial and Sport Fishing
- WARM: Warm Freshwater Habitat
- COLD: Cold Freshwater Habitat
- ASBS: Preservation of Areas of Special Biological Significance
- SAL: Inland Saline Water Habitat
- WILD: Wildlife Habitat
- RARE: Rare, Threatened, or Endangered Species
- MAR: Marine Habitat
- MIGR: Migration of Aquatic Organisms
- SPWN: Spawning, Reproduction, and/or Early Development
- SHELL: Shellfish Harvesting
- EST: Estuarine Habitat
- AQUA: Aquaculture
- CUL: Native American Culture
- FLD: Flood Peak Attenuation/
- WET: Wetland Habitat
- WQE: Water Quality Enhancement
- FISH: Subsistence Fishing
### TABLE 2-1: BENEFICIAL USES OF WATERS OF THE NORTH COAST REGION

<table>
<thead>
<tr>
<th>HU/HASA</th>
<th>HYDROLOGIC UNIT/AREA/ SUBUNIT/DRAINAGE FEATURE</th>
<th>BENEFICIAL USES</th>
</tr>
</thead>
<tbody>
<tr>
<td>101.00</td>
<td>Winchuck River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Winchuck River</td>
<td>E</td>
</tr>
<tr>
<td>102.00</td>
<td>Rogue River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>102.20</td>
<td>Illinois River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>102.30</td>
<td>Applegate River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>103.00</td>
<td>Smith River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>103.10</td>
<td>Lower Smith River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>103.11</td>
<td>Smith River Plain Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>Lake Talawa</td>
<td>P</td>
<td>E</td>
</tr>
<tr>
<td>Lake Earl</td>
<td>E</td>
<td>E</td>
</tr>
<tr>
<td>Crescent City Harbor</td>
<td>P</td>
<td>E</td>
</tr>
<tr>
<td>103.12</td>
<td>Rowdy Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>103.13</td>
<td>Mill Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>103.20</td>
<td>South Fork Smith River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>103.30</td>
<td>Middle Fork Smith River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>103.40</td>
<td>North Fork Smith River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>103.50</td>
<td>Wilson Creek Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>105.00</td>
<td>Klamath River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>105.10</td>
<td>Lower Klamath River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>105.11</td>
<td>Klamath Glen Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>105.12</td>
<td>Orleans Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>105.20</td>
<td>Salmon River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>105.21</td>
<td>Lower Salmon Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>105.22</td>
<td>Wooley Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>105.23</td>
<td>Sawyers Bar Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>105.24</td>
<td>Cecilville Hydrologic Subarea</td>
<td>E</td>
</tr>
</tbody>
</table>
### TABLE 2-1: BENEFICIAL USES OF WATERS OF THE NORTH COAST REGION

<table>
<thead>
<tr>
<th>HU/HA/HSA</th>
<th>HYDROLOGIC UNIT/AREA/SUBUNIT/DRAINAGE FEATURE</th>
<th>BENEFICIAL USES</th>
</tr>
</thead>
<tbody>
<tr>
<td>105.30</td>
<td>Middle Klamath River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>105.31</td>
<td>Ukonom Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.32</td>
<td>Happy Camp Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.33</td>
<td>Seiad Valley Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.35</td>
<td>Beaver Creek Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.36</td>
<td>Hornbrook Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.37</td>
<td>Iron Gate Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.38</td>
<td>Copco Lake Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.40</td>
<td>Scott River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>105.41</td>
<td>Scott Bar Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.42</td>
<td>Scott Valley Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.50</td>
<td>Shasta Valley Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>105.80</td>
<td>Butte Valley Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>105.81</td>
<td>Macdoel-Dorris Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.82</td>
<td>Bray Hydrologic Subarea</td>
<td></td>
</tr>
<tr>
<td>105.83</td>
<td>Tennant Hydrologic Subarea</td>
<td></td>
</tr>
</tbody>
</table>
### TABLE 2-1: BENEFICIAL USES OF WATERS OF THE NORTH COAST REGION

<table>
<thead>
<tr>
<th>HU/HA/HSA</th>
<th>HYDROLOGIC UNIT/AREA/SUBUNIT/DRAINAGE FEATURE</th>
<th>BENEFICIAL USES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>MUN</td>
</tr>
<tr>
<td>105.90</td>
<td>Lost River Hydrologic Area</td>
<td>P</td>
</tr>
<tr>
<td>105.91</td>
<td>Mount Dome Hydrologic Subarea</td>
<td>P</td>
</tr>
<tr>
<td>105.92</td>
<td>Tule Lake Hydrologic Subarea</td>
<td>P</td>
</tr>
<tr>
<td>105.93</td>
<td>Clear Lake Hydrologic Subarea</td>
<td>P</td>
</tr>
<tr>
<td>105.94</td>
<td>Boles Hydrologic Subarea</td>
<td>P</td>
</tr>
</tbody>
</table>

**Trinity River Hydrologic Unit**

| 106.10    | Lower Trinity River Hydrologic Area           | E   | E   | E   | P   | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.11    | Hoopa Hydrologic Subarea                      | E   | E   | E   | P   | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.12    | Willow Creek Hydrologic Subarea               | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.13    | Burnt Ranch Hydrologic Subarea                | E   | E   | E   | P   | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.15    | Helena Hydrologic Subarea                     | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |

**South Fork Trinity Hydrologic Area**

| 106.20    | Grouse Creek Hydrologic Subarea               | E   | E   | E   | P   | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.21    | Hyampom Hydrologic Subarea                    | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.22    | Forest Glen Hydrologic Subarea                | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.23    | Corral Creek Hydrologic Subarea               | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.24    | Hayfork Valley Hydrologic Subarea             | E   | E   | E   | E   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
|           | Ewing Reservoir                               | E   | P   | P   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |

**Middle Trinity Hydrologic Area**

| 106.30    | Douglas City Hydrologic Subarea               | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
| 106.31    | Weaver Creek Hydrologic Subarea               | E   | E   | E   | P   | E   | E   | P   | E   | E   | E   | E   | E   | E   | E   | E   | E   | E   | P   |    |    |    |    |    |    |    |    |    |
### TABLE 2-1: BENEFICIAL USES OF WATERS OF THE NORTH COAST REGION

<table>
<thead>
<tr>
<th>HYDROLOGIC UNIT/AREA/ SUBUNIT/DRAINAGE FEATURE</th>
<th>BENEFICIAL USES</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>MUN</td>
</tr>
<tr>
<td>106.40 Upper Trinity River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>Trinity Lake (formerly Clair Engle Lake)</td>
<td>E</td>
</tr>
<tr>
<td>Lewiston Reservoir</td>
<td>E</td>
</tr>
<tr>
<td>Trinity River</td>
<td>E</td>
</tr>
<tr>
<td>107.00 Redwood Creek Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>107.10 Orick Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>107.20 Beaver Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>107.30 Lake Prairie Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>108.00 Trinidad Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>108.10 Big Lagoon Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>108.20 Little River Hydrologic Area</td>
<td>P</td>
</tr>
<tr>
<td>109.00 Mad River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>109.10 Blue Lake Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>109.20 North Fork Mad River Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>109.30 Butler Valley Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>109.40 Ruth Hydrologic Area</td>
<td>E</td>
</tr>
<tr>
<td>110.00 Eureka Plain Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>Jacoby Creek</td>
<td>E</td>
</tr>
<tr>
<td>Freshwater Creek</td>
<td>E</td>
</tr>
<tr>
<td>Elk River</td>
<td>E</td>
</tr>
<tr>
<td>Salmon Creek</td>
<td>E</td>
</tr>
<tr>
<td>Humboldt Bay</td>
<td>E</td>
</tr>
<tr>
<td>HU/HA/HSA</td>
<td>HYdrologic Unit/Area/Subunit/Drainage Feature</td>
</tr>
<tr>
<td>-----------</td>
<td>--------------------------------------------------------</td>
</tr>
<tr>
<td>111.00</td>
<td>Eel River Hydrologic Unit</td>
</tr>
<tr>
<td>111.60</td>
<td>Upper Main Eel River Hydrologic Area</td>
</tr>
<tr>
<td>HU/HA/HSA</td>
<td>HYDROLOGIC UNIT/AREA/SUBUNIT/DRAINAGE FEATURE</td>
</tr>
<tr>
<td>-----------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>111.70</td>
<td>Middle Fork Eel River Hydrologic Area</td>
</tr>
<tr>
<td></td>
<td>Eden Valley Hydrologic Subarea</td>
</tr>
<tr>
<td>111.71</td>
<td>Round Valley Hydrologic Subarea</td>
</tr>
<tr>
<td>111.72</td>
<td>Black Butte River Hydrologic Subarea</td>
</tr>
<tr>
<td>111.74</td>
<td>Wilderness Hydrologic Subarea</td>
</tr>
<tr>
<td></td>
<td>Cape Mendocino Hydrologic Unit</td>
</tr>
<tr>
<td>112.10</td>
<td>Oil Creek Hydrologic Area</td>
</tr>
<tr>
<td>112.20</td>
<td>Capetown Hydrologic Area</td>
</tr>
<tr>
<td>112.30</td>
<td>Mattole River Hydrologic Area</td>
</tr>
<tr>
<td></td>
<td>Mendocino Coast Hydrologic Unit</td>
</tr>
<tr>
<td>113.10</td>
<td>Rockport Hydrologic Area</td>
</tr>
<tr>
<td>113.11</td>
<td>Usal Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>113.12</td>
<td>Wages Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>113.20</td>
<td>Noyo River Hydrologic Area</td>
</tr>
<tr>
<td>113.30</td>
<td>Big River Hydrologic Area</td>
</tr>
<tr>
<td>113.40</td>
<td>Albion River Hydrologic Area</td>
</tr>
<tr>
<td>113.50</td>
<td>Navarro River Hydrologic Area</td>
</tr>
<tr>
<td>113.60</td>
<td>Pt Arena Hydrologic Area</td>
</tr>
<tr>
<td>113.61</td>
<td>Greenwood Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>113.62</td>
<td>Elk Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>113.63</td>
<td>Alder Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>113.64</td>
<td>Brush Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>HYDROLOGIC UNIT/AREA/ SUBUNIT/DRAINAGE FEATURE</td>
<td>BENEFICIAL USES</td>
</tr>
<tr>
<td>---------------------------------------------</td>
<td>-----------------</td>
</tr>
<tr>
<td></td>
<td>MUN</td>
</tr>
<tr>
<td>113.80 Gualala River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>113.82 Rockpile Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>113.83 Buckeye Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>113.84 Wheatfield Fork Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>114.00 Russian River Hydrologic Unit</td>
<td></td>
</tr>
<tr>
<td>114.10 Lower Russian River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>114.12 Austin Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>114.20 Middle Russian River Hydrologic Area</td>
<td></td>
</tr>
<tr>
<td>114.23 Mark West Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>114.26 Sulphur Creek Hydrologic Subarea</td>
<td>E</td>
</tr>
<tr>
<td>HU/HA/HSA</td>
<td>HYDROLOGIC UNIT/AREA/SUBUNIT/DRAINAGE FEATURE</td>
</tr>
<tr>
<td>-----------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td>114.30</td>
<td>Upper Russian River Hydrologic Area</td>
</tr>
<tr>
<td>114.31</td>
<td>Ukiah Hydrologic Subarea</td>
</tr>
<tr>
<td>114.32</td>
<td>Coyote Valley Hydrologic Subarea</td>
</tr>
<tr>
<td>114.33</td>
<td>Forsythe Creek Hydrologic Subarea</td>
</tr>
<tr>
<td>115.00</td>
<td>Bodega Hydrologic Unit</td>
</tr>
<tr>
<td>115.10</td>
<td>Salmon Creek Hydrologic Area</td>
</tr>
<tr>
<td>115.20</td>
<td>Bodega Harbor (or Bay) Hydrologic Area</td>
</tr>
<tr>
<td>115.30</td>
<td>Estero Americano Hydrologic Area</td>
</tr>
<tr>
<td>115.40</td>
<td>Estero de San Antonio Hydrologic Area</td>
</tr>
<tr>
<td></td>
<td>Minor Coastal Streams (not listed above**)</td>
</tr>
<tr>
<td></td>
<td>Ocean Waters</td>
</tr>
<tr>
<td></td>
<td>Bays</td>
</tr>
<tr>
<td></td>
<td>Saline Wetlands</td>
</tr>
<tr>
<td></td>
<td>Freshwater Wetlands</td>
</tr>
<tr>
<td></td>
<td>Estuaries</td>
</tr>
<tr>
<td></td>
<td>Groundwater</td>
</tr>
</tbody>
</table>

Waterbodies are grouped by hydrologic unit (HU) or hydrologic area (HA).  
*EST use applies only to the estuarine portion of the waterbody as defined in Chapter 2.  
**Permanent and intermittent  
P = Potential  
E = Existing
IDENTIFYING PRESENT AND POTENTIAL BENEFICIAL USES

In the basin planning process, a number of beneficial uses are usually identified for a given body of water. At a minimum, States must designate uses that are attainable whether or not they are currently being attained. Attainable uses are uses that can be achieved when technologies are implemented to achieve effluent limits under Section 306 of the Clean Water Act and when cost-effective and reasonable Best Management Practices (BMPs) are imposed.

Water quality objectives are established (see Chapter 3) to be sufficiently stringent to protect the most sensitive use. The Regional Water Board reserves the right to resolve any conflicts among beneficial uses, based on the facts in a given case. It should be noted that the assimilation of wastes is not a beneficial use.

In the table of beneficial uses (Table 2-1), an "E" indicates an existing use and a "P" indicates a potential use. Biological data, human use statistics, and/or professional experience documents the existing uses. Existing uses are those uses, which were attained in a waterbody on or after November 28, 1975. Existing uses cannot be removed or modified unless a use requiring more stringent criteria is added. However, a use requiring more stringent criteria can always be added because doing so reflects the goal of further improvement of water quality.

Waterbodies may have potential beneficial uses established for any of the following reasons: 1) the use existed prior to November 28, 1975, but is not currently being attained; 2) plans already exist to put the water to that use; 3) conditions make such future use likely; 4) the water has been identified as a potential source of drinking water based on the quality and quantity available (see Sources of Drinking Water Policy, in Appendix 7); 5) existing water quality does not support these uses, but remedial measures may lead to attainment in the future; or 6) there is insufficient information to support the use as existing, however, the potential for the use exists and upon future review, the potential designation may be re-designated as existing. The establishment of a potential beneficial use can have different purposes such as establishing a water quality goal, which must be achieved through control actions in order to re-establish a beneficial use, or serving to protect the existing quality of a water source for eventual use.

Many communities in the Region depend on surface waterbodies for their municipal water supply. These waterbodies include the Smith, Mad, and Russian Rivers. Agricultural water use is distributed over more areas than domestic, municipal and industrial use, as it is present in all of the hydrologic units within the Region.

Recreational use occurs in all hydrologic units on both fresh and salt water. Water recreation areas in the North Coast Region attract over ten million people annually and the numbers are expected to keep growing. This area has rugged natural beauty and some of the most renowned fishing streams in North America. The North Coast Region has many unique characteristics: diverse topography including a scenic ocean shoreline, diverse forest environments including a large forested belt which has more than half of California's redwoods, and extensive inland mountains.

Coastal areas receiving the greatest recreational use have been the ocean beaches, the lower reaches of rivers flowing to the ocean, and Humboldt and Bodega Bays. Rivers receiving the largest levels of recreational use are the Russian, Eel, Mad, Smith, Trinity, Navarro Rivers, and Redwood Creek. Activities cover the spectrum of water-oriented recreation. Fishing, river rafting, kayaking, and canoeing being popular on the rivers, and fishing, clamming, beach combing, and surfing predominating at the ocean beaches and bays. Photography, painting, bird watching, and sightseeing are important recreational activities, which take place throughout the entire North Coast Region.

Virtually all surface waters are home to fish and wildlife in the North Coast Region. Coastal waters and streams

---

1 Date of the first Water Quality Standards Regulation published by USEPA (November 28, 1975) 40 CFR 131.3 (e).
2 Remedial measures include implementation of effluent limits required under Section 301(b) and 306 of the CWA, and implementation of cost-effective and reasonable best management practices for nonpoint source control. 40 CFR 131.10(d).
support anadromous fish, which are important for both sport and commercial fishing. Historically, coastal and inland streams in the Region provided thousands of miles of habitat suitable for salmon and steelhead. Recent focus has been placed on re-establishment of the once productive anadromous salmonid runs in the North Coast Region through habitat restoration and educational outreach. Humboldt and Bodega Bays support shellfish and fish populations, which are very important to the commercial fishing industry and to the recreationalist. Both bays also provide refuge for wildlife populations especially waterfowl, shorebirds, and other water-associated birds.

Many of the watersheds of the North Coast Region support plant and wildlife species that are considered rare, threatened, and endangered. A few examples include the Swainson’s hawk (Buteo swainsoni), Bald eagle (Haliaeetus leucocephalus), American peregrine falcon (Falco peregrinus tundrias), Coho Salmon (Oncorhynchus kisutch), Chinook Salmon (Oncorhynchus tshawytscha), Lost River sucker (Deltistes luxatus), Shortnose sucker (Chamistes brevisirostris), California freshwater shrimp (Syncaris pacifica), Baker’s larkspur (Delphinium hesperium sp. Cuyamacae), and Sebastopol meadowfoam (Limnanthes vinculans), all of which have been observed in watershed areas within the North Coast Region.

Navigation is vital to the economy of the Region. There are fishing ports at Crescent City, Eureka, Fort Bragg, and Bodega Bay. The principal commercial harbor between San Francisco and Coos Bay, Oregon, is the Port of Eureka located at Humboldt Bay.

The hydroelectric power generation projects in the Region are the Klamath River Project, located at Iron Gate Reservoir and Copco Lake on the Klamath River; Trinity Dam, located at Trinity Lake (formerly Clair Engle Lake); Matthews Dam located at Ruth Lake on the Mad River; the Potter Valley Project located at Van Arsdale Reservoir on the Eel River; Coyote Dam located at Lake Mendocino on the East Fork of the Russian River; and Warm Springs Dam on Dry Creek, a tributary to the Russian River.

DESIGNATION OF THE “RARE” BENEFICIAL USE

The Rare, Threatened, or Endangered Species (RARE) beneficial use designation was based, in part, on the information contained within the California Department of Fish and Game’s Natural Diversity Data Base (CNDDB). The CNDDB tracks the location and condition of Federal and State listed rare, threatened, endangered, and sensitive plants, animals and natural communities. The CNDDB is the most complete single source of information on California’s rare, threatened and sensitive species, and natural communities. However, the absence of a special animal, plant, or natural community from the CNDDB report does not necessarily mean that they are absent from the area in question, only that no occurrence data was entered in the CNDDB inventory as of January 2001. Supplemental information was collected by interviewing biologists with the California Department of Fish and Game and the U.S. Forest Service regarding the presence of rare, threatened and endangered species.

The RARE designation is added based on substantial evidence that the waterbody supports threatened or endangered species. By definition, waterbodies with a RARE designation support habitats necessary, at least in part, for the survival and successful maintenance of plant or animal species established under state or federal law as rare, threatened or endangered. The Regional Water Board can provide specific information about the sighting(s) used to designate the RARE beneficial use. However, it is the responsibility of the lead agency or project sponsor to provide adequate information as to whether a proposed project will affect fish and wildlife (including plants) and their habitats.

The RARE beneficial use is generally, but not always, present throughout the entire reach of a particular waterbody. In addition, the RARE beneficial use may not be present throughout the year. The RARE designation is placed on bodies of water where the protection of a threatened or endangered species depends on the water either directly, or to support its habitat. The purpose of the RARE designation for a particular hydrologic subarea or waterbody is to highlight the existence of the threatened or endangered species. This will ensure that, absent extraordinary circumstances, RARE species are not placed in jeopardy by the quality of the discharges to those waterbodies.
Recognition that a waterbody is used by threatened or endangered species (RARE) does not necessarily mean that any particular suite of water quality objectives will be applied to the water body. In the absence of RARE species, the Regional Water Board would rely on the aquatic habitat uses. These include Cold Freshwater Habitat (COLD), Warm Freshwater Habitat (WARM), Estuarine Habitat (EST), Marine Habitat (MAR), Migration of Aquatic Organisms (MIGR), Spawning, Reproduction, and/or Early Development (SPWN), and Wildlife Habitat (WILD).

**BENEFICIAL USES FOR SPECIFIC WATERBODIES**

Beneficial uses are designated for all waters in the North Coast Region. The waterbodies are separated into various categories. Wetlands and groundwater are described outside of the Coastal and Inland Waters categories, as they are unique waterbodies that require more detailed descriptions. Freshwater and saline wetlands are combined for the purposes of discussion on wetlands, but separated in Table 2-1 for the purpose of designation of beneficial uses. Each waterbody category is defined below as follows.

**COASTAL WATERS**

Coastal waters discussed in this section may be defined as waters subject to tidal action and include ocean waters, enclosed bays, harbors, estuaries, and lagoons. Beneficial uses for these coastal waters generally include, but are not limited to: Water Contact and Non-contact Water Recreation (REC-1, REC-2), Estuarine Habitat (EST), Rare, Threatened or Endangered Species (RARE), Wildlife Habitat (WILD), Marine Habitat (MAR), Shell Fish Harvesting (SHELL), Saline Habitat (SAL), and Navigation (NAV). Coastal waters include the subcategories: ocean waters, enclosed bays, and estuaries as described below.

**Ocean Waters**

Ocean waters are territorial marine waters of the Region as defined by California law to the extent that these waters are outside of enclosed bays, estuaries, and coastal lagoons.

**Enclosed Bays**

Enclosed bays are indentations along the coast, which enclose an area of oceanic water within distinct headlands or harbor works. Enclosed bays include all bays where the narrowest difference between the headlands or outermost harbor works is less than seventy-five percent of the greatest dimension of the enclosed portion of the bay. These areas are generally more sheltered from wave action than the open coast and are relatively shallow (less than 30m in depth).

Large shallow inlets and enclosed bays are complex systems interlinking the terrestrial and aquatic environments and composed of an interdependent mosaic of subtidal, intertidal, and surrounding terrestrial habitats. Enclosed bays do not include inland surface waters or ocean waters.

**Estuaries**

Estuaries are the tidal portions of rivers located at the mouths of streams, which are sometimes temporarily separated from the ocean by sandbars. Estuarine waters extend from a bay or the open ocean to a point upstream where the freshwater of the river mixes with the saline ocean water.

Estuarine coastal waters provide protective habitat for marine life (MAR), including shellfish, and support the migration (MIGR) of aquatic organisms including anadromous salmonids. These waters are also used extensively for Water Contact and Non-Contact Water Recreation (REC-1, REC-2), Navigation (NAV), and Commercial and Sport Fishing (COMM), among others.

All coastal lagoons of the North Coast Region are included in the estuaries category. The mouths of most of the rivers and creeks are continually affected by tidal action and present a relatively stable environment for wildlife and vegetation. Other coastal lagoons may be separated from tidal action by earthen deposits and thus present an environment with major seasonal variations. Such conditions result in the development of a unique biologic community highly specific to that area. Occasionally, the mouths of these coastal lagoons are opened subjecting the lagoons to tidal flushing which causes short-term changes to the habitat conditions and enhancement of the recreational uses. The action would not alter the
categories of beneficial uses of the coastal lagoons.

INLAND SURFACE WATERS

Inland surface waters consist of rivers, streams, lakes, reservoirs, and inland wetlands. Beneficial uses of these inland surface waters and their tributaries are designated on Table 2-1.

Rivers and Streams

Beneficial uses of inland surface waters generally include Water Contact Recreation (REC-1); Cold Freshwater Habitat (COLD); Warm Freshwater Habitat (WARM); Spawning, Reproduction, and Development (SPWN); Migration of Aquatic Organisms (MIGR); and Commercial and Sport Fishing (COMM), reflecting the goals of the federal Clean Water Act. Inland waters are also often designated with Agricultural Water Supply (AGR), Industrial Water Supply (IND), Industrial Process Supply (PRO), Non-contact Water Recreation (REC-2), and Wildlife Habitat (WILD) uses. In addition, inland waterbodies are sometimes designated with Rare, Threatened or Endangered Species (RARE) uses. Many Regional streams are primary sources of replenishment for major groundwater basins that supply water for drinking and other uses, and as such must be protected as Groundwater Recharge (GWR). Inland surface waters that meet the criteria mandated by the Sources of Drinking Water Policy (Resolution No. 88-63, Appendix 7) are designated Municipal and Domestic Supply (MUN) (This policy is reprinted in Appendix 7). Several waterbodies have been designated with the new Native American Cultural (CUL) beneficial use, which is applied when there is information available indicating that waters were historically used for cultural purposes meeting the new definition of CUL.

Lakes and Reservoirs

Lakes and reservoirs are depressions that are natural or artificial impoundments of water used for irrigation, municipal water supply, recreation, and hydroelectric power generation, among others. These water resources have the greatest diversity of beneficial uses and are located in several of the Region’s hydrologic units. All lakes and reservoirs in the Region are designated with Water Contact Recreation (REC-1), reflecting the federal Clean Water Act goals. Water Contact Recreation (REC-1) uses can be restricted or prohibited by the entities that manage these waters.

The largest reservoirs in the Region (the Central Valley Project’s Trinity Lake and the Army Corps of Engineer’s Lake Sonoma) export to adjacent hydrologic regions, while Clear Lake Reservoir in Modoc County, supplies water to the United States Bureau of Reclamation (USBR) Klamath Project, which is mainly in Oregon.

Wetlands

Wetlands are waters of the state and are protected under state regulations by provisions of the California Water Code. In addition, wetlands are protected under the federal Clean Water Act, which was enacted with a goal to restore and maintain the physical, chemical, and biological integrity of the nation’s waters, including wetlands. Federal regulations define wetlands as “those areas that are inundated or saturated by surface or groundwater at a frequency and duration sufficient to support, and that under normal circumstances do support, a prevalence of vegetation typically adapted for life in saturated soil conditions. Wetlands generally include swamps, marshes, bogs, and similar areas (40 CFR § 116.3).” Although the definition of wetlands differs widely among federal agencies, both the USEPA and the U.S. Army Corps of Engineers use this definition in administrating the Clean Water Act Section 404 discharge permit program.

Federal administrative regulation (40 CFR § 122.2) defines wetlands as a subset of “Waters of the United States,” for purposes of the federal Clean Water Act. Waters of the State are defined by the Porter-Cologne Act as “any water, surface or underground, including saline waters, within the boundaries of the State” (CWA § 13050[e]). The definition of Waters of the State is broader than the definition of Waters of the United States. Under State law, wetlands are waters of the State and wetland water quality control is within the jurisdiction of the State and Regional Boards independent of federal law, and need not meet federal jurisdictional requirements under the Clean Water Act to trigger regulatory controls.
A United States Supreme Court decision on January 9, 2001, Solid Waste Agency of Northern Cook County (SWANCC) v. Army Corps of Engineers, 69 U.S.L.W. 4048 (2001), limited the types of bodies of waters for which U.S. Army Corps of Engineers Section 404 discharge permits are required. The Court held that certain isolated, non-navigable, intrastate waters (a sub-category of wetlands) cannot be interpreted by U.S. Army Corps of Engineers to be navigable waters solely on the basis that they serve as habitat for migratory birds. Therefore, U.S. Army Corps of Engineers discharge permits are not required to discharge dredged or fill material into such bodies of water. The SWANCC decision does not affect the Porter-Cologne (California Water Code) authorities to regulate discharges to isolated, non-navigable waters of the State.

State and Federal Wetland Policies

The State of California and the federal government adopted separate wetland policies in August 1993 to protect these valuable waters. These policies represented a significant advance in wetland protection. The policies that were developed represent agreements that are sensitive to the needs of landowners and provide flexibility in the permit process. Both policies support the interim goal of no overall net loss and the long-term goal of increasing the quality and quantity of the remaining wetlands.

Wetland Identification, Delineation and Regulation

Regulating development to minimize its effects on existing wetlands is a primary function of several agencies in California. The Regional Water Board’s role in this process is the protection of water quality and the beneficial uses of waters. There are many issues pertinent to wetland regulatory decisions that demonstrate the complexity and controversy that surround regulation and protection of this resource. These include defining what a wetland is, determining its allowable uses, and in some cases determining the appropriate compensatory mitigation, all of which are challenging issues.

The Coastal Act provides strong enforceable policies for protection of wetlands within California’s coastal zone. These policies are described in the Procedural Guidance for the Review of Wetland Projects in California’s Coastal Zone (California Coastal Commission, 1994) and the Procedural Guidance for Evaluating Wetland Mitigation Projects in the California Coastal Zone (California Coastal Commission, 1995). These documents also outline wetland identification and delineation processes, the permit and environmental review processes, project performance standards, monitoring programs, and the mitigation process, among others.

The Regional Water Board recognizes that wetlands are frequently referred to under the following names (or classifications): saltwater marshes, freshwater marshes, open or closed brackish water marshes, swamps, mudflats, sandflats, unvegetated seasonal ponded areas, vegetated shallows, sloughs, wet meadows, fens, playa lakes, natural ponds, vernal pools, diked baylands, seasonal wetlands, and riparian woodlands.

In this Region, the Regional Water Board, in general, relies on the federal Wetlands Delineation Manual (U.S. Army Corps of Engineers, 1987) for determining wetland areas subject to the federal Clean Water Act. In the rare cases where the USEPA and U.S. Army Corps guidelines disagree, the Regional Water Board relies on the wetlands delineation made by USEPA. Where the SWANCC decision leads to a federal determination that a specific wetland is not “jurisdictional” for federal purposes, the Regional Water Board will exercise its independent judgment in determining both the size and functions of the water at issue, and the necessary requirements to protect water quality as required by Porter-Cologne.

Regional Water Board staff will prepare and implement a plan to identify and delineate wetlands within the Region to be implemented when funding becomes available. However, because of the large number of small and contiguous wetlands, it may not be practical to delineate and specify beneficial uses for every wetland area. Therefore, wetlands and their beneficial uses may continue to be determined on a site-specific basis, as necessary.
2. BENEFICIAL USES

Constructed Treatment Wetlands

Constructed wetlands are, in most cases, designed, built and managed to provide wastewater or storm water treatment in order to achieve protection or improvement in receiving water quality. These types of wetlands are not constructed to provide mitigation for projects that impact jurisdictional wetlands. These constructed treatment wetlands can also have other benefits including the support of waterfowl and other wildlife, as well as opportunities for education and recreation.

The Regional Water Board's approach toward regulation of the use of these constructed wetlands is to encourage protection of these affiliated uses while appropriate treatment uses are supported.

Beneficial Uses of Wetlands

The Lahontan and Los Angeles Regional Water Boards have defined three additional beneficial uses related to wetlands that have been adopted by the State Water Board. These beneficial uses: 1) Wetland Habitat (WET), 2) Flood Peak Attenuation/Flood Water Storage (FLD), and 3) Water Quality Enhancement (WQE) are now designated for freshwater and saline wetlands in the North Coast Region (see Table 2-1). The definitions of these beneficial uses can be found within the list of beneficial uses on page 2-4.00. Many beneficial uses for saline and freshwater wetlands have been designated as potential although some wetlands currently have these uses. When field reconnaissance is conducted as part of the wetland identification project described above, the specific beneficial uses of wetlands will be identified as existing or potential on an individual basis.

GROUNDWATER

Groundwater is defined as subsurface water in soils and geologic formations that are fully saturated all or part of the year.\(^3\) It includes areas where saturation of the soils and geology fluctuate, including areas of capillary fringe. Groundwater bearing formations sufficiently permeable to transmit and yield significant quantities of water are called aquifers. A groundwater basin is defined as a hydrogeologic unit containing one large aquifer or several connected and interrelated aquifers.

Where an aquifer or a number of aquifers underlie a depression that is surrounded or nearly surrounded by hills or mountains, they make up a groundwater basin. Water-bearing geologic units that do not meet the exact definition of an aquifer occur throughout the Region within groundwater basins. For instance, there are shallow, low permeability zones throughout the Region that have extremely low water yields.

Therefore, for basin planning purposes, the term “groundwater” includes all subsurface waters, whether or not these waters meet the classic definition of an aquifer or occur within identified groundwater basins.

Existing and potential beneficial uses applicable to groundwater in the Region include Municipal and Domestic Water Supply (MUN), reflecting the importance of groundwater as a source of drinking water in the Region and as required by the State Board's Sources of Drinking Water Policy (See Appendix 7). Other beneficial uses for groundwater include: Industrial Water Supply (IND), Industrial Process Water Supply (PRO), Agricultural Water Supply (AGR), and Freshwater Replenishment to Surface Waters (FRSH), among others. Occasionally, groundwater is used for other purposes (e.g., groundwater pumped for use in aquaculture operations).

---

\(^3\) Groundwater does not include subterranean streams, which have the beneficial uses of surface water.
3. WATER QUALITY OBJECTIVES

The California Water Code, Division 7, Chapter 4, Section 13241 specifies that each Regional Water Quality Control Board (Regional Water Board) shall establish water quality objectives which, in the Regional Water Board's judgment, are necessary for the reasonable protection of the beneficial uses and for the prevention of nuisance.

The federal Clean Water Act (33 U.S.C. § 303) requires the State to submit to the Administrator of the U.S. Environmental Protection Agency for approval all new or revised water quality standards which are established for surface and ocean waters. Under federal terminology, water quality standards consist of the beneficial uses enumerated in Table 2-1 and the water quality objectives contained in this section. The water quality objectives contained herein are designed to satisfy all state and federal requirements.

As new information becomes available, the Regional Water Board will review the appropriateness of the objectives contained herein. These objectives will be subject to public hearing at least once during each three-year period following adoption of this Basin Plan to determine the need for review and modification as appropriate.

The water quality objectives contained herein are a compilation of objectives adopted by the State Water Board, the Regional Water Board, and other state and federal agencies. Other water quality objectives and policies may apply that may be more stringent. Whenever several different objectives exist for the same water quality parameter, the strictest objective applies. In addition, the State Water Board "Policy With Respect to Maintaining High Quality Waters in California" also applies. The state policy incorporates the federal Antidegradation Policy, where the federal Antidegradation Policy is applicable.

Controllable water quality factors shall conform to the water quality objectives contained herein. When other factors result in the degradation of water quality beyond the levels or limits established herein as water quality objectives, then controllable factors shall not cause further degradation of water quality. Controllable water quality factors are those actions, conditions, or circumstances resulting from man's activities that may influence the quality of the waters of the State and that may be reasonably controlled.

Water quality objectives form the basis for establishment of waste discharge requirements, waste discharge prohibitions, or maximum acceptable cleanup standards for all individuals and dischargers. These water quality objectives are considered to be necessary to protect those present and probable future beneficial uses enumerated in Table 2-1 and to protect existing high quality waters of the State. These objectives will be achieved primarily through the establishment of waste discharge requirements and through the implementation of this Basin Plan. The appropriate numeric water quality standards will be established in waste discharge orders.

The Regional Water Board, in setting waste discharge requirements, will consider, among other things, the potential impact on beneficial uses within the area of influence of the discharge, the existing quality of receiving waters, and the appropriate water quality objectives. The Regional Water Board will make a finding as to the beneficial uses to be protected within the area of influence of the discharge and establish waste discharge requirements to protect those uses and to meet water quality objectives. Resolution Nos. 87-113, 89-131, and 92-135 describe the policy of the Regional Water Board regarding the specific types of waste discharge for which it will waive issuance of waste discharge requirements. These resolutions are included in the Appendix Section of this Plan.

The water quality objectives for the Region refer to several classes of waters. Ocean waters are waters of the Pacific Ocean outside of enclosed bays, estuaries, and coastal lagoons, and within the territorial (3 mile) limit. Bays are indentations along the coast which include oceanic waters within distinct headlands or harbor works whose narrowest opening is less than 75 percent of the greatest dimension of the enclosed portion of the bay; this definition includes only Crescent City Harbor in the Klamath River Basin, and Humboldt Bay and Bodega Bay in the North Coastal Basin. Estuaries are waters at the mouths of streams which serve as mixing zones for freshwater and seawater; they generally extend from the upstream limit of tidal action to a bay or open ocean. The principal estuarine areas of the Region are at the mouths of the Smith and Klamath Rivers, Lakes Earl and Talawa, and at the mouths of the Eel, Noyo, and Russian Rivers. Inland waters include all surface waters and groundwaters of the basin not included in the definitions of ocean waters, enclosed bays, or estuaries. Interstate waters include all rivers, streams, and lakes which flow across or form part of a state boundary. Groundwaters are any subsurface bodies of water which are beneficially used or usable. They include perched water if such water is used or usable or is hydraulically continuous with used or usable water.
3.  WATER QUALITY OBJECTIVES


GENERAL OBJECTIVE

The following objective shall apply to all waters of the Region.

Whenever the existing quality of water is better than the water quality objectives established herein, such existing quality shall be maintained unless otherwise provided by the provisions of the State Water Resources Control Board Resolution No. 68-16, "Statement of Policy with Respect to Maintaining High Quality of Waters in California", including any revisions thereeto. A copy of this policy is included verbatim in the Appendix Section of this Plan.

State Water Resources Control Board (State Board) Resolution No. 68-16 contains the state Antidegradation Policy. It is titled the "Statement of Policy with Respect to Maintaining High Quality Waters in California" and is commonly known as "Resolution 68-16." The State Water Board has interpreted Resolution No. 68-16 to incorporate the federal Antidegradation Policy where the federal policy applies. (State Board Order WQO 86-17). The federal policy is found at 40 CFR Section 131.12. The state and federal antidegradation policies are included as Appendices to the Basin Plan.

The state Antidegradation Policy applies more comprehensively to water quality changes than the federal policy. In particular, the state policy applies to both groundwater and surface waters whose quality meets or exceeds (is better than) water quality objectives. The state policy establishes two conditions that must be met before the quality of high quality waters may be lowered by waste discharges. First, the state must determine that lowering the quality of high quality waters:

1) Will be consistent with the maximum benefit to the people of the state,

2) Will not unreasonably affect present and anticipated beneficial uses of such water, and

3) Will not result in water quality less than that prescribed in state policies (e.g., water quality objectives in Water Quality Control Plans).

Second, any activities that result in discharges to high quality waters are required to a) meet waste discharge requirements that will result in the best practicable treatment or control of the discharge necessary to avoid pollution or nuisance and b) maintain the highest water quality consistent with the maximum benefit to the people of the state. If such treatment or control results in a discharge that maintains the existing high water quality, then a less stringent level of treatment or control would not be in compliance with 68-16.

Likewise, the discharge could not be allowed under Resolution 68-16 if a) the discharge, even after treatment, would unreasonably affect beneficial uses or b) would not comply with applicable provisions of water quality control plans.

The federal Antidegradation Policy applies to surface waters, regardless of the water quality. Where water quality is better than the minimum necessary to support instream uses, the federal policy requires that quality to be maintained and protected, unless the state finds, after ensuring public participation, that:

1) Such activity is necessary to accommodate important economic or social development in the area in which the waters are located,

2) Water quality is adequate to protect existing beneficial uses fully, and

3) The highest statutory and regulatory requirements for all new and existing point source discharges and all cost-effective and reasonable best management practices for non point source control are achieved.

Under this policy, an activity that results in discharge would be prohibited if the discharge will lower the quality of surface waters that do not currently attain
3. WATER QUALITY OBJECTIVES

Both the state and federal antidegradation policies acknowledge that an activity that results in a minor water quality lowering, even if incrementally small, can result in a violation of antidegradation policies through cumulative effects, especially, for example, when the waste is a cumulative, persistent, or bioaccumulative pollutant.

The state and federal antidegradation policies are enforceable independent of this Basin Plan provision. The above summary of the state and federal antidegradation policies is provided merely for the convenience of the reader.

OBJECTIVES FOR OCEAN WATERS

The provisions of the State Water Board's "Water Quality Control Plan for Ocean Waters of California" (Ocean Plan), and "Water Quality Control Plan for Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California" (Thermal Plan), and any revisions thereto shall apply. Copies of these plans are included verbatim in the Appendix Section of this Plan.

OBJECTIVES FOR INLAND SURFACE WATERS, ENCLOSED BAYS, AND ESTUARIES

In addition to the General Objective, the specific objectives contained in Table 3-1 and the following objectives shall apply for inland surface waters, bays, and estuaries.

Color

Waters shall be free of coloration that causes nuisance or adversely affects beneficial uses.

Tastes and Odors

Waters shall not contain taste- or odor-producing substances in concentrations that impart undesirable tastes or odors to fish flesh or other edible products of aquatic origin, or that cause nuisance or adversely affect beneficial uses.

Numeric water quality objectives with regards to taste and odor thresholds have been developed by the State Department of Health Services and the U.S. EPA. These numeric objectives, as well as those available in the technical literature, are incorporated into waste discharge requirements and cleanup and abatement orders as appropriate.

Floating Material

Waters shall not contain floating material, including solids, liquids, foams, and scum, in concentrations that cause nuisance or adversely affect beneficial uses.

Suspended Material

Waters shall not contain suspended material in concentrations that cause nuisance or adversely affect beneficial uses.

Settleable Material

Waters shall not contain substances in concentrations that result in deposition of material that causes nuisance or adversely affect beneficial uses.

Biostimulatory Substances

Waters shall not contain biostimulatory substances in concentrations that promote aquatic growths to the extent that such growths cause nuisance or adversely affect beneficial uses.

Sediment

The suspended sediment load and suspended sediment discharge rate of surface waters shall not be altered in such a manner as to cause nuisance or adversely affect beneficial uses.

Turbidity

Turbidity shall not be increased more than 20 percent above naturally occurring background levels. Allowable zones of dilution within which higher percentages can be tolerated may be defined for specific discharges upon the issuance of discharge permits or waiver thereof.
3. WATER QUALITY OBJECTIVES

pH

The pH shall conform to those limits listed in Table 3-1. For waters not listed in Table 3-1 and where pH objectives are not prescribed, the pH shall not be depressed below 6.5 nor raised above 8.5. Changes in normal ambient pH levels shall not exceed 0.2 units in waters with designated marine (MAR) or saline (SAL) beneficial uses nor 0.5 units within the range specified above in fresh waters with designated COLD or WARM beneficial uses.

Dissolved Oxygen

Dissolved oxygen concentrations shall conform to those limits listed in Table 3-1. For waters not listed in Table 3-1 and where dissolved oxygen objectives are not prescribed the dissolved oxygen concentrations shall not be reduced below the following minimum levels at any time.

Waters designated WARM, MAR, or SAL: 5.0 mg/L
Waters designated COLD: 6.0 mg/L
Waters designated SPWN: 7.0 mg/L
Waters designated SPWN during critical spawning and egg incubation periods: 9.0 mg/L

Bacteria

The bacteriological quality of waters of the North Coast Region shall not be degraded beyond natural background levels. In no case shall coliform concentrations in waters of the North Coast Region exceed the following:

In waters designated for contact recreation (REC-1), the median fecal coliform concentration based on a minimum of not less than five samples for any 30-day period shall not exceed 50/100 ml, nor shall more than ten percent of total samples during any 30-day period exceed 400/100 ml (State Department of Health Services).

At all areas where shellfish may be harvested for human consumption (SHELL), the fecal coliform concentration throughout the water column shall not exceed 43/100 ml for a 5-tube decimal dilution test or 49/100 ml when a three-tube decimal dilution test is used (National Shellfish Sanitation Program, Manual of Operation).

Temperature

Temperature objectives for COLD interstate waters, WARM interstate waters, and Enclosed Bays and Estuaries are as specified in the "Water Quality Control Plan for Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays of California" including any revisions thereto. A copy of this plan is included verbatim in the Appendix Section of this Plan. In addition, the following temperature objectives apply to surface waters:

The natural receiving water temperature of intrastate waters shall not be altered unless it can be demonstrated to the satisfaction of the Regional Water Board that such alteration in temperature does not adversely affect beneficial uses.

At no time or place shall the temperature of any COLD water be increased by more than 5°F above natural receiving water temperature.

At no time or place shall the temperature of WARM intrastate waters be increased more than 5°F above natural receiving water temperature.

Toxicity

All waters shall be maintained free of toxic substances in concentrations that are toxic to, or that produce detrimental physiological responses in human, plant, animal, or aquatic life. Compliance with this objective will be determined by use of indicator organisms, analyses of species diversity, population density, growth anomalies, bioassays of appropriate duration, or other appropriate methods as specified by the Regional Water Board.

The survival of aquatic life in surface waters subjected to a waste discharge, or other controllable water quality factors, shall not be less than that for the same water body in areas unaffected by the waste discharge, or when necessary for other control water that is consistent with the requirements for "experimental water" as described in “Standard Methods for the Examination of Water and Wastewater”, 18th Edition (1992). As a minimum, compliance with this objective as stated in the previous sentence shall be evaluated with a 96-hour bioassay.

In addition, effluent limits based upon acute bioassays of effluents will be prescribed. Where appropriate, additional numerical receiving water objectives for specific toxicants will be established as sufficient data become available, and source control of toxic substances will be encouraged.
Pesticides

No individual pesticide or combination of pesticides shall be present in concentrations that adversely affect beneficial uses. There shall be no bioaccumulation of pesticide concentrations found in bottom sediments or aquatic life.

Waters designated for use as domestic or municipal supply shall not contain concentrations of pesticides in excess of the limiting concentrations set forth in California Code of Regulations, Title 22, Division 4, Chapter 15, Article 4, Section 64444.5 (Table 5), and listed in Table 3-2 of this Plan.

Chemical Constituents

Waters designated for use as domestic or municipal supply (MUN) shall not contain concentrations of chemical constituents in excess of the limits specified in California Code of Regulations, Title 22, Chapter 15, Division 4, Article 4, Section 64435 (Tables 2 and 3), and Section 64444.5 (Table 5), and listed in Table 3-2 of this Plan.

Waters designated for use as agricultural supply (AGR) shall not contain concentrations of chemical constituents in amounts which adversely affect such beneficial use.

Numerical water quality objectives for individual waters are contained in Table 3-1.

Radioactivity

Radionuclides shall not be present in concentrations which are deleterious to human, plant, animal or aquatic life nor which result in the accumulation of radionuclides in the food web to an extent which presents a hazard to human, plant, animal, or indigenous aquatic life.

Waters designated for use as domestic or municipal supply (MUN) shall not contain concentrations of radionuclides in excess of the limits specified in California Code of Regulations, Title 22, Division 4, Chapter 15, Article 4, Section 64443, Table 4, and listed below:

<table>
<thead>
<tr>
<th>Constituent</th>
<th>Maximum Level, pCi/L</th>
</tr>
</thead>
<tbody>
<tr>
<td>Combined Radium-226 and Radium-228</td>
<td>5</td>
</tr>
<tr>
<td>Gross Alpha particle activity</td>
<td>15</td>
</tr>
<tr>
<td>(including Radium-226 but excluding Radon and Uranium)</td>
<td></td>
</tr>
<tr>
<td>Tritium</td>
<td>20,000</td>
</tr>
<tr>
<td>Strontium-90</td>
<td>8</td>
</tr>
<tr>
<td>Gross Beta particle activity</td>
<td>50</td>
</tr>
<tr>
<td>Uranium</td>
<td>20</td>
</tr>
</tbody>
</table>
### 3. WATER QUALITY OBJECTIVES

#### TABLE 3-1

<table>
<thead>
<tr>
<th>Waterbody 4</th>
<th>Specific Conductance (micromhos @ 77°F)</th>
<th>Total Dissolved Solids (mg/L)</th>
<th>Dissolved Oxygen (mg/L)</th>
<th>Hydrogen Ion (pH)</th>
<th>Hardness (mg/L)</th>
<th>Boron (mg/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>90% 50% 90% 50%</td>
<td>90% 50% 90% 50% 90% 50% 90% 50%</td>
<td>Min 90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50%</td>
<td>90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50%</td>
<td>90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50%</td>
<td>90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50% 90% 50%</td>
</tr>
<tr>
<td>Lost River HA</td>
<td>Clear Lake Reservoir &amp; Upper Lost River</td>
<td>300 200</td>
<td>5.0 8.0 9.0 7.0</td>
<td>60 0.5 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lower Lost River</td>
<td>1000 700</td>
<td>5.0 - 9.0 7.0</td>
<td>- 0.5 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other Streams</td>
<td>250 150</td>
<td>7.0 8.0 8.4 7.0</td>
<td>50 0.2 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tule Lake</td>
<td>1300 900</td>
<td>5.0 - 9.0 7.0</td>
<td>400 - -</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lower Klamath Lake</td>
<td>1150 850</td>
<td>5.0 - 9.0 7.0</td>
<td>400 - -</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Groundwaters 4</td>
<td>1100 500</td>
<td>- - 8.5 7.0</td>
<td>250 0.3 0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Butte Valley HA</td>
<td>Streams</td>
<td>150 100</td>
<td>7.0 9.0 8.5 7.0</td>
<td>30 0.1 0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Meiss Lake</td>
<td>2000 1300</td>
<td>7.0 8.0 9.0 7.5</td>
<td>100 0.3 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Groundwaters 4</td>
<td>800 400</td>
<td>- - 8.5 6.5</td>
<td>120 0.2 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Shasta Valley HA</td>
<td>Shasta River</td>
<td>800 600</td>
<td>7.0 9.0 8.5 7.0</td>
<td>220 1.0 0.5</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other Streams</td>
<td>700 400</td>
<td>7.0 9.0 8.5 7.0</td>
<td>200 0.5 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Lake Shastina</td>
<td>300 250</td>
<td>6.0 9.0 8.5 7.0</td>
<td>120 0.4 0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Groundwaters 4</td>
<td>800 500</td>
<td>- - 8.5 7.0</td>
<td>180 1.0 0.3</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Scott River HA</td>
<td>Scott River</td>
<td>350 250</td>
<td>7.0 9.0 8.5 7.0</td>
<td>100 0.4 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other Streams</td>
<td>400 275</td>
<td>7.0 9.0 8.5 7.0</td>
<td>120 0.2 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Groundwaters 4</td>
<td>500 250</td>
<td>- - 8.0 7.0</td>
<td>120 0.1 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Salmon River HA</td>
<td>All Streams</td>
<td>150 125</td>
<td>9.0 10.0 8.5 7.0</td>
<td>60 0.1 0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Middle Klamath River HA</td>
<td>Klamath River above Iron Gate Dam including Iron Gate &amp; Copco Reservoirs</td>
<td>425 275</td>
<td></td>
<td>60 0.3 0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Klamath River below Iron Gate Dam</td>
<td>350 275</td>
<td></td>
<td>80 0.5 0.2</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other Streams</td>
<td>300 150</td>
<td>7.0 9.0 8.5 7.0</td>
<td>60 0.1 0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Groundwaters 4</td>
<td>750 600</td>
<td>- - 8.5 7.5</td>
<td>200 0.3 0.1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Applegate River HA</td>
<td>All Streams</td>
<td>250 175</td>
<td>7.0 9.0 8.5 7.0</td>
<td>60 - -</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Upper Trinity River HA</td>
<td>Trinity River 5</td>
<td>200 175</td>
<td>7.0 10.0 8.5 7.0</td>
<td>80 0.1 0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Other Streams</td>
<td>200 150</td>
<td>7.0 10.0 8.5 7.0</td>
<td>60 0.0 0.0</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Clair Engle Lake and Lewiston Reservoir</td>
<td>200 150</td>
<td>7.0 10.0 8.5 7.0</td>
<td>60 0.0 0.0</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4: Groundwaters

5: Trinity River

03/2011
### TABLE 3-1 (CONTINUED)

**SPECIFIC WATER QUALITY OBJECTIVES FOR NORTH COAST REGION**

<table>
<thead>
<tr>
<th>Waterbody</th>
<th>Specific Conductance (micromhos) @ 77°F</th>
<th>Total Dissolved Solids (mg/L)</th>
<th>Dissolved Oxygen (mg/L)</th>
<th>Hydrogen Ion (pH)</th>
<th>Hardness (mg/L)</th>
<th>Boron (mg/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>90% Upper Limit¹</td>
<td>50% Upper Limit²</td>
<td>90% Upper Limit³</td>
<td>50% Upper Limit⁴</td>
<td>90% Lower Limit⁵</td>
<td>50% Lower Limit⁶</td>
</tr>
<tr>
<td>Hayfork Creek</td>
<td>400</td>
<td>275</td>
<td>7.0</td>
<td>9.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Other Streams</td>
<td>300</td>
<td>250</td>
<td>7.0</td>
<td>9.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Ewing Reservoir</td>
<td>250</td>
<td>200</td>
<td>7.0</td>
<td>9.0</td>
<td>8.0</td>
<td>6.5</td>
</tr>
<tr>
<td>Groundwaters ⁴</td>
<td>350</td>
<td>225</td>
<td>-</td>
<td>-</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>S.F. Trinity River ⁵</td>
<td>275</td>
<td>200</td>
<td>7.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Other Streams</td>
<td>250</td>
<td>175</td>
<td>7.0</td>
<td>9.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Lower Trinity River ⁵</td>
<td>275</td>
<td>200</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Other Streams</td>
<td>250</td>
<td>200</td>
<td>9.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Groundwaters ⁴</td>
<td>200</td>
<td>150</td>
<td>-</td>
<td>-</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Lower Klamath River ⁶</td>
<td>300</td>
<td>200</td>
<td>13</td>
<td>13</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Other Streams</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Groundwaters ⁴</td>
<td>300</td>
<td>225</td>
<td>-</td>
<td>-</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Illinois River ⁷</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>All Streams</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Winchuck River ⁸</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>All Streams</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Smith River ⁹</td>
<td>200</td>
<td>125</td>
<td>8.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Smith River-Main Forks</td>
<td>150</td>
<td>125</td>
<td>7.0</td>
<td>10.0</td>
<td>8.5</td>
<td>7.0</td>
</tr>
<tr>
<td>Other Streams</td>
<td>150</td>
<td>125</td>
<td>7.0</td>
<td>10.0</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Lakes Earl &amp; Talawa</td>
<td>-</td>
<td>-</td>
<td>7.0</td>
<td>9.0</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Groundwaters ⁴</td>
<td>350</td>
<td>100</td>
<td>-</td>
<td>-</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Crescent City Harbor</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>8.5</td>
<td>6.5</td>
</tr>
<tr>
<td>Redwood Creek ¹</td>
<td>220</td>
<td>125</td>
<td>115</td>
<td>75</td>
<td>7.0</td>
<td>7.5</td>
</tr>
<tr>
<td>Mad River ¹</td>
<td>300</td>
<td>150</td>
<td>160</td>
<td>90</td>
<td>7.0</td>
<td>7.5</td>
</tr>
<tr>
<td>Humboldt Bay ¹</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>6.0</td>
<td>6.2</td>
</tr>
<tr>
<td>Eel River ¹</td>
<td>375</td>
<td>225</td>
<td>275</td>
<td>140</td>
<td>7.0</td>
<td>7.5</td>
</tr>
<tr>
<td>Van Duzen River ¹</td>
<td>375</td>
<td>175</td>
<td>200</td>
<td>100</td>
<td>7.0</td>
<td>7.5</td>
</tr>
</tbody>
</table>

¹ Limit
² Limit
³ Limit
⁴ Limit
⁵ Limit
⁶ Limit
⁷ Limit
⁸ Limit
⁹ Limit

03/2011 3-7.00
### Specific Water Quality Objectives for North Coast Region

<table>
<thead>
<tr>
<th>Specific Conductance (micromhos) @ 77°F</th>
<th>Total Dissolved Solids (mg/L)</th>
<th>Dissolved Hydrogen Ion (pH)</th>
<th>Hardness (mg/L)</th>
<th>Boron (mg/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>90% Upper Limit</td>
<td>50% Upper Limit</td>
<td>90% Upper Limit</td>
<td>50% Upper Limit</td>
<td>90% Lower Limit</td>
</tr>
<tr>
<td>Waterbody 1</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>South Fork Eel River</td>
<td>350</td>
<td>200</td>
<td>200</td>
<td>120</td>
</tr>
<tr>
<td>Middle Fork Eel River</td>
<td>450</td>
<td>200</td>
<td>230</td>
<td>130</td>
</tr>
<tr>
<td>Outlet Creek</td>
<td>400</td>
<td>200</td>
<td>230</td>
<td>125</td>
</tr>
<tr>
<td>Cape Mendocino HU</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bear River</td>
<td>390^6</td>
<td>255^6</td>
<td>240^6</td>
<td>150^6</td>
</tr>
<tr>
<td>Mattole River</td>
<td>300^6</td>
<td>170^6</td>
<td>170^6</td>
<td>105^6</td>
</tr>
<tr>
<td>Mendocino Coast HU</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ten Mile River</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Noyo River</td>
<td>185^6</td>
<td>150^6</td>
<td>120^6</td>
<td>105^6</td>
</tr>
<tr>
<td>Jug Handle Creek</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Big River</td>
<td>300^6</td>
<td>195^6</td>
<td>190^6</td>
<td>130^6</td>
</tr>
<tr>
<td>Albion River</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Navarro River</td>
<td>285^6</td>
<td>250^6</td>
<td>170^6</td>
<td>150^6</td>
</tr>
<tr>
<td>Garcia River</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Gualala River</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Russian River HU (upstream)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(downstream)</td>
<td>320</td>
<td>250</td>
<td>170</td>
<td>150</td>
</tr>
<tr>
<td>Laguna de Santa Rosa</td>
<td>375^6</td>
<td>285^6</td>
<td>200^6</td>
<td>170^6</td>
</tr>
<tr>
<td>Bodega Bay</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

**Table Notes:**

1. Water bodies are grouped by hydrologic unit (HU), hydrologic area (HA), or hydrologic subarea (HSA).
2. 50% upper and lower limits represent the 50 percentile values of the monthly means for a calendar year. 50% or more of the monthly means must be less than or equal to an upper limit and greater than or equal to a lower limit.
3. 90% upper and lower limits represent the 90 percentile values for a calendar year. 90% or more of the values must be less than or equal to an upper limit and greater than or equal to a lower limit.
4. Value may vary depending on the aquifer being sampled. This value is the result of sampling over time, and as pumped, from more than one aquifer.
5. Daily Average Not to Exceed: 60°F July 1 - Sept. 14 Lewiston Dam to Douglas City Bridge
   56°F Sept. 15 - Oct. 1 Lewiston Dam to Douglas City Bridge
   56°F Oct. 1 - Dec. 31 Lewiston Dam to confluence of North Fork Trinity River
6. Does not apply to estuarine areas.
7. pH shall not be depressed below natural background levels.
8. Russian River (upstream) refers to the mainstem river upstream of its confluence with Laguna de Santa Rosa.
9. Russian River (downstream) refers to the mainstem river downstream of its confluence with Laguna de Santa Rosa.
10. The State's Ocean Plan applies to all North Coast Region coastal waters.
11. Dissolved oxygen concentrations shall not at any time be depressed more than 10 percent from that which occurs naturally.
12. pH shall not be changed at any time more than 0.2 units from that which occurs naturally.
13. The Site Specific Objectives (SSOs) for dissolved oxygen (DO) have been recalculated for the mainstem Klamath River and are presented separately in Table 3-1a.

* no water body specific objective available
### TABLE 3-1a\(^1\)

<table>
<thead>
<tr>
<th>Location</th>
<th>Percent DO Saturation Based On Natural Receiving Water Temperatures(^3)</th>
<th>Time Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stateline to the Scott River</td>
<td>90%</td>
<td>October 1 through March 31</td>
</tr>
<tr>
<td></td>
<td>85%</td>
<td>April 1 through September 30</td>
</tr>
<tr>
<td>Scott River to Hoopa</td>
<td>90%</td>
<td>Year round</td>
</tr>
<tr>
<td>Downstream of Hoopa-California boundary to Turwar</td>
<td>85%</td>
<td>June 1 through August 31</td>
</tr>
<tr>
<td></td>
<td>90%</td>
<td>September 1 through May 31</td>
</tr>
<tr>
<td>Upper and Middle Estuary</td>
<td>80%</td>
<td>August 1 through August 31</td>
</tr>
<tr>
<td></td>
<td>85%</td>
<td>September 1 through October 31 and June 1 through July 31</td>
</tr>
<tr>
<td></td>
<td>90%</td>
<td>November 1 through May 31</td>
</tr>
<tr>
<td>Lower Estuary</td>
<td>For the protection of estuarine habitat (EST), the dissolved oxygen content of the lower estuary shall not be depressed to levels adversely affecting beneficial uses as a result of controllable water quality factors.</td>
<td></td>
</tr>
</tbody>
</table>

---

1. States may establish site specific objectives equal to natural background (USEPA, 1986. Ambient Water Quality Criteria for Dissolved Oxygen, EPA 440/5-86-033; USEPA Memo from Tudor T. Davies, Director of Office of Science and Technology, USEPA Washington, D.C. dated November 5, 1997). For aquatic life uses, where the natural background condition for a specific parameter is documented, by definition that condition is sufficient to support the level of aquatic life expected to occur naturally at the site absent any interference by humans (Davies, 1997). These DO objectives are derived from the T1BSR run of the Klamath TMDL model and described in Tetra Tech, December 23, 2009 Modeling Scenarios: Klamath River Model for TMDL Development. They represent natural DO background conditions due only to non-anthropogenic sources and a natural flow regime.

2. These objectives apply to the maximum extent allowed by law. To the extent that the State lacks jurisdiction, the Site Specific Dissolved Oxygen Objectives for the Mainstem Klamath River are extended as a recommendation to the applicable regulatory authority.

3. Corresponding DO concentrations are calculated as daily minima, based on site-specific barometric pressure, site-specific salinity, and natural receiving water temperatures as estimated by the T1BSR run of the Klamath TMDL model and described in Tetra Tech, December 23, 2009. Modeling Scenarios: Klamath River Model for TMDL Development. The estimates of natural receiving water temperatures used in these calculations may be updated as new data or method(s) become available. After opportunity for public comment, any update or improvements to the estimate of natural receiving water temperature must be reviewed and approved by Executive Officer before being used for this purpose.
### TABLE 3-2

**INORGANIC, ORGANIC, AND FLUORIDE CONCENTRATIONS NOT TO BE EXCEEDED IN DOMESTIC OR MUNICIPAL SUPPLY**

<table>
<thead>
<tr>
<th>Constituent</th>
<th>Lower Limit, mg/L</th>
<th>Optimum Limit, mg/L</th>
<th>Upper Limit, mg/L</th>
<th>Maximum Contaminant Level, mg/L</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Fluoride</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>53.7 and below</td>
<td>0.9</td>
<td>1.2</td>
<td>1.7</td>
<td>2.4</td>
</tr>
<tr>
<td>53.8 to 58.3</td>
<td>0.8</td>
<td>1.1</td>
<td>1.5</td>
<td>2.2</td>
</tr>
<tr>
<td>58.4 to 63.8</td>
<td>0.8</td>
<td>1.0</td>
<td>1.3</td>
<td>2.0</td>
</tr>
<tr>
<td>63.9 to 70.6</td>
<td>0.7</td>
<td>0.9</td>
<td>1.2</td>
<td>1.8</td>
</tr>
<tr>
<td>70.7 to 79.2</td>
<td>0.7</td>
<td>0.8</td>
<td>1.0</td>
<td>1.6</td>
</tr>
<tr>
<td>79.3 to 90.5</td>
<td>0.6</td>
<td>0.7</td>
<td>0.8</td>
<td>1.4</td>
</tr>
<tr>
<td><strong>Inorganic Chemicals</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aluminum</td>
<td>1.0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Arsenic</td>
<td>0.05</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Barium</td>
<td>1.0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cadmium</td>
<td>0.01</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chromium</td>
<td>0.05</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lead</td>
<td>0.05</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Mercury</td>
<td>0.002</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Nitrate-N (as NO₃)</td>
<td>45.</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Selenium</td>
<td>0.01</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Silver</td>
<td>0.05</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Organic Chemicals</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(a) Chlorinated Hydrocarbons</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Endrin</td>
<td>0.0002</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lindane</td>
<td>0.004</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Methoxychlor</td>
<td>0.1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Toxaphene</td>
<td>0.005</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(b) Chlorophenoxyx</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2,4-D</td>
<td>0.1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2,4,5-TP (Silvex)</td>
<td>0.01</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(c) Synthetics</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Atrazine</td>
<td>0.003</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Bentazon</td>
<td>0.018</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Benzene</td>
<td>0.001</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Carbon Tetrachloride</td>
<td>0.0005</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Carbofuran</td>
<td>0.018</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chlordane</td>
<td>0.0001</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### TABLE 3-2 (CONTINUED)

**INORGANIC, ORGANIC, AND FLUORIDE CONCENTRATIONS NOT TO BE EXCEEDED IN DOMESTIC OR MUNICIPAL SUPPLY**<sup>1,2</sup>

<table>
<thead>
<tr>
<th>Constituent</th>
<th>Maximum Contaminant Level, mg/L</th>
</tr>
</thead>
<tbody>
<tr>
<td>(c) Synthetics (cont'd.)</td>
<td></td>
</tr>
<tr>
<td>1,2-Dibromo-3-chloropropane</td>
<td>0.0002</td>
</tr>
<tr>
<td>1,4-Dichlorobenzene</td>
<td>0.005</td>
</tr>
<tr>
<td>1,1-Dichloroethane</td>
<td>0.005</td>
</tr>
<tr>
<td>1,2-Dichloroethane</td>
<td>0.0005</td>
</tr>
<tr>
<td>cis-1,2-Dichloroethylene</td>
<td>0.006</td>
</tr>
<tr>
<td>trans-1,2-Dichloroethylene</td>
<td>0.01</td>
</tr>
<tr>
<td>1,1-Dichloroethylene</td>
<td>0.006</td>
</tr>
<tr>
<td>1,2-Dichloropropane</td>
<td>0.005</td>
</tr>
<tr>
<td>1,3-Dichloropropene</td>
<td>0.0005</td>
</tr>
<tr>
<td>Di(2-ethylhexyl)phthalate</td>
<td>0.004</td>
</tr>
<tr>
<td>Ethylbenzene</td>
<td>0.680</td>
</tr>
<tr>
<td>Ethylene Dibromide</td>
<td>0.00002</td>
</tr>
<tr>
<td>Glyphosate</td>
<td>0.7</td>
</tr>
<tr>
<td>Heptachlor</td>
<td>0.00001</td>
</tr>
<tr>
<td>Heptachlor epoxide</td>
<td>0.00001</td>
</tr>
<tr>
<td>Molinate</td>
<td>0.02</td>
</tr>
<tr>
<td>Monochlorobenzene</td>
<td>0.030</td>
</tr>
<tr>
<td>Simazine</td>
<td>0.010</td>
</tr>
<tr>
<td>1,1,2,2-Tetrachloroethane</td>
<td>0.001</td>
</tr>
<tr>
<td>Tetrachloroethylene</td>
<td>0.005</td>
</tr>
<tr>
<td>Thiodiocarb</td>
<td>0.07</td>
</tr>
<tr>
<td>1,1,1-Trichloroethane</td>
<td>0.200</td>
</tr>
<tr>
<td>1,1,2-Trichloroethane</td>
<td>0.032</td>
</tr>
<tr>
<td>Trichloroethylene</td>
<td>0.005</td>
</tr>
<tr>
<td>Trichlorofluoromethane</td>
<td>0.15</td>
</tr>
<tr>
<td>1,1,2-Trichloro-1,2,2-Trifluoroethane</td>
<td>1.2</td>
</tr>
<tr>
<td>Vinyl Chloride</td>
<td>0.0005</td>
</tr>
<tr>
<td>Xylenes&lt;sup&gt;4&lt;/sup&gt;</td>
<td>1.750</td>
</tr>
</tbody>
</table>

---

<sup>1</sup> Values included in this table have been summarized from California Code of Regulations, Title 22, Division 4, Chapter 15, Article 4, Sections 64435 (Tables 2 and 3) and 64444.5 (Table 5).

<sup>2</sup> The values included in this table are maximum contaminant levels for the purposes of groundwater and surface water discharges and cleanup. Other water quality objectives (e.g., taste and odor thresholds or other secondary MCLs) and policies (e.g., State Water Board "Policy With Respect to Maintaining High Quality Waters in California") that are more stringent may apply.

<sup>3</sup> Annual Average of Maximum Daily Air Temperature, °F Based on temperature data obtained for a minimum of five years. The average concentration of fluoride during any month, if added, shall not exceed the upper concentration. Naturally occurring fluoride concentration shall not exceed the maximum contaminant level.

<sup>4</sup> Maximum Contaminant Level is for either a single isomer or the sum of the isomers.

* Constituents marked with an * also have taste and odor thresholds that are more stringent than the MCL listed. Taste and odor thresholds have also been developed for other constituents not listed in this table.
WATER QUALITY OBJECTIVES FOR GROUNDWATERS

General Objectives

Tastes and Odors

Groundwaters shall not contain taste- or odor-producing substances in concentrations that cause nuisance or adversely affect beneficial uses.

Numeric water quality objectives have been developed by the State Department of Health Services and U.S. EPA. These numeric objectives, as well as those available in the technical literature, are incorporated into waste discharge requirements and cleanup and abatement orders as appropriate.

Bacteria

In groundwaters used for domestic or municipal supply (MUN), the median of the most probable number of coliform organisms over any 7-day period shall be less than 1.1 MPN/100 ml, less than 1 colony/100 ml, or absent (State Department of Health Services).

Radioactivity

Groundwaters used for domestic or municipal supply (MUN) shall not contain concentrations of radionuclides in excess of the limits specified in California Code of Regulations, Title 22, Division 4, Chapter 15, Article 5, Section 64443, Table 4 and listed in Table 3-2 of this Plan.

Chemical Constituents

Groundwaters used for domestic or municipal supply (MUN) shall not contain concentrations of chemical constituents in excess of the limits specified in California Code of Regulations, Title 22, Division 4, Chapter 15, Article 4, Section 64435 Tables 2 and 3, and Section 64444.5 (Table 5) and listed in Table 3-2 of this Plan.

Groundwaters used for agricultural supply (AGR) shall not contain concentrations of chemical constituents in amounts that adversely affect such beneficial use.

Numerical objectives for certain constituents for individual groundwaters are contained in Table 3-1. As part of the state's continuing planning process, data will be collected and numerical water quality objectives will be developed for those mineral and nutrient constituents where sufficient information is presently not available for the establishment of such objectives.

COMPLIANCE WITH WATER QUALITY OBJECTIVES

The Regional Water Board recognizes that immediate compliance with new effluent and/or receiving water NPDES permit limitations based on new, revised or newly interpreted water quality objectives or prohibitions adopted by the Regional Water Board or the State Water Resources Control Board, or with new, revised or newly interpreted water quality criteria promulgated by the U.S. Environmental Protection Agency (USEPA), may not be technically and/or economically feasible in all circumstances.

Where the Regional Water Board determines that it is infeasible for an existing discharger to immediately comply with NPDES permit effluent limitations or where appropriate, receiving water limitations, specified to implement new, revised or newly interpreted water quality objectives, criteria or prohibitions; issuance of a schedule of compliance may be appropriate.

Similarly, immediate compliance may not be technically and/or economically feasible for existing non-NPDES dischargers that, under new interpretation of law, are newly required to comply with new NPDES permitting requirements. Issuance of a schedule of compliance

---

1 New, revised, or newly interpreted water quality objectives, criteria, or prohibitions means: 1) objectives as defined in Section 13050(h) of Porter-Cologne; 2) criteria as promulgated by the USEPA; or 3) prohibitions as defined in the Water Quality Control Plan for the North Coast Region that are adopted, revised, or newly interpreted after November 29, 2006. Objectives and criteria may be narrative or numeric.

2 Technical and economic feasibility shall be determined consistent with State Board Resolution No. 92-49.

3 Existing discharger as defined in the State “Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California,” (CTR-SIP) means: any discharger (non-NPDES or NPDES) that is not a new discharger. An existing discharger includes an increasing discharger (i.e., an existing facility, with treatment systems in place for its current discharge that is or will be expanding, upgrading, or modifying its existing permitted discharge after November 29, 2006). A new discharger includes any building, structure, facility, or installation from which there is, or may be, a discharge of pollutants, the construction of which commenced after November 29, 2006.

4 Schedule of compliance: as defined in Section 502 (17) of the Clean Water Act, means: a schedule of remedial measures including an enforceable sequence of actions or operations leading to compliance with an effluent limitation, other limitation, prohibition, or standard.
may be appropriate in these circumstances as well, to comply with effluent and/or receiving water limitations specified to implement objectives, criteria, or prohibitions that are adopted, revised, or reinterpreted after July 1, 1977, and that were not included in the non-NPDES permit.

Any schedule of compliance shall require achievement of the effluent limitations and/or receiving water limitations within the shortest feasible period of time, taking into account the factors identified in Chapter 4 for the implementation of schedules of compliance. All schedules of compliance will be limited to the time frames set out in Chapter 4.
4. IMPLEMENTATION PLANS

This section presents the actions intended to meet water quality objectives and protect beneficial uses of the Klamath River Basin and North Coastal Basin. The following measures shall be taken with respect to actual and potential point and nonpoint sources of water quality degradation.

POINT SOURCE MEASURES

WASTE DISCHARGE PROHIBITIONS

Section 13243 of the Porter-Cologne Water Quality Control Act authorizes the Regional Water Board - in a water quality control plan or in waste discharge requirements - to specify certain conditions or areas where the discharge of waste, or certain types of waste, will not be permitted.

Under this authority and in order to achieve water quality objectives, protect present and future beneficial water uses, protect public health, and prevent nuisance, the Regional Water Board declares that point source waste discharges, except as stipulated by the Thermal Plan, the Ocean Plan, and the action plans and policies contained in the Point Source Measures section of this Water Quality Control Plan, are prohibited in the following locations in the Region:

Klamath River Basin

1. All surface, freshwater impoundments and their tributaries, with the exception of the lower Lost River system.

2. Crescent City Harbor and all estuaries in accordance with the provisions of the State Water Board's "Water Quality Control Policy for the Enclosed Bays and Estuaries of California."


4. Klamath River and its tributaries, including but not limited to the Trinity, Salmon, Scott, and Shasta rivers and their tributaries.


6. On all coastal streams and natural drainage ways that flow directly to the ocean, all new discharges will be prohibited. Existing discharges to these waters will be eliminated at the earliest practicable date.

7. All intertidal reaches of the coast.

8. Areas of Special Biological Significance.

9. All other tidal waters unless it is demonstrated on the basis of waste characteristics, degree and reliability of treatment, rate of mixing and dilution, and other technical factors that water quality objectives will be met and all beneficial uses will be protected.

North Coastal Basin

1. All surface fresh water impoundments and their tributaries.

2. All bays and estuaries in accordance with the provisions of the State Water Resources Control Board's "Water Quality Control Policy for the Enclosed Bays and Estuaries of California."

3. The Mad and the Eel rivers and their tributaries during the period May 15 through September 30 and during all other periods when the waste discharge flow is greater than one percent of the receiving stream's flow as set forth in NPDES permits.

4. The Russian River and its tributaries during the period of May 15 through September 30 and during all other periods when the waste discharge flow is greater than one percent of the receiving stream's flow as set forth in NPDES permits. In addition, the discharge of municipal waste during October 1 through May 14 shall be of advanced treated wastewater in accordance with effluent limitations contained in NPDES permits for each affected discharger, and shall meet a median coliform level of 2.2 mpn/100 ml.

5. The Regional Water Board will consider exceptions for cause to the waste discharge rate limitations set forth in Prohibitions 3. and 4. (above). Exceptions shall be defined in NPDES permits for each discharger, on a case by case basis, and in accordance with the following:

1 For dischargers not in compliance with the seasonal prohibition and waste discharge rate limitation, time schedules shall be set forth in National Pollutant Discharge Elimination System (NPDES) permit updates for each discharger. In addition, each discharger not in compliance shall report to the Regional Water Board on progress towards compliance on an annual basis.

2 For dischargers not in compliance with the waste discharge rate limitation and/or advanced wastewater treatment, time schedules shall be set forth in NPDES permit updates for each discharger. In addition, each discharger not in compliance shall report to the Regional Water Board on progress towards compliance on an annual basis.
4. IMPLEMENTATION PLANS

A. The wastewater treatment facility shall be reliable.

Reliability shall be demonstrated through analysis of the features of the facility including, but not limited to, system redundancy, proper operation and maintenance, and backup storage capacity to prevent the threat of pollution or nuisance.

B. The discharge of waste shall be limited to rates and constituent levels which protect the beneficial uses of the receiving waters.

Protection shall be demonstrated through analysis of all the beneficial uses of the receiving waters. For receiving waters which support domestic water supply (MUN) and water contact recreation (REC1), analysis shall include expected normal and extreme weather conditions within the discharge period, including estimates of instantaneous and long-term minimum, average, and maximum discharge flows and percent dilution in receiving waters. The analysis shall evaluate and address cumulative effects of all discharges, including point and nonpoint source contributions, both in existence and reasonably foreseeable. For receiving waters which support domestic water supply (MUN), the Regional Water Board shall consider the California Department of Health Services evaluation of compliance with the Surface Water Filtration and Disinfection Regulations contained in Section 64650 through 64666, Chapter 17, Title 22 of the California Code of Regulations. Demonstration of protection of beneficial uses shall include consultation with the California Department of Fish and Game regarding compliance with the California Endangered Species Act.

C. The exception shall be limited to that increment of wastewater which remains after reasonable alternatives for reclamation have been addressed.


E. There shall be no discharge of waste during the period May 15 through September 30.

6. On all other coastal streams and natural drainageways that flow directly to the ocean all new discharges will be prohibited. Existing discharges to these waters will be eliminated at the earliest practicable date.

7. All intertidal reaches of the coast.

8. Areas of Special Biological Significance.

9. All other tidal waters unless it is demonstrated on the basis of waste characteristics, degree and reliability of treatment, location of discharge, rate of mixing and dilution, and other technical factors that water quality objectives will be met and all beneficial uses will be protected.

SCHEDULES OF COMPLIANCE

The Regional Water Board may establish a Schedule of Compliance in an National Pollution Discharge Elimination System (NPDES) permit under the following circumstances:  

1) Where an existing discharger\(^3\)\(^4\) has demonstrated, to the Regional Water Board's satisfaction, that it is infeasible to achieve immediate compliance with effluent and/or receiving water limitations specified to implement new, revised, or newly

---

\(^3\) Schedules of compliance for CTR criteria are independently authorized and governed by 40 CFR 122.47 and 131.38, and the State “Policy for Implementation of Toxics Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California” (CTR-SIP). This amendment is intended to supplement, not supercede, these provisions required by the CTR-SIP. All CTR limits must be consistent with the CTR-SIP and applicable federal rules.

\(^4\) Schedules of compliance for Non-NPDES Waste Discharge Requirements (WDRs) are also independently authorized by Porter Cologne, and will continue to be adopted on a case-by-case basis.

\(^5\) Existing discharger is defined in the State “Policy for Implementation of Toxic Substance Standards for Inland Surface Waters, Enclosed Bays, and Estuaries of California,” (CTR-SIP) as any discharger (non-NPDES or NPDES) that is not a new discharger. An existing discharger includes an increasing discharger (i.e., an existing facility with treatment systems in place for its current discharge that is or will be expanding, upgrading, or modifying its existing permitted discharge after November 29, 2006). A new discharger includes any building, structure, facility, or installation from which there is, or may be, a discharge of pollutants, the construction of which commenced after November 29, 2006.
4. IMPLEMENTATION PLANS

interpreted water quality objectives, criteria, or prohibitions.6

2) Where a discharger is required to comply with Total Maximum Daily Loads (TMDLs) adopted as a single permitting action,7 and demonstrates that it is infeasible to achieve immediate compliance with effluent and/or receiving water limits that are specified to implement new, revised or newly interpreted objectives, criteria, or prohibitions.

The schedule of compliance shall include a time schedule for completing specific actions (including interim effluent limits) that demonstrate reasonable progress toward attaining the effluent and/or receiving water limitations, water quality objectives, criteria, or prohibitions. The schedule of compliance shall contain interim limits and a final compliance date based on the shortest feasible time required to achieve compliance (determined by the Regional Water Board at a public hearing after considering the factors identified below).

Schedules of compliance in NPDES permits for existing NPDES permittees shall be as short as feasible, but in no case exceed the following:

Up to five years from the date of permit issuance, re-issuance, or modification that establishes effluent and/or receiving water limitations specified to implement new, revised, or newly interpreted objectives, criteria, or prohibitions. A permittee can apply for up to a five-year extension, but only where the conditions of the schedule of compliance have been fully met, and sufficient progress toward achieving the objectives, criteria, or prohibitions has been documented.

In no case shall a schedule of compliance for these dischargers exceed ten years from the effective date of the initial permit that established effluent and/or receiving water limitations specified to implement new, revised, or newly interpreted objectives, criteria, or prohibitions.

TMDL-derived effluent and/or receiving water limitations that are specified to implement new, revised, or newly interpreted water quality objectives, criteria, or prohibitions that are adopted as a single permitting action:

In this scenario, schedules of compliance shall require compliance in the shortest feasible period of time, but may extend beyond ten years from the date of the permit issuance.

To document the need for and justify the duration of any such schedule of compliance, a discharger must submit the following information, at a minimum. The Regional Water Board will review the information submitted to determine if a schedule of compliance is appropriate.

For all applicants:

- A written request, and demonstration, with supporting data and analysis, that it is technically and/or economically infeasible8 to achieve immediate compliance with newly adopted, revised or newly interpreted water quality objectives, criteria or prohibitions.
- Results of diligent efforts to quantify pollutant levels in the discharge and the sources of the pollutant in the waste stream.
- Documentation of source control efforts currently underway or completed, including compliance with any pollution prevention programs that have been established.
- A proposed schedule for additional source control measures or waste treatment.
- The highest discharge quality that is technically and economically feasible to achieve until final compliance is attained.
- A demonstration that the proposed schedule of compliance is as short as technically and economically feasible.
- Data demonstrating current treatment facility performance to compare against existing permit effluent limits, as necessary to determine which is the more stringent interim limit to apply if a schedule of compliance is granted.
- Additional information and analyses, to be determined by the Regional Water Board on a case-by-case basis.

---

6 New, revised, or newly interpreted water quality objectives, criteria, or prohibitions means: 1) objectives as defined in Section 13050(h) of Porter-Cologne; 2) criteria as promulgated by the United States Environmental Protection Agency (USEPA); or 3) prohibitions as defined in the Water Quality Control Plan for the North Coast Region that are adopted, revised, or newly interpreted after November 29, 2006. Objectives and criteria may be narrative or numeric.

7 "Single permitting actions" means those where the Regional Board incorporates the requirements to implement a TMDL through one NPDES permit. These actions would not require a Basin Plan amendment, but would require a technical staff report to support the permit requirements and any permit specified compliance schedule. Furthermore, the USEPA would still be required to approve the TMDL under the federal CWA Section 303(d).

8 Technical and economic feasibility shall be determined consistent with State Board Order 92-49.
ACTION PLAN FOR HUMBOLDT BAY AREA

The purposes of this Action Plan for the Humboldt Bay Area are to:

1) Acknowledge progress which has been made in the protection and enhancement of Humboldt Bay since the original (1975) Basin Plan and the 1980 and 1988 updates;

2) Describe the current status of programs in the watershed; and

3) Describe the surveillance, monitoring and assessment activities necessary to provide ongoing protection and enhancement of the water quality of the Humboldt Bay watershed.

Progress

The original (1975) action plan for the Humboldt Bay Area was intended to guide publicly-funded cleanup of the Bay. It envisioned full implementation of the State Water Board's 1974 "Water Quality Control Policy for Enclosed Bays and Estuaries" (SWRCB Resolution 74-43) and called for elimination of discharge of municipal wastewaters and industrial process waters (exclusive of cooling water discharges) to Humboldt Bay. That action plan allowed the Regional Water Board to permit continued discharges based on findings that the wastewater in question would be consistently treated and discharged in a manner that would enhance the quality of receiving waters or beneficial uses above that which would occur in the absence of the discharge. NPDES permits were granted to the City of Eureka, the City of Arcata, and College of the Redwoods, in accordance with the State Water Board's 1974 "Water Quality Control Policy for Enclosed Bays and Estuaries". Six publicly-owned treatment works (POTW) discharges and numerous overflow-prone pumping stations have been eliminated. Hundreds of failure-prone on-site sewage disposal systems have been eliminated through the sewer ing of those areas.

Since the 1970s, numerous other measures to protect and enhance the water quality and beneficial uses of Humboldt Bay have been successfully implemented through application of Basin Plan action plans, policies and programs administered by the Regional Water Board and other state and local agencies.

While these accomplishments and assessments are important, water quality problems and concerns still exist in the Humboldt Bay area. As illustrated in the statewide Water Quality Assessment program, the Bay has been affected by point and nonpoint sources of water pollution and the potential for polluting episodes remains.

Bacterial Quality Concerns

The bacterial quality of Humboldt Bay is of particular concern due to the location of several of California's most important commercial oyster "farms" in the northern lobe of the estuary known as Arcata Bay. The shellfish harvest areas are classified by the California Department of Health Services according to several criteria, including their proximity to pollutant sources and the Department's knowledge that such areas are (or are not) of suitable sanitary quality. The Department is assisted in its classification process by close coordination with the Regional Water Board, sewage-management agencies, and the shellfish growers.

In Arcata Bay, shellfish harvest is permitted only in "Conditionally Approved" areas where water bacteriological quality meets the prescribed numerical standards described in Section 3 of this Plan, except during certain predictable periods. In this estuary, the exception occurs any time that a storm produces rainfall in excess of one-half inch within 24 hours. A harvest closure begins with each such storm and lasts for several days, depending on the storm pattern and intensity and the documented time required for "clearance" after the storm. This restriction recognizes that the bacterial quality of runoff into the Bay from all tributary watersheds causes the Bay waters to exceed the harvest-allowance standard.

In a federally-funded (Clean Water Act Section 208) study of the Bay in 1981-82, the Regional Water Board assessed the relative contributions of bacteria-laden runoff from different representative land-use areas including agricultural (pasture), rural residential, and urban areas. All were shown to produce significant bacterial concentrations in stormwater runoff. The major contribution was from pasture and rangelands. The assessment estimated that, should this land-use source be managed to preclude high-level bacterial discharges, there might be fewer days of shellfish harvest closure after each storm. The Department of Health Services, in its Humboldt Bay Management Plan, recognizes that such management has not been implemented.

Other Water Quality Concerns

Agricultural uses in the Humboldt Bay watershed include permanent pasture, confined animal facilities,
4. IMPLEMENTATION PLANS

These activities may result in erosion and runoff, producing discharges of sediment, nutrients, bacteria, and pesticides. Bacteria-laden runoff has been identified as the primary agriculturally-related discharge in the Humboldt Bay watershed. Continued Regional Water Board review and monitoring of agricultural activities is necessary.

Forestry activities in the watershed include timber harvesting, road construction, site preparation, and herbicide application. Timberland owners located in the upper watershed areas will continue to file timber harvest plans on lands zoned for timber harvest production. Road construction and reconstruction within streamside management zones and concentration of logging operations in a watershed will be given special scrutiny to avoid individual and cumulative impacts on the streams.

Urban runoff is affected by past and current land uses which range from thousands of individual households and small businesses to several wood-product factories, each with actual or potential discharges of pollutants via stormwater runoff. The recent stormwater NPDES regulations and possible small-municipality regulations must be implemented to advance the management of runoff-borne pollutants. In addition, the Regional Water Board has an active program to secure cleanup of contaminated soils, runoff and groundwater from such sites.

In addition, there are several sites around the bay where past spills and leaks have contaminated groundwater which discharges to the bay. The Regional Water Board, local agencies, and responsible parties must utilize appropriate cleanup and abatement practices to address these problems.

Regional Water Board and local agency programs to assist small business owners in preventing discharges of polluting chemicals must also be implemented.

Continued surveillance, monitoring, and assessment of water quality and land use activities around Humboldt Bay, and implementation of the Bays and Estuaries Policy are necessary to assure protection and enhancement of Humboldt Bay and its beneficial uses.

Accordingly, the Action Plan for Humboldt Bay includes the following elements:

1) Discharger surveillance and monitoring;

2) Review and assessment of land use activities; and

3) Continued coordination with other state and local agencies with various responsibilities with regards to Humboldt Bay.

ACTION PLAN FOR THE SANTA ROSA AREA
Interim Action Plan (1986 - 1990)\(^9\) for the Santa Rosa Area:

On or before July 1, 1990, the Regional Water Board will formally review this Interim action plan and may revoke authority to discharge under the provisions of the plan or may extend the interim compliance date providing the City of Santa Rosa demonstrates to the Regional Water Board reasonable progress on the City’s stated goal to eliminate direct disposal of treated waste in the Russian River.

1. There shall be no discharge of waste to the Russian River from the Laguna Regional Sewage Treatment Facility during the period of May 15 through September 30 each year. There shall be no discharge from the Laguna Regional Sewage Treatment Facility for all other periods except as follows:

   A. To the extent possible, only advanced treated wastewater as defined in effluent limitations contained in an NDPES permit shall be discharged during October 1 to May 14. However, discharges of secondary treated wastewater as defined in effluent limitations contained in an NDPES permit meeting a median total coliform level of 23 MPN/100 ml from the Laguna Regional Sewage Treatment and Disposal Facilities may be discharged during October 1 to May 14 at rates not exceeding one percent of the flow of the Russian River. In any year, there shall be no discharge of secondary treated wastewater to the Russian River when the flow of the River as measured at Guerneville

\(^9\) On September 21, 1989, the Regional Water Board adopted Resolution No. 89-111 which recognized the City of Santa Rosa's progress in complying with the Long-Range Plan for the Russian River and provides for continued application of the Interim Action Plan standards to the Santa Rosa area through July 1, 1995. Cease and Desist Order No. 92-147 adopted by the Regional Water Board on December 10, 1992 extends the Interim Action Plan standards through September 30, 1997 and Cease and Desist Order No. 93-103 adopted by the Regional Water Board on October 27, 1993 further extends the Interim Action Plan standards through September 30, 1999. This action plan will be amended at a future date.
(USGS Gage No. 11-4670.00) is less than 1,000 cfs. In instances when secondary treated wastewater is discharged, the discharger shall submit a report documenting the reasons for such discharges. In no case when secondary treated wastewater is discharged in combination with advanced treated wastewater shall the total discharge exceed one percent of the flow of the Russian River.

B. Discharge of advanced treated wastewater in accordance with an NDPES permit from the Laguna Regional Treatment and Disposal Facilities to the Russian River may be permitted during October 1 through May 14 when all the following conditions are met:

1. The discharger shall meet a total coliform level of 2.2 MPN/100 ml;

2. In any year, discharge shall not commence until after the flow of the Russian River initially reaches 1,000 cfs as measured at Guerneville (USGS Gage No. 11-4670.00) or until authorized by the Regional Water Board or its Executive Officer. Such authorization shall be based on evidence that justifies the necessity for the discharge and that shows that all beneficial uses of the Russian River and tributaries will continue to be protected. The discharger shall document that system inflow has not exceeded the 1985 dry weather average plus incremental inflows not exceeding any irrigation and/or storage capacity added since 1985. Under wintertime (October 1 - May 14) drought conditions when the flow of the Russian River is less than 1,000 cfs, the Regional Water Board or its Executive Officer may suspend authorization to discharge waste, if necessary, to protect the beneficial uses of the Russian River or its tributaries.

3. Such discharge shall be limited to one percent of the flow of the Russian River except under the following conditions:

4. In no case shall any discharge of advanced treated wastewater exceed five percent of the flow of the Russian River.

INTERIM ACTION PLAN FOR THE TRINITY RIVER

The purposes of this action plan are to describe those activities in the Trinity River watershed which implement the objectives listed below and to ensure a multi-agency collaborative approach to attainment of the objectives.

The Trinity River Division of the Central Valley Project, constructed in 1963 and operated by the United States Bureau of Reclamation, is a major water development project providing the transfer of water from the Trinity River to the Sacramento River Basin of California. Key features of the Trinity River Division are Lewiston Dam, Trinity Dam, and facilities which provide the diversion of runoff from the Trinity River watershed into the Sacramento River Basin. The construction of the dams and the diversion of approximately 80% of the natural flows of the Trinity River resulted in significant changes in the river.

The reduced flows resulted in changes to the river’s temperature regime and disrupted physical cues for migration and spawning of salmon. To mitigate for the loss of fisheries habitat resulting from the project...
construction, the Trinity River Fish Hatchery was constructed at the base of Lewiston Dam. The fish populations have not been sustained, however, and both salmon and steelhead trout populations have declined since 1964, some stocks to as little as 10% of former levels. Efforts are currently underway to expand and improve the operations of the fish hatchery.

To the extent that factors are controllable as stated in Section 3 of this plan, the following temperature objectives shall apply to the activities in the Trinity River.

<table>
<thead>
<tr>
<th>Daily Average Not to Exceed</th>
<th>Period</th>
</tr>
</thead>
<tbody>
<tr>
<td>60°F</td>
<td>July 1 - Sept. 14</td>
</tr>
<tr>
<td></td>
<td>Lewiston Dam to Douglas City Bridge</td>
</tr>
<tr>
<td>56°F</td>
<td>Sept. 15 - Oct. 1</td>
</tr>
<tr>
<td></td>
<td>Lewiston Dam to Douglas City Bridge</td>
</tr>
<tr>
<td>56°F</td>
<td>Oct. 1 - Dec. 31</td>
</tr>
<tr>
<td></td>
<td>Lewiston Dam to confluence of North Fork Trinity River</td>
</tr>
</tbody>
</table>

The Regional Water Board recognizes that the controllability of temperatures in the Trinity River downstream of Trinity and Lewiston Reservoirs is dependent on both climatic conditions and the operation of diversions to the Sacramento River.

The following ongoing efforts shall implement the temperature objective for the Trinity River:

The Trinity River Task Force shall seek to achieve the temperature objectives listed above through its individual and collective authorities. In addition, the authorities shall strive to optimize Trinity River restoration efforts through the efficient and balanced use of cold water reserves from Trinity and Lewiston reservoirs.

In 1981, the U.S. Fish and Wildlife Service and the Water and Power Resources Service of the Central Valley Project entered into an agreement, signed by the Secretary of the Interior, to work cooperatively to halt further fishery declines and to begin an effective restoration program in the Trinity River. In recognizing the problem of balancing the needs to sustain the fishery resources in the Trinity River and the uses outside of the basin for water and power, the agreement established flow allocations for normal, dry, and critically dry years for a period of twelve years. At the end of the twelve-year evaluation period, the agreement calls for the U.S. Fish and Wildlife Service to submit a report to the Secretary of the Interior which summarizes the effectiveness of restoration of flows and recommends an appropriate course of action for future management of Trinity River flows. The twelve-year evaluation period began in 1985 and is scheduled for completion in 1996. The agreement also recognizes the need for the completion of a Fish and Wildlife Management Plan by the Trinity River Task Force, and its implementation to successfully restore the anadromous resources of the Trinity River Basin.

Because of the successive dry-weather conditions since 1985 and the subsequent release of reduced flows to the Trinity River, the Secretary of the Interior amended the 1981 agreement to provide increased flows to the Trinity River in 1991 and in successive years until the U.S. Fish and Wildlife Service completes its study of the Trinity River flows.

As information from the twelve-year study becomes available, the Regional Water Board shall review the effectiveness of this action plan in attaining the water temperature objectives.

In 1985 the Bureau of Reclamation entered into a cooperative agreement with the California Department of Fish and Game, U.S. Fish and Wildlife Service, and the National Marine Fisheries Service to coordinate the operations of the Trinity River Division which impact the fishery resources. To that end, the agencies together attempt to establish the timing and the proportion of releases from Trinity Dam and Lewiston Dam which would most efficiently utilize the
cold water reserves available for use by the anadromous fishery.

The above agencies shall collaborate to implement the objectives set forth in this plan, and shall apprise the Regional Water Board of the progress of this effort on an annual basis.

The State Water Board issued Orders WR 90-5 and 91-01 on May 5, 1990 and January 10, 1991, which set terms and conditions for fishery protection and set a schedule for completion of tasks for the thirty-two water rights permits, licenses, permitted applications and licensed applications for the Bureau of Reclamation's Central Valley Project. The orders included seven pending permitted applications for the diversion of cold water reserves from the Trinity River. The Orders recognized that protection of the upper Sacramento River fishery by means of water diversions from the Trinity River may adversely affect the Trinity River if not properly controlled, and chose to prevent and avoid any adverse effects to the Trinity River fishery as a result of the Order. The State Water Board will consider the comprehensive protection for the Trinity River fishery in a separate water rights proceeding in the near future. The State Water Board will consider the objectives set forth in this action plan in its future water rights proceedings for the Trinity River.

This action plan forms the basis for a collaborative approach to the management of fishery resources in the Trinity River and attainment of the water quality objectives.

The Regional Water Board will periodically review this action plan and information resulting from temperature and fishery studies in the drainage and other areas to determine the need for modification.

INTERIM POLICY ON THE REGULATION OF WASTE DISCHARGES FROM UNDERGROUND PETROLEUM TANK SYSTEMS

At present, the Regional Water Board is using the following laws, policies, regulations and guidelines as the basis for investigations and cleanup of discharges from underground petroleum tank systems:

- The Porter-Cologne Water Quality Control Act
- The Water Quality Control Plan for the North Coast Region
- Chapters 15 and 16, Division 3, Title 23, California Code of Regulations
- State Water Resources Control Board Resolution No. 68-16
- The Health and Safety Code

It shall be the policy of the Regional Water Board to implement a program to investigate and cleanup groundwater pollution caused by unauthorized releases of petroleum from underground tanks that protects water quality while at the same time minimizes the cost to responsible parties and the public in general. The following principles shall constitute the Regional Water Board's interim policy:

1. With respect to all underground petroleum tank cases in this Region, the Regional Water Board's highest priority will be to eliminate pollutant sources through tank removal, free product removal, and removal of contaminated soil to the extent practicable. If required, the need for further remedial action will be based on impacts on the beneficial uses of affected waters as determined by reasonable monitoring or other investigation.

2. The Regional Water Board will then assign the highest priority to the resolution of underground petroleum tank cases where drinking water sources are being adversely impacted or are imminently threatened to be adversely impacted.

3. Where practicable, the Regional Water Board will schedule the investigation and cleanup of petroleum pollution by responsible parties to coincide with the availability of funds.

4. Where practicable, the Regional Water Board will recognize the use of alternative cleanup techniques such as in-situ bioremediation and passive remediation.

5. The Regional Water Board will assist the State Water Resources Control Board and claimants to the State Underground Storage Tank Cleanup Fund to further reduce investigative and cleanup costs while continuing to protect water quality:

a. through technology transfer;
b. through appropriate regulatory policy and legislative recommendations; and
c. through continuing coordination to implement regulatory policy and law.
INTERIM ACTION PLAN FOR CLEANUP OF GROUNDWATERS POLLUTED WITH PETROLEUM PRODUCTS AND HALOGENATED VOLATILE HYDROCARBONS

Discharges of waste from treatment facilities designed to remove pollutants from groundwaters polluted with petroleum products and halogenated volatile hydrocarbons shall be permitted to surface waters of the North Coast Region year-round with no discharge flow limitations based on the flow of the receiving water provided that the following conditions are met:

1. The discharge from the treatment facility shall be pollutant-free.\(^\text{10}\)
2. The discharge shall not adversely affect the beneficial uses of the receiving water.
3. The discharge is necessary because a polluted groundwater cleanup operation is required by an action of the Regional Water Board.
4. The discharge is necessary because no feasible alternative to the discharge (reinjection, reclamation, evaporation, discharge to a community wastewater treatment and disposal system, etc.) is available.
5. The discharge is regulated by NPDES Permit/Waste Discharge Requirements.
6. The discharger has demonstrated consistent compliance with Provision 1, above.
7. The discharge is in the public interest.

ACTION PLAN FOR LOW THREAT DISCHARGES

The Regional Water Board finds that there are categories of discharges that pose a low threat to water quality when conducted and managed properly. A low threat discharge is generally a planned discharge that is short-term and/or of minimized volume from a definable project that results in a point source discharge to surface waters and that is managed in a manner that does not threaten the quality or beneficial uses of water without additional dilution. These discharges meet the definition of a waste,\(^\text{11}\) and as such, are required to be permitted pursuant to the California Water Code. These low threat discharges can cause, or threaten to cause minor impairment of existing or potential beneficial uses of the receiving water if they are not properly managed through best management practices that remove pollutants and minimize the volume, rate, and duration of discharge.

The purpose of this Action Plan is to identify procedures for regulating low threat point source discharges that can be demonstrated to not have an adverse impact on beneficial uses or water quality and for which there are no other reasonable discharge alternatives, and thus provide exceptions to the Basin Plan Point Source Waste Discharge Prohibitions, set out on page 4-1.00.

Discharges resulting from the following sources could be determined to be low threat provided that the discharge does not contain pollutants in quantities that could adversely affect beneficial uses and the discharge meets specific criteria identified in this Action Plan:

- Construction dewatering.
- Installation, development, test pumping, maintenance and purging of water supply or geothermal wells.
- Hydrostatic testing, maintenance, repair, and disinfection of potable water supply vessels, pipelines, tanks, reservoirs, etc.
- Hydrostatic testing of newly constructed pipelines, tanks, reservoirs, etc, used for purposes other than potable water supply (e.g., gas, oil, reclaimed water, etc.);
- Dredge spoils dewatering;
- Other similar types of discharges that pose a low threat to water quality, yet technically must be regulated under a surface water discharge permit.

Low-threat point source discharges may be permitted to surface waters and may be exempted from the Basin Plan seasonal and year-round point source discharge prohibition and discharge flow limitation, provided that the following conditions are met:

\(^\text{10}\) For the purposes of this Interim Action Plan, pollutants are defined as those constituents and their breakdown products that were discharged to soils and/or groundwaters that necessitated a groundwater cleanup. Pollutant-free is defined as discharges that contain no detectable levels of pollutants as analyzed in currently approved EPA or State of California methodology. The Regional Water Board will define detectable levels in terms of numerical limits and shall specify such limits in individual NPDES permits or waste discharge requirements.

\(^\text{11}\) California Water Code, Section 13050(d) defines a waste as including “sewage and any and all other waste substances, liquid, solid, gaseous, or radioactive, associated with human habitation, or of human or animal origin, or from any producing, manufacturing, or processing operation, including waste placed within containers of whatever nature prior to, and for purposes of, disposal.”
4. IMPLEMENTATION PLANS

1. The discharge shall not adversely affect the beneficial uses of the receiving water or cause a condition of nuisance.

2. The discharge shall comply with all applicable water quality objectives.

3. Best practicable treatment or control of the discharge shall be implemented to assure that pollution and nuisance will not occur, and the highest water quality consistent with maximum benefit to the people of the State will be maintained.

4. The discharge is necessary because no feasible alternative to the discharge (reclamation, evaporation, infiltration, discharge to a sanitary sewer system, etc.) is available.

5. The discharge is limited to that increment of wastewater that remains after implementation of all reasonable alternatives for reclamation or disposal.

6. The discharge is regulated by NPDES Permit/Waste Discharge Requirements.

Implementation Plan

Low threat discharges that result in the discharge of pollutants to surface waters shall be covered under an NPDES permit/Waste Discharge Requirements. Several permit options are available, including, but not limited to Statewide general municipal, industrial, or construction storm water permits, Statewide General NPDES Permit for Discharges from Utility Vaults and Underground Structures, Regional Water Board general permits designed to address low threat discharges, and individual permits.

Discharges may be eligible for consideration for permit coverage as a low-threat discharge after the discharger submits specific information to the Regional Water Board for review and approval as required by and outlined in the appropriate permit or as otherwise required by the Regional Water Board.

ACTION PLAN FOR STORM WATER DISCHARGES

Storm water runoff is part of the natural hydrologic cycle; however, human activities, particularly industrialization and urbanization, can result in significant and problematic changes to the natural hydrology of an area. As a result, when rain falls, pollutants may become dissolved in or eroded into, and carried by runoff, without treatment, into surface waters. These pollutants, unless controlled, may degrade the beneficial uses of surface waters. In addition to having direct effects on water quality, industrialization and urbanization of watersheds often alter natural runoff patterns. Storm water that would infiltrate into soils or get captured by vegetation and natural topography can get intercepted by impervious surfaces or compacted soils. Storm drain systems collect this runoff and discharge it directly into waterways. Increased runoff amounts and alteration of peak discharge rates can result in stream bank erosion, modification of natural habitat conditions and increased downstream flooding.

To address the recognized storm water problems, the U.S. Congress added Section 402(p) to the federal Clean Water Act in 1987. This section, and the federal regulations which implement it (40 CFR 122, 123, 124, November 1990), require NPDES permits for storm water discharges from municipalities and industries, including construction. The 1987 Clean Water Act amendments require municipalities to reduce pollutant discharges to the maximum extent practicable, and industries, including construction, to implement Best Available Technology and Best Conventional Pollutant Control Technology to reduce pollutants.

As a result of Section 402(p), the State of California developed a program for the implementation of four types of storm water permits:

- Phase I municipal storm water permits for municipalities serving greater than 100,000 people.
- Phase II municipal storm water permits for urbanized areas serving less than 100,000 people.
- Industrial storm water permits for facilities that discharge storm water associated with industrial activities requiring a general permit pursuant to 40 Code of Federal Regulations, Section 122.26(b)(14), and
- Construction storm water permits for sites that create land disturbance of one (1) acre or more.

Within the storm water permitting program, the State Water Resources Control Board (State Water Board) has issued statewide general permits for the regulation of storm water from Phase II municipalities, and industrial and construction activities. In addition, the State Water Board has issued a statewide storm water permit to the California Department of Transportation (CalTrans) in order to regulate...
municipal and construction storm water discharges from the state highway system and associated facilities. Enforcement of all categories of storm water permits is the responsibility of the Regional Water Board. The Regional Water Board is also responsible for adopting Phase I municipal permits and may elect to adopt site-specific or region-wide municipal, industrial and construction site permits. In addition, provisions of the Clean Water Act allow the Regional Water Board to issue NPDES storm water permits to other construction, industrial or municipal sources based on a finding that these discharges are significant sources of pollutants to surface waters.

The statewide general Phase II municipal permit and the Phase I municipal permit for the Santa Rosa area require storm water dischargers to implement a Storm Water Management Program (SWMP) to reduce or prevent pollutants in storm water discharges and authorized non-storm water discharges, and to eliminate or minimize non-storm water discharges. The SWMP must include the following elements: public education and outreach; public involvement in development and implementation of the SWMP; inspections of commercial and industrial sites; inspections of storm water infrastructure and facilities, including construction sites, that may discharge storm water or non-storm water flows to the storm water infrastructure; monitoring of the storm water infrastructure (visual, water quality samples, other environmental indicators), including a program to detect and eliminate illicit discharges; pollution prevention and good housekeeping program for municipal operations; complaint response, and enforcement of violators. The Phase I and II municipal permits also require special programs aimed at construction sites, including the development and implementation of construction site storm water runoff control programs and post-construction storm water management programs. The post-construction storm water management program should include measures to implement low-impact design features on an individual site and area-wide basis. The goal of the program is to minimize the impact of new development on storm water quality and quantity. The statewide general industrial and construction storm water permits (“statewide general storm water permits”) also require the implementation of best management practices (BMPs), including structural and non-structural controls to prevent and minimize pollutants in storm water and authorized non-storm water discharges.

The statewide general storm water permits, CalTrans permit and the Regional Water Board’s Phase I permit all acknowledge that municipal and industrial storm water conveyance systems may receive certain de minimis categories of non-storm water discharges, including, but not limited to, flows from water line flushing, irrigation, air conditioning condensate, dechlorinated swimming pool discharges, and fire hydrant flow testing, that are not expected to be sources of pollutants as determined by studies conducted or approved by the State and regional water boards. The storm water permits do not prohibit certain types of low-threat non-storm water discharges from entering the storm drain system, provided that they are not significant contributors of pollutants to the municipal storm water conveyance system and do not result in violation of water quality standards. Although these discharges may individually pose little threat to water quality, the storm water permittee is required to implement certain control measures to ensure that these discharges, individually and cumulatively do not adversely impact water quality.

The allowable low-threat non-storm water discharges fall into two categories: (1) intentional discharges that are planned, routine and occur on an on-going basis and (2) incidental discharges that are unanticipated, accidental, and infrequent. Examples of intentional low-threat non-storm water discharge categories include, but are not limited to discharges from foundation, footing and crawl space drains, residential swimming pool draining, air-conditioning condensate, and residential car washing. Examples of incidental low-threat non-storm water discharge categories include, but are not limited to, accidental discharges from potable water sources due to unexpected line breaks, incidental runoff of potable or recycled water from landscape irrigation due to an unexpected break in irrigation line or sprinkler head, and flows from emergency fire-fighting activities. The intentional discharges, by nature, are expected to have a lower risk of containing pollutants or causing other water quality problems such as erosion, because they are subject to planning to minimize pollutants and to control the rate, volume and timing of the discharge. Although the intentional discharge categories may cause nuisance, they require a lesser BMP program than the incidental discharges. Due to the unplanned nature of incidental discharges, this category of non-storm water discharges poses a slightly greater risk to water quality due to the potential for higher levels of pollutants and less opportunity to control the rate, volume, and timing of the discharge.

Discharges of storm water and certain categories of low-threat non-storm water flows (identified in paragraph 6 above and in individual and general storm water permits) from permitted storm water
4. IMPLEMENTATION PLANS

conveyance systems shall not be subject to the Basin Plan’s point source waste discharge prohibitions provided that the following conditions are met:

1. The discharge and the activities which affect the discharge are managed in conformance with the provisions of the applicable NPDES permit.

2. The discharge does not cause adverse effects on the beneficial uses of the receiving water.

3. The permittee shall implement a general management program to eliminate or minimize non-storm water discharges into surface waters. The program shall be submitted to the Regional Water Board for approval and include implementation of BMPs, outreach and education, inspections, monitoring, reporting and enforcement provisions.

In addition, incidental discharges of low threat non-storm water flows from permitted storm water conveyance systems shall not be subject to the Basin Plan’s point source waste discharge prohibitions provided that the following additional conditions are met:

1. The incidental discharge event is not due to negligent maintenance or poor design of infrastructure, or failure to oversee the activity that resulted in incidental runoff.

2. There were no feasible alternatives to the incidental discharge event, such as retention of the incidental runoff. This condition is not satisfied if measures for capturing the incidental discharge should have been installed to prevent incidental runoff, in the exercise of reasonable engineering judgment.

3. The permit holder and/or potable/recycled water user has a management plan, approved by the Regional Water Board Executive Officer, that identifies best management practices designed to avoid, minimize, and where appropriate mitigate incidental runoff incidents. The management plan must include education/outreach, inspection, monitoring, and enforcement components.

The following policy shall be implemented with respect to discharges from individual waste treatment and disposal systems.

POLICY ON THE CONTROL OF WATER QUALITY WITH RESPECT TO ON-SITE WASTE TREATMENT AND DISPOSAL PRACTICES

I. Objective

The North Coast Region is one of the fastest growing areas of California, with widespread and increasing dependence on on-site systems for sewage treatment and disposal. Due to ever-increasing costs, the ultimate construction of sewerage systems in developing areas can no longer be relied upon as a future solution to sewage disposal needs. More and more, on-site systems must be viewed as permanent means for waste treatment and disposal, capable of functioning properly for the life of the structure(s) served. The preponderance of adverse physical conditions throughout the North Coast Region necessitates careful evaluation of site suitability and design parameters for every on-site wastewater disposal system. This policy sets forth region-wide criteria and guidelines to protect water quality and to preclude health hazards and nuisance conditions arising from the subsurface discharge of waste from on-site waste treatment and disposal systems.

II. Findings

1. On-site waste treatment and disposal can be acceptable and successful. The success of the on-site system is dependent on suitable site location, adequate design, proper construction, and regular maintenance. Failure of the on-site system can result in water pollution and the creation of health hazards and nuisance conditions.

2. Waste from on-site systems must be disposed and disbursed below ground surface and away from high groundwater. There are existing parcels of land which, due to limitations in size, unsuitable soils, and/or high groundwater, cannot accommodate on-site waste disposal.

3. Division 7 of the California Water Code grants to the Regional Water Board jurisdiction over all discharges of waste, including those from individual waste treatment and disposal systems or from community collection and disposal systems which utilize subsurface disposal. Local regulatory agencies, however, can most
effectively control individual waste treatment and disposal systems, provided they strictly enforce ordinances and regulations designed to provide protection of water quality and the public health. Regulation of on-site systems on federal lands is beyond the jurisdiction of local agencies and must remain with the Regional Water Board.

4. The many variations in physical conditions, population densities, and parcel sizes throughout the Region may affect the propriety of use of on-site water treatment and disposal systems. Adherence to the guidelines, criteria, and water conservation practices contained herein ordinarily will protect public health and water quality. Local regulatory agencies and the Regional Water Board are encouraged to adopt more stringent regulations when warranted by local conditions.

5. Factors may arise which will justify less stringent requirements than set forth in the guidelines and siting and design criteria contained herein. Provision for waiver is included in this policy to address such situations.

6. On-site waste treatment and disposal systems can be an excellent sanitation device in rural and rural-urban areas. However, in areas where population densities are generally high and the availability of land is limited, on-site systems are not desirable. On-site waste treatment and disposal systems should not be permitted if adequate community sewerage systems are available or feasible.

7. Water conservation practices may protect present and future beneficial uses and public health, and may prevent nuisance and prolong the effective life of on-site wastewater treatment and disposal systems. However, water conservation practices do not reduce the need to size on-site systems as set forth in this policy.

8. The life of on-site wastewater treatment and disposal systems may be severely limited if improperly maintained. A means must be available to assure adequate maintenance of individual waste treatment and disposal systems. Management by public entities is encouraged wherever practicable.

9. Soil characteristics play a dominant role in the suitability of a site for subsurface sewage disposal. Increased emphasis on determining and utilizing soils information will improve site suitability evaluations.

10. The installation of many on-site disposal systems within a given area may result in hydraulic interference between systems and adverse cumulative impacts on the quality of ground and surface waters. Physical solutions or limitations on waste load densities for land developments and other facilities may be necessary to avert such eventualities.

11. New technologies for on-site waste treatment and disposal continue to evolve. Means should be promoted to allow for timely and orderly consideration of promising alternative methods of waste treatment and disposal. Where alternative methods demonstrate enhanced performance, consideration may be given for utilization of different site criteria.

12. All aspects of on-site waste treatment and disposal would benefit from improved professional training and public education programs. Such training and education programs should be promoted by the Regional Water Board in cooperation with local regulatory agencies and public and private sector professional associations.

III. Site Evaluation Criteria and Methods

A. Criteria

The following site criteria are considered necessary for the protection of water quality and the prevention of health hazards and nuisance conditions arising from the on-site discharge of wastes from residential and small commercial establishments. They shall be treated as region-wide standards for assessing site suitability for such systems. Waiver of individual criterion may be made in accordance with the "Provision for Waiver" contained in this policy. Systems resulting in large wastewater loads may require additional criteria which are not covered in this policy, and which will require review by the Regional Water Board on a case by case basis.

1. Subsurface Disposal

On-site waste treatment and disposal systems shall be located, designed, constructed, and operated in a manner to ensure that effluent does not surface at any time, and that percolation of effluent will not adversely affect beneficial uses of waters of the State.
2. Ground Slope and Stability

Natural ground slope in all areas to be used for effluent disposal shall not be greater than 30 percent.

All soils to be utilized for effluent disposal shall be stable.

3. Soil Depth

Soil depth is measured vertically to the point where bedrock, hardpan, impermeable soils or saturated soils are encountered.

The minimum soil depth immediately below the leaching trench shall be three feet.

Lesser soil depths may be granted only as a waiver or for alternative systems.

4. Depth to Groundwater

Minimum depth to the anticipated highest level of groundwater below the bottom of the leaching trench shall be determined from Figure 4-1.

5. Percolation Rates

Percolation test results in the effluent disposal area shall not be less than one inch per 60 minutes (60 MPI) for conventional leaching trenches. Percolation rates of less than one inch per 60 minutes (60 MPI) may be granted as a waiver or for alternative systems.

6. Setback Distances

Minimum setback distances for various features of individual waste treatment and disposal systems shall be as shown below in Table 4-1.

7. Replacement Area

An adequate replacement area equivalent to and separate from the initial effluent disposal area shall be reserved at the time of site approval. The replacement system area shall not be disturbed to the extent that it is no longer suitable for wastewater disposal. The replacement system area shall not be used for the following: construction of buildings, parking lots or parking areas, driveways, swimming pools, or any other use that may adversely affect the replacement area.
4. IMPLEMENTATION PLANS

FIGURE 4-1 MINIMUM DEPTH TO GROUNDWATER BELOW LEACHING TRENCH

Notes:
1. The Silt & Clay content shall be determined after adjustment for coarse fragments as indicated in the method set forth in Figure 4-2, and must exist for a minimum of three feet between the bottom of the leaching trench and groundwater.
2. For percolation rates slower than 5 mpi, a minimum depth to groundwater below the leaching trench shall be five feet.
3. For soils having greater than 15% Silt & Clay, lesser depths to groundwater, to a minimum depth of two feet below the leaching trench, may be granted only as a waiver or for alternative systems.

Table 4-1
Minimum Setback Distances (Feet)

<table>
<thead>
<tr>
<th>Facility</th>
<th>Well</th>
<th>Perennially Flowing Stream</th>
<th>Ephemeral Stream</th>
<th>Ocean Lake or Reservoir</th>
<th>Cut Banks, Natural Bluffs and Sharp Changes in Slope</th>
<th>Unstable Land Forms</th>
</tr>
</thead>
<tbody>
<tr>
<td>Septic Tank/Sump</td>
<td>100</td>
<td>50</td>
<td>25</td>
<td>50</td>
<td>25</td>
<td>50</td>
</tr>
<tr>
<td>Leaching Field</td>
<td>100</td>
<td>100</td>
<td>50</td>
<td>100</td>
<td>25</td>
<td>50</td>
</tr>
</tbody>
</table>

1 As measured from the line which defines the limit of 10 year frequency flood.
2 As measured from the edge of the water course.
3 As measured from the high-water line.
4 Where soil depth or depth to groundwater below the leaching trench are less than five feet, a minimum setback distance of 50 feet shall be required.
Figure 4-2  SOIL PERCOLATION SUITABILITY CHART FOR ONSITE WASTE TREATMENT SYSTEMS

Instructions:

1. Plot texture on triangle based on percent sand, silt, and clay as determined by hydrometer analysis.

2. Adjust for coarse fragments by moving the plotted point in the 100 percent sand direction an additional 2% for each 10% (by volume) of fragments greater than 2mm in diameter.

3. Adjust for compactness of soil by moving the plotted point in the 100 percent clay direction an additional 15% for soils having a bulk-density greater than 1.7 gm/cc.

Note: For soils falling in sand, loamy sand, or sandy loam classification bulk density analysis will generally not affect suitability, and analysis is not necessary.
B. Methods of Site Evaluation

Site evaluations are required in all instances to allow proper system design and to determine compliance with the proceeding site suitability criteria prior to approving the use of on-site waste treatment and disposal systems. The responsible regulatory agency or Regional Water Board should be notified prior to the conduct of site evaluations since verification by agency personnel maybe required. Site evaluation shall be conducted by individuals qualified as described in Section X.6 of this policy, and evaluation methods shall be in accordance with the following guidelines.

1. General Site Features

Site features to be determined by inspection shall include:

a. Land area available for primary disposal system and replacement area.

b. Ground slope in the effluent disposal and replacement area.

c. Location of cut banks, fills, or evidence of past grading activities, natural bluffs, sharp changes in slope, soil landscape formations, and unstable land forms within 50 feet of the disposal and replacement area.

d. Location of wells, intercept drains, streams, and other bodies of water on the property in question and within 100 feet on adjacent properties.

2. Soil Profiles

Soil characteristics shall be evaluated by soil profile observations. One backhoe excavation in the primary disposal field and one in the replacement area shall be required for this purpose. A third profile shall be required if the initial two profiles show conditions which are dissimilar enough so as to alter the ultimate design or location of the leachfield area.

Augered test holes shall be an acceptable alternative, upon determination of the responsible regulatory agency: (a) where use of a backhoe is impractical because of access or because of the fragile nature of the soils, (b) when necessary only to very conditions expected on the basis of prior soils investigations, or (c) when done in connection with geologic investigations. Where this method is employed, three test holes in the primary disposal field and three in the replacement area shall be required.

In the evaluation of new subdivisions, enough soil profile excavations shall be made to identify a suitable disposal and replacement area on each proposed parcel.

The following factors shall be observed and reported from ground surface to a limiting condition or five feet below the proposed leachfield system:

a. Thickness and coloring including Munsell Color Identification of soil layers, soil structure, and texture according to United States Department of Agriculture (USDA) classification.

b. Depth to a limiting condition such as hardpan, rock strata, a large volume of rock fragments, or impermeable soil layer.

c. Depth to observed groundwater.

d. Depth to and description of soil mottling and gleying.

e. Other prominent soil features which may affect site suitability, such as structure, stoniness, consistence, root zones and pores, dampness, massive and/or weak structured soils, etc.

3. Depth to Groundwater Determinations

The anticipated highest level of groundwater shall be estimated:

a. As the highest extent of soil mottling observed in the examination of soil profiles; or

b. By direct observation of groundwater levels during wet weather conditions. Methods for groundwater determinations and monitoring well construction shall be set forth by the local regulatory agency.

Where a conflict in the above methods of
examination exists, the direct observation shall govern.

In those areas which, because of parent materials, soils lack the necessary iron compounds to exhibit mottling, direct observation during wet weather conditions shall be required. Guidance in defining such areas shall be provided by the Regional Water Board for each county within the Region.

4. Soil Percolation Suitability

Determination of a site's suitability for percolation of effluent shall be either of the following methods:

a. Percolation Testing

Stabilized percolation rates shall be established utilizing methods specified by the local regulatory agency.

Percolation testing of soils falling within Zone 1 and Zone 2 may be conducted in non-wet weather conditions provided presoaking of the test hole is accomplished with (a) a continuous 12 hour presoaking, or (b) a minimum of four complete refillings beginning during the day prior to that of the conduct of the test.

Percolation testing of soils within Zone 3 and Zone 4 shall be conducted during wet weather conditions. However, percolation testing of soils within Zones 3 and 4 may be conducted in non wet weather conditions provided the soils demonstrate a low shrink swell potential (Plasticity Index of less than 20, ASTM D 4318-84).

b. Soil Analysis

Soil samples representing the significant horizons within the excavated soil profile shall be obtained and analyzed for texture and bulk density according to methods prescribed by the Regional Water Board. The results shall be plotted on the soil textural triangle of Figure 4-2 as per indicated instructions.

(1) Soils within Zone 1 shall be considered to have minimal filtration capabilities, requiring increased depths to groundwater as per Figure 4-1.

(2) Soils within Zone 2 shall be considered suitable for effluent disposal without further testing.

(3) Soils within Zone 3 and 4 shall require percolation testing as per (a) above to verify suitability for effluent disposal.

5. Wet Weather Criteria

Wet weather testing periods shall be determined geographically by local regulatory agencies incorporating the following criteria as a minimum:

a. Between January 1 and April 30; and

b. Following 10 inches of rain in a 30-day period or after one-half of the seasonal normal precipitation has fallen.

Modification of wet weather testing beyond the limits of the above criteria may be made in accordance with a program of groundwater level monitoring instituted and conducted by the local regulatory agency.

C. Provision for Waiver

Waiver of site suitability criteria and evaluation methods specified herein may be granted by the Regional Water Board or county Health Officer when it can be satisfactorily demonstrated that water quality will not be impaired and public health will not be threatened as a result of such waivers.

Waivers may be granted for:

(1) Individual cases, or

(2) Defined geographical areas.

The local regulatory agency shall notify the Regional Water Board of the basis for each waiver. Prior to granting geographical area waivers, the local regulatory agency shall submit technical justification to the Regional Water Board for review and concurrence.

D. Waiver Prohibitions

Where surveys conducted by the local regulatory agencies and/or Regional Water Board staff indicate that discharges from on-site waste treatment and
disposal systems in specific geographical areas are resulting in or threatening to result in health hazards or water quality impairment, the Regional Water Board may prohibit the issuance of waivers in said areas. Identification of "waiver prohibition areas" is incorporated into Section VII of this policy.

Exemptions to such prohibitions shall be granted by the Regional Water Board only where an authorized public agency can provide satisfactory assurance that individual systems will be appropriately designed, located, sized, shaped, constructed, and maintained to provide adequate protection of beneficial uses of water and prevention of nuisance, pollution, and contamination.

E. Individual Systems Prohibitions

The discharge from existing or new individual systems utilizing subsurface disposal shall be prohibited by the Regional Water Board in accordance with Section 13280 of the California Water Code where substantial evidence shows that such discharges will result in violation of water quality objectives, will impair present or future beneficial uses of water, will cause pollution, nuisance, or contamination, or will unreasonably degrade the quality of any waters of the State. Identification of "individual systems prohibition areas" is incorporated into Section VIII of this policy.

IV. Design Criteria and Technical Guidelines

A. Estimates of Wastewater Flows for Design Purposes

Although actual wastewater flows may in fact be less, estimates of wastewater flows for the design of conventional on-site systems shall be based on 150 gallons per day per bedroom. Local regulatory agencies may incorporate reduced flows into the design of the on-site system upon approval by the Region Water Board or for alternative systems. Estimated flow rates for on-site systems receiving wastewater flows of greater than 1,500 gallons per day or from commercial establishments shall take into account peak loading rates and the chemical characteristics of the wastewater.

B. Septic Tank Capacity, Construction, Inspection, and Testing

At a minimum, septic tank capacity, construction, inspection, and testing requirements shall be based upon the current edition of the International Association of Plumbing and Mechanical Officials Uniform Plumbing Code (1988 Edition), or other local agency regulations approved by the Regional Water Board.

Individual treatment units other than septic tanks shall require certification by the National Sanitation Foundation (NSF) or the International Association of Plumbing and Mechanical Officials (IAPMO) prior to approval for use.

C. Leachfield System Design

The design of the leachfield shall be based on both the estimated flows set forth in Section IV.A. of this policy, and the organic loading of the on-site system. Table 4-2, or other local regulatory agency regulations approved by the Regional Water Board shall be acceptable for conventional on-site systems.

Utilization of the upper horizons for wastewater disposal shall be encouraged. Sidewall depth below the bottom of the leaching pipe shall be a minimum of 12 inches and shall not exceed 36 inches. The use of trenches deeper than 36 inches below the bottom of the leaching pipe shall be acceptable only where site investigations and plans by a qualified individual (per Section X.6. of this policy) demonstrate the suitability of the system to accept wastewater and protect quality.

Trench width shall not exceed 36 inches. Plastic leaching chambers are acceptable, provided the size is based on Table 4-2 of this policy.

D. Cesspools

The use of cesspools for on-site waste treatment and disposal shall be prohibited.

E. Holding Tanks

The use of holding tanks shall be prohibited except where the responsible regulatory agency determines that:

1. It is necessary to abate an existing nuisance or health hazard; or

2. The proposed use is within a sewer service area, sewers are under construction or contracts have been awarded and completion is expected within two years, there is capacity at the wastewater treatment plant and the sewering agency will assume responsibility for maintenance of the tanks; or
Table 4-2 Rates of Wastewater Application for Absorption Areas

<table>
<thead>
<tr>
<th>Soil Texture</th>
<th>Percolation Rate Minutes per Inch</th>
<th>Application Rate Gallons per Day per Square Foot</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gravel, coarse sand</td>
<td>&lt;1</td>
<td>Not Suitable</td>
</tr>
<tr>
<td>Coarse to medium sand</td>
<td>1 – 5</td>
<td>1.2</td>
</tr>
<tr>
<td>Fine sand, loamy sand</td>
<td>6 – 15</td>
<td>1.1 – 0.8</td>
</tr>
<tr>
<td>Sandy loam, loam</td>
<td>16 – 30</td>
<td>0.7 – 0.6</td>
</tr>
<tr>
<td>Loam, porous silt loam</td>
<td>31 – 60</td>
<td>0.5 – 0.4</td>
</tr>
<tr>
<td>Silty clay loam, clay loam</td>
<td>61 – 120</td>
<td>0.4 – 0.2</td>
</tr>
<tr>
<td>a,b</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Note: Application rates may be interpolated based on percolation rates, within the ranges listed above.

a. Soils without expandable clays.
b. These soils may be easily damaged during construction.

3. It is for use at a campground or similar temporary public facility where a permanent sewage disposal system is not necessary or feasible and maintenance is performed by a public agency.

F. Intercept Drains

The use of intercept drains to lower the level of perched groundwater in the immediate leachfield area shall be acceptable under the following conditions:

1. Natural ground slope is greater than 5 percent;
2. Site investigations show groundwater to be perched on bedrock, hardpan, or an impermeable soil layer;
3. The intercept drain extends from ground surface into bedrock, hardpan, or the impermeable soil layer.

In no case shall the pervious section of an intercept drain be located less than 15 feet upgradient or 50 feet laterally from any leachfield.

Where all of the above conditions cannot be met, actual performance of the intercept drain shall be demonstrated prior to approval.

G. Fills

The use of fills to create a leachfield cover shall be acceptable under the following conditions:

1. Where the natural soils and the fill material meet the evaluation criteria as described in Section III of this policy;
2. Where the quantity and method of fill application is described;
3. Where the natural slope does not exceed 20 percent;
4. Where placement of fill will not aggravate slope stability or significantly alter drainage patterns or natural water courses.

Leachlines for wastewater disposal shall be placed entirely within natural soils. Fill material shall not be used to create a basal area for alternative systems or mounds.

Local agencies shall provide specific criteria for the use of fill material which are compatible with the provisions of this policy.

H. Water Saving Devices

The use of water-saving devices may be incorporated into the on-site system design where maintenance of such devices is provided by a responsible entity.

Regional Water Board waste discharge regulation of on-site disposal systems may specify the use of water conservation.

I. Alternative Systems

An alternative system may be appropriate where physical site constraints preclude the installation of a standard septic tank leachfield on-site wastewater disposal system. Alternative systems shall be subject to a program of monitoring provided by a legally responsible entity.
1. Mound Systems

Mound systems utilize reduced criteria for soil permeability and depth to groundwater on slopes up to 12%. Percolation rates of up to 120 minutes per inch are allowed. A minimum of 24 inches of separation between groundwater and native ground surface is required. The mound design shall be based on the Design and Construction Manual for Wisconsin Mounds, Small Scale Wastewater Management Project, University of Wisconsin (January 1990).

2. Pressure Distribution Systems

Pressure distribution systems enable wastewater disposal in conditions of shallow topsoil over slowly permeable or fractured subsoils on slopes up to 30%. Percolation rates of 1 to 120 minutes per inch are required. The system shall have a minimum depth to groundwater, fractured or consolidated rock, or impermeable soils of 24 inches beneath trench bottom. The design shall comply with criteria set forth by the local regulatory agency.

3. At-Grade Systems

At-Grade Systems enable wastewater disposal in conditions of shallow topsoils on slopes up to 25%. Percolation rates of up to 120 minutes per inch are allowed. A minimum of 36 inches of separation between groundwater and native ground surface is required. The design shall be based on the Wisconsin At-Grade Soil Absorption System Siting, Design and Construction Manual, Small Scale Wastewater Management Project, University of Wisconsin (January 1990).

4. Sand Filters

Sand filters may be used to pretreat the effluent from a septic tank by application to a bed of specified media. Maintenance is required to assure the long-term effectiveness of sand filters.

5. Proposals for alternative systems other than those listed above shall be evaluated jointly by the local regulatory agency and the Regional Water Board staff on a case by case basis.

J. Cumulative Effects

The potential cumulative effects on ground and surface waters include, but are not limited to, groundwater mounding and nitrate loading. The local regulatory agency and the Regional Water Board shall determine the need for cumulative impact assessment for on-site systems, and will consider in particular, subdivision developments, commercial establishments, and on-site systems receiving greater than 1,500 gallons per day. For most on-site systems, the assessment of cumulative effects is not necessary.

Analysis of cumulative impact effects shall be conducted using accepted principles of groundwater hydraulics, shall describe the specific methodology, and shall include literature references as appropriate. The wastewater flow used for cumulative impact analysis shall normally be as follows: 100 gallons per day per bedroom for individual residential system; design sewage flow for multi-family and other non-residential systems.

a. Groundwater Mounding Analysis

Groundwater mounding analysis shall be used to predict the highest rise of the water table and shall account for background groundwater conditions during the wet weather season. The maximum acceptable rise of the water table for short periods of time during the wet weather season, as estimated from groundwater mounding analysis, shall be as follows:

For systems with design flows of less than 1,500 gallons per day, groundwater mounding beneath the disposal field shall not result in more than a 50 percent reduction in the minimum depth to seasonally high groundwater as specified in this policy.

For systems with design flows of 1,500 gallons per day or more, a minimum groundwater clearance of 24 inches shall be maintained beneath the system.

b. Nitrate Loading

Analysis of nitrate loading effects shall be based, at a minimum, on an estimate of an annual chemical-water mass balance.

Minimum values used for the total nitrogen concentration of septic tank effluent shall be: 40 mg/l as N (for average flow conditions) for residential wastewater, or as determined from sampling of comparable system(s) or from literature values.

On-site systems shall not cause the groundwater
nitrate concentration to exceed 10.0 mg/l as N at
any source of drinking water on the property nor
on any off-site potential drinking water source.

K. Septage Disposal

Septage disposal shall comply, as a minimum, with
the California Code of Regulations, Title 23, Division
3, Chapter 15 and with federal regulations as
described in 40 CFR Part 503.

V. Maintenance Responsibilities

Maintenance, monitoring, and repair of individual
waste treatment and disposal systems shall be the
responsibility of:

1. The individual property owner; or

2. A legally responsible entity of dischargers
   empowered to carry out such functions. That
   legally responsible entity shall be a public
   agency, unless demonstration is made to the
   Regional Water Board that an existing public
   agency is unavailable and formation of a new
   public agency is unreasonable. If such a
   demonstration is made, a private entity must be
   established with adequate financial, legal, and
   institutional resources to assume responsibility for
   waste discharge.

For subdivision developments where waste discharge
requirements are prescribed by the Regional Water
Board, the existence or formation of a legally
responsible entity of dischargers shall be required.

VI. Abatement

Abatement of failing individual waste treatment and
disposal systems shall be obtained in accordance
with local agency codes and procedures. When such
remedies are ineffective and for systems subject to
waste discharge requirements, abatement shall be
obtained through Regional Water Board enforcement
action.

Abatement of failing systems shall include short-term
mitigation and permanent corrective measures. At a
minimum, short-term mitigation shall include reduction
of effluent flows and the posting of areas subject to
the surfacing of inadequately treated sewage effluent.

VII. Waiver Prohibition Areas

Surveys conducted by local regulatory agencies with
the assistance of the Regional Water Board staff
indicate that discharges from septic tanks in specific
areas are resulting in health hazards and water
quality impairment. In accordance with the provisions
of this policy, the Regional Water Board hereby
prohibits the discharge of wastes from new septic
tanks in the Jacoby Creek and Old Arcata Road areas
in Humboldt County unless all provisions of the above
policy are met without waiver.

(Note: This waiver prohibition exists by a prior
Regional Water Board Order. The map has not been
reproduced here in the interest of brevity.)

VIII. Individual System Prohibitions

In order to achieve water quality objectives, protect
present and future beneficial water uses, protect
public health and prevent nuisance, discharge of
waste from new individual disposal systems may be
prohibited forthwith and discharge of waste from
existing individual disposal systems may be
prohibited in defined areas.

The Regional Water Board may grant an exemption
to the prohibition for:

1. New individual disposal systems after
   presentation of geologic and hydrologic evidence
   by the proposed discharger that such systems will
   not individually or collectively result in a pollution
   or a nuisance; and

2. Existing individual disposal systems if it finds that
   the continued operation of such systems in a
   particular area will not individually or collectively
directly or indirectly affect water quality adversely.

IX. Education and Training

Informational bulletins concerning construction, use,
maintenance, and repair of individual waste treatment
and disposal system shall be made available for
public education by local regulatory agencies.

Professional training concerning site evaluations and
new alternative systems design concepts for
subsurface effluent disposal shall be promoted
periodically by Regional Water Board staff in
cooperation with local regulatory agencies and public
and private sector professional associations.

X. Implementation

1. Local agencies, shall, as necessary, revise
existing sewage disposal ordinances to be
compatible with the provisions of this policy. The
Regional Water Board shall be notified by local agencies of the revisions.

2. Local agencies shall submit for Regional Water Board approval a report describing:
   a. The current program and methods for disposing of septic tank pumpage; and
   b. Plans for meeting future septage disposal needs.

3. Proposals for on-site waste treatment and disposal systems shall be processed as follows:
   a. Processed entirely by the local regulatory agency:
      i. Systems to serve a single dwelling unit within a recorded land development;
      ii. Systems for less than 1,500 gpd domestic waste flows from commercial/industrial establishments;
      iii. Land developments consisting of four or fewer parcels;
      iv. Dwellings involving four or fewer family units.

   The Regional Water Board shall be notified of waivers granted for any of the above.

   b. Reviewed by the Regional Water Board for possible establishment of waste discharge requirements:
      i. Land developments consisting of five or more parcels;
      ii. Dwellings involving five or more family units;
      iii. Systems for commercial/industrial establishments with domestic waste flows equal to or greater than 1,500 gpd.
      iv. All systems proposed for new construction or repairs on federal lands.

   c. The Regional Water Board shall retain jurisdiction over any individual waste treatment and disposal systems which may in its judgment result in water pollution, nuisance and/or health hazards.

4. The Regional Water Board and local regulatory agency shall develop and maintain working agreements concerning procedures and guidelines to be followed in the issuance of waivers as provided by this policy.

5. The Regional Water Board shall, as necessary, request of each local regulatory agency in the Region, an identification of geographical areas that may qualify for establishment of:
   a. On-site wastewater management district,
   b. Waiver prohibition areas, or
   c. Individual system prohibitions.

Designation of such areas by the Regional Water Board shall be made formal by incorporation into this policy.

6. Site evaluations in accordance with this policy shall be performed by individuals who by virtue of their education, training, and experience, are qualified to examine and assess soil, geologic, and hydrologic properties as related to subsurface effluent disposal. Credentials required of such individuals shall be specified by local regulatory agencies and shall include, as a minimum, education, training, and experience as geologist, soil scientist, registered civil engineer, or registered environmental health specialist.

7. Laboratory analysis of soils shall be conducted at commercial soils testing laboratories, or at other firms or establishments which can demonstrate to the satisfaction of the Regional Water Board the necessary equipment and personnel capabilities for performing the required tests. Procedures for laboratory analysis shall be provided by the Regional Water Board. Examination of soil testing capabilities shall be conducted by the Regional Water Board according to the demand.

8. Alternative systems shall be evaluated as follows:
   a. The Regional Water Board shall, as necessary, prepare a written report which summarizes the progress and findings of the alternative systems within the Region.
   b. The local regulatory agency shall prepare a written report following the construction season which describes the number of alternative systems permitted and the operational status of the alternative systems.
within its jurisdiction.

The Regional Water Board shall prepare annually a report which summarizes the status of mound systems within the North Coast Region.

c. The Regional Water Board shall maintain a literature and information file which pertains to alternative systems.

9. The Regional Water Board shall maintain a literature and information file which pertains to water conservation.

10. The local regulatory agencies shall establish, as necessary, a time schedule for compliance of septage disposal sites to be compatible with the provisions of this policy.

XI. Definitions

The following definitions apply to this policy.

Alternative System. Any individual system that does not include a standard septic tank or an NSF or IAPMO certified device for treatment, or does not include standard leaching trenches for effluent disposal, which has been demonstrated to function in such a manner as to protect water quality and preclude health hazards and nuisance conditions.

Bedrock. Solid rock, which may have fractures, that lies beneath soils and other unconsolidated material. Bedrock may be exposed at the surface or have an overburden several hundred feet thick.

Bulk Density. The mass of dry soil per unit bulk volume. The bulk volume is determined before drying to a constant weight of 105°.

Coarse Fragments. Rock or mineral particles greater than 2.0 mm in diameter.

Conventional On-Site Waste Treatment and Disposal System. Any system using a standard septic tank for treatment and standard leaching trenches or seepage pit for effluent disposal.

Cumulative Effects. The persistent and/or increasing effect of individual waste treatment and disposal systems resulting from the density of such discharges in relation to the assimilative capacity of the ground environment. Examples include salt or nitrate additions to groundwater, nutrient enrichment of surface water, and hydraulic interference with groundwater and between adjacent systems.

Cut Bank. A man-made excavation of the natural terrain in excess of three feet.

Dual Leachfield System. An effluent disposal system consisting of two complete standard leachfields connected by an accessible diversion valve and intended for alternating use on an annual or semiannual basis.

Entity of Dischargers. A public agency, or a party which can demonstrate to the Regional Water Board comparable, legal and financial authority and responsibility, for the purpose of monitoring, inspecting, and maintaining individual waste treatment and disposal systems.

Ephemeral Stream. Any observable water course that flows only in direct response to precipitation. It receives no water from springs and no long-continued supply from melting snow or other surface source. Its stream channel is at all times above the local water table. Any water course that does not meet this definition is to be considered a perennial stream for the purposes of this policy.

Failure. The ineffective treatment and disposal of waste resulting in the surfacing of sewage effluent and/or the degradation of ground and surface water quality.

Greywater. Untreated household wastewater which has not come into contact with toilet waste. Greywater includes used water from bathtubs, showers, bathroom wash basins, and water from clothes washing machines, and laundry tubs. It does not include wastewater from kitchen sinks, dishwaters or laundry water from soiled diapers.

Groundwater. Any subsurface body of water which is beneficially used or is usable. It includes perched water if such water is used or usable, or is hydraulically continuous with used or usable water.

Hardpan. An irreversibly hardened soil layer caused by the cementation of soil particles. The cementing agent may be silica, calcium carbonate, iron, or organic matter.

Impermeable Soil Layer. Any layer of soil having a percolation rate slower than 120 MPI or a Zone 4 Soil Texture according to Figure 4-2 of this policy which has a high shrink swell potential (Plasticity Index of greater than 20, ASTM D 4318-84).
Incompatible Use. Any activity or land uses that would preclude or damage an area for future use as an effluent disposal site. Includes the construction of buildings, roads or other permanent structures and activities that may result in the permanent compaction or removal of existing soil.

Intercept Drain: A drain, installed to intercept the lateral movement of groundwater and discharge it to a suitable area. Often referred to as a certain drain.

Limiting Soil Layer. The portion of the soil profile that because of percolation characteristics, most restricts the successful operation of a leachfield.

Local Regulatory Agency. Any agency having authority as provided by county or city ordinances to control approval, installation, and use of individual waste treatment and disposal systems. May include county/city health department, building departments, or department of public works.

Mottles. Irregular spots of different colors that vary in number and size. The redoximorphic features of soils (mottling and gleying) are used to indicate poor aeration and lack of drainage.

On-Site Wastewater Disposal Zone. An area designated for operation and maintenance of individual waste treatment and disposal systems by a public agency entrusted with powers in accordance with the provisions of Chapter 3, Part 2, Division 6, of the State Health and Safety Code.

Perched Water. A subsurface body of water separated from the main groundwater body of a relatively impermeable stratum above the main groundwater body.

Perennial Stream. Any stretch of a stream that can be expected to flow continuously or seasonally. They are generally fed in part by springs.

Saturated Soil. The condition of soil when all available pore space is occupied by water and the soil is unable to accept additional moisture. In fine textured soils a free water surface may not be apparent. The extent of saturated soil conditions and anticipated level of high groundwater can be estimated by the extent of soil mottling.

Soil. The unconsolidated material on the surface of the earth that exhibits properties and characteristics that are a product of the combined factors of parent material, climate, living organisms, topography, and time.

Soil Depth. The combined thickness of adjacent soil layers that are suitable for effluent filtration. Soil depth is measured vertically to bedrock, hardpan, impermeable soil layer, or saturated soil.

Soil Horizon or Layer. A layer of soil approximately parallel to the land surface and differing from adjacent (underlying or overlying) layers in some property or characteristic. Differences include, but are not limited to, color, texture, pH, structure, and porosity.

Soil Texture (United States Department of Agriculture (USDA)). The relative amounts of sand, silt, and clay as defined by the classes of the soil textural triangle. Textural classes may be modified when coarse fragments are present in sufficient number, i.e., gravelly sandy loam, cobbled clay, etc.

Standard Leaching Trenches. Leaching trenches designed in accordance standard practice in local agency regulations.

Unstable Landform. An area which shows evidence of mass downslope movement such as debris flow, landslides, rockfills, and hummocky hillslopes with undrained depressions upslope. Unstable landforms may exhibit slip surfaces roughly parallel to the hillside; landslide scars and curving debris ridges; fences, trees, and telephone poles which appear tilted; or tree trunks which bend uniformly as they enter the ground. Active sand dunes are unstable land forms.

POLICY ON DISPOSAL OF SOLID WASTES

Solid waste is discarded to land throughout the North Coast Region. Solid waste can adversely affect water quality through (1) direct contact with receiving waters, (2) production of leachate which can subsequently commingle with receiving waters, and (3) the production of carbon dioxide which can subsequently dissolve in receiving waters. The resulting adverse effects on water quality may include: bacterial contamination, toxicity, tastes and odors, oxygen depletion, discoloration, turbidity, and increases in mineral and organic compound concentrations.

The Regional Water Board's solid waste program focuses on the protection of water quality by implementing the following regulations, laws, and policies:
4. IMPLEMENTATION PLANS

1) California Code of Regulations, Title 23, Division 3, Chapter 15, Discharges of Waste to Land;

2) The mandated tasks of the solid waste assessment testing (SWAT) program carried out pursuant to Section 13273 of the Water Code;


4) The State Water Board's Policy for Water Quality Control for Regulation of Discharges of Municipal Solid Waste (Resolution No. 93-62).

The laws and regulations governing the discharges of solid wastes have been revised and strengthened in the last few years.

The Regional Water Board policy on disposal of solid waste is to require the orderly implementation of Chapter 15 requirements for all activities which constitute a discharge of waste to land and the application of federal Subtitle D regulations for municipal landfills.

Chapter 15 of the California Code of Regulations provides the overriding framework for solid waste regulation in California. These regulations provide criteria for classifying wastes according to their potential to affect water quality, and establish appropriate siting, design, and containment standards and corrective actions for each waste category. Chapter 15 also specifies monitoring requirements for discharges of waste to land and describes the documentation that a discharger must submit to allow the Regional Water Board to develop appropriate waste discharge requirements for the discharge. For example, waste discharge requirements for a typical municipal landfill contain provisions for the siting, design, construction, water quality monitoring, closure, types of waste to be discharged, and financial responsibility requirements.

On October 9, 1991, the U.S. Environmental Protection Agency promulgated regulations pursuant to Subtitle D of the Resource Conservation and Recovery Act, that apply, in California, to dischargers who own and operate landfills which accept municipal solid waste on or after October 9, 1991. The majority of the federal regulations became effective on October 9, 1993. The U.S. EPA has identified several areas of Chapter 15 which are not adequate to ensure compliance with certain provisions of the federal regulations. To ensure adequate compliance, the State Water Board adopted the "Policy for Water Quality Control" (Resolution 93-62) on June 17, 1993. The Policy directs the Regional Water Boards to henceforth implement in waste discharge requirements for discharges at municipal solid waste landfills, both the Chapter 15 regulations and those applicable provisions of the federal regulations that are necessary to protect water quality. The Regional Water Boards shall revise existing waste discharge requirements to accomplish this by October 9, 1993.

The Regional Water Board continues to implement the SWAT program as resources become available. The primary goal of the SWAT program is to determine if disposal sites are discharging hazardous wastes into surface waters or groundwaters. The California Integrated Waste Management Board (CIWMB) is currently providing funding to the State and Regional Water Boards to work on Ranks 1 through 5. These were the sites which were perceived to pose the greatest threat to water quality. Work on high priority SWAT sites in the North Coast Region is expected to be completed in 1994.

Any additional work required at disposal sites in order to evaluate the threat or impact on beneficial uses of waters will be addressed through the implementation of Chapter 15 requirements.

In carrying out its mandate to protect water quality and regulate solid waste, the Regional Water Board has significant interaction with the CIWMB permitting, compliance, closure, and remediation programs. The CIWMB's the lead agency for nonhazardous waste management in California. The Regional Water Board also interacts with the local enforcement agencies, which enforce the requirements of the CIWMB and issue solid waste facility permits.

This policy describes the collaborative approach to the management of solid waste as required by federal and state regulations and policies. Implementation of this policy is necessary to protect beneficial uses of surface and ground waters in the North Coast Region.

POLICY FOR AGRICULTURAL WASTEWATER MANAGEMENT

The regulation of wastewater resulting from confined animal facilities is described in the California Code of Regulations, Title 23, Division 3, Chapter 15.

In addition, the 1972 Amendments to Public Law 92-500 directed the U.S. Environmental Protection
Agency to set up a permit system for all dischargers. The authority to administer the permit program was transferred to the State of California for waters within the State. Currently, federal regulations require permits only for point source surface water discharges from the following agricultural operations:

1. Feed lots with 1,000 or more slaughter steers and heifers.
2. Dairies with 700 head or more, including milkers, pregnant heifers, and dry mature cows, but not calves.
3. Swine facilities with 2,500 or more 55-pound swine.
4. Sheep feedlots with 10,000 head or more.
5. Turkey lots with 55,000 birds unless the facilities are covered and dry.
6. Laying hens and broilers, with continuous flow watering and 100,000 or more birds.
7. Laying hens and broilers with liquid manure handling systems and 30,000 or more birds.
8. Irrigation return flow from 3,000 or more acres of land when conveyed to navigable waters from one or more point sources.

However, the state may prescribe waste discharge requirements for any point source discharger regardless of size.

**ACTION PLAN FOR REGULATION OF MINING WASTES**

Several hundred existing and abandoned mines are located within the north coastal area. Many of the mines in the Klamath River Basin are being reworked for gold as a result of rising world gold prices. Improper operation and in some cases poor location have resulted in turbidity and sediment discharges which adversely affect beneficial uses.

A number of mining operations, principally sand and gravel extraction, occur in the watersheds of the North Coastal Basin. In addition to sand and gravel, numerous other commodities such as manganese, copper, mercury, and crushed rock have been mined. The major potential problems relating to these operations are increased turbidity resulting from wash-off or discharge of tailings, and the toxic threat of heavy metals to aquatic organisms.

The regulation of mining waste is described in the California Code of Regulations, Title 23, Division 3, Chapter 15. To implement the Code and to protect the quality of waters from adverse effects resulting from mining waste discharges, the Regional Water Board shall (1) adopt waste discharge requirements on operations which could potentially adversely affect water quality in the Region, (2) immediately issue cleanup and abatement orders to mining operations which are potentially or actually adversely affecting water quality, (3) immediately begin documentation of waste discharges for purposes of taking enforcement actions if necessary, (4) issue enforcement orders when appropriate, and (5) seek civil penalties and/or refer violations of cleanup and abatement orders and cease and desist orders to the Attorney General.

**ACTION PLAN FOR ACCIDENTAL SPILLS AND CONTINGENCIES**

On July 24, 1974, the Regional Water Board adopted Resolution No. 74-151 entitled "Contingency Planning and Notification Requirements for Accidental Spills and Discharges". The Order was formulated and adopted by the Regional Water Board when it became apparent that specific waste dischargers were unprepared for emergency situations.

The Order requires entities which discharge, convey, supply, store, or otherwise manage wastes to (1) formulate and submit a contingency plan to the Regional Water Board, (2) immediately report to the Board by telephone any accidental discharge, (3) begin immediate cleanup and abatement activities, and (4) confirm the telephone notification in writing within two weeks of the incident. The written notification is to include the reason for the discharge, the duration and the volume of the discharge, steps taken to correct the problem, and steps taken to prevent the problem from recurring. In the event of a spill or discharge emergency, the Regional Water Board acts as a liaison with the discharger and other affected agencies and persons to provide assistance in clean-up and abatement activities.

Section 25180.7 of the Health and Safety Code requires designated employees of the Regional Water Board to inform local agencies of any illegal discharge or threatened illegal discharge of a hazardous waste.

Section 13271 (a) of the Porter-Cologne Water Quality Control Act requires immediate notification of
illegal and accidental discharges of sewage or hazardous substances to the Office of Emergency Services and the Regional Water Board, and further requires that the Regional Water Board: 1) list all such notifications at its next business meeting, and 2) notify appropriate local health officials.

POLICY ON THE REGULATION OF FISH HATCHERIES, FISH REARING FACILITIES, AND AQUACULTURE OPERATIONS

Fish hatcheries, fish rearing facilities, and aquaculture operations, if regulated, may enhance beneficial water uses. These operations characteristically require the utilization of large quantities of water on a continuous basis. Most of the water is used to satisfy the flow-through requirements of the fish, and is returned to the receiving waters without alteration of beneficial uses. Wastes generated during the care and feeding of fish may include suspended and settleable solids, salt (sodium chloride), antibiotics, anesthetics, and disease control agents. The following criteria shall apply to the discharge from fish hatcheries, rearing facilities, and aquaculture operations:

1. The discharge shall not adversely impact the recognized existing and potential beneficial uses of the receiving waters.
2. The discharge of waste resulting from cleaning activities shall be prohibited.
3. The discharge of detectable levels of chemicals used for the treatment and control of disease, other than salt (NaCl) shall be prohibited.
4. The discharge will be subject to review by the Regional Water Board for possible issuance of Waste Discharge Requirements/NPDES permit.
5. The Regional Water Board may waive Waste Discharge Requirements for fish hatcheries, fish rearing, and aquaculture facilities, provided that the discharge complies with applicable sections of the Water Quality Control Plan for the North Coast Region and satisfies the conditions for waiver which are described in Regional Water Board Resolution No. 87-113 (Appendix Section of this Plan).
6. The public interest is served by the fish hatchery, rearing facility, or aquaculture operation.

POLICY ON POWERPLANT COOLING

Utilization of fresh waters of the basin for powerplant cooling poses both quantity and quality problems. Approximately 25,000 acre-feet of water per year are required for cooling purposes for each 1,000 megawatts of installed generating capacity if evaporative cooling towers are used. Losses of cooling water through evaporation would be approximately 22,000 acre-feet per each 1,000 megawatts of generating capacity. Such losses for powerplant cooling could seriously affect the availability of water for other consumptive uses, and may impair the beneficial use of the water for such nonconsumptive uses as esthetic, fish and wildlife habitat, and recreation purposes.

The utilization of fresh inland waters of the Region for powerplant cooling is regulated by the State Water Resources Control Board's Thermal Plan, (Appendix Section of this Plan). In addition, the Regional Water Board can adopt waste discharge requirements on powerplant cooling operations which could potentially adversely affect water quality in the Region.

POLICY ON RESIDUAL WASTES

Residual wastes such as raw sludge from sewage treatment plants shall be disposed of only at sites approved by the Regional Water Board. In approving such sites the Board shall be guided by the regulations contained in the California Code of Regulations, Title 23, Division 3, Chapter 15.

NONPOINT SOURCE MEASURES

California has achieved considerable improvements in controlling point source discharges, such as wastewater from municipalities and industrial facilities. It is now recognized that in many areas nonpoint source discharges, such as stormwater runoff, are the principal sources of contaminant discharges to surface water and groundwater.

In contrast to point sources, which discharge wastewater of predictable quantity and quality at a discrete point (usually at the end of a pipe), nonpoint source discharges are diffuse in origin and variable in quality. Management of nonpoint source discharges is in many ways more difficult to achieve, since it requires an array of control techniques customized to local watershed conditions.
Section 319 of the 1987 amendments to the federal Clean Water Act establishes the framework for nonpoint source activities. Section 319 requires each state to develop nonpoint source management plans and to conduct an assessment of the impact nonpoint sources have on the State’s waterbodies. In response to these requirements, the State Water Board adopted the Nonpoint Source Management Plan in 1988 and the Water Quality Assessment in 1990.

This section presents the actions intended to meet water quality objectives and protect beneficial uses with regards to nonpoint source discharges. The following measures shall be taken with respect to actual and potential nonpoint sources of water quality degradation. The action plans contained in this section are consistent with the State Water Board's Nonpoint Source Management Plan (see Section 5). The action plans emphasize cooperation with local governments and other agencies to promote the voluntary implementation of best management practices and remedial projects in a three-tiered approach: 1) voluntary implementation, 2) regulatory-based encouragement, and 3) effluent limitations.

**ACTION PLAN FOR LOGGING, CONSTRUCTION, AND ASSOCIATED ACTIVITIES**

The following waste discharge prohibitions pertain to logging, construction, and associated activities in the North Coast Region.

1. The discharge of soil, silt, bark, slash, sawdust, or other organic and earthen material from any logging, construction, or associated activity of whatever nature into any stream or watercourse in the basin in quantities deleterious to fish, wildlife, or other beneficial uses is prohibited.

2. The placing or disposal of soil, silt, bark, slash, sawdust, or other organic and earthen material from any logging, construction, or associated activity of whatever nature at locations where such material could pass into any stream or watercourse in the basin in quantities which could be deleterious to fish, wildlife, or other beneficial uses is prohibited.

Similarly, the guidelines for implementation of the prohibitions have proven most helpful to the Regional Water Board and its staff as well as to potential waste dischargers. They reflect state regulations, objectives, and procedures, and are as follows:

**GUIDELINES FOR IMPLEMENTATION AND ENFORCEMENT OF DISCHARGE PROHIBITIONS RELATING TO LOGGING, CONSTRUCTION, OR ASSOCIATED ACTIVITIES**

These guidelines, which are hereby incorporated into the Water Quality Control Plan for the North Coast Region (Basin Plan), have been developed with the objective of (1) defining the criteria by which the Regional Water Board will consider that violations of the prohibitions have occurred or threaten to occur; (2) instructing the Regional Water Board staff of procedures and actions they will take in implementing the prohibitions; (3) advising all potential dischargers of the scope and intent of the prohibitions; and (4) advising all interested parties that it is the intent of this Regional Water Board to carry out its responsibilities in this matter in a reasonable and effective manner.

**Criteria**

A. Section 3 of the Basin Plan contains water quality objectives, which specify limitations on certain water quality parameters that are not to be exceeded as a result of waste discharges. Accordingly, the Executive Officer of the Regional Water Board is directed to investigate and report to the Regional Water Board evidence of violations of the water quality objectives contained in the Basin Plan which result or threaten to result in unreasonable effects on the beneficial uses of the waters of the Region. When such investigation reveals that such violations are occurring or are threatened due to the discharge or threatened discharge of waste, the Executive Officer shall take all appropriate actions as directed by the Enforcement section of these guidelines.

The following water quality objectives, from Section 3 of the Basin Plan, are considered of particular importance in protecting beneficial uses from unreasonable effect due to discharges from logging, construction, or associated activities:

1. Waters shall be free of coloration that causes...
4. IMPLEMENTATION PLANS

nuisance or adversely affects beneficial uses.

2. Turbidity shall not be increased more than 20 percent above naturally occurring background levels.

3. Waters shall not contain taste or odor-producing substances in concentrations that impart undesirable tastes or odors to fish flesh or other edible products of aquatic origin, that cause nuisance or adversely affect the beneficial uses.

4. Waters shall not contain floating material, including solids, liquids, foams, and scum, in concentrations that cause nuisance or adversely affect beneficial uses.

5. Waters shall not contain substances in concentrations that result in deposition of material that causes nuisance or adversely affect beneficial uses.

6. The suspended sediment load and suspended sediment discharge rate of surface waters shall not be altered in such a manner as to cause nuisance or adversely affect beneficial uses.

7. All waters shall be maintained free of toxic substances in concentrations that are toxic to, or that produce detrimental physiological responses in human, plant, animal, or aquatic life.

8. Waters shall not contain biostimulatory substances in concentrations that promote aquatic growths to the extent that such growths cause nuisance or adversely affect beneficial uses.

B. Definitions

1. Definitions for the following terms in these guidelines are provided in Section 13050 of the Porter-Cologne Act:

a. "Waste" includes sewage and any and all other substances, liquid, solid, gaseous, or radioactive, associated with human habitation, or of human or animal origin, or from any producing, manufacturing, or processing operation of whatever nature, including such waste placed within containers of whatever nature prior to, and for purposes of, disposal.

b. "Beneficial uses" of the waters of the State that may be protected against quality degradation include, but are not necessarily limited to, domestic, municipal, agricultural and industrial supply; power generation; recreation, aesthetic enjoyment; navigation; and preservation and enhancement of fish, wildlife, and other aquatic resources of preserves.

c. "Water quality objectives" means the limits or levels of water quality constituents or characteristics which are established for the reasonable protection of beneficial uses of water or the prevention of nuisance within a specific area.

d. "Water quality control" means the regulation of any activity or factor which may affect the quality of the waters of the State and includes the prevention and correction of water pollution and nuisance.

e. "Water quality control plan" consists of a designation or establishment for the waters within a specified area of (1) beneficial uses to be protected, (2) water quality objectives, and (3) a program of implementation needed for achieving water quality objectives.

f. "Pollution" means an alteration of the quality of the waters of the State by waste to a degree which unreasonably affects: (1) such waters for beneficial uses, or (2) facilities which serve such beneficial uses. "Pollution" may include "contamination".

2. The definition for "stream or watercourse" as those terms are used in the waste discharge prohibitions relative to logging and construction activities shall be interpreted by the Regional Water Board to mean the following: Natural watercourse as designated by a solid line or dash and three dots symbol shown in blue on the largest scale United States Geological Survey Topographic Map most recently published.

C. The Regional Water Board acknowledges that it does not have jurisdiction for direct enforcement
of the rules and regulations of other local, state,
or federal agencies. However, the Regional
Water Board directs the Executive Officer to
investigate the violation or threatened violation of
those rules and regulations of other agencies
which have been adopted to protect the quality of
the waters in the Region. The violation of the
following rules, regulations, or provisions may be
considered a threatened violation of the waste
discharge prohibitions and accordingly the
Executive Officer shall take appropriate action as
directed by the Enforcement section of these
guidelines.

1. A violation of current rules for forest practices
relating to erosion control or water quality
protection in any logging or related activity
being conducted pursuant to regulations
administered by the California Department of
Forestry and Fire Protection.

2. A violation of the Best Management Practices
designated in the U.S. Forest Service
document entitled “Water Quality
Management for National Forest System
Lands in California”, dated April, 1979.

3. A violation of the water pollution control
provisions of the current California Standard
Specifications in any highway project being
constructed under contract entered into by
the Department of Transportation, State
Department of Public Works.

4. A violation of Sections 1601, 1602, 1603,
5650, and 5948 of the California Fish and
Game Code when such violation involves
activities or discharges enumerated in the
aforesaid prohibition.

Investigative and Coordinating Activities

A. The Regional Water Board directs the Executive
Officer to implement the following investigative
activities. It is intended that, whenever possible,
eexisting state reporting procedures and
requirements will be utilized to minimize
additional administrative burden on prospective
waste dischargers.

1. The staff of the Regional Water Board is
directed to investigate and review, on a
continuing basis, logging operations, road
building, and related construction activities
within the Region to determine the effect, or
potential effect, of such activities on
water quality.

2. The staff shall consult with any individual
associated with logging operations, road
building or construction activities having an
effect on the quality of waters in the Region,
and shall investigate such activities when
requested to do so.

3. The staff shall obtain from the California
Department of Forestry and Fire Protection,
the Board of Forestry, and the Department of
Fish and Game copies of all notices received
from timber operations, timber harvesting
plans, and stream alteration activities within
the Region.

4. The staff shall obtain from the Department of
Transportation the names of all contractors
performing work that could result in violation
of the discharge prohibitions. The Forest
Service, USDA and other federal agencies
will be requested to furnish the Regional
Water Board, as early as feasible, with the
names, addresses, and location of
anticipated operations of all private
contractors who will be engaged in logging,
construction or related activities on lands in
the region which are under their control. In
connection with these contracts, request will
be made for copies of any special conditions
or regulations for the control of erosion or
protection of water quality.

5. Upon receipt and review of such information,
the staff will transmit to the permittee or
contractor copies of the discharge
prohibitions and provisions as contained in
the Regional Basin Plans and copies of this
or subsequent implementation statements on
this subject issued by the Regional Water
Board.

6. The staff will request that the California
Department of Forestry and Fire Protection
notify the Regional Water Board's office of
citations or of other notices issued by
Forestry personnel for violation of erosion
control sections of the Forest Practice Rules.
The staff will request that the Department of
Fish and Game advise the Regional Water
Board's office of all violations of its code
Sections 5650, 1601, 1602, and 5948
resulting from logging, road building, or
associated construction activities. The staff
will request that the Department of
Transportation notify the Regional Water Board office of all violations of the water pollution control provisions of the California Standard Specifications and will request that the Forest Service, USDA, and other federal agencies, notify the Regional Water Board's office of all violations of rules and regulations for the control of erosion or protection of water quality.

7. The staff will notify the State Department of Fish and Game, the California Department of Forestry and Fire Protection, the State Department of Transportation, the Forest Service, USDA, and the violating timber operator and/or land owner, of all violations of the discharge prohibitions and of all actions taken by the Regional Water Board with regard to such violations or threatened violations.

8. The staff may request additional information from any individual or firm engaged in timber operations, road building, or related construction activity in accordance with Water Code Section 13267(b) as may be necessary to implement their investigations and carry out the policy of this Regional Water Board.

B. The Regional Water Board considers that implementation of the discharge prohibitions relating to logging, construction, or associated activities can provide appropriate protection to waters of the region from these sources of waste and, in the great majority of their activities, will waive the need for reports of waste discharge and waste discharge requirements. However, where investigations indicate that the beneficial uses of water may be adversely affected by waste discharges, the staff shall require the submission of Reports of Waste Discharge.

**Enforcement Activities**

When investigation by the staff reveals that violations as described in the Criteria section of these guidelines are occurring or are threatened due to the discharge or threatened discharge of waste, the actions to be taken by the Executive Officer are as follows:

A. Cleanup and Abatement Order

1. If the discharge of waste can be cleaned up or its adverse effects abated, a cleanup or abatement order shall be issued to the discharger or other responsible persons.

2. The order and all relevant information shall be transmitted to the discharger as provided in the Manual of Administrative Procedures. Copies of these materials shall be transmitted concurrently to all Regional Water Board members and all other interested agencies.

3. The Regional Water Board may hold a public hearing for purposes of making the necessary findings under Water Code Section 13350(a)(2) with respect to a cleanup or abatement order or violation of waste discharge prohibition at any regular meeting of the Regional Water Board, or at a special meeting of the Regional Water Board called by the Chairman, on his own motion or at the request of the Executive Officer, or when called by two Regional Water Board members as provided in Water Code Section 13204.

B. Cease and Desist Order

If a cleanup or abatement order would not be the most expeditious means of achieving compliance with the prohibitions, the Executive Officer shall notify the Regional Water Board Chairman of his intention to bring the matter before the Regional Water Board, at either a regular or a special meeting, for consideration of evidence and recommendation that a cease and desist order be issued. The decision by the Executive Officer to recommend a cease and desist order hearing shall be made after consideration of the following factors:

1. The nature of the activity of the discharger.

2. The anticipated length of time the discharger will be carrying on the activity which results or threatens to result in a waste discharge.

3. The potential deleterious and unreasonable effect on beneficial uses of the waters during the time before the Regional Water Board will be able to take action on the violation of the prohibitions.

4. Other relevant factors considered applicable by the Executive Officer as necessary to bring before the Regional Water Board for their consideration and deliberation.
POLICY FOR THE CONTROL OF DISCHARGES OF HERBICIDE WASTES FROM SILVICULTURAL APPLICATIONS

It is the policy of this Regional Water Board to assure that the use and possible discharge of herbicide wastes be controlled to provide all necessary protection of the beneficial uses of water. Accordingly, the Regional Water Board establishes a program to control the discharge of herbicides to waters of the State within the North Coast Region to protect water quality. It is the policy of the Regional Water Board to determine safe limits for the discharge of pollutants, including herbicides. All limits will be incorporated into the Action Plan as they are determined and self-monitoring programs will be developed and prescribed to assure compliance with all appropriate limits.

ACTION PLAN FOR CONTROL OF DISCHARGES OF HERBICIDE WASTES FROM SILVICULTURAL APPLICATIONS

The Regional Water Board acknowledges that it is not the lead agency in regulating pesticide use in the North Coast; the lead agency is the Department of Food and Agriculture (DFA). However, the Regional Water Board recognizes its obligation in regulating all wastes discharged to water and in protecting water quality. It is not the Regional Water Board's intent to prescribe waste discharge requirements for pesticide applications when the rules, regulations, and guidelines of other agencies adequately protect beneficial water uses. It is not the intent of the Regional Water Board to require the discharger to furnish information that has already been furnished to other agencies. Accordingly, the Executive Officer shall obtain the needed information from other governmental agencies to the maximum extent possible. Therefore, the Regional Water Board directs the Executive Officer to obtain information on proposed aerial herbicide application projects which will provide assurance that the proposed silvicultural herbicide use will protect water quality. Such information includes, but is not limited to, the following:

a. Topographic map or other map scaled at not less than four inches equals one mile or other scale acceptable to the Executive Officer which clearly delineates the treatment areas and all nearby water courses, wells, ponds, irrigation ditches, or wet areas.

b. Description of the application method and means employed to avoid discharge to water.

c. A water monitoring plan responsive to the need for an "early warning" capability.

d. A spill contingency and control plan indicating downstream water users and the mechanism to provide "early warning" in the event of substantial water contamination.

e. This information should be received by the Regional Water Board 45 days in advance of the operation.

The Executive Officer shall consult with the discharger and the lead agencies to mitigate threatened discharges which would violate any section of this Action Plan. Issues unable to be resolved shall be brought before this Regional Water Board for consideration of the need to adopt waste discharge requirements.

The Regional Water Board acknowledges that it does not have jurisdiction for direct enforcement of the rules and regulations of other local, state, or federal agencies. However, the Regional Water Board directs the Executive Officer to investigate the violation or threatened violation of those rules and regulations of other agencies which have been promulgated to protect the quality of the waters of the state within the North Coast Region and to appropriately enforce violations of the Water Code.

The violation of the following rules, regulations, or provisions may be considered a violation of the waste discharge prohibitions in this Action Plan and accordingly the Executive Officer shall take appropriate action.

1. A violation of current rules, regulations, or guidelines relating to water quality protection from any silvicultural herbicide application being conducted pursuant to permits issued by the County Agricultural Commissioners.

2. A violation of federal or state label requirements relating to water quality protection.

3. A violation of current rules, regulations, or guidelines of the DFA relating to water quality protection.

In accordance with this policy, limits have been determined for three herbicides. Accordingly, the following prohibitions apply to waste discharges from herbicide applications of 2,4,5-T, 2,4,5-TP, and 2,4-D:
1. There shall be no discharge of 2,4,5-T or 2,4,5-TP to waters of the State within the North Coast Region.

2. There shall be no discharge of 2,4-D PGBE ester to waters of the State within the North Coast Region that would cause the concentration of this substance in the receiving waters to exceed an instantaneous value of 40 parts per billion (ppb) acid equivalent or a 24-hour average of 2 ppb acid equivalent.

Monitoring programs will be designed to measure both the maximum instantaneous concentration and a statistically valid 24-hour average concentration of 2,4-D. Sampling locations for monitoring will be selected on the basis of the risk of discharge and the probable presence of beneficial water uses to be protected. Discharge monitoring will occur during and shortly after spraying and with stormwater.

Violations of water quality objectives contained in Chapter 4, particularly the objectives relating to pesticides and toxicity, shall be brought to the immediate attention of the County Agricultural Commissioner. In addition, the California Environmental Quality Act functional equivalent requirements of Section 21080.5 as adopted by the DFA and certified by the Resources Agency on November 1, 1979, require that the County Agricultural Commissioners meet quarterly with the Regional Water Board staff and other agencies concerned with resource protection. These quarterly consultations should develop needed mitigation to prevent violation of waste discharge prohibitions and Basin Plan objectives.

The United States Forest Service has developed Best Management Practices for the application of herbicides and other pesticides on public lands to ensure protection of water quality. Accordingly,

1. The North Coast Regional Water Quality Control Board hereby accepts United States Forest Service Practices 5.8-5.14 as Best Management Practices (BMPs) for water quality protection from aerial herbicide application on Forest Service lands within the North Coast Region, and recognizes the “Aerial Herbicide Application Handbook” (FSH 2109.21) as a management practice that best protects water quality.

2. Experience gained over the past several years by the United States Forest Service on implementation of these management practices has led the Regional Water Board to conclude that discharges from aerial spray applications can be controlled such that: (1) past or present standards for protection of water quality are not violated, (2) Basin Plan water quality objectives are met, (3) most (99 percent) United States Forest Service spray application monitored result in less than 2 ppb of 2,4-D or similar herbicides being detected in receiving waters.

3. The Basin Plan contains provisions (as specified in the Action Plan above) for adequate descriptions of treatment areas and application practices, monitoring programs, and spill contingency planning that, combined with the implementation of Best Management Practices by the United States Forest Service or other entity, will result in the waiver of issuance of waste discharge requirements (excluding issuance of requirements under No. 4 below).

Adoption of waste discharge requirements are hereby waived as not contrary to the public interest when the United States Forest Service Best Management Practices are implemented, relevant Basin Plan provisions are followed, and water quality is protected.

4. Waste Discharge Requirements shall be issued on a case-by-case basis where the implementation of Best Management Practices proposed for specific projects will be insufficient for protection of water quality.

The State Legislature, Department of Food and Agriculture, and the County Agricultural Commissioners have developed a body of laws, regulations, and permit conditions for the application of herbicides and other pesticides on forest lands to ensure protection of water quality. Accordingly,

1. The North Coast Regional Water Quality Control Board accepts the practices conducted pursuant to the state pesticide regulatory program and the County Agricultural Commissioner regulatory program as Best Management Practices (BMPs) for water quality protection from aerial herbicide application on private lands within the North Coast Region, and recognizes the mitigation measures developed through permit conditions set by the County Agricultural Commissioners as management practices that best protect water quality.

2. Experience gained over the past several years by private forest landowners on implementation of these management practices has led the...
Regional Water Board to conclude that discharges from aerial spray applications can be controlled such that: (1) past or present standards for protection of water quality are not violated, (2) Basin Plan water quality objectives are met, (3) most (98%) of private landowner spraying applications monitored result in less that 10 ppb of 2,4-D or similar herbicides being detected in receiving waters (92% result in less than 2 ppb.)

3. The Basin Plan (as specified in the Action Plan above) contains provisions for adequate descriptions of treatment areas and application practices, monitoring programs, and spill contingency planning that, combined with the implementation of Best Management Practices by private landowners, will result in the waiver of issuance of waste discharge requirements (excluding issuance of requirements under Number 4 below).

Adoption of waste discharge requirements are hereby waived as not contrary to the public interest when Best Management Practices are implemented, relevant Basin Plan provisions are followed, and water quality is protected.

4. Waste Discharge Requirements shall be issued on a case-by-case basis where the implementation of Best Management Practices proposed for specific projects will be insufficient for protection of water quality.

TOTAL MAXIMUM DAILY LOADS

Section 303(d) of the federal Clean Water Act (33 USC §1313) requires that “Each state shall identify those waters within its boundaries for which the effluent limitations . . . are not stringent enough to implement any water quality standard applicable to such waters.” The Clean Water Act requires states to establish a priority ranking for waters on the Section 303(d) list of impaired waters and to establish total maximum daily loads for such waters.

The total maximum daily load (TMDL) is the maximum amount of a pollutant that a body of water can contain and still achieve water quality standards. Strategies for implementing the pollution load reductions needed to achieve the TMDL and move the water body toward attainment of water quality standards may be adopted in several ways, as described by the Impaired Waters Policy below. When TMDL implementation strategies are incorporated into the Basin Plan, they are known as TMDL action plans.

This section of the Basin Plan contains (1) a description of policies and regulatory tools that are applicable to TMDLs, and (2) TMDL action plans for specific water bodies and pollutants. Future TMDL action plans will be added as they are approved. The background information used to develop each of the specific TMDL action plans will be retained with the administrative record for the Basin Plan amendment.

POLICIES & REGULATORY TOOLS APPLICABLE TO TMDLS

State-wide Policies Affecting TMDLs

A. Impaired Waters Policy

The Water Quality Control Policy for Addressing Impaired Waters: Regulatory Structure and Options (Impaired Waters Policy)\(^{13}\) is a state-wide policy that describes the process for developing and adopting TMDLs. In summary, the Impaired Waters Policy states that TMDLs may be adopted in any of the following ways:

1. TMDLs and TMDL implementation strategies may be adopted with a basin plan amendment or another regulation or policy for water quality control.

2. TMDLs and TMDL implementation strategies may be adopted with a permitting action, enforcement action, or other single regulatory action.

3. TMDLs and TMDL implementation strategies may be adopted with a resolution that certifies either that (1) a regulatory program has been adopted and is being implemented by another state, regional, local, or federal agency; or (2) a non-regulatory program is being implemented by another entity.

The Impaired Waters Policy also states that TMDLs and TMDL implementation strategies will be incorporated into the Basin Plan, even if they are initially adopted through a regulatory process that is not a basin plan amendment. This is in compliance with Sections 303(d)(2) and 303(e)(3) of the Clean Water Act.

---

B. Nonpoint Source Policy

Many water bodies in the North Coast Region are impaired by nonpoint sources (NPS) of pollution, such as sediment discharges and elevated water temperatures. Therefore, many of the following TMDL action plans focus on NPS pollution control.

The Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program (NPS Policy)\(^{14}\) is a state-wide policy that explains how existing permitting and enforcement tools will be used to address nonpoint sources of pollution. The NPS Policy states that all current and proposed NPS discharges must be regulated under waste discharge requirements (WDRs), waivers of WDRs, a basin plan prohibition, or some combination of these tools.

A NPS pollution control implementation program is a program developed to comply with WDRs, waivers of WDRs, or basin plan prohibitions. A NPS pollution control implementation program must contain five key elements, which are summarized as follows:

Key Element 1: Explanation of the purpose of the NPS pollution control implementation program and how it will meet water quality standards.

Key Element 2: Description of the management practices and other program elements that are to be used to meet water quality standards and an evaluation that ensures proper implementation.

Key Element 3: A time schedule with quantifiable milestones.

Key Element 4: Adequate monitoring.

Key Element 5: The potential consequences for failure.

\(^{14}\) SWRCB Res. No. 2004-0030. 23 CCR §2915

Region-wide Policies Affecting TMDLs

A. Sediment TMDL Implementation Policy

The TMDL implementation strategy for sediment-impaired water bodies in the North Coast Region is set forth in the Total Maximum Daily Load Implementation Policy Statement for Sediment-Impaired Receiving Waters in the North Coast Region (Sediment TMDL Implementation Policy).\(^ {15}\)

The Sediment TMDL Implementation Policy states that the Regional Water Board shall address sediment waste discharges on a watershed-specific basis and directs staff to take the following actions to control sediment waste discharges:

1. Rely on the use of existing permitting and enforcement actions. These actions are consistent with the NPS Policy.
2. Rely on the use of existing prohibitions, including any future amendments.
3. Pursue non-regulatory actions, such as Memoranda of Understanding, with other agencies and organizations.
4. Work with local governments and non-profit organizations to develop sediment control strategies, such as grading ordinances.
5. Encourage organizations and individuals to control sediment waste discharges and conduct watershed restoration activities.
6. Focus on public outreach and education.
7. Develop a guidance document on sediment waste discharge control.
8. Develop a sediment TMDL implementation monitoring strategy.

Permitting and Enforcement Tools

The federal Clean Water Act and the California Water Code (CWC) authorize the Regional Water Board to use permitting and enforcement tools to control waste discharges and ensure attainment of water quality standards. The Regional Water Board shall use permitting and enforcement tools, when and where appropriate, to address waste discharges and ensure

\(^{15}\) NCRWQCB Res. No. R1-2004-0087.
attainment of water quality standards and TMDLs.

A. Permitting Tools

Permitting tools include, but are not limited to, the authority to:

1. Require technical reports and reports on the conditions and operation of a facility, in accordance with CWC §13267.

2. Require monitoring reports, in accordance with CWC §13267.

3. Inspect a facility, in accordance with CWC §13267.

4. Permit the discharge of waste, or proposed discharge of waste, to waters of the state through Waste Discharge Requirements (WDRs), in accordance with Article 4 of the CWC. WDRs may take the form of individual or project-specific WDRs, watershed-specific WDRs, or general WDRs that are applicable to a specific activity.

5. Waive the requirement for a WDR, in accordance with CWC §13269.

6. Permit the discharge of waste to waters of the United States through National Pollutant Discharge Elimination System (NPDES) permits, in accordance with Section 402 of the Clean Water Act and CWC §13370.

7. Certify that proposed activities which require a federal permit or license comply with water quality standards, in accordance with Section 401 of the Clean Water Act.

Permits and waivers may apply to individuals, organizations, activities, and/or watersheds in the North Coast Region or the State of California.

B. Enforcement Tools

Enforcement tools include, but are not limited to, the authority to:

1. Require a time schedule of specific actions to be taken, in accordance with CWC §13300.

2. Issue a cease and desist order, in accordance with CWC §13301.

3. Issue a cleanup and abatement order, in accordance with CWC §13304.

4. Impose monetary liabilities or fines (administrative civil liabilities), in accordance with CWC §13268 and §13350.

Enforcement actions should be consistent with the State Water Board’s Water Quality Enforcement Policy,16 adopted February 19, 2002, and as subsequently amended. The Enforcement Policy promotes a fair, firm, and consistent enforcement approach appropriate to the nature and severity of a violation.

ACTION PLAN FOR THE GARCIA RIVER WATERSHED SEDIMENT TMDL

Note: The “Action Plan for the Garcia River Watershed Sediment TMDL” was approved by the North Coast Regional Water Quality Control Board, the State Water Resources Control Board, and the Office of Administrative Law under the more lengthy title of the “Garcia River Watershed Water Quality Attainment Action Plan for Sediment.”

The Garcia River watershed comprises approximately 73,223 acres in southwestern Mendocino County and discharges to the Pacific Ocean. In 1996, the state of California identified the Garcia River as a high-priority waterbody according to the requirements in Section 303(d) of the federal Clean Water Act (CWA). Section 303(d)(1)(A) of the CWA requires that states list those waters within its boundaries for which existing management practices are not sufficient to achieve water quality standards. The Garcia River was identified as a high-priority waterbody due to excessive sedimentation. Accelerated erosion from land use practices and other causes was identified as affecting the migration, spawning, reproduction, and early development of cold-water fish such as coho salmon and steelhead trout. When the Garcia River was designated a high-priority waterbody under the requirements of the CWA, the development of a Total Maximum Daily Load (TMDL) for the river became necessary.

As a result of the designation of the Garcia River as a high-priority waterbody under the guidelines of the CWA, landowners, land managers, resource protection agencies, and interested members of the public provided input in the preparation of the Garcia River Watershed Water Quality Attainment Strategy for Sediment (1997) (Strategy). The Strategy has been revised and renamed to reflect its role as a supporting document to a Basin Plan amendment and

---

16 SWRCB Res. No. 2002-0040. 23 CCR §2910.
is now known as the Reference Document for the Garcia River Watershed Water Quality Attainment Action Plan for Sediment (Reference Document). The Reference Document and the Strategy are staff-level tools for landowners; land managers; interested public; and state, local and federal resource protection agency personnel to use as an aid for developing and implementing plans to reduce sediment delivery to the Garcia River and its tributaries. It also is useful for providing additional detail about the concepts that follow. It is a planning document that should be revised or updated over time as factors affecting sediment conditions are better understood. The following Action Plan describes the approach of the Regional Water Board to achieve sedimentation reduction and attain beneficial uses in the Garcia River watershed and serves as a phased TMDL, implementation plan, and monitoring plan for the Garcia River watershed. As a phased TMDL, it will be updated and revised, through Basin Plan amendments, based on new information gathered by Regional Water Board staff and/or submitted by landowners, other agencies, academic institutions and the public that provides an improved assessment of conditions in the Garcia River watershed.

I. Problem Statement

The Garcia River and its tributaries have experienced a reduction in the quality and amount of instream habitat that is capable of fully supporting the beneficial use of a cold-water fishery, due to increased sedimentation. This has resulted in a reduction in the stocks of coho salmon and steelhead trout. The acceleration of sediment delivery in the Garcia River watershed due to land management activities has resulted in the loss or reduction of pools necessary for salmonid rearing and the loss or degradation of potential spawning gravel. In addition, the loss or reduction of instream channel structure in the Garcia River watershed due to land management activities has contributed to this habitat loss or reduction.

II. Numeric Targets

The Numeric Targets, as derived from the scientific literature, focus on the elimination of sediment as a pollutant of concern, and provide instream water quality goals for restoring the cold-water fishery habitat. The Numeric Targets represent the desired future condition of the watershed, and are intended to be consistent with existing water quality objectives and beneficial uses, but are not themselves enforceable. The Numeric Targets will be revised through Basin Plan amendments if additional site-specific data for the watershed or additional research support the need for revision. They are expected to be attained throughout the watershed by the year 2049. Table 4-3 provides the Numeric Targets for the Garcia River watershed.

III. Source Analysis

The analysis of sediment sources is divided into three components: mass wasting (primarily landslides), fluvial erosion (primarily from gullies), and surface erosion (primarily from rills and sheetwash). For each of these categories, data was reviewed to estimate the sediment delivery rate associated with natural background, roads (including but not limited to private, public, rural residential and skid trails), timber harvest units, and agricultural operations. Aerial photograph interpretation and road density data analysis were used to estimate the existing rates of sediment delivery from the above sources and from natural background, where the data was sufficient to do so. The estimates are contained in Table 4-4. Based on the existing data, at a minimum, the Garcia River watershed produced an average of 1,380 tons of sediment per square mile per year as measured from 1956 to 1996.

IV. Loading Capacity Calculation

Data from the Garcia River watershed were compared to those from other north coast watersheds with similar physical, climatic, and geologic characteristics to the Garcia River watershed. In particular, data from the North and South Forks of Caspar Creek, also located in western Mendocino County, were used to estimate the reduction in sediment loading needed to achieve the desired future condition in the Garcia River. South Fork Caspar Creek was heavily logged by ground-based equipment (tractors) up until the 1970s and is reported by Pacific Watershed Associates (1997) to produce 1,420 tons/mi²/hr of sediment. North Fork Caspar Creek, on the other hand, received very little tractor logging up through the 1970s and is reported by Pacific Watershed Associates (1997) to produce 680 tons/mi²/hr of sediment. The U.S. Environmental Protection Agency Region IX (USEPA) promulgated a TMDL for the Garcia River on March 16, 1998. In it, USEPA assumes that the condition of South Fork Caspar Creek is comparable to the existing condition of the Garcia River watershed and that North Fork Caspar Creek represents a reference for the desired future condition of the Garcia River watershed, a
### Table 4-3 Numeric Targets for the Garcia River Watershed

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>NUMERIC TARGET</th>
</tr>
</thead>
<tbody>
<tr>
<td>Migration barriers on Class I watercourses&lt;sup&gt;1&lt;/sup&gt;</td>
<td>Zero human-caused barriers</td>
</tr>
<tr>
<td>Embeddedness on Class I watercourses</td>
<td>Improving trend&lt;sup&gt;2&lt;/sup&gt;</td>
</tr>
<tr>
<td>Percent fines &lt; 0.85 mm on Class I watercourses</td>
<td>&lt;14 percent</td>
</tr>
<tr>
<td>Percent fines &lt; 6.5 mm on Class I watercourses</td>
<td>&lt;30 percent</td>
</tr>
<tr>
<td>Primary pool frequency in Class I watercourses</td>
<td>Primary pools covering 40 percent of the length of the watercourse</td>
</tr>
<tr>
<td>V* in 3rd order streams with slopes between 1 percent and 4 percent&lt;sup&gt;4&lt;/sup&gt;</td>
<td>&lt;0.21 (mean)&lt;br&gt; &lt;0.45 (max)</td>
</tr>
<tr>
<td>Median particle size diameter (d&lt;sub&gt;50&lt;/sub&gt;) in 3rd order stream with slopes between 1 percent and 4 percent</td>
<td>&gt;69 mm (mean)&lt;br&gt; &gt;37 mm (min)</td>
</tr>
<tr>
<td>Large woody debris in Class I, II, and III watercourses</td>
<td>Improving trend&lt;sup&gt;3&lt;/sup&gt;</td>
</tr>
<tr>
<td>Width-to-depth ratio in Class I, II, and III watercourses</td>
<td>Improving trend&lt;sup&gt;3&lt;/sup&gt;</td>
</tr>
<tr>
<td>Thalweg profile in Class I, II, and III watercourses</td>
<td>Increasing variability around the mean</td>
</tr>
<tr>
<td>Inman, Signal and Hathaway (Planning Watersheds 113.70014, 113.70020 and 113.70026 except mainstem)</td>
<td>0 percent open stream channel&lt;sup&gt;7&lt;/sup&gt;</td>
</tr>
<tr>
<td>Pardaloe, Larmour, Whitlow, and Blue Waterhole and North Fork (Planning Watersheds 113.70010 – 113.70013 and 113.70025)</td>
<td>&lt;1 percent open stream channel</td>
</tr>
<tr>
<td>Rolling Brook (Planning Watershed 113.70024)</td>
<td>&lt;3 percent open stream channel</td>
</tr>
<tr>
<td>Graphite, Beebe (Planning Watersheds 113.70021 – 113.70022)</td>
<td>&lt;6 percent open stream channel</td>
</tr>
<tr>
<td>South Fork (Planning Watershed 113.70023)</td>
<td>&lt;20 percent open stream channel</td>
</tr>
</tbody>
</table>

<sup>1</sup> Class I watercourses are watercourses that contain domestic water supplies, including springs, on site and/or within 100 feet downstream, or have fish always or seasonally present onsite, or contain habitat to sustain fish migration and spawning. Class I watercourses include historically fish-bearing watercourses.

Class II watercourses are watercourses that have fish always or seasonally present offsite within 1000 feet downstream, or contain aquatic habitat for non-fish aquatic species. Class II watercourses do not include Class III watercourses that are directly tributary to Class I watercourses.

Class III watercourses are watercourses that do not have aquatic life present, but show evidence of being capable of sediment transport to Class I and II watercourses under normal high flow conditions during and after completion of land management activities.

<sup>2</sup> Embeddedness measures the degree to which the larger particles (boulders, rubble, or gravel) of watercourse channels are surrounded or covered by fine sediment, impeding the ability of fish to dig an adequate redd, or nest. Measurements are generally recorded as 0-25 percent, 25-50 percent, 50-75 percent, or 75-100 percent embedded. An improving trend would be represented by a decrease in embeddedness as measured over a rolling 10 year period.

<sup>3</sup> Primary pools have a depth greater than three feet at the pool's deepest point, a width greater than one-half the width of the low flow channel at the pool's widest point (measured by a transect perpendicular to flow), and a length greater than the width of the low-flow channel at the pool’s longest point (measured by a transect parallel to flow). Primary pool frequency will be measured by surveying segments of the watercourse that provide a statistically significant representation of the watercourse as a whole and are located based on field conditions.

<sup>4</sup> V* is a numerical value that represents the proportion of fine sediment that occupies the scoured residual volume of a pool. Stream order is the designation of the relative position of stream segments in the drainage basin network. For example, a first order stream is the smallest, unbranched, tributary that terminates at the upper point. A second order stream is formed when two first order streams join.

<sup>5</sup> An improving trend in large woody debris would be represented by an increase in the volume of large woody debris measured within a given stream segment over a rolling 10 year period. Large woody debris is defined as a piece of woody material having a diameter greater than 30 cm (12 inches) and a length greater than 2 m (6 feet) that is located in a position where it is in the watercourse channel or may enter the watercourse channel.

<sup>6</sup> An improving trend in the width-to-depth ratio would be represented by a change over a rolling 10 year period in the existing width-to-depth ratio towards the width-to-depth ratio appropriate for the stream channel type in question, as determined using the Rosgen stream classification system described in *Applied River Morphology* (1996) by Dave Rosgen.

<sup>7</sup> Open stream channels are those segments of channel, as viewed in aerial photographs with a 1:24,000 resolution or better, that are not covered by canopy and thus are visible.
condition similar to that which existed prior to the steep decline in salmonid populations. As a result, a reduction in sediment delivery of 52 percent is identified as appropriate to achieve the desired future conditions in the Garcia River watershed \[\frac{(1420-680)}{1420}=0.52\]. Applying a margin of safety of 8 percent to account for uncertainties in the data and differences between the Garcia River watershed and the Caspar Creek watershed, an overall reduction in sediment loading of 60 percent is established. (Garcia River Sediment Total Maximum Daily Load, USEPA, Region IX, March 16, 1998).

A 60 percent reduction of the average annual sediment load to the Garcia River watershed (1,380 tons/mi$^2$/yr) results in a Loading Capacity of 552 tons/mi$^2$/yr \[a)1,380 \times 0.60=828; \ b) \ 1,380-828=552\]. The loading capacity of 552 tons/mi$^2$/yr is a conservative estimate based on the best available data, and will be measured over a 40-year period. This loading capacity is the TMDL for the purposes of 40 CFR 130.2 and 130.7. As a phased TMDL, the loading capacity can be modified through a Basin Plan amendment if new information is made available that supports such modification. Neither the order of magnitude of the overall sediment budget nor that of the loading capacity is expected to change significantly as a result of new information.

### Table 4-4 Average Annual Sediment Load

(Derived from: Garcia River Sediment Total Maximum Daily Load, Table 16, promulgated by USEPA, Region IX on March 16, 1998)

<table>
<thead>
<tr>
<th>SOURCE</th>
<th>ESTIMATED AVERAGE ANNUAL SEDIMENT LOAD (tons/mi$^2$/yr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Natural Background</td>
<td></td>
</tr>
<tr>
<td>□ Mass wasting</td>
<td>162</td>
</tr>
<tr>
<td>□ Fluvial erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>□ Surface erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>Roads (including skid trails)</td>
<td></td>
</tr>
<tr>
<td>□ Mass wasting</td>
<td>486</td>
</tr>
<tr>
<td>□ Fluvial erosion</td>
<td>532</td>
</tr>
<tr>
<td>□ Surface erosion</td>
<td>38</td>
</tr>
<tr>
<td>Timber Harvest Units</td>
<td></td>
</tr>
<tr>
<td>□ Mass wasting</td>
<td>162</td>
</tr>
<tr>
<td>□ Fluvial erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>□ Surface erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>Agricultural Operations</td>
<td></td>
</tr>
<tr>
<td>□ Mass wasting</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>□ Fluvial erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>□ Surface erosion</td>
<td>Insufficient data</td>
</tr>
<tr>
<td>TOTAL</td>
<td>1,380</td>
</tr>
</tbody>
</table>

### V. Load Allocations

The existing data are insufficient to allocate specific components of the TMDL to individual landowners or to individual land management activities. That is, it does not include estimates of sediment delivery from individual properties, all landuse, or the amount of sediment delivery that can be reasonably controlled. These three elements are necessary to form rational individual load allocations.

To address the limitations in the existing data, a general load allocation is developed as follows. It is phased, as contemplated in a phased TMDL. First, landowners are required to inventory the Sediment Delivery Sites on their property. Sediment Delivery Sites are controllable, human-caused erosion sites that are currently eroding or have the potential to erode in such a manner as to deliver sediment to a watercourse. Landowners are then directed to reduce the controllable volume of sediment at the inventoried Sediment Delivery Sites. Correction or control of these sites is required according to a schedule contained in the Implementation Schedule section. Landowners are also directed to assess their property for Unstable Areas. Unstable Areas are areas with a naturally high risk of erosion and areas or sites that will not reasonably respond to efforts to prevent or mitigate sediment discharges. Finally, landowners are directed to implement protective land
management measures designed to control future sediment delivery from land management activities on the identified unstable areas and on riparian areas, and from activities related to roads, skid trails, landings, agricultural facilities, and gravel mining. These practices are to be implemented in accordance with the schedules contained in the Implementation Section.

In short, as the first phase, landowners are directed to identify and control all existing and future controllable discharges of sediment. Controllable discharges are those discharges resulting from human activities that can influence the quality of waters of the State and that can be reasonably controlled by prevention or mitigation. For the purposes of the TMDL equation, the load allocation is expressed as zero controllable discharges. For the purpose of implementation and as noted in Table 4-5, it is recognized that measures to control discharges are not 100 percent effective. In the absence of additional data, the Regional Water Board judges that this program of source identification and source control will result, over time, in a reduction in the rate of sediment delivered to watercourses in the Garcia River watershed that is comparable to the rate that existed prior to the steep decline in salmonid populations and attainment of the desired future conditions. As per the Loading Capacity Calculation, that level of sediment delivery is estimated to be 552 tons/mi²/yr. Should additional data be made available to the Regional Water Board that supports a revision to the Load Allocation, the Regional Water Board will consider such revisions in a Basin Plan Amendment.

VI. Implementation Plan

The Implementation Plan is intended to control existing and future sources of sediment delivery resulting from human activity to the Garcia River and its tributaries. To control these sources, three options are offered to landowners. These options are:

Option 1. Comply with the waste discharge prohibitions that apply within the Garcia River watershed.

Option 2. Comply with an approved Erosion Control Plan and an approved Site-Specific Management Plan, or


Waste Discharge Prohibitions that Apply within the Garcia River Watershed

The following waste discharge prohibitions apply within the Garcia River watershed:

1. The controllable discharge of soil, silt, bark, slash, sawdust, or other organic and earthen material from any logging, construction, gravel mining, agricultural, grazing, or other activity of whatever nature into waters of the State within the Garcia River watershed is prohibited.

2. The controllable discharge of soil, silt, bark, slash, sawdust, or other organic and earthen material from any logging, construction, gravel mining, agricultural, grazing, or other activity of whatever nature to a location where such material could pass into waters of the state within the Garcia River watershed is prohibited.

Controllable discharges are those discharges resulting from human activities that can influence the quality of the water of the State and that can be reasonably controlled through prevention, mitigation or restoration. The above two waste discharge prohibitions replace the region-wide waste discharge prohibitions contained in the action plan for logging, construction, and associated activities. The region-wide waste discharge prohibitions no longer apply to activities in the Garcia River watershed. The above two prohibitions do not apply to landowners who are conducting their land management activities in accordance with an approved Erosion Control Plan and either an approved Site-Specific Management Plan or the Garcia River Management Plan (Options 2 and 3, respectively). If the Regional Water Board finds that significant discharges or threatened discharges of sediment occur despite the implementation of an approved Erosion Control Plan and either an approved Site-Specific Management Plan or the Garcia River Management Plan, it will consider the need to revise the plans and will consider the issuance of a Cleanup and Abatement Order to address the discharge, but it will not impose administrative civil liabilities for violations of the prohibitions.

All landowners choosing either Option 2 or 3 as described above must submit an Erosion Control Plan. The general purpose of the Erosion Control Plan is to outline the program by which a landowner or landowners will identify areas of sediment delivery, identify areas at risk of sediment delivery, and control all sediment delivery associated with past and present land management activities. The necessary
components of an Erosion Control Plan are enumerated below.

In addition, landowners choosing Option 2 must submit a Site-Specific Management Plan. Those choosing Option 3 must comply with the Garcia River Management Plan, as outlined below. (The Site-Specific Management Plan and Garcia River Management Plan are collectively referred to as Management Plans.) The general purpose of the Management Plans is to outline the program by which a landowner or landowners will manage their property or properties to reduce the future risk of initiating new sediment delivery problems and to increase the ability of the Riparian Management Zone to properly function with regard to sediment filtering, large woody debris recruitment and stream bank stabilization.

A Site-Specific Management Plan differs from the Garcia River Management Plan. With the Site-Specific Management Plan, the landowner is able to select land management measures for controlling sediment that are suitable for the specific activities and conditions on his or her land. In the Garcia River Management Plan, more general land management measures are specified for unstable areas and riparian areas, and for activities related to roads, skid trails, landings, near stream facilities, and gravel mining. The Regional Water Board strongly encourages all landowners to prepare Site-Specific Management Plans and to use the Garcia River Management Plan only until they can develop their own plans to control discharges of sediment from their properties. The Regional Water Board also encourages groups of dischargers with similar land management activities to develop collective watershed-based Erosion Control Plans and Site-Specific Management Plans (Group Plans), where appropriate.

Erosion Control Plans, Site-Specific Management Plans, and the Garcia River Management Plan are not independently enforceable. The submission of an Erosion Control Plan and Site-Specific Management Plan by a landowner does not create an obligation by the landowner to implement the plans. However, if the landowner chooses not to implement the plans, then Option 1 will apply. In addition, none of the land management measures contained in a Management Plan shall be construed as a gift or dedication of private lands to the general public. A landowner may submit to the Executive Officer a request for an interim extension of time to develop or implement either the Erosion Control Plan or the Management Plan. If the Executive Officer determines that the landowner is making a good faith effort to develop or implement the plans in accordance with the final timelines described in the Implementation Schedule, the extension will be granted. A landowner who is not making a good faith effort to develop or implement an Erosion Control Plan and a Management Plan is subject to the above prohibitions (Option 1).

The elements of an approvable Erosion Control Plan and Site-Specific Management Plan are described below. In addition, the Garcia River Management Plan is outlined in detail. Erosion Control Plans must be submitted no later than January 3, 2005. Site-Specific Management Plans can be submitted at any time. The Garcia River Management Plan must be implemented by January 3, 2002 or substituted by an approved Site-Specific Management Plan.

Elements of an Erosion Control Plan

1. Baseline Data Inventory

A Baseline Data Inventory includes an ownership-wide inventory of Sediment Delivery Sites. Sediment Delivery Sites are controllable, human-caused erosion sites that are currently eroding or have the potential to erode in such a manner as to deliver at least 10 cubic yards of sediment to a watercourse over the life of the TMDL. They include such features as undersized culverts, culverts with diversion potential, eroding sidecast or fill, downcutting inside ditches, etc.

The Baseline Data Inventory shall include a description of all active and potential sediment sources resulting from roads, landings, skid trails, timber operations and agricultural operations, and other significant human-caused earth movement activities that have or might have the ability to enter waters of the state.

The Baseline Data Inventory shall include, at a minimum:

- A description of the inventory method used;
- A topographic map with 80 foot intervals showing the ownership boundary and the location of all inventoried sites, as well as roads and drainages; and
- For each site, an estimate of the volume of sediment and the relative potential for sediment delivery.

The Baseline Data Inventory must be comprehensive and may follow as examples,
4. IMPLEMENTATION PLANS

completely or in part, the inventory methods described in the Assessment and Implementation Techniques for Road-Related Sediment Inventories and Storm-Proofing and contained in the draft Sustained Yield Plan/Habitat Conservation Plan for the Pacific Lumber Company (August 25, 1997, Appendix 20, prepared by William Weaver, of Pacific Watershed Associates, Inc.); the *STAR* Worksheet system of the Watershed and Aquatic Habitat Assessment (September 29, 1997, Appendix 6:1 prepared by Coastal Forestlands, Ltd.); or the Sediment TMDL Inventory and Monitoring Worksheet developed by U.C. Davis (1998).

2. Sediment Reduction Schedule

The Sediment Reduction Schedule shall describe how and in what order of priority the sediment discharges from the Sediment Delivery Sites identified in the Baseline Data Inventory will be reduced in accordance with the schedule set forth in Table 4-5 of the Implementation Schedule section. The Baseline Data Inventory described in 1. above shall be used when prioritizing and conducting sediment delivery reduction activities, and the highest priority for sediment delivery reduction shall be assigned to those sites with the greatest potential to discharge sediment to a watercourse that supports fish.

3. Assessment of Unstable Areas

The Assessment of Unstable Areas shall identify through modeling, data analysis and/or a field inventory, areas of instability across the property. Unstable Areas are areas with a naturally high risk of erosion and areas or sites that will not reasonably respond to efforts to prevent, restore or mitigate sediment discharges. Unstable Areas are characterized by slide areas, gullies, eroding stream banks, or unstable soils that are capable of delivering sediment to a watercourse. Slide areas include shallow and deep seated landslides, debris flows, debris slides, debris torrents, earthflows, headwall swales, inner gorges, and hummocky ground. Unstable soils include unconsolidated, non-cohesive soils and colluvial debris.

The Assessment of Unstable Areas shall include, at a minimum:

- All known active and potential shallow and deep-seated landslides, debris flows, debris slides, debris torrents, earthflows, headwall swales, inner gorges, and unstable soils.
- All known active or potentially active gullies and streambank erosion sites, as appropriate, but should not include the sites identified in 1. above.

Preparers of the Assessment of Unstable Areas may but are not required to use existing California Department of Conservation maps such as the series entitled "Geology and Geomorphic Features Related to Landsliding" or a digital terrain-type model like the one developed by Louisiana Pacific Corporation in its draft Sustained Yield Plan for Coastal Mendocino County (1997) in combination with field-based maps of Unstable Areas.

4. Monitoring Plan

The Monitoring Plan shall describe the method for monitoring the effectiveness of the sediment control efforts the landowner or group of landowners has implemented for the Sediment Delivery Sites identified in the Baseline Data Inventory. The monitoring method must be consistent with the submitted Baseline Data Inventory method so that results are comparable from year to year. The results of the sediment control efforts and any other erosion control related activities, including the implementation of land management measures, shall be submitted to the Regional Water Board in an annual report, due January 30. Any changes in ownership or primary land management activities shall also be included in the annual report. In addition, individual landowners are encouraged to establish instream monitoring points above and below any significant land management activity on their properties and in potential anadromous fish refugia. (See Monitoring section, below).

Elements of a Site-Specific Management Plan

1. Description of Land Management Measures to Control Sediment Delivery

A Site-Specific Management Plan shall include a description of, and schedule for, the Land Management Measures the landowner proposes to implement to control the future delivery of
4. IMPLEMENTATION PLANS

sediment from the following land management activities:

- Roads, landings, skid trails, watercourse crossing construction, reconstruction, maintenance, use, and obliteration;
- Operations on unstable slopes;
- Use of skid trails and landings;
- Use of near stream facilities, including agricultural activities; and
- Gravel mining.

In addition, the description must include:

- A Long-term Road System Plan (Road Plan) similar to that described below in the Garcia River Management Plan, and
- Supporting information that demonstrates that the proposed Land Management Measures will provide a level of water quality protection that is roughly equivalent to that expected from the corresponding measures of the Garcia River Management Plan.

2. Description of Land Management Measures to Improve the Condition of the Riparian Management Zone

The Site-Specific Management Plan shall include a description of, and schedule for, the Land Management Measures and any restoration activities the landowner proposes to improve or maintain the condition of the Riparian Management Zone such that it provides:

- Stream bank protection,
- Filtering of eroded material prior to its entering the watercourse channel, and
- Recruitment of large woody debris to the watercourse channel and flood plain.

In addition, the description shall include supporting information that demonstrates that the proposed Land Management Measures will provide a level of water quality protection that is roughly equivalent to that expected from the corresponding riparian measures of the Garcia River Management Plan.

Group Plans

Dischargers with similar land management activities may choose to develop collective Erosion Control Plans and Management Plans (Group Plans). Group Plans offer landowners the ability to work together to solve their erosion problems, while also affording a measure of privacy to the members of the Group. The Group Plan shall clearly indicate the members of the Group and the land that is covered under the Group Plan. Where a Group member has multiple land management activities (e.g., ranching and timber harvesting), the Group Plan will cover only that portion of the member’s land that is used for land management activities that are similar to those of the remainder of the Group.

The Implementation Plan applies to Groups in the same manner as it applies to individual landowners except as noted below. A Group Erosion Control Plan shall contain the same elements and level of detail as an individual Erosion Control Plan, with the following exceptions. (1) The Baseline Data Inventory Map shall show the perimeter boundary of the land covered by the Group Plan, but it does not need to depict the members’ interior ownership boundaries. Shading or cross-hatching shall be used to depict any properties within the perimeter that are not covered by the Group Plan. (2) The Baseline Data Inventory Map shall show the location of the Group’s Sediment Delivery Sites, but the specific Sediment Delivery Sites do not need to be associated with any individual landowner. (3) The Sediment Reduction Schedule shall be consistent with the schedule in Table 4-5, but the sediment control work may be prioritized on a Group basis, rather than an individual landowner basis. (4) The Assessment of Unstable Areas does not need to be associated with any individual landowner. The Group Management Plan shall include the elements of either a Site Specific Management Plan or the Garcia River Management Plan (or a combination of the two), but the management measures shall be associated with the Group, rather than any of the individual landowners.

All members of the Group are responsible for ensuring that the Group Plans are developed and implemented. The waste discharge prohibitions do not apply to any of the members of the Group as long as the approved Group Plans are being implemented. If the Group Plan is not developed or implemented due to a member’s failure to make a good faith effort to develop or implement the Group Plan, then that individual member of the Group is subject to the Prohibitions. Membership in a Group shall be based upon consent of all the members of the Group. The Group may change its membership by submitting a revised Group Plan for approval by the Executive Officer.
4. IMPLEMENTATION PLANS

Relation of Other Planning Efforts to Erosion Control Plans and Management Plans

The Regional Water Board does not intend for landowners to engage in duplicative or overly complex planning efforts if they are already involved in planning efforts that will satisfy the requirements of this Basin Plan Amendment. For example, the Regional Water Board will consider all of the following to be approvable as an Erosion Control Plan and Management Plan, as long as three conditions are met. First, the document(s) must include, or be modified to include, the elements described above. Second, the document(s) must demonstrate water quality protection and restoration for the area of ownership that is roughly equivalent to the Garcia River Management Plan. Third, the document(s) must provide an assurance that the Implementation Schedule will be met.

- Non-Industrial Timber Management Plans
- Sustained Yield Plans
- Habitat Conservation Plans
- Letters of Intent followed by Ranch Plans as described in the California Rangeland Water Quality Management Plan (July 1995)
- Timber Harvest Plans that cover entire ownerships

The Garcia River Management Plan

The term “roads” as used in the Garcia River Management Plan include private roads, public roads, rural residential roads, skid trails, and landings. The term “near stream facility” includes any building, equipment, corral, pen, pasture, field, trail, livestock crossing or other feature or structure which is associated with commercial land use operations and is close enough to any watercourse to have the potential to cause the discharge of sediment to the watercourse. The term “feasible” means capable of being accomplished in a successful manner within a reasonable period of time, taking into account economic, environmental, legal, social, and technical factors.

Land Management Measures That Apply To Roads, Watercourse Crossings, and Near Stream Facilities Throughout the Garcia River Watershed

1. By January 3, 2005, a Long-term Road System Plan (Road Plan) shall be developed and submitted which describes the long-term road system, and identifies all roads and watercourse crossings. The road system described in the Road Plan shall be designed and constructed to provide surfacing, drainage, and watercourse crossings to match the intended road use and maintenance abilities. Roads (including road prism and watercourse crossing drainage structures) that are constructed or reconstructed after January 3, 2002, shall comply with the standards below. Existing usable roads will be scheduled for upgrading as necessary as Sediment Delivery Sites under the Erosion Control Plan. Roads that are not needed as part of the long-term road system and that discharge or threaten to discharge earthen material to waters of the state shall be scheduled as necessary for abandonment or obliteration as Sediment Delivery Sites under the Erosion Control Plan. The road plan shall include, at a minimum:

- The location of all roads and watercourse crossings within the ownership,
- The current status of each road, including road surface material, road and watercourse design, and use restrictions, and
- The future plan and schedule for each road.

A. Roads used year round shall be designed, constructed, reconstructed or upgraded to permanent road status with the application of an adequate layer of competent rock for surface material and the installation of permanent watercourse crossings and road prism drainage structures. These roads shall receive regular and storm period inspection and maintenance.

B. Roads used primarily during the dry season but to a limited extent during wet weather shall be designed, constructed, reconstructed or upgraded to seasonal road status with the application of spot rocking where needed to provide a stable running surface during the period of use. These roads shall be designed, constructed, reconstructed, and upgraded to provide permanent watercourse crossings and road surface drainage structures. These roads shall receive inspection at least once during the wet weather period and shall receive at least annual maintenance.
4. IMPLEMENTATION PLANS

C. Roads that are not used or maintained during wet weather shall be constructed or reconstructed to a temporary road status. Spot rocking of the road surface shall be used, where needed, to provide a stable running surface during the period of use. Road surface drainage structures shall be designed and constructed to prevent erosion so that regular and storm period maintenance is not needed to prevent sediment discharge to watercourses. All roads that will not receive at least annual maintenance shall have watercourse crossings, except rock fords, removed prior to October 15 of each year of installation.

2. All watercourse road crossings shall, at a minimum, utilize the standards described on pages 64 - 79 of the Handbook for Forest and Ranch Roads (prepared by Weaver and Hagans, 1994). These standards include but are not limited to the design and installation of permanent crossings using a culvert with a minimum diameter designed to pass at least a 50-year flood frequency event. Larger diameter culverts shall be used if debris that might result in blockage of the culvert inlet is present in the channel. All crossings shall be designed and installed to prevent the diversion of stream flow down or through the road prism in the event of culvert failure, and to provide free passage to fish at all flow regimes. All watercourse road crossings that do not meet these minimum standards as of January 3, 2002, must be scheduled as necessary for upgrade as Sediment Delivery Sites under the Erosion Control Plan. All watercourse road crossings installed after January 3, 2002, must be installed according to these minimum standards.

3. All road design, construction, and reconstruction shall use, at a minimum, the standards described on pages 39 - 54 and 81 - 120 of the Handbook for Forest Ranch Roads (prepared by Weaver and Hagans, 1994). These standards include but are not limited to the outsloping of the road prism (whenever feasible and safe) and the installation of rolling dips (rather than water bars) for additional road drainage. If insloped roads are necessary, ditch relief culverts shall be installed, at a minimum, at the distances described in Table 20 of the Handbook for Forest and Ranch Roads, and located to prevent discharge of road drainage directly onto erodible soils. All roads that do not meet the minimum standards as of January 3, 2002, must be scheduled as necessary for upgrade as Sediment Delivery Sites under the Erosion Control Plan. All roads constructed or reconstructed after January 3, 2002, must be constructed or reconstructed to these minimum standards.

4. Straw bale check dams or silt fences shall be installed at the outlet of all road drainage structures prior to use of the road for all roads used after January 3, 2002, if less than one hundred feet of 90 percent vegetative buffer exists between the outlet and a watercourse. Road drainage structures with less than one hundred feet of 90 percent vegetative buffer that are associated with roads not in use after January 3, 2002, must be scheduled as necessary for upgrade as Sediment Delivery Sites.

5. After January 3, 2002, there shall be no construction, reconstruction, or use of roads within the channel of any watercourse. This measure does not apply to watercourse crossings.

6. After January 3, 2002, there shall be no construction, reconstruction, or use of skid trails on slopes greater than 40 percent within 200 feet of a watercourse, as measured from the channel or bankfull stage, whichever is wider.

7. After January 3, 2002, there shall be no use of roads or near stream facilities, when the activity contributes to the discharge of visibly turbid water from the road or near stream facility surface or is flowing in an inside ditch in amounts that cause a visible increase in the turbidity of a watercourse. As an exception, short-term, temporary use of near stream facilities may occur if there is no feasible alternative.

8. After January 3, 2002, the use of heavy equipment (defined as 1.5 tons) between October 15 and May 1 shall be limited to roads that have permanent drainage and are surfaced with an adequate layer of rock to maintain a stable road surface throughout the period of use. A stable road surface is defined as a surface that does not allow the concentration of road runoff to the extent that depressions or rills that are capable of channeling water are formed on the road surface. On near stream facilities, use of heavy equipment in this time period shall be limited to facilities with drainage collection and storage capabilities and/or facilities with a stable soil surface throughout the period of use. As an exception,
short-term, temporary use of heavy equipment on near stream facilities may occur if there is no feasible alternative.

9. After January 3, 2002, all roads and other near stream facilities that are actively used shall have drainage and/or drainage collection and storage facilities installed before the start of any rain that causes overland flow across or along the disturbed surface and could result in the delivery of sediment to a watercourse. Roads and near stream facilities that are no longer actively used and have the potential to discharge sediment to a water of the state shall be addressed as necessary as Sediment Delivery Sites.

10. After January 3, 2002, there shall be no road construction, reconstruction, or upgrading from October 15 to May 1, except for emergency road maintenance.

11. After January 3, 2002, all new crossings installed as temporary watercourse crossings and designed to carry less water and debris than predicted for a 50 year flood discharge shall be removed and stabilized by October 15 of each year of installation. For all watercourses, the approaches to all temporary watercourses crossings shall be pulled back to create side slopes of less than 50 percent, and stabilized with rock, grass seed, mulch, or slash from the lowest (closest) drainage structure to the watercourse transition line. Existing temporary watercourse crossings not removed and stabilized by January 3, 2002, shall be addressed as necessary as Sediment Delivery Sites.

12. After January 3, 2002, off-channel water drafting and livestock watering locations shall be developed to the extent feasible.

Land Management Measures That Apply in Unstable Areas – effective date January 3, 2002

13. No road construction shall occur across unstable areas without the field review and development of site specific mitigation measures by a Certified Engineering Geologist registered in the State of California. A report prepared by the Certified Engineering Geologist shall be submitted to the Regional Water Board before construction/reconstruction activities begin.

14. No more than 50 percent of the existing basal area formed by tree species shall be removed from unstable areas that have the potential to deliver sediment into a watercourse.

15. No concentrated flow shall be directed across the head, toe, or lateral margin of any unstable area.

16. Agricultural activities on unstable slopes that have the potential to deliver sediment to a water of the state shall be minimized to the extent practical.

Land Management Measures That Apply in the Riparian Management Zone

A Riparian Management Zone width shall be assigned to each watercourse based on the class of the watercourse. For Class I and II watercourses, the Riparian Management Zone is a 100-foot strip of land on each side of, and adjacent to, the watercourse. For Class III watercourses, the Riparian Management Zone is a 50-foot strip of land on each side of, and adjacent to, the watercourse. The Riparian Management Zone shall be measured from the active channel or bankfull stage, whichever is wider.

17. All roads within the Riparian Management Zone used after January 3, 2002, shall be surfaced with competent rock to a sufficient depth prior to use of the road to prevent road fines from discharging into watercourses.

18. After January 3, 2002, any new soil exposure within the Riparian Management Zone caused by land management activities shall be stabilized with the application of grass seed, mulch, slash or rock before October 15 of the year of disturbance. Stabilization measures shall achieve at least 90 percent coverage of all soil within the Riparian Management Zone exposed by land management activities. Existing exposed soil caused by land management activities that is not stabilized prior to January 3, 2002, shall be addressed as Sediment Delivery Sites.

19. After January 3, 2002, to promote stream bank stability, each landowner shall ensure that there are no commercial land management activities, including commercial or salvage timber harvest, grazing or crop agriculture, within the first 25 feet of the Riparian Management Zone for Class I or II watercourses. This measure does not apply to watercourse crossings. Commercial land management activities existing prior to January 3, 2002, must be phased out by January 3, 2007.

20. After January 3, 2002, in order to maintain present levels and promote future instream large
woody debris, each landowner shall restrict commercial land use activities within the Riparian Management Zone to ensure that:

A. There is no removal of downed large woody debris from watercourse channels unless the debris is causing a safety hazard.

B. On Class I and II watercourses, at least five standing conifer trees greater than 32 inches in diameter at breast height (DBH) are permanently retained at any given time per 100 linear feet of watercourse. Where sites lack enough trees to meet this goal, there shall be no commercial harvest of the five largest diameter trees per 100 linear feet of watercourse.

C. There is no removal of trees from unstable areas within a Riparian Management Zone that have the potential to deliver sediment to a water of the State unless the tree is causing a safety hazard.

Land Management Measures That Apply to Gravel Mining in the Garcia River Watershed – effective date January 3, 2002

21. In-channel gravel mining shall follow the following recommendations from the Garcia River Gravel Management Plan, prepared for the Mendocino County Water Agency, August 1996.

A. Establish an Absolute Elevation below Which No Extraction May Occur. The absolute elevation below which no mining could occur would be surveyed on a site specific basis. A “redline” elevation tied to National Geodetic Vertical Datum of 1929 (NGVD) or North American Vertical Datum (NAVD) should be established below which mining may not take place, in order to avoid impacts to structures such as bridges and to avoid vegetation impacts associated with downcutting due to excess removal of sediment. A redline elevation should be 2 feet above the low flow water surface elevation (at the edge of the bar closest to the low flow channel) during the first year following adoption of the gravel management plan (assuming that this will occur in 1996) [note: The Mendocino County adopted the Gravel Management Plan on December 9, 1996]. A 2-foot minimum elevation as a buffer with a 2% grade toward the bank is consistent with that required by the National Marine Fisheries Service (NMFS).

B. Limit In-channel Extraction Methods To “Bar Skimming” or an Alternative Method Recommended by the Mendocino County Data Evaluation Team. If mining is limited to the downstream end of the bar as described above with a riparian buffer on both the channel and hillslope (or floodplain) side, bar skimming would minimize impacts. Other methods such as excavation of trenches or pools in the low flow channel lower the local base level, and maximize upstream (headcutting and incision) and downstream (widening and braiding) impacts. In addition, direct disturbance of the substrate in the low flow channel should be avoided. Trenching on bars (described in the Eel River EIR; EIP, 1992) may be beneficial in the future for the Garcia if it becomes severely aggraded, flat, shallow, and braided and has few invertebrates. The Department of Fish and Game should be consulted in order to determine if the Garcia River meets these conditions in the future. In the future, the Mendocino County Data Evaluation Team should have flexibility to decide on the most appropriate method to enhance habitat on a site specific basis.

An excavated pool (or larger in-stream pit) acts as a local base level, and can cause upstream and downstream incision as the channel re-establishes its gradient. Incision is a negative effect of trenching that may result in increased bank erosion and loss of habitat. In-channel excavation of pools would take place in summer after June 15 – after the need for spawning habitat has passed. Subsequent winter flows may re-fill the pool before it can be used by fish in the following season.

C. Grade Slope of Excavated Bar to Prevent Fish Entrapment. Excavation on bars by gravel skimming would have a 2% slope toward the bank. After extraction, gravel bars must be left void of isolated pockets or holes.

D. Extract Gravel from the Downstream Portion of the Bar. Retaining the upstream one to
two thirds of the bar and riparian vegetation while excavating from the downstream third of the bar is accepted as a method to promote channel stability and protect the narrow width of the low flow channel necessary for fish. Gravel would be redeposited in the excavated downstream one to two thirds of the bar (or downstream of the widest point of the bar) where an eddy would form during sediment transporting flows. In contrast, if excavation occurs on the entire bar after removing existing riparian vegetation, there is a greater potential for widening and braiding of the low flow channel.

E. Concentrate Activities to Minimize Disturbance. In-channel extraction activities should be concentrated or localized to a few bars rather than spread out over many bars. This localization of extraction will minimize the area of disturbance of upstream and downstream effects. Skimming decreases habitat and species diversity - these effects should not be expanded over a large portion of the study area.

F. Maintain Flood Capacity. Flood capacity in the Garcia River should be maintained in areas where there are significant flood hazards to existing structures or infrastructure.

G. Minimize Activities That Release Fine Sediment to the River. No washing, crushing, screening, stockpiling, or plant operations should occur at or below the streams “average high water elevation,” or the dominant discharge. In the Garcia River the elevation of the dominant discharge is near the top of bank. These and similar activities have the potential to release fine sediments into the stream, providing habitat conditions deleterious to salmonids. The Regional Water Board regulates fine sediment releases to the river from gravel processing through its waste discharge requirements. Gravel mining and processing applicants should notify the Regional Water Board if waste discharge requirements are applicable to their operation.

H. Avoid Dry Road Crossings. Dry road crossings disrupt the substrate and can result in direct mortality or increased predation opportunity on fry. The crossing of choice and the one utilized in recent years in the lower Garcia is the free-span seasonal bridge. This type of crossing protects the upstream habitat as well as improving river conditions for recreation. If dry crossings are unavoidable, they should not be placed in the channel prior to June 15, and should be removed by October 15 so that they do not interfere with incubating or migrating salmonids. The number of crossings should be kept to a minimum. Placement of crossings should also take into account the damage which might occur to riparian vegetation. Roads should lead directly to the crossings and not long distances through the riparian corridor. Placement of any road crossing should be done with the approval of the Data Evaluation Team. Any structure placed across a river or recreationally navigable stream should be designed and installed so as to provide sufficient overhead clearance to allow unobstructed and safe passage for small recreational craft.

I. Limit In-channel Operations to the Period Between June 15 and October 15. Gravel extraction for outside this window may interfere with salmonid incubation and migration. The hatching period for late steelhead spawners may extend for 40-50 days. Therefore, the June 15 start date is necessary to protect eggs laid from late April to May. Spawning salmonids have been observed in the Garcia River system as late as June 2.

J. Avoid Expansion of Instream Mining Activities Upstream of River Mile 3.7. The reach of channel upstream of River Mile 3.7 is important to steelhead spawning. Gravel mining increases the probability of additional fine sediments in spawning gravels. In order to maintain suitable spawning gravels of riffles in this reach, it is strongly recommended that gravel mining within this reach be restricted to the site of present operations.

22. Floodplain (Off-Channel) gravel mining shall follow the following recommendations from the Garcia River Gravel Management Plan, prepared for the Mendocino County Water Agency, August 1996.
A. Floodplain Gravel Extraction Should Be Set Back from the Main Channel. In a dynamic alluvial system, it is not uncommon for meanders to migrate across a floodplain. In areas where gravel extraction occurs on floodplains or terraces, there is a potential for the river channel to migrate toward the pit. If the river erodes through the area left between the excavated pit and the river, there is a potential for “river capture,” a situation where the low flow channel is diverted through the pit. In the Garcia River, a setback of at least 400 feet is recommended to minimize the potential for river capture. In order to avoid river capture, excavation pits should set back from the river to provide a buffer and should be designed to withstand the 100-year flood. Adequate buffer widths and reduced pit slope gradients are preferred over engineered structures which require maintenance in perpetuity. Hydraulic, geomorphic and geotechnical studies should be conducted prior to design and construction of the pit and levee.

In addition to river capture, extraction pits create the possibility of stranding fish. To avoid this impact, California Department of Fish and Game (CDFG) requires that all off-channel mining be conducted above the 25-year floodplain.

B. The Maximum Depth of Floodplain Gravel Extraction Should Remain above the Channel Thalweg. Floodplain gravel pits should not be excavated below the elevation of the thalweg in the adjacent channel. This will minimize the impacts of potential river capture by limiting the potential for headcutting and the potential of the pit to trap sediment. A shallow excavation (above the water table) would provide a depression that would fill with water part of the year, and develop seasonal wetland habitat. An excavation below the water table would provide deep water habitat.

C. Side Slopes of Floodplain Excavation Should Range from 3:1 to 10:1. Side slopes of a floodplain pit should be graded to a slope that ranges from 3:1 to 10:1. This will allow for a range of vegetation from wetland to upland. Steep side slopes excavated in floodplain pits on other systems have not been successfully reclaimed, since it is difficult for vegetation to become established. Terrace pits should be designed with a large percentage of edge habitat with a low gradient which will naturally sustain vegetation at a variety of water levels. Pit margins should be reclaimed with riparian buffer zones of fifty feet surrounding them. Islands should be incorporated into the reclaimed pits as waterfowl refugia. Pits should be designed with input from the Mosquito Abatement District.

D. Place Stockpiled Topsoil above the 25-year Floodplain. Stockpiled topsoil can introduce a large supply of fines to the river during a flood event and degrade salmonid habitat. The CDFG considers storage above the 25-year flood inundation level sufficient to minimize this risk.

E. Floodplain Pits Should Be Restored to Wetland Habitat or Reclaimed for Agriculture. There are very few examples of successfully restored or reclaimed gravel extraction pits on other river systems with gravel extraction. The key to overcoming barriers to successful restoration or reclamation is to conserve or import adequate material to re-fill the pit, while ensuring that pit margins are graded to allow for development of significant wetland and emergent vegetation.

Review of Individual Land Management Projects

Proposed land management projects that require Regional Water Board review for possible issuance of waste discharge requirements pursuant to Section 13260 of the California Porter-Cologne Water Quality Control Act, Clean Water Act Section 404 permits, and/or Clean Water Act Section 401 certification shall comply with this Action Plan, including TMDL, Implementation Plan and Monitoring Plan, as appropriate.

Restoration Projects

Landowners, agencies, and interested groups are encouraged to continue their interest, participation, and cooperation with restoration activities in the Garcia River watershed. Restoration is a tool useful
for both stabilizing eroding stream banks throughout the watershed and improving instream habitat conditions. To ensure that stream restoration projects are planned and implemented in a manner that allows compliance with the provisions of the Action Plan, each landowner conducting restoration projects on his/her ownership shall notify the Regional Water Board in writing of any stream restoration activity, its location, the time frame of the project, and a summary of the work proposed. Landowners may propose to conduct restoration work in lieu of controlling a Sediment Delivery Site. The Executive Officer may consider allowing such a substitute in those cases where a greater environmental benefit would result.

**Implementation Schedule**

This Action Plan, including TMDL, Implementation Plan, and Monitoring Plan will take effect on January 3, 2002, in order to give landowners in the watershed the opportunity to implement voluntary actions.

Regional Water Board staff will send a letter to each landowner in the Garcia River watershed requesting a Statement of Intent regarding this Action Plan. The Regional Water Board letter will describe the options available to the landowner, which are as follows:

Option 1. Comply with the waste discharge prohibitions that apply to the Garcia River watershed.

Option 2. Comply with an approved Erosion Control Plan and a Site-Specific Management Plan.


Landowners must comply with this Action Plan, including TMDL, Implementation Plan and Monitoring Plan through one of these three options or face potential permitting and/or enforcement action in the event of discharges of sediment. Landowners who do not submit a Statement of Intent are subject to the waste discharge prohibitions (Option 1).

Regional Water Board staff will review and respond to each Statement of Intent. The Board will then prioritize efforts in the Garcia River watershed, based on its general estimates of relative threat to water quality. Highest priority will be assigned on an ownership by ownership basis to those sites identified as having the highest existing discharge or potential discharge of sediment to a watercourse that supports fisheries.

Landowners who intend to follow either Option 2 or Option 3 are encouraged to do so as soon as possible and to submit their plans to the Regional Water Board. Regional Water Board staff will acknowledge receipt of each plan submitted and will review each plan for completeness. The Executive Officer will approve the plans if the review indicates that the plans meet the requirements specified above and complies with the schedule contained in Table 4-5, below. The Executive Officer will notify the landowner of his/her approval in a letter. Prior to approving an Erosion Control Plan or Site-Specific Management Plan, the Executive Officer will provide notice and an opportunity to comment to those who have requested it. At the Executive Officer’s discretion, a Regional Water Board workshop may be scheduled to receive comments. Time extensions and minor revisions to approved Erosion Control Plans and Site-Specific Management Plans may be approved by the Executive Officer without notice.

**VII. Monitoring Plan**

Monitoring is intended to provide information regarding the effectiveness of sediment control efforts in attaining the Numeric Targets over time. Instream and hillslope monitoring parameters, monitoring protocols, and frequency of monitoring are described.

<table>
<thead>
<tr>
<th>SOURCE AND LAND USE</th>
<th>FINAL COMPLIANCE DATE</th>
<th>ACTIVITY AND INTERIM SCHEDULE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Roads, landings, skid trails, timber harvest operations, agricultural operations, gravel mining, and other significant human-caused earth movement</td>
<td>January 3, 2005, and every 10 years thereafter, as necessary if new Sediment Delivery Sites are identified</td>
<td>Prepare an ownership-wide Baseline Data Inventory of controllable Sediment Delivery Sites and a Sediment Reduction Schedule for the reduction of sediment from the inventoried sites. No interim schedule.</td>
</tr>
</tbody>
</table>
## 4. IMPLEMENTATION PLANS

<table>
<thead>
<tr>
<th>SOURCE AND LAND USE</th>
<th>FINAL COMPLIANCE DATE</th>
<th>ACTIVITY AND INTERIM SCHEDULE¹</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unstable Areas</td>
<td>January 3, 2005, and every 10 years thereafter, as necessary if new Unstable Areas are identified</td>
<td>Prepare an ownership-wide Assessment of Unstable Areas. No interim schedule.</td>
</tr>
<tr>
<td>Sediment Delivery Sites associated with Roads</td>
<td>January 3, 2015</td>
<td>Following the completion of the Baseline Data Inventory, control, in order of priority, all controllable Sediment Delivery Sites identified in the Baseline Data Inventory in such a manner as to reduce the sediment from sites representing 10 percent of the overall volume of inventoried sediment every year, or until 100 percent of the sites are controlled, whichever occurs first. Control measures are predicted to be 90 percent effective at reducing sediment delivery.</td>
</tr>
<tr>
<td>Sediment Delivery Sites associated with Timber Harvest Operations, including skid trails and landings</td>
<td>January 3, 2015</td>
<td>Following the completion of the Baseline Data Inventory, control, in order of priority, all controllable Sediment Delivery Sites identified in the Baseline Data Inventory in such a manner as to reduce the sediment from sites representing 10 percent of the overall volume of inventoried sediment every year, or until 100 percent of the sites are controlled, whichever occurs first. Control measures are predicted to be 90 percent effective at reducing sediment delivery.</td>
</tr>
<tr>
<td>Sediment Delivery Sites associated with agricultural operations in the Riparian Management Zone</td>
<td>January 3, 2025</td>
<td>Following the completion of the Baseline Data Inventory, control, in order of priority, all controllable Sediment Delivery Sites in the Riparian Management Zone in such a manner as to reduce the sediment from sites representing 20 percent of the overall volume of inventoried sediment every four years, or until 100 percent of the sites have been controlled, whichever occurs first. Control measures in the Riparian Management Zone are predicted to be 90 percent effective at reducing sediment delivery.</td>
</tr>
<tr>
<td>Sediment Delivery Sites associated with agricultural operations on the hillslopes</td>
<td>January 3, 2025</td>
<td>Following the completion of the Baseline Data Inventory, control, in order of priority, all controllable Sediment Delivery Sites on hillslopes in such a manner as to reduce the overall volume of inventoried sediment by 20 percent every four years, or until a 100 percent of the sites have been controlled, whichever occurs first. Control measures on the hillslopes are predicted to be 50 percent effective at reducing sediment delivery.</td>
</tr>
<tr>
<td>Activities on Unstable Areas and in Riparian Management Zones, and activities related to roads, watercourse crossings, near stream facilities, and gravel mining</td>
<td>See the Garcia River Management Plan or the approved Site-Specific Management Plan</td>
<td>Implement Land Management Measures contained in an approved Site-Specific Management Plan or the Garcia River Management Plan in accordance with the schedule contained therein.</td>
</tr>
<tr>
<td>Annual Report</td>
<td>January 30, 2004 and each January 30th thereafter</td>
<td>Report to the Regional Water Board all erosion control-related activities and sedimentation reduction results of the previous year.</td>
</tr>
</tbody>
</table>

¹ Compliance with the interim schedules for the control of Sediment Delivery Sites will be calculated by dividing the volume of sediment controlled during each one year or four year period by the overall volume of inventoried sediment associated with that category of source or land use.
in Table 4-6. Instream and hillslope monitoring by landowners (except for the Sediment Delivery Site monitoring described in the Erosion Control Plan, above) is on a voluntary basis. Regional Water Board staff will coordinate instream monitoring efforts of the landowners, other regulatory agencies, academic institutions, and members of the public and shall set a goal of establishing at least one instream monitoring point in each of the twelve Planning watersheds in the Garcia River watershed. In addition, Regional Water Board staff will work together with the University of California Cooperative Extension to assist landowners in developing voluntary monitoring plans.

Landowners choosing Option 2 or Option 3 should assess the landscape associated with their property to determine which of the listed instream and hillslope monitoring parameters are most appropriately measured and are encouraged to submit their plans for voluntary monitoring to the Regional Water Board for comment prior to implementing them. Landowners are strongly encouraged to conduct voluntary instream and hillslope monitoring as a means of improving the scientific understanding of the Garcia River watershed and to provide a site specific basis for revising the Action Plan over time. Landowners are particularly encouraged to establish instream monitoring points above and below any significant land management activity on their properties and in potential anadromous fish refugia.

Landowners are required to submit by January 30 of each year an annual report describing the erosion control-related activities of the previous year and the sediment delivery reduction results of those activities, including source reduction volumes. In addition, landowners are encouraged to disclose in the annual reports the results of any voluntary instream and hillslope monitoring. At least annually, Regional Water Board staff will compile and evaluate the

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>PROTOCOL</th>
<th>BRIEF DESCRIPTION</th>
<th>FREQUENCY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sediment-related barriers</td>
<td>Any defensible method</td>
<td>Stream survey; identification of sediment deltas, underground stream sections, shotgun culverts, reaches with water depths less than 0.18 meters, etc.; measurement or estimate of extent of barrier and mapping of location.</td>
<td>Annual</td>
</tr>
<tr>
<td>Embeddedness</td>
<td>Flosi and Reynolds (1994), Burns (1984)</td>
<td>Identify at least 5 riffle habitat units in Class I streams. Randomly select at least 50 cobbles from each habitat unit and measure or estimate the percent of each cobble which is covered or surrounded by fines. This will be obvious from a dark ring around the cobble indicating its exposure to stream flow. Rate each cobble 1, 2, 3, or 4 as follows: score of 1=cobbles 0-25% surrounded or covered by fines; 2=26-50%; 3=51-75%; 4=76-100%.</td>
<td>Annual</td>
</tr>
<tr>
<td>% fines, gravel composition</td>
<td>McNeil protocol, Valentine (1995)</td>
<td>Identify at least 5 riffle habitat units in Class I streams. Collect at least 2 bulk core samples of sediment in each habitat unit in the first at the pool/riffle break immediately downstream of pool crests. Measure the amount of volume of sediment associated with each size class in the field. Bag at least 5 samples to be weighed in the laboratory to establish a correlation between weight and volume.</td>
<td>Annual</td>
</tr>
<tr>
<td>Pool characteristics</td>
<td>Flosi and Reynolds (1994)</td>
<td>Identify at least 10 pool habitat units within a reach that is 20-30 bankfull widths long in Class I streams. Measure habitat unit length, characterize habitat types in each unit, and measure mean width of low flow channel. Measure maximum length, width and depth of all pools in each unit. Measure depth of each pool tail crest.</td>
<td>Annual</td>
</tr>
</tbody>
</table>
### 4. IMPLEMENTATION PLANS

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>PROTOCOL</th>
<th>BRIEF DESCRIPTION</th>
<th>FREQUENCY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Frequency of primary pools</td>
<td>Flosi and Reynolds (1994)</td>
<td>Within each reach (as described above), identify the maximum length of all pools which are &gt;3 feet deep, &gt; in width then 1/2 width of low flow channel, and &gt; in length then width of low flow channel.</td>
<td>Annual</td>
</tr>
<tr>
<td>V*</td>
<td>Lisle and Hilton (1992), Knopp (1993)</td>
<td>Identify at least 10 survey units within a reach of 20-30 bankfull widths in length in 3rd order streams with slopes 1-4%. Measure the residual volume of each pool within the unit with a graduated rod along transects, as described by Lisle and Hilton.</td>
<td>Annual</td>
</tr>
<tr>
<td>D50</td>
<td>Knopp (1993), Rosgen (1996)</td>
<td>Identify at least 5 survey units within a reach of at least 20-30 bankfull channel widths long in 3rd order streams with slopes 1-4%. Lay out transects, as described by Rosgen, and collect at least 100 particles in each reach. Measure the particle, as described, and tally for later graphing.</td>
<td>Annual</td>
</tr>
<tr>
<td>Volume of large woody debris</td>
<td>Shuett-Hames (1994) for Timber, Fish and Wildlife Watershed Assessment Manual (Level 2 analysis)</td>
<td>Identify at least 10 survey units of at least 500 feet long within Class I, II and III streams. Identify and measure all pieces of large woody debris, including logs at least 4 inches in diameter and 72 inches long, and root wads. Note the location of the LWD in the channel, the channel length, wood type, stabilizing factors, pool formation function and orientation and decay class.</td>
<td>At least once every three years</td>
</tr>
<tr>
<td>Cross-section</td>
<td>Rosgen (1996)</td>
<td>Identify at least 1 survey unit within a reach of 20-30 bankfull widths long in each Class I and II streams. Establish at least 3 transects across the bankfull channel in each survey unit and collect evenly spaced measurements of the depth to channel along each transect. The transect should be marked for return at subsequent samplings.</td>
<td>At least once every three years</td>
</tr>
<tr>
<td>Thalweg profile</td>
<td>Dunne and Leopold (1976)</td>
<td>Identify at least 1 survey unit within a reach of at least 20-30 bankfull widths long in each Class I and II streams. Survey units must be no less than 30 times the bankfull channel width with 3-4 meanders within the survey unit.</td>
<td>At least once every three years</td>
</tr>
<tr>
<td>Miles of open stream channel</td>
<td>Grant (1988)</td>
<td>Modified RAPID analysis measuring linear distance of open stream channels from aerial photographs.</td>
<td>At least once every ten years</td>
</tr>
<tr>
<td>Flow and/or stage height</td>
<td>Gordon, et. al. (1992)</td>
<td>Measurements or estimates determined during instream sampling. Continuous measurements are desirable but require sophisticated equipment that is vulnerable to damage. Point measurements of stage height during storm event and routinely through the year are more manageable.</td>
<td>Ongoing</td>
</tr>
<tr>
<td>Rainfall</td>
<td></td>
<td>Daily measurement using a gage with a sensitivity of 0.1 inch.</td>
<td>Ongoing</td>
</tr>
</tbody>
</table>

### HILLSLOPE MONITORING

| Landslides, fluvial, and surface erosion associated with roads, landings and skid trails | Pacific Watershed Associates or similar method | Road inventory; identification of existing and potential sediment delivery sites; measurement or estimation of volume of sediment associated with each site. | Annual                  |
### 4. IMPLEMENTATION PLANS

<table>
<thead>
<tr>
<th>PARAMETER</th>
<th>PROTOCOL</th>
<th>BRIEF DESCRIPTION</th>
<th>FREQUENCY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Landslides associated with harvest units</td>
<td>Timber, Fish and Wildlife (Washington State)</td>
<td>Aerial photographs; identification of landslide features associated with timber harvest units; measurement of the area of the landslide feature; estimate of the volume of sediment delivered to the stream from each feature.</td>
<td>Annual</td>
</tr>
<tr>
<td>Landslides, fluvial, and surface erosion associated with agricultural activities</td>
<td>Any defensible method</td>
<td>Property survey; identification of existing and potential erosion problems; measurement or estimation of volume of sediment associated with each site or situation.</td>
<td>Annual</td>
</tr>
<tr>
<td>Stream crossing failures</td>
<td>Pacific Watershed Associates or similar method</td>
<td>Road survey after storms with a 20 year recurrence interval or greater; identify location of failed or partially failed crossings; measurement or estimation of volume of sediment associated with failure.</td>
<td>Once in summer of years having storms with a 20 year recurrence interval, or greater</td>
</tr>
<tr>
<td>Density of unpaved roads</td>
<td>Any defensible method</td>
<td>GIS and/or THP data review; cumulative tally of miles of road per tributary or Planning Watershed, the average width of the road system, and the density of unpaved roads.</td>
<td>At least once every ten years</td>
</tr>
</tbody>
</table>

The results of the annual reports provided by landowners for review by the Regional Water Board to assess the progress of the Action Plan. In the event that sufficient information to assess the progress of the Action Plan is not gained through the voluntary monitoring efforts of landowners and others as augmented by the Regional Water Board, revisions to the monitoring provisions of the Action Plan, through a Basin Plan amendment, will be contemplated.

### VIII. Estimated Total Cost and Potential Sources of Funding

An estimated cost to implement the sedimentation reduction efforts described in the Action Plan is $5 million plus unquantified costs which include inventory costs and the opportunity cost of the volume of unharvested timber, up to an additional $2 million. Potential training and financing resources available to landowners include but are not limited to the Wildlife Habitat Incentive Program (WHIP), the Environmental Quality Incentives Program (EQUIP), the Conservation Reserve Program (CRP), the Salmon and Steelhead Restoration Program (SSRP), the Forestry Incentive Program (FIP), the Salmon and Steelhead Restoration Account (SSRA), and Clean Water Act Section 205(j) and Section 319(h) funding.

### IX. Plan for Future Review of the Strategy

Public participation was a key element in the development of the Strategy and will continue to be an essential component in its implementation. Interested persons will have the opportunity to comment on the progress of the Action Plan at watershed meetings, and to the Regional Water Board at least once every 3 years, at which time the Regional Water Board shall determine if there is sufficient progress toward implementation of erosion control and management activities, as well as movement towards attainment of the Numeric Targets described in the Action Plan. If sufficient progress as described above is not documented, the Regional Water Board will consider revising the Action Plan through a Basin Plan amendment. If the Regional Water Board concludes that the Numeric Targets are being attained throughout a Planning watershed, it may consider suspending or terminating some or all of the Action Plan for landowners within that Planning watershed.
ACTION PLAN FOR THE SCOTT RIVER SEDIMENT AND TEMPERATURE TOTAL MAXIMUM DAILY LOADS

The Scott River watershed, (CalWater Hydrologic Area 105.40), comprises approximately 520,184 acres (813 mi²) in Siskiyou County. The Scott River is tributary to the Klamath River.

The Action Plan for the Scott River Sediment and Temperature Total Maximum Daily Loads, hereinafter known as the Scott River TMDL Action Plan, includes sediment and temperature total maximum daily loads (TMDLs) and describes the implementation actions necessary to achieve the TMDLs and attain water quality standards in the Scott River watershed within 40 years of United States Environmental Protection Agency approval of the Scott River TMDL Action Plan.

The goal of the Scott River TMDL Action Plan is to achieve the TMDLs, and thereby achieve sediment and temperature related water quality standards, including the protection of the beneficial uses of water in the Scott River watershed.

The Scott River TMDL Action Plan sets out the loads and directs conditions to be considered and incorporated into regulatory and non-regulatory actions in the Scott River watershed. The Scott River TMDL Action Plan is not directly and independently enforceable, except as incorporated into appropriate permitting or enforcement orders.

A glossary defining key terms is located on page 4-68.00.

I. Problem Statement

Excessive sediment loads and elevated water temperatures in the Scott River and its tributaries have resulted in degraded water quality conditions that impair designated beneficial uses, including contact (REC-1) and non-contact water recreation (REC-2); commercial and sport fishing (COMM); cold freshwater habitat (COLD); rare, threatened, and endangered species (RARE); migration of aquatic organisms (MIGR); and spawning, reproduction, and/or early development of fish (SPWN). Excessive sediment loads have resulted in the non-attainment of water quality objectives for sediment, suspended material, and settleable material. Elevated water temperatures have resulted in the non-attainment of the water quality objective for temperature. Excessive sediment loads and elevated water temperatures have adversely affected the beneficial uses associated with the cold water salmonid fishery. The Scott River watershed has been listed as impaired with relation to sediment since 1992, and impaired with relation to temperature since 1998, pursuant to Section 303(d) of the Clean Water Act.

II. Watershed Restoration Efforts

Throughout the Scott River watershed, many individuals, groups, and agencies have been working to enhance and restore fish habitat and water quality. These groups include, but are not limited to, the Siskiyou Resource Conservation District, the Scott River Watershed Council, the French Creek Watershed Advisory Group, private timber companies, Siskiyou County and the Five Counties Salmon Conservation Process, the California Department of Fish and Game, the California Department of Water Resources, the United States Forest Service, and the Klamath River Basin Fisheries Task Force. The past and present proactive efforts of these stakeholders have improved, and will continue to improve, water quality conditions in the Scott River and its tributaries.

III. Sediment

A. Scott River Sediment Source Analysis

The sediment source analysis identifies the various sediment delivery processes and sources in the Scott River watershed and estimates delivery from these sources. The results of the sediment source analysis are located in Table 4-7.

B. Scott River Sediment TMDL

The sediment TMDL for the Scott River watershed is 550 tons of sediment per square mile per year. The sediment TMDL is the estimate of the total amount of sediment, from both natural and anthropogenic sources, that can be delivered to a water body without causing non-attainment of applicable water quality standards. The TMDL is to be evaluated as a ten-year, rolling-average of the annual sediment yield.

---

C. Scott River Sediment Load Allocations

In accordance with the Clean Water Act, the Scott River sediment TMDL is allocated to the sources of sediment in the watershed. The load allocations are located in Table 4-8.

The load allocations are expressed as averages over the entire Scott River watershed and are to be evaluated on a ten-year, rolling-average basis. Each square mile is not expected to meet the load allocations within a particular source category. Rather, it is expected that the average for the entire source category will meet the load allocation for that category.

D. Scott River Sediment Margin of Safety

The TMDL includes an implicit margin of safety, based on conservative assumptions, to account for uncertainties in the analysis. The conservative assumptions include (1) underestimating sediment delivery from natural soil creep because available information did not indicate all streams; and (2) underestimating the age of small streamside sediment sources, which results in higher annual rates of sediment delivery from these sources.

E. Scott River Sediment Seasonal Variations & Critical Conditions

To account for annual and seasonal variability in sediment delivery events, sediment delivery mechanisms, and storm patterns in the Scott River watershed, the TMDL and load allocations apply to sources of sediment, not the movement of sediment across the landscape.

To account for critical conditions in stream flow, sediment loading, and water quality, the TMDL uses instream salmonid habitat parameters with desired conditions to reflect net long term effects of sediment loading and transport.

IV. Temperature

A. Scott River Temperature Source Analysis

The temperature source analysis identifies the various water heating and cooling processes and sources of elevated water temperatures in the Scott River watershed. Anthropogenic processes that influence water temperature include changes to: stream shade, stream flow via surface water use, microclimate, and channel geometry.

The primary factor affecting stream temperatures in the Scott River watershed is increased solar radiation resulting from reductions of shade provided by near-stream vegetation. Changes in groundwater accretion also impact water temperatures in Scott Valley. Diversions of surface water lead to relatively small temperature impacts in the mainstem Scott River, but have the potential to affect temperatures in smaller tributaries where the volume of water diverted is relatively large compared to the total stream flow. Microclimate alterations resulting from near-stream vegetation removal increase temperatures, where microclimates exist. Changes in channel geometry from natural conditions also negatively affect water temperatures.

B. Scott River Temperature TMDL

The temperature TMDL is focused on effective shade and adjusted potential effective shade (see the Glossary for definitions). The temperature TMDL for the Scott River watershed is the adjusted potential effective shade conditions for the date of the summer solstice as expressed graphically in Figure 4-4 and numerically in Table 4-9 that can occur along a water body without causing non-attainment of applicable water quality standards.

Figure 4-4 shows the percent of stream length in the watershed that is shadier than a given shade value. For example, approximately 30% of the stream length has an effective shade index value of 5.00 or more under current conditions, whereas approximately 74% of the stream length would have an effective shade index value of 5.00 or more under adjusted potential shade conditions. An effective shade index value of 5.00 is equivalent to 50% effective shade.

As more information becomes available, the temperature TMDL may require revision.

C. Scott River Temperature Load Allocations

The Scott River temperature load allocations are adjusted potential effective shade conditions as expressed in Figure 4-5.
D. Scott River Temperature Margin of Safety

The TMDL includes an implicit margin of safety, based on conservative assumptions, to account for uncertainties in the analysis. The conservative assumptions include not accounting for improvements in stream temperatures that are likely to result from reductions in sediment inputs and increases in large woody debris. The resulting water temperature improvements were not accounted for in the analysis and provide a margin of safety.

E. Scott River Temperature Seasonal Variations & Critical Conditions

To account for annual and seasonal variability, the analysis evaluated temperatures and thermal processes during the most critical time period for the most sensitive beneficial use (i.e., the hottest time of the year).

V. Implementation

Table 4-10 describes the specific implementation actions that shall be taken to achieve the TMDLs and meet the sediment and temperature-related water quality standards in the Scott River watershed. Table 4-10 is organized by topic or source and by responsible party. Individual landowners and responsible parties may find that more than one implementation action is applicable to their unique circumstances.

The implementation actions are designed to encourage and build upon on-going, proactive restoration and enhancement efforts in the watershed. Additionally, the implementation actions described in Table 4-10 are necessary to fulfill obligations of the NPS Policy and the Sediment TMDL Implementation Policy.

Although the Regional Water Board prefers to pursue the implementation actions described in Table 4-10, the Regional Water Board shall take appropriate permitting and/or enforcement actions should any of the implementation actions fail to be implemented by the responsible party or should the implementation actions prove to be inadequate. Various permitting and enforcement actions are described in the permitting and enforcement tools section on pages 4-36.00 through 4-37.00.

VI. Monitoring

Monitoring shall be conducted upon the request of the Regional Water Board’s Executive Officer in conjunction with existing and/or proposed human activities that will result or likely result in sediment waste discharges and/or elevated water temperatures within the Scott River watershed. Monitoring shall involve one or more of the following: implementation monitoring, upslope effectiveness monitoring, instream effectiveness monitoring, and compliance and trend monitoring. See the Glossary for definitions of these terms.

In order to determine the effectiveness of the Scott River TMDL Action Plan, Regional Water Board staff shall develop a compliance and trend monitoring plan. The plan should include a description of monitoring objectives, parameters to monitor, procedures and techniques, locations of monitoring stations, frequency and duration, quality control and quality assurance protocols, data management procedures, data and analysis distribution procedures, benchmark conditions where available, measurable milestones, and specific due dates for monitoring and data analysis. Regional Water Board staff shall complete the monitoring plan by September 8, 2007.

Monitoring requirements, primarily implementation monitoring and upslope effectiveness monitoring, are specifically incorporated into the proposed Memoranda of Understanding with the County of Siskiyou, the USFS, and the BLM. Additionally, implementation and upslope effectiveness monitoring will likely be required of those landowners/dischargers required to develop and implement an Erosion Control Plan and/or a Grazing and Riparian Management Plan, as necessary and appropriate on a case-by-case basis.

VII. Reassessment and Adaptive Management

The Regional Water Board will review, reassess, and possibly revise the Scott River TMDL Action Plan. Reassessment is likely to occur every three years during the Basin Planning Triennial Review process. Regional Water Board staff will report to the Regional Water Board at least yearly on the status and progress of implementation activities, and on whether current efforts are reasonably calculated and on track to achieve water quality standards within forty years. For activities that rely on encouragement as a first step, a formal assessment of effectiveness of these

---

18 The Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program (NPS Policy).
efforts will be completed by September 8, 2011. A more extensive reassessment will occur after September 8, 2016, the date that is ten years after the TMDL Action Plan took effect, or sooner, if the Regional Water Board determines it necessary. During reassessment, the Regional Water Board is likely to consider how effective the requirements of the TMDL Action Plan are at meeting the TMDLs, achieving sediment and temperature water quality objectives, and protecting the beneficial uses of water in the Scott River watershed.

VIII. Enforcement

The Regional Water Board shall take enforcement actions for violations of the Scott River TMDL Action Plan where elements of the TMDL Action Plan are made enforceable restrictions in a specific permit or order, as appropriate. Nothing in this TMDL Action Plan precludes actions to enforce any directly applicable prohibition found elsewhere in the Basin Plan or to require cleanup and abatement of existing sources of pollution where appropriate.
4. IMPLEMENTATION PLANS

Table 4-7
Scott River Sediment Source Analysis Results in tons/sq. mi.-yr¹

<table>
<thead>
<tr>
<th>Subwatershed²</th>
<th>Natural Sources</th>
<th>Anthropogenic Sources</th>
<th>Total Volume of Sediment Sources</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Landslides³</td>
<td>Large Discrete Streamside Features⁴</td>
<td>Small Discrete Streamside Features⁴</td>
</tr>
<tr>
<td>West Canyon</td>
<td>111</td>
<td>104</td>
<td>295</td>
</tr>
<tr>
<td>East Canyon</td>
<td>0</td>
<td>87</td>
<td>387</td>
</tr>
<tr>
<td>Eastside</td>
<td>0</td>
<td>88</td>
<td>367</td>
</tr>
<tr>
<td>East Headwaters</td>
<td>0</td>
<td>108</td>
<td>236</td>
</tr>
<tr>
<td>West Headwaters</td>
<td>8</td>
<td>149</td>
<td>276</td>
</tr>
<tr>
<td>Westside</td>
<td>45</td>
<td>117</td>
<td>330</td>
</tr>
<tr>
<td>Scott Valley</td>
<td>0</td>
<td>0</td>
<td>226</td>
</tr>
<tr>
<td>Scott River watershed</td>
<td>23</td>
<td>85</td>
<td>302</td>
</tr>
</tbody>
</table>

1. Minor addition errors caused by rounding differences.
2. Each subwatershed is delineated in Figure 4-3.
3. Includes landslides visible on air photos generally greater than one acre in size.
4. Large Discrete Features: Generally long-term continuing sources of sediment that typically originate on, or extend up onto, the mountainside based on on-site streamside surveys.
5. Small Discrete Features: Stream bank failures, gullies, and other small failures that mostly deliver episodically to a water body based on on-site streamside surveys.
6. Includes landslides visible on air photos generally greater than one acre in size. Excludes road-related landslides.

Table 4-8
Scott River Sediment Load Allocations¹

<table>
<thead>
<tr>
<th>Sediment Source</th>
<th>Current Load (tons/sq. mi. - yr)</th>
<th>Reduction Needed</th>
<th>Load Allocations (tons/sq. mi. - yr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Natural</td>
<td>Landslides²</td>
<td>23</td>
<td>448</td>
</tr>
<tr>
<td></td>
<td>Large Discrete Streamside Features⁴</td>
<td>93</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Small Discrete Streamside Features⁴</td>
<td>302</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Streamside Soil Creep</td>
<td>29</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Road Surface Erosion</td>
<td>4</td>
<td>54%</td>
</tr>
<tr>
<td></td>
<td>Road-Related Stream Crossing Failures</td>
<td>3</td>
<td>71%</td>
</tr>
<tr>
<td></td>
<td>Road-Related Gullies</td>
<td>1</td>
<td>31%</td>
</tr>
<tr>
<td></td>
<td>Road-Related Cut/Fill Failures</td>
<td>4</td>
<td>76%</td>
</tr>
<tr>
<td></td>
<td>Road-Related Landslides³</td>
<td>16</td>
<td>56%</td>
</tr>
<tr>
<td></td>
<td>Landslides, Timber Harvest Related</td>
<td>19</td>
<td>52%</td>
</tr>
<tr>
<td></td>
<td>Landslides, Mining Related²</td>
<td>2</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Large Discrete Streamside Features³</td>
<td>55</td>
<td>69%</td>
</tr>
<tr>
<td></td>
<td>Small Discrete Streamside Features, Harvest Related</td>
<td>54</td>
<td>63%</td>
</tr>
<tr>
<td></td>
<td>Small Discrete Streamside Features, Mining Related</td>
<td>2</td>
<td>0%</td>
</tr>
<tr>
<td></td>
<td>Small Discrete Streamside Features, Other³</td>
<td>139</td>
<td>64%</td>
</tr>
<tr>
<td></td>
<td><strong>Total</strong></td>
<td><strong>747</strong></td>
<td><strong>63%</strong></td>
</tr>
</tbody>
</table>

1. Minor addition errors caused by rounding differences.
2. Includes both “Landslides” and “Unique Landslide Features” from Table 4-7.
3. Sources influenced or caused by multiple interacting human activities not inventoried by other methods.
4. IMPLEMENTATION PLANS

FIGURE 4-3 SUBWATERSHEDS IN THE SCOTT RIVER WATERSHED

<table>
<thead>
<tr>
<th>TMDL Subwatersheds</th>
</tr>
</thead>
<tbody>
<tr>
<td>West Canyon</td>
</tr>
<tr>
<td>East Canyon - Scott Mountains</td>
</tr>
<tr>
<td>Eastside</td>
</tr>
<tr>
<td>East Headwater</td>
</tr>
<tr>
<td>West Headwater</td>
</tr>
<tr>
<td>Westside</td>
</tr>
<tr>
<td>Scott Valley - Eastern Valley Side</td>
</tr>
</tbody>
</table>

Communities
Streams
Subbasin Boundaries

Kilometers
5 10 15
0 5 10 15 Miles

05/2011 4-61.00
4. IMPLEMENTATION PLANS

FIGURE 4-4  SCOTT RIVER TEMPERATURE TMDL EXPRESSED GRAPHICALLY
("% Shadier" refers to the percentage of stream length with more shade than the corresponding effective shade index.)

Table 4-9 Scott River Temperature TMDL Expressed Numerically

<table>
<thead>
<tr>
<th>Shade Class</th>
<th>Stream Length - Current Vegetation Conditions</th>
<th>Stream Length - Potential Vegetation Conditions</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(% Shadier</td>
<td>% of Total</td>
</tr>
<tr>
<td>(% Shadier</td>
<td>(miles)</td>
<td>(km)</td>
</tr>
<tr>
<td>0-1</td>
<td>141</td>
<td>227</td>
</tr>
<tr>
<td>&gt;1-2</td>
<td>73</td>
<td>117</td>
</tr>
<tr>
<td>&gt;2-3</td>
<td>57</td>
<td>91</td>
</tr>
<tr>
<td>&gt;3-4</td>
<td>78</td>
<td>126</td>
</tr>
<tr>
<td>&gt;4-5</td>
<td>97</td>
<td>157</td>
</tr>
<tr>
<td>&gt;5-6</td>
<td>127</td>
<td>204</td>
</tr>
<tr>
<td>&gt;6-7</td>
<td>52</td>
<td>83</td>
</tr>
<tr>
<td>&gt;7-8</td>
<td>10</td>
<td>17</td>
</tr>
<tr>
<td>&gt;8-9</td>
<td>3</td>
<td>5</td>
</tr>
<tr>
<td>&gt;9-10</td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Total:</td>
<td>639</td>
<td>1028</td>
</tr>
</tbody>
</table>

(\% Shadier refers to the percentage of stream length shadier than the upper bound of the corresponding shade class)
FIGURE 4-5  SCOTT RIVER TEMPERATURE LOAD ALLOCATIONS

Legend
Adjusted Potential Effective Shade
- 0 - 1.67
- 167 - 3.33
- 333 - 5
- 5 - 6.67
- 667 - 8.33
- 8.33 - 10

Legend:
- 0 - 1.67
- 167 - 3.33
- 333 - 5
- 5 - 6.67
- 667 - 8.33
- 8.33 - 10

Legend:
- 0 - 1.67
- 167 - 3.33
- 333 - 5
- 5 - 6.67
- 667 - 8.33
- 8.33 - 10
### Table 4-10 Scott River Sediment and Temperature TMDL Implementation Actions*

<table>
<thead>
<tr>
<th>Topic</th>
<th>Responsible Parties</th>
<th>Actions</th>
</tr>
</thead>
</table>
• Regional Water Board.   | • The Regional Water Board encourages parties responsible for roads and sediment waste discharge sites to take actions necessary to prevent, minimize, and control road-caused sediment waste discharges. Such actions may include the inventory, prioritization, control, monitoring, and adaptive management of sediment waste discharge sites and proper road inspection and maintenance.  
• The Regional Water Board’s Executive Officer shall require parties responsible for roads, on an as-needed, site-specific basis, to develop and submit an Erosion Control Plan and a Monitoring Plan. An Erosion Control Plan shall describe, in detail, sediment waste discharge sites and how and when those sites are to be controlled. By September 8, 2008, criteria shall be developed for determining when an Erosion Control Plan shall be required, although nothing precludes the Executive Officer from requiring Erosion Control Plans prior to this date.  
• Should discharges or threatened discharges of sediment waste that could negatively affect the quality of waters of the State be identified in an Erosion Control Plan or by other means, dischargers shall be required to implement their Erosion Control Plan and monitor sediment waste discharge sites through appropriate permitting or enforcement actions. |
| Roads                        | • California Department of Transportation (Caltrans).  
• Regional Water Board.      | • Regional Water Board staff shall evaluate the effects of Caltrans’ state-wide NPDES permit, storm water permit, and waste discharge requirements (collectively known as the Caltrans Storm Water Program) by September 8, 2008. The evaluation shall determine the adequacy and effectiveness of the Caltrans Storm Water Program in preventing, reducing, and controlling sediment waste discharges and elevated water temperatures in the North Coast Region, including the Scott River watershed. If Regional Water Board staff find that the Caltrans Storm Water Program is not adequate and effective, Regional Water Board staff shall develop specific requirements, for State Water Board consideration, to be incorporated into the Caltrans Storm Water Program at the earliest opportunity, or the Regional Water Board shall take other appropriate permitting or enforcement actions. |
| Roads                        | • County of Siskiyou (County).  
• Regional Water Board.      | • The Regional Water Board and the County shall work together to draft and finalize a Memorandum of Understanding (MOU) to address county roads in the Scott River watershed. The MOU shall be drafted and ready for consideration by the appropriate decision-making body(ies) of the County by September 8, 2008. The following items shall be addressed during MOU development:  
1. A date for the initiation and completion of an inventory of all sediment waste discharge sites caused by county roads within the Scott River watershed, which can be done with assistance from the Five Counties Salmonid Conservation Program.  
2. A date for the completion of a priority list of sediment waste discharge sites.  
3. A date for the completion of a schedule for the repair and control of sediment waste discharge sites.  
4. A date for the completion of a document describing the sediment control practices to be implemented by the County to repair and control sediment waste discharge sites, which can be done with assistance from the Five Counties Salmonid Conservation Program.  
5. A description of the sediment control practices, maintenance practices, and other management measures to be implemented by the County to prevent future sediment waste discharges, which can be done with assistance from the Five Counties Salmonid Conservation Program.  
6. A monitoring plan to ensure that the sediment control practices are implemented as proposed and effective at controlling discharges of sediment waste.  
7. A commitment by the County to complete the inventory, develop the priority list, develop and implement the schedule, develop and implement sediment control practices, implement the monitoring plan, and conduct adaptive management. |
<table>
<thead>
<tr>
<th>Topic</th>
<th>Responsible Parties</th>
<th>Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grading</td>
<td>• County of Siskiyou (County). &lt;br&gt; • Regional Water Board.</td>
<td>• The Regional Water Board encourages the County to develop a comprehensive ordinance addressing roads, land disturbance activities, and grading activities outside of subdivisions in the Scott River watershed, or an equivalent County-enforceable mechanism, by September 8, 2008. The ordinance may be specific to the Scott River watershed or county-wide in scope.</td>
</tr>
<tr>
<td>Dredge Mining</td>
<td>• Regional Water Board.</td>
<td>• Regional Water Board staff shall review laws and regulations that address water quality effects of suction dredge mining and shall investigate the impact of suction dredge mining activities on sediment and temperature loads in the Scott River watershed by September 8, 2009. If Regional Water Board staff find that dredge mining activities are discharging deleterious sediment waste and/or resulting in elevated water temperatures, staff shall propose, for Board consideration, the regulation of such discharges through appropriate permitting or enforcement actions.</td>
</tr>
<tr>
<td>Temperature &amp; Vegetation</td>
<td>• Parties Responsible for Vegetation that Shades Water Bodies. &lt;br&gt; • Regional Water Board.</td>
<td>• The Regional Water Board encourages parties responsible for vegetation that provides shade to a water body in the Scott River watershed to preserve and restore such vegetation. This may include planting riparian trees, minimizing the removal of vegetation that provides shade to a water body, and minimizing activities that might suppress the growth of new or existing vegetation (e.g., allowing cattle to eat and trample riparian vegetation). &lt;br&gt; • To address compliance with the Nonpoint Source Policy, the Regional Water Board shall develop and take appropriate permitting and enforcement actions to address the human-caused removal and suppression of vegetation that provides shade to a water body in the Scott River watershed. The Regional Water Board’s Executive Officer shall report to the Regional Water Board on the status of the preparation and development of appropriate permitting and enforcement actions by September 8, 2009.</td>
</tr>
<tr>
<td>Water Use</td>
<td>• Water Users. &lt;br&gt; • County of Siskiyou (County). &lt;br&gt; • Stakeholders. &lt;br&gt; • Regional Water Board.</td>
<td>• The Regional Water Board encourages water users to develop and implement water conservation practices. &lt;br&gt; • The Regional Water Board requests the County, in cooperation with other appropriate stakeholders, to study the connection between groundwater and surface water, the impacts of groundwater use on surface flow and beneficial uses, and the impacts of groundwater levels on the health of riparian vegetation in the Scott River watershed. The study should: (1) consider groundwater located both within and outside of the interconnected groundwater area delineated in the Scott River Adjudication,** (2) the amount of water transpired by trees and other vegetation, and (3), if deleterious impacts to beneficial uses are found, identify potential solutions including mitigation measures and changes to management plans. &lt;br&gt; • Should the County determine that it and its stakeholders are able to commit to conducting the above study, the County, in cooperation with other stakeholders, shall develop a study plan by September 8, 2007. The study plan shall include: (1) goals and objectives; (2) data collection methods; (3) general locations of data collection sites; (4) data analysis methods; (5) quality control and quality assurance protocols; (6) responsible parties; (7) timelines and due dates for data collection, data analysis, and reporting; (8) financial resources to be used; and (9) provisions for adaptive change to the study plan and to the study based on additional study data and results, as they are available.</td>
</tr>
<tr>
<td>Flood Control &amp; Bank Stabilization</td>
<td>• Parties Responsible for Flood Control Structures or Dredge, Fill, and/or Bank Stabilization Activities. &lt;br&gt; • Regional Water Board.</td>
<td>• The Regional Water Board encourages parties responsible for levees and other flood control structures to plant and restore stream banks on and around existing flood control structures. &lt;br&gt; • The Regional Water Board shall rely on existing authorities and regulatory tools, such as the 401 Water Quality Certification program, to ensure that flood control and bank stabilization activities in the Scott River watershed are conducted in a manner that minimizes the removal or suppression of vegetation that provides shade to a water body, prevents or minimizes sediment delivery, and minimizes changes in channel morphology that could increase water temperatures.</td>
</tr>
</tbody>
</table>
### Table 4-10  Scott River Sediment And Temperature TMDL Implementation Actions* (cont.)

<table>
<thead>
<tr>
<th>Topic</th>
<th>Responsible Parties</th>
<th>Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Timber Harvest</td>
<td>• Private &amp; Public Parties Conducting Timber Harvest Activities.</td>
<td>• The Regional Water Board shall use appropriate permitting and enforcement tools to regulate discharges from timber harvest activities in the Scott River watershed, including, but not limited to, cooperation with, and participation in, the California Department of Forestry and Fire Protection’s timber harvest project approval process.</td>
</tr>
<tr>
<td></td>
<td>• Habitat Conservation Plan Holders.</td>
<td>• The Regional Water Board shall use, where applicable, general or specific waste discharge requirements and waivers of waste discharge requirements to regulate timber harvest activities on private and public lands in the Scott River watershed.</td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board.</td>
<td>• Timber harvest activities on private lands in the Scott River watershed are not eligible for Categorical Waiver C included in the Categorical Waiver of Waste Discharge Requirements for Discharges Related to Timber Harvest Activities on Non-Federal Lands in the North Coast Region (Order No. R1-2004-0016, as it may be amended or updated for time to time) simply through the adoption of this TMDL Action Plan. However, timber harvest activities on private lands in the Scott River watershed may be eligible for Categorical Waivers A, B, D, E, and F, as appropriate.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Where a Habitat Conservation Plan (HCP) is developed, Regional Water Board staff shall work with the HCP holder to develop, for Board consideration, ownership-wide waste discharge requirements for activities covered by the HCP, with any additional restrictions necessary to protect water quality and beneficial uses.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• If current laws and regulation governing timber harvest (e.g., the Forest Practice Rules) are changed in a manner that reduces water quality protections, the Regional Board will use its authorities to maintain at a minimum the current level of water quality protection.</td>
</tr>
<tr>
<td>U.S. Forest Service &amp; U.S. Bureau of Land Management</td>
<td>• U.S. Forest Service (USFS).</td>
<td>• The Regional Water Board and federal land management agencies, including the USFS and the BLM, shall work together to draft and finalize Memoranda of Understanding (MOU) that shall address sediment waste discharges, elevated water temperatures, and grazing activities within the Scott River watershed. The MOUs shall be drafted and ready for consideration by the appropriate decision-making body(ies) by September 8, 2008. The following items shall be addressed during MOU development:</td>
</tr>
<tr>
<td></td>
<td>• U.S. Bureau of Land Management (BLM).</td>
<td>1. A date for the completion of an inventory of all significant sediment waste discharge sites and all roads on USFS/BLM land.</td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board.</td>
<td>2. A date for the completion of a priority list.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>3. A date for the completion of a schedule for the repair and control of significant sediment waste discharge sites.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>4. A date for the completion of a document describing the sediment control practices to be implemented by the USFS/BLM to repair and control sediment waste discharge sites.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5. A description of sediment control practices, road maintenance practices, and other management measures to be implemented by the USFS/BLM to prevent or minimize future sediment waste discharges.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>6. A monitoring plan to ensure that sediment control practices are implemented as proposed and are effective at controlling discharges of sediment waste.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>7. A commitment by the USFS/BLM to complete the inventory, develop the priority list, develop and implement the schedule, develop and implement sediment control practices, implement the monitoring plan, and conduct adaptive management.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Contents Related to Elevated Water Temperatures:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>8. A commitment by the USFS/BLM to continue to implement the Riparian Reserve buffer width requirements.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>9. A monitoring plan to ensure that the Riparian Reserve buffer widths are effective at preventing or minimizing effects on natural shade.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>10. A commitment by the USFS/BLM to implement the Riparian Reserve monitoring plan and conduct adaptive management.</td>
</tr>
<tr>
<td>Topic</td>
<td>Responsible Parties</td>
<td>Actions</td>
</tr>
<tr>
<td>-------</td>
<td>---------------------</td>
<td>---------</td>
</tr>
</tbody>
</table>
| U.S. Forest Service & U.S. Bureau of Land Management | • U.S. Forest Service (USFS).  
• U.S. Bureau of Land Management (BLM).  
• Regional Water Board. | Continued from previous page.  
Contents Related to Grazing Activities:  
11. A date for the completion of a description of grazing management practices and riparian monitoring activities implemented in grazing allotments on USFS/BLM lands.  
12. A commitment by the USFS/BLM and the Regional Water Board to determine if existing grazing management practices and monitoring activities are adequate and effective at preventing, reducing, and controlling sediment waste discharges and elevated water temperatures.  
13. A commitment by the USFS/BLM to develop revised grazing management practices and monitoring activities, should existing measures be inadequate or ineffective, subject to the approval of the Regional Water Board’s Executive Officer.  
14. A commitment by the USFS/BLM to implement adequate and effective grazing management practices and monitoring activities and to conduct adaptive management. |
| Grazing | • Private Parties Conducting Grazing Activities.  
• Regional Water Board. | • The Regional Water Board encourages the parties responsible for grazing activities to take necessary actions to prevent, minimize, and control sediment waste discharges and elevated water temperatures.  
• The Regional Water Board’s Executive Officer shall require parties responsible for grazing activities on private lands in the Scott River watershed to develop, submit, and implement a Grazing and Riparian Management Plan and a Monitoring Plan on an as-needed, site-specific basis. A Grazing and Riparian Management Plan shall describe, in detail, (1) sediment waste discharges and sources of elevated water temperatures caused by livestock grazing, (2) how and when such sources are to be controlled and monitored, and (3) management practices that will prevent and reduce future sources. By September 8, 2008, criteria shall be developed for determining when a Grazing and Riparian Management Plan shall be required, although nothing precludes the Executive Officer from requiring Grazing and Riparian Management Plans prior to this date.  
• Should human activities that will likely result in sediment waste discharges and/or elevated water temperatures be proposed or identified, through a Grazing and Riparian Management Plan or by other means, the responsible party(ies) shall be required to implement their Grazing and Riparian Management Plans and monitor through appropriate permitting or enforcement actions. |
| Siskiyou RCD & Scott River Watershed Council | • Siskiyou Resource Conservation District (SRCD).  
• Scott River Watershed Council (SRWC).  
• Regional Water Board. | • The Regional Water Board and staff shall increase efforts to work cooperatively with the SRCD and SRWC to provide technical support and information to landowners and stakeholders in the Scott River watershed and to coordinate educational and outreach efforts.  
• The Regional Water Board shall encourage the SRWC to (1) implement the strategic actions specified in the Strategic Action Plan and (2) assist landowners in developing and implementing management practices that are adequate and effective at preventing, minimizing, and controlling sediment waste discharges and elevated water temperatures. |
| Natural Resources Conservation Service and University of California Cooperative Extension | • Natural Resources Conservation Service (NRCS).  
• University of California Cooperative Extension (UCCE)  
• Regional Water Bd | • The Regional Water Board shall increase efforts to work cooperatively with the NRCS and UCCE to provide technical support and information to responsible parties and stakeholders in the Scott River watershed and to coordinate educational and outreach efforts. |
| CA Dept. of Fish and Game | • CA Dept. of Fish & Game (CDFG).  
• Regional Water Board. | • The Regional Water Board shall encourage the CDFG and aid, where appropriate, in the implementation of necessary tasks, actions, and recovery recommendations as specified in the Recovery Strategy for California Coho Salmon (CDFG 2004) in the Scott River watershed. |

* Although the Regional Water Board prefers to pursue the implementation actions listed in Table 4-10, the Regional Water Board shall take appropriate permitting and/or enforcement actions should any of the implementation actions fail to be implemented by the responsible party or should the implementation actions prove to be inadequate.
** Superior Court of Siskiyou County. 1980. Scott River Adjudication: Decree No. 30662.
IX. Glossary

**Adjusted Potential Effective Shade:**
The percentage of direct beam solar radiation attenuated and scattered before reaching the ground or stream surface from the potential vegetation conditions, reduced by 10% to account for natural disturbances such as fire, windthrow, disease, and earth movements that reduce the actual riparian vegetation below the site potential.

**Compliance and Trend Monitoring:**
Monitoring intended to determine, on a watershed scale, if water quality standards are being met, and to track progress towards meeting water quality standards.

**Effective Shade:**
The percentage of direct beam solar radiation attenuated and scattered before reaching the ground or stream surface from topographic and vegetation conditions.

**Groundwater Accretion:**
The gradual increase in surface flow in a stream resulting from the influx of groundwater.

**Implementation Monitoring:**
Monitoring used to assess whether activities and control practices were carried out as planned. This type of monitoring can be as simple as photographic documentation, provided that the photographs are adequate to represent and substantiate the implementation of control practices.

**Instream Effectiveness Monitoring:**
Monitoring of instream conditions to assess whether sediment control practices are effective at keeping waste sediment from being discharged to a water body. Instream effectiveness monitoring may be conducted upstream and downstream of the discharge point or before, during, and after the implementation of sediment control practices.

**Potential Vegetation Conditions:**
The most advanced seral stage that nature is capable of developing and making actual at a site in the absence of human interference. Seral stages are the series of plant communities that develop during ecological succession from bare ground to the climax community (e.g., fully mature, old-growth).

**Road:**
Any vehicle pathway, including, but not limited to: paved roads, dirt roads, gravel roads, public roads and highways, private roads, rural residential roads and driveways, permanent roads, temporary roads, seasonal roads, inactive roads, trunk roads, spur roads, ranch roads, timber roads, skid trails, and landings which are located on or adjacent to a road.

**Salmonids:**
Fish species in the family Salmonidae, including but not limited to, salmon, trout, and char.

**Sediment:**
Any inorganic or organic earthen material, including, but not limited to: soil, silt, sand, clay, and rock.

**Sediment Waste:**
Sediment that is generated directly or indirectly by anthropogenic activities or projects.

**Sediment Waste Discharge Site:**
An individual, anthropogenic erosion site that is currently discharging or has the potential to discharge sediment waste to waters of the State.

**Thermal Refugia:**
Colder areas within a water body that provide cold water refuge from unsuitably warm water.

**Timber Harvest Activities:**
Commercial and non-commercial activities relating to forest management and timberland conversions. These activities include the cutting or removal of both timber and other solid wood forest products, including Christmas trees. These activities include, but not limited to, construction, reconstruction and maintenance of roads, fuel breaks, firebreaks, watercourse crossings, landings, skid trails, or beds for the felling of trees; fire hazard abatement and fuel reduction activities; burned area rehabilitation; and site preparation that involves disturbance of soil or burning of vegetation following timber harvesting activities; but excluding preparatory tree marking, surveying, or road flagging.

**Upslope Effectiveness Monitoring:**
Monitoring intended to determine, by assessing upslope conditions, if sediment control practices are effective at keeping waste sediment from being discharged to a water body. This type of monitoring can be as simple as photographic documentation, provided that the photographs are adequate to represent and substantiate that the sediment control practices are effective.
4. IMPLEMENTATION PLANS

ACTION PLAN FOR THE SHASTA RIVER WATERSHED TEMPERATURE AND DISSOLVED OXYGEN TOTAL MAXIMUM DAILY LOADS

The Shasta River watershed (CalWater Hydrologic Area 105.50), which includes all tributaries and Lake Shastina, comprises approximately 508,734 acres (795 mi²) in Siskiyou County. The Shasta River is tributary to the Klamath River. This Action Plan for the Shasta River Temperature and Dissolved Oxygen Total Maximum Daily Loads, hereinafter known as the Shasta River TMDL Action Plan, includes temperature and dissolved oxygen total maximum daily loads (TMDLs) and describes the implementation actions necessary to achieve the TMDLs and attain water quality standards in the Shasta River watershed. The goal of the Shasta River TMDL Action Plan is to achieve the TMDLs, and thereby achieve dissolved oxygen and temperature related water quality standards, including the protection of the beneficial uses of water in the Shasta River watershed.

The Shasta River TMDL Action Plan sets out the loads and conditions to be considered and incorporated into regulatory and non-regulatory actions in the Shasta River watershed. The Shasta River TMDL Action Plan is not directly and independently enforceable, except as incorporated into appropriate permitting or enforcement orders.

A glossary defining key terms (bolded first time used) is located at Part IX of this Action Plan.

I. Problem Statement

The Shasta River watershed was listed as impaired for organic enrichment/dissolved oxygen in 1992, and as impaired for temperature in 1994, pursuant to Section 303(d) of the Clean Water Act. These listings were confirmed in the TMDL analysis. Dissolved oxygen concentrations are regularly too low to comply with the Basin Plan dissolved oxygen objectives. Water temperature conditions regularly exceed temperature thresholds protective of salmonids.

Low dissolved oxygen concentrations and elevated water temperatures in the Shasta River, its tributaries, and Lake Shastina have resulted in degraded water quality conditions that do not meet applicable water quality objectives and that impair designated beneficial uses. The designated beneficial uses that are not fully supported include: cold freshwater habitat (COLD); rare, threatened, and endangered species (RARE); migration of aquatic organisms (MIGR); and spawning, reproduction, and/or early development of fish (SPWN); commercial and sport fishing (COMM); and contact and non-contact water recreation (REC-1 and REC-2). The designated beneficial uses associated with the cold freshwater salmonid fishery (COMM, COLD, RARE, MIGR, SPWN) are the designated beneficial uses most sensitive to the dissolved oxygen and water temperature impairments. Important species in the Shasta River watershed include coho and chinook salmon, trout, and lamprey. These, as well as green sturgeon, are also significant species in the Klamath River.

The Klamath River, to which the Shasta River is a major tributary, is also listed as impaired for low dissolved oxygen, high water temperature, and high nutrient levels. The Klamath River has additional beneficial uses that are not designated for the Shasta River that may be adversely affected by inputs from the Shasta River. These beneficial uses include the Native American cultural use (CUL) that supports cultural and traditional rights of indigenous people, such as ceremonial uses, and the subsistence fishing use (FISH).

II. Watershed Restoration Efforts

Throughout the Shasta River watershed, many individuals, groups, and agencies have been working to enhance and restore fish habitat and water quality. These groups include, but are not limited to, the Shasta Valley Resource Conservation District, the Shasta River Coordinated Resources Management and Planning Committee, private timber companies, the Natural Resource Conservation Service, Siskiyou County and the Five Counties Salmonid Conservation Program, the California Department of Fish and Game, the California Department of Water Resources, the United States Forest Service, and the Klamath River Basin Fisheries Task Force. The past and present efforts of these stakeholders have improved water quality conditions in the Shasta River and its tributaries.

III. Temperature

A. Shasta River Temperature Source Analysis

The Shasta River temperature source analysis identifies the sources (or factors) that affect the temperature of the Shasta River watershed. Five

---

4. IMPLEMENTATION PLANS

primary factors have been identified as affecting stream temperatures in the Shasta River watershed. Human activities have affected, or have a potential to affect, each of these factors. The factors include:

- Reduced stream shade resulting from agricultural practices including grazing and livestock activities;
- **Tailwater return flows**;
- Flow modification and diversion;
- Spring inflow; and
- Lake Shastina and minor channel impoundments.

In addition, microclimate alterations resulting from near-stream vegetation removal may increase temperatures, where microclimates exist. Changes in channel geometry from natural conditions can also negatively affect water temperatures. These factors have not been quantified for the Shasta River temperature TMDL.

B. Shasta River Temperature TMDL

The “loading capacity” refers to the total loading of a pollutant that a water body can assimilate and still meet water quality objectives and protect beneficial uses. For the temperature TMDL the water quality objective of concern is the temperature objective, which prohibits the alteration of the natural receiving water temperature unless such alteration does not adversely affect beneficial uses. The loading capacity provides a reference for calculating the amount of pollutant load reduction needed to bring a water body into compliance with standards. The starting point for the load allocation analysis is the equation that describes the Total Maximum Daily Load or loading capacity:

\[
TMDL = \text{Loading Capacity} = \Sigma WLAs + \Sigma LAs + \text{Natural Background}
\]

where \(\Sigma\) = the sum, WLAs = waste load allocations, and LAs = load allocations. Waste load allocations are contributions of a pollutant from point sources, while load allocations are contributions from management-related non-point sources. There are no point source heat loads in the Shasta River watershed, and therefore no waste load allocations apply.

The Shasta River watershed temperature TMDL loading capacity is equal to the potential percent solar radiation transmittance for the mainstem Shasta River below Dwinell Dam, adjusted potential effective shade for the Shasta River above Dwinell Dam and on tributaries, no net increase in receiving water temperature from tailwater return flows, and a flow regime that results in reductions in maximum daily temperature of 1.5°C, 1.2°C, and 2.1°C for compliance points at river miles (RM) 24.1, 15.5, and 5.6, respectively.

The TMDL equation is:

\[
TMDL = \text{Loading Capacity} = \\
\text{Potential Percent Solar Radiation Transmittance of the Shasta River} \\
+ \text{Adjusted Potential Effective Shade of the Tributaries} \\
+ \text{No Net Increase in Temperature from Tailwater Return Flows} \\
+ \text{Flow Increases that Achieved Specific Temperature Reductions at Compliance Locations.}
\]

C. Shasta River Temperature Load Allocations

In accordance with the Clean Water Act, the Shasta River temperature TMDL is allocated to sources of elevated water temperature in the watershed. As there are no known point source heat loads to the Shasta River, the TMDL is allocated among the non-point source heat loads in the watershed. The non-point sources include (1) solar heat load (i.e., sunlight) at streamside (riparian) locations in the watershed, (2) heat load from tailwater return flows, and (3) reduced assimilative capacity from surface water flow reductions.

In order to quantify the part of the TMDL focused on solar heat loads that arise from changes in streamside vegetation, and to be able to compare it to current conditions, two surrogate measures are used: (1) potential percent solar radiation transmittance at locations along the mainstem Shasta River below Dwinell Dam, and (2) adjusted potential effective shade at locations upstream of Dwinell Dam and along tributary streams (see Glossary). Landowners and operators in the mainstem Shasta River below Dwinell Dam are allocated loads equal to potential percent solar radiation transmittance, as tabulated in Table 4-11 and depicted in Figure 4-6. Landowners and operators on the Shasta River above Dwinell Dam and on tributaries are allocated loads equal to adjusted potential effective shade, which is equal to 90% of site potential shade, to allow for natural riparian disturbances such as floods, wind throw, disease, landslides, and fire. The load allocation for tailwater return flow sources within the Shasta River watershed is a zero net increase in receiving water temperature.
FIGURE 4-6 EXISTING (BASELINE) AND POTENTIAL SOLAR RADIATION TRANSMITTANCE FOR THE LEFT BANK (A) AND RIGHT BANK (B) OF THE SHASTA RIVER.

(A) Left Bank

(B) Right Bank
Table 4-11  Solar Heat Load Allocations for the Mainstem Shasta River, Expressed as the potential percent solar radiation transmittance by river reach.

<table>
<thead>
<tr>
<th>River Reach</th>
<th>Upstream River Mile</th>
<th>Downstream River Mile</th>
<th>Potential Reach Average Percent Transmittance¹</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dwinnell Dam to Riverside Road</td>
<td>40.6</td>
<td>39.9</td>
<td>30</td>
</tr>
<tr>
<td>Riverside Road to u/s of A12</td>
<td>39.9</td>
<td>28.3</td>
<td>50</td>
</tr>
<tr>
<td>U/S of A12 to near DeSoza Lane</td>
<td>28.3</td>
<td>22.0</td>
<td>85</td>
</tr>
<tr>
<td>Near DeSoza Lane to u/s of Montague-Grenada Road</td>
<td>22.0</td>
<td>16.1</td>
<td>30</td>
</tr>
<tr>
<td>Near Montague-Grenada Road</td>
<td>16.1</td>
<td>14.6</td>
<td>10</td>
</tr>
<tr>
<td>D/S Montague-Grenada Road to Hwy 263</td>
<td>14.6</td>
<td>7.3</td>
<td>30</td>
</tr>
<tr>
<td>Hwy 263 to mouth</td>
<td>7.3</td>
<td>0</td>
<td>30 to 50²</td>
</tr>
</tbody>
</table>

¹ Daylight-hour average percent transmittance for given reach.
² Alternates between 30% and 50%.

Table 4-12  Shasta River Watershed Temperature Load Allocations

<table>
<thead>
<tr>
<th>Source</th>
<th>Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Change in Riparian Vegetation</td>
<td><strong>Shasta River below Dwinnell Dam</strong>: Reach average potential solar radiation transmittance, as presented in Table 4-11 and Figure 4-6. <strong>Shasta River above Dwinnell Dam and Tributaries</strong>: Adjusted potential effective shade = 90% of site potential effective shade.</td>
</tr>
<tr>
<td>Irrigation Return Flow</td>
<td>No net increase in receiving water temperature.</td>
</tr>
<tr>
<td>Surface Water Flow</td>
<td>Reductions in the maximum daily stream temperatures of 1.5°C, 1.2°C, and 2.1°C from baseline at RM 24.1, RM 15.5, and RM 5.6</td>
</tr>
</tbody>
</table>

The load allocation for surface water flow is a reduction in the maximum daily stream temperatures of 1.5°C, 1.2°C, and 2.1°C from baseline at RM 24.1, RM 15.5, and RM 5.6, respectively. These are the temperature compliance locations for the TMDL.

Table 4-12 summarizes the temperature load allocations for the Shasta River watershed.

D. Shasta River Temperature Margin of Safety, Seasonal Variations, and Critical Conditions

The temperature TMDL includes an implicit margin of safety, based on conservative assumptions and uncertainties. The water quality compliance model scenario incorporated temperature reductions from Big Springs Creek and Parks Creek to account for improvements associated with riparian shade and tailwater management, but did not incorporate temperature reductions from Yreka Creek and other small tributaries to the Shasta River, and provides a margin of safety. Topographic shade was not considered in the temperature model and is likely a factor in the Shasta canyon, and provides a margin of safety. Some improvements in stream temperature that may result from reduced sediment inputs are not quantified. Reduced sediment loads could lead to increased frequency and depth of pools, independent of changes in solar radiation input. These changes tend to result in lower stream temperatures overall and increase the amount of lower temperature pool habitat. These expected changes are not directly accounted for in the TMDL. Finally, the effects of changes to streamside riparian areas toward mature trees will tend to create microclimates that will lead to improvements in stream temperatures. These effects were not accounted for in the temperature analysis and provide a margin of safety.

To account for annual and seasonal variability, the Shasta River temperature TMDL analysis evaluated temperatures and thermal processes from late-spring through mid-fall, considered the most critical time period for the most sensitive beneficial uses. The critical period, defined as May 15 to October 15, accounts for seasonal variation and provides an implicit margin of safety because during this period the air temperature is above average, the flow is below average, and the most sensitive beneficial uses – SPWN and COLD – are present. Sensitive life stages exist in Shasta River watershed throughout the year, but summer water temperatures represent the most critical conditions with respect to temperature and the most sensitive beneficial uses.
IV. Dissolved Oxygen

A. Shasta River Dissolved Oxygen Source Analysis

Dissolved oxygen levels in surface waters are controlled by a number of interacting processes including: photosynthesis, respiration, carbonaceous deoxygenation, nitrogenous deoxygenation and nitrification, reaeration, sediment oxygen demand (SOD), water temperature, salinity, flow, and atmospheric pressure. The primary processes affecting dissolved oxygen concentrations in the Shasta River watershed are photosynthesis and respiration of aquatic plants, nitrogenous deoxygenation (termed nitrogenous biochemical oxygen demand or NBOD), and sediment oxygen demand. The following anthropogenic sources or factors, in no special order, adversely affect dissolved oxygen conditions in the Shasta River:

- Tailwater return flows;
- City of Yreka nonpoint and wastewater infiltration sources;
- Lake Shastina and minor impoundments;
- Agricultural practices including grazing and livestock activities that reduce riparian shade and deliver oxygen consuming materials to surface waters; and
- Flow modification and diversion.

B. Shasta River Dissolved Oxygen TMDL

The dissolved oxygen “loading capacity” of the Shasta River is the total net daily oxygen demand that results in attainment of the dissolved oxygen objectives. For the dissolved oxygen TMDL the water quality objective of concern is the minimum dissolved oxygen objective of 7.0 mg/L for the Shasta River. There are no known point sources of oxygen-demanding constituents to the Shasta River and tributaries. Each of the components that exert an oxygen demand on the Shasta River is attributed to nonpoint sources, and includes respiration of aquatic plants, SOD, and NBOD.

The dissolved oxygen loading capacity of the Shasta River is 12,353 pounds of oxygen demand per day, and is expressed as the following Shasta River dissolved oxygen TMDL equation:

\[
\text{TMDL} = \text{Loading Capacity} = 12,353 \text{ lbs O}_2/\text{day}
\]

C. Shasta River Dissolved Oxygen Load Allocations

In accordance with the Clean Water Act, the Shasta River dissolved oxygen TMDL is allocated to the sources of oxygen demand in the watershed. There are no known point sources of oxygen-demanding constituents in the Shasta River watershed, and therefore the waste load allocation is set to zero. Therefore, the TMDL includes oxygen demand from natural and non-point anthropogenic sources. The load allocations are assigned to reaches of the Shasta River as identified in Table 4-13, and account for the total net daily oxygen demand for the designated river reaches. Responsibility for meeting these river reach allocations is assigned to the landowners whose operations contribute to water quality conditions within the specified reaches. In addition to these river-reach load allocations, allocations are applied to several river inputs that require NBOD reductions in order to achieve water quality compliance, including Dwinnell Dam outflow, Yreka Creek, and tailwater return flow. These allocations are assigned as NBOD concentrations of 0.91 mg/L for both Dwinnell Dam outflow and Yreka Creek, and 0.85 mg/L for all tailwater return flow.

Meeting the dissolved oxygen TMDL and load allocations requires:

- Fifty percent reduction in respiration rates of instream aquatic plants;
- Fifty percent reduction in SOD rates behind minor impoundments;
- Reduced NBOD input concentrations; and
- Increased dedicated cold water instream surface water flow.

D. Shasta River Dissolved Oxygen Margin of Safety, Seasonal Variations, and Critical Conditions

The TMDL includes an implicit margin of safety to account for uncertainties in the analysis and because conservative assumptions are used in the TMDL analysis. The water quality compliance model scenario, which is the basis for the dissolved oxygen TMDL, includes a 50% reduction of sediment oxygen demand only at locations behind minor impoundments in the Shasta River. Fine sediment and organic material load reductions from tailwater return flows that can be achieved via controls targeting NBOD reductions would result in reductions in sediment oxygen demand in the entire river, not
### Table 4-13  Shasta River TMDL River Reach Load Allocations and Total Oxygen Demand Reductions Needed for Water Quality Compliance

<table>
<thead>
<tr>
<th>REACH</th>
<th>Reach Length (mi)</th>
<th>Hourly Demand Existing (Baseline) Conditions (lbs/hr)</th>
<th>Hourly Demand Water Quality Compliance Conditions (lbs/hr)</th>
<th>Reduction In Oxygen Demand Needed To Achieve Water Quality Compliance (lbs/hr)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dwinnell Reservoir - Riverside Drive</td>
<td>0.7</td>
<td>(12)</td>
<td>(8)</td>
<td>4</td>
</tr>
<tr>
<td>Riverside Drive - Parks Creek</td>
<td>5.0</td>
<td>(72)</td>
<td>(40)</td>
<td>32</td>
</tr>
<tr>
<td>Parks Creek - Big Springs Creek</td>
<td>1.3</td>
<td>(33)</td>
<td>(21)</td>
<td>13</td>
</tr>
<tr>
<td>Big Springs Creek - Highway A-12</td>
<td>9.6</td>
<td>(331)</td>
<td>(217)</td>
<td>114</td>
</tr>
<tr>
<td>Highway A-12 - Shasta River @ Freeman Lane</td>
<td>5.0</td>
<td>(147)</td>
<td>(93)</td>
<td>54</td>
</tr>
<tr>
<td>Shasta River @ Freeman Lane - DWR Weir</td>
<td>3.6</td>
<td>(73)</td>
<td>(39)</td>
<td>33</td>
</tr>
<tr>
<td>DWR Weir - Yreka-Ager Road</td>
<td>4.4</td>
<td>(62)</td>
<td>(31)</td>
<td>31</td>
</tr>
<tr>
<td>Yreka-Ager Road - Anderson Grade Road</td>
<td>3.1</td>
<td>(52)</td>
<td>(27)</td>
<td>26</td>
</tr>
<tr>
<td>Anderson Grade Road - Mouth</td>
<td>8.1</td>
<td>(77)</td>
<td>(39)</td>
<td>38</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>REACH</th>
<th>Reach Length (mi)</th>
<th>24 Hour Demand Existing (Baseline) Conditions (lbs/day)</th>
<th>24 Hour Demand Water Quality Compliance Conditions (lbs/day)</th>
<th>Reduction In Oxygen Demand Needed To Achieve Water Quality Compliance (lbs/day)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dwinnell Reservoir - Riverside Drive</td>
<td>0.7</td>
<td>(285)</td>
<td>(198)</td>
<td>87</td>
</tr>
<tr>
<td>Riverside Drive - Parks Creek</td>
<td>5.0</td>
<td>(1,722)</td>
<td>(957)</td>
<td>765</td>
</tr>
<tr>
<td>Parks Creek - Big Springs Creek</td>
<td>1.3</td>
<td>(797)</td>
<td>(494)</td>
<td>304</td>
</tr>
<tr>
<td>Big Springs Creek - Highway A-12</td>
<td>9.6</td>
<td>(7,937)</td>
<td>(5,197)</td>
<td>2,741</td>
</tr>
<tr>
<td>Highway A-12 - Shasta River @ Freeman Lane</td>
<td>5.0</td>
<td>(3,529)</td>
<td>(2,226)</td>
<td>1,303</td>
</tr>
<tr>
<td>Shasta River @ Freeman Lane - DWR Weir</td>
<td>3.6</td>
<td>(1,749)</td>
<td>(947)</td>
<td>803</td>
</tr>
<tr>
<td>DWR Weir - Yreka-Ager Road</td>
<td>4.4</td>
<td>(1,492)</td>
<td>(749)</td>
<td>743</td>
</tr>
<tr>
<td>Yreka-Ager Road - Anderson Grade Road</td>
<td>3.1</td>
<td>(1,253)</td>
<td>(637)</td>
<td>616</td>
</tr>
<tr>
<td>Anderson Grade Road - Mouth</td>
<td>8.1</td>
<td>(1,857)</td>
<td>(948)</td>
<td>909</td>
</tr>
</tbody>
</table>
just behind impoundments. This represents a margin of safety. In addition, the water quality compliance model scenario does not include biochemical oxygen demand (CBOD) concentration reductions. Controls targeting NBOD reductions from tailwater return flows, Dwinnell Dam outflow, and Yreka Creek would result in reductions in CBOD concentrations, and provide a margin of safety.

The dissolved oxygen analysis was conducted for the period from late-spring through mid-fall. This critical period, defined as May 15 to October 15, accounts for seasonal variation and provides an implicit margin of safety because during this period the air temperature is above average, the flow is below average, and the most sensitive beneficial uses – SPWN and COLD – are present. Sensitive life stages exist in the Shasta River watershed throughout the year, but summer conditions represent the most critical conditions with respect to dissolved oxygen. This critical period also corresponds to the time of greatest photoperiod and highest water temperature, both of which contribute to low dissolved oxygen concentrations. To account for the possibility that excursions below the TMDL may occur during periods of time other than the critical period, the TMDL is established as a year-round load.

V. Implementation

Specific implementation actions that the Regional Water Board and other responsible parties shall pursue to achieve the TMDLs and meet the dissolved oxygen and temperature related water quality standards in the Shasta River and tributaries are described in Table 4-14. Table 4-14 is organized by source or land use activity, and responsible party(ies) considered appropriate to implement TMDL actions. Responsible parties may find that more than one implementation action is applicable to their circumstances. Action items are fully independent from each other and require 100% implementation within each Source or Land Use category. The implementation actions are designed to encourage and build upon on-going, proactive restoration and enhancement efforts in the watershed. Additionally, the implementation actions described in Table 4-14 are necessary to comply with the California’s Nonpoint Source Pollution Control Program (NPS Policy), and include the five required key elements as described in the NPS Policy.

The Regional Water Board hereby waives the requirement to file a Report of Waste Discharge (RWD) and obtain Waste Discharge Requirements (WDR), pursuant to Water Code section 13269, for discharges addressed by this Action Plan for dischargers that choose to participate in the on-going collaborative programs and implement recommended measures as applicable, as described in Table 4-14. Should a discharger choose not to participate, or if the Regional Water Board’s Executive Officer determines additional measures are necessary and provides the discharger with written notice to that effect, the discharger must submit a Report of Waste Discharge (RWD) and filing fee to the Regional Water Board immediately or in accordance with the written notice.

If the implementation actions identified in Table 4-14 fail to be implemented by the responsible party or if the implementation actions prove to be inadequate the Regional Water Board shall take additional permitting and/or enforcement actions, as necessary. The State and Regional Water Boards shall require compliance with the conditions pursuant to which the waiver is granted. This conditional waiver shall not apply to any discharges for which a WDR, waiver, or prohibition is issued under a separate action of the Board. This conditional waiver expires upon Regional Water Board adoption of a superseding regulatory action after the evaluation period specified below for each source category, or after five years, whichever occurs first. This waiver is conditional and may be terminated at any time by the State or Regional Water Board.

VI. Enforcement

The Regional Water Board shall take enforcement actions for violations of the Shasta River TMDL Action Plan where elements of the TMDL Action Plan are made enforceable restrictions in a specific permit or order, as appropriate. If necessary, Regional Water Board staff may propose appropriate enforcement actions for human activities that result in discharges, including but not limited to the removal or suppression of vegetation that provides shade to a water body in the Shasta River watershed. Enforcement implementation is ongoing. Nothing in this TMDL Action Plan precludes actions to enforce any directly applicable prohibition or provisions found elsewhere in the Basin Plan or to require clean up and abatement of existing sources of pollution where appropriate.

VII. Monitoring

Monitoring is important for determining the success of the TMDL Action Plan in achieving dissolved oxygen and temperature water quality standards. Monitoring shall be conducted upon the request of the Regional Water Board’s Executive Officer in conjunction with existing and/or proposed human activities that will
likely result in increased dissolved oxygen and reduced water temperatures in the Shasta River watershed. Monitoring may involve implementation, upslope effectiveness, photo documentation, instream and near-stream effectiveness (e.g. riparian buffer establishment affecting nutrient discharges), and/or compliance and trend monitoring (e.g. temperature and dissolved oxygen, Potential Percent Solar Radiation Transmittance, time predicated dissolved oxygen sampling, nutrients, sediment oxygen demand, nitrates and nitrites, and any other parameters reflective of improvements toward achieving the TMDL). Monitoring parameters and frequency, numeric and narrative objectives, and other appropriate metrics shall be based on locations consistent with those reaches representative of the TMDL.

The Regional Water Board’s Executive Officer will base the decision to require monitoring on site-specific conditions, the size and location of the discharger’s ownership, and/or the type and intensity of land uses being conducted or proposed by the discharger. If monitoring is required, the Regional Water Board’s Executive Officer may direct the discharger to develop a monitoring plan and may describe specific monitoring requirements to include in the plan.

VIII. Reassessment and Adaptive Management

The Regional Water Board will review, reassess, and possibly revise the Shasta River TMDL Action Plan. Reassessment is likely to occur every three years during the Basin Planning Triennial Review process. Regional Water Board staff will report to the Regional Water Board at least yearly on the status and progress of implementation activities, and on whether current efforts are reasonably calculated and on track to achieve water quality standards. In addition to the evaluation periods for individual source categories specified in Table 4-14, Regional Water Board staff will conduct a comprehensive and formal assessment of effectiveness of collaborative efforts in the on-going programs and additional efforts recommended by the Action Plan within five years from the date of EPA approval (by January 26, 2012). A more extensive reassessment will occur ten years from the date the TMDL Action Plan is effective, or sooner, if the Regional Water Board determines it necessary. During reassessment, the Regional Water Board is likely to consider how effective the requirements of the TMDL Action Plan are at meeting the TMDLs, achieving dissolved oxygen and temperature water quality objectives, and protecting the beneficial uses of water in the Shasta River watershed.
### Table 4-14 Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
</table>
| Range and Riparian Land Management | • Parties Conducting Grazing Activities  
• Landowners and managers owning and operating property adjacent to the Shasta River and its tributaries  
• Shasta Valley Resource Conservation District (Shasta Valley RCD)  
• Shasta Coordinated Resource Management and Planning Committee (Shasta CRMP)  
• California Department of Fish and Game (CDFG) | **Landowner/User Actions:**  
Landowners should employ land stewardship practices and activities that minimize, control, and preferably prevent discharges of fine sediment, nutrients, and other oxygen consuming materials from affecting waters of the Shasta River and tributaries. Landowners should also employ land stewardship practices and activities that minimize, control, and preferably prevent elevated solar radiation loads from affecting waters of the Shasta River and its **Class I and II tributaries**.  
Those that oversee and manage grazing and range land activities in the Shasta River watershed should implement the applicable management measures for agriculture and grazing from the following sources:  
• *Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program (NPS Policy)* (SWRCB 2004 or as amended).  
• *Shasta Watershed Restoration Plan* (November 1997).  
• *Shasta Valley Resource Conservation District Master Incidental Take Permit (ITP) Application* (Shasta RCD 2005).  
See Appendix A of this Action Plan for examples of some of these applicable measures.  
Landowners may need to develop and implement management measures in addition to those specified above to address site-specific conditions. This may include determining appropriate riparian widths for tree planting activities such that the appropriate width buffer is created to ensure effective stream shading and oxygen consuming material discharge elimination.  
Landowners shall submit annually to the Regional Water Board a written summary of all range and riparian management actions taken to achieve compliance with water quality standards, the TMDLs, and the NPS Policy, either individually or through the Shasta Valley RCD and its CRMP or through the CDFG Coho ITP.  
**RCD Actions:**  
The Shasta Valley RCD and its CRMP should:  
• Assist landowners in developing and implementing management practices that minimize, control and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials, as well as elevated solar radiation loads from affecting waters of the Shasta River and tributaries.  
• Assist landowners in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the range and riparian management actions taken by the landowner.  
**State Actions:**  
CDFG will:  
• Assist landowners in developing and implementing management practices that minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials as well as elevated solar radiation loads from affecting waters of the
<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
</table>
| Range and Riparian Land Management (cont.) | • CDFG (cont.) • Regional Water Board | Shasta River and tributaries.  
• Administer the Coho Recovery Strategy and the ITP (when approved).  

The Regional Water Board will:  
• Work cooperatively with the Shasta Valley RCD and its CRMP to:  
  1. Provide technical support and information to individuals, landowners, and community members in the Shasta River watershed.  
  2. Coordinate monitoring, educational and outreach efforts.  
  3. Develop a monitoring program to evaluate and document implementation and effectiveness of the range and riparian management actions taken by the landowners.  

• Should efforts fail to be implemented or effective, the Regional Water Board’s Executive Officer shall require, on a site specific as-needed basis, the appropriate responsible parties to develop, submit, and implement a ranch management plan designed to prevent discharges of fine sediment, nutrients and other oxygen consuming materials, as well as elevated solar radiation loads from affecting waters of the Shasta River and tributaries.  

  The ranch management plan shall describe in detail:  
  1. Locations discharging and/or with the potential to discharge nutrients and other oxygen consuming materials, and elevated solar radiation loads to watercourses which are caused by livestock grazing or related activities.  
  2. How and when identified sites are to be controlled and monitored, and management practices that will be implemented to prevent and reduce future discharges of nutrient and other oxygen consuming materials, and elevated solar radiation loads to the Shasta River and its tributaries.  

  Group and/or individual ranch management plans shall be implemented upon review, comment, and approval by Regional Water Board staff and their Executive Officer for compliance with water quality standards, the TMDLs, and the NPS Policy.  

• The Regional Water Board shall address the removal and suppression of vegetation that provides shade to a water body through development of a Stream and Wetland System Protection Policy. This will be a comprehensive, region-wide riparian policy that will address the importance of shade on instream water temperatures and will potentially propose riparian setbacks and buffer widths. The Policy will likely propose new rules and regulations, and will therefore take the form of an amendment to the Basin Plan. Other actions under this section may be modified for consistency with this policy, once adopted. With funding already available through a grant from the U.S. EPA, Regional Water Board staff are scheduled to develop this Policy for Regional Water Board consideration and adoption by the end of 2007.  

• Within two years of EPA approval of the TMDL Action Plan (by January 26, 2009), the Regional Water Board’s Executive Officer shall report to the Regional Water Board on the status of the preparation and development of appropriate permitting actions.
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Range and Riparian Land Management (cont.)</td>
<td>• Regional Water Board (cont.)</td>
<td>• The Regional Water Board shall take appropriate permitting actions as necessary to address the removal and suppression of vegetation that provides shade to a water body in the Shasta River watershed. Such actions may include, but are not limited to, prohibitions, waste discharge requirements (WDRs) or waivers of WDRs for grazing and rangeland activities, farming activities near water bodies, stream bank stabilization activities, and other land uses that may remove and/or suppress vegetation that provides shade to a water body. Should prohibitions, waivers or WDRs be developed, they may apply to the entire North Coast Region or just to the Shasta River watershed.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Within ten years of EPA approval of the TMDL (by January 26, 2017), all identified discharges associated with riparian land use activities shall be in compliance with water quality standards, the TMDLs, and the NPS Policy.</td>
</tr>
<tr>
<td>Tailwater Return Flows</td>
<td>• Irrigators</td>
<td><strong>Landowner Actions:</strong> Those that oversee and manage tailwater discharges from irrigated lands in the Shasta River watershed, which may include landowners, lessees, and land managers (collectively referred to as irrigators), should employ land stewardship and irrigation management practices and activities that minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials, and elevated water temperatures from affecting waters of the Shasta River and its tributaries. Irrigators should implement the applicable management measures for tailwater return flows from the following sources:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program (NPS Policy) (SWRCB 2004 or as amended).</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Shasta Watershed Restoration Plan (November 1997).</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Shasta Valley Resource Conservation District Master Incidental Take Permit (ITP) Application (Shasta RCD 2005).</td>
</tr>
<tr>
<td></td>
<td>• Shasta Valley RCD</td>
<td>See Appendix B of this Action Plan for examples of some of these tailwater return flow measures.</td>
</tr>
<tr>
<td></td>
<td>• Shasta CRMP</td>
<td>In addition, landowners may develop and implement management measures suitable for their site-specific conditions.</td>
</tr>
<tr>
<td></td>
<td><strong>RCD Actions:</strong></td>
<td>Irrigators should submit annually to the Regional Water Board a written summary of all tailwater return flow management actions taken to help achieve compliance with water quality standards, the TMDLs, and the NPS Policy, either individually or through the Shasta Valley RCD and its CRMP or through the CDFG Coho ITP.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>The Shasta Valley RCD and its CRMP should:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Assist irrigators in developing and implementing management practices that minimize, control and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials, and elevated water temperatures from affecting waters of the Shasta River and its tributaries.</td>
</tr>
</tbody>
</table>
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
</table>
| Tailwater Return Flows (cont.) | - Shasta Valley RCD and Shasta CRMP (cont.)<br>- CDFG<br>- Regional Water Board | - Implement the recommended actions specified in the Shasta Watershed Restoration Plan, Coho Recovery Strategy, and the ITP (when approved).<br>- Assist irrigators in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the tailwater management actions taken by the irrigators.  

**State Actions:**

CDFG will:
- Assist irrigators in developing and implementing management practices that minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials, and elevated water temperatures from affecting waters of the Shasta River and its tributaries.
- Administer the Coho Recovery Strategy and the ITP (when approved).

Regional Water Board will:
- Work with the Shasta Valley RCD and its CRMP to develop a monitoring program to evaluate and document implementation and effectiveness of the tailwater management actions taken by the irrigators.
- Evaluate the effectiveness of tailwater management actions and develop recommendations for the most effective regulatory vehicle to bring tailwater discharges into compliance with water quality standards, the TMDLs, and the NPS Policy.
- Should efforts fail to be implemented or effective, the Regional Water Board’s Executive Officer may require irrigators, on a site specific as-needed basis, to develop, submit, and implement, upon review, comment and approval by the Regional Water Board’s Executive Officer, a tailwater management plan designed to prevent discharges of fine sediment, nutrients and other oxygen consuming materials, and elevated solar radiation loads from affecting waters of the Shasta River and its tributaries.
- Within one year of EPA approval of the TMDL (by January 26, 2008), the Regional Water Board’s Executive Officer shall report to the Regional Water Board on the status of the preparation and development of appropriate permitting actions to bring the discharge into compliance with water quality standards, the TMDLs, and the NPS Policy.
- Within five years of EPA approval of the TMDL (by January 26, 2012) and based on Regional Water Board staff recommendation(s) derived from the evaluation phase for tailwater management, the Regional Water Board shall adopt prohibitions, WDRs, waivers of WDRs, or any combination thereof, as appropriate.
- Within ten years of EPA approval of the TMDL (by January 26, 2017), the discharge of all tailwater return flow shall be in compliance with water quality standards, the TMDLs, and the NPS Policy.
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
</table>
| Water Use and Flow          | • Water Divers       | **Water Diverter(s) Actions:** Water diverters should employ water management practices and activities that result in increased dedicated cold water instream flow in the Shasta River and its tributaries. Water diverters should participate in and implement applicable flow-related measures outlined in the following sources:  
  • *Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program* (NPS Policy) (SWRCB 2004 or as amended).  
  • *Shasta Watershed Restoration Plan* (November 1997).  
  • *Shasta Valley Resource Conservation District Master Incidental Take Permit* (ITP) Application (Shasta RCD 2005).  
  See Appendix C of this Action Plan for examples of flow related measures.  
  In addition, landowners may develop and implement management measures suitable for their site-specific conditions.  
  Within two years (by January 26, 2009), and again within four years of EPA approval of the TMDL (by January 26, 2011), water diverters shall report in writing to the Regional Water Board, either individually or through the Shasta Valley RCD and its CRMP, on the measures taken to increase the dedicated cold water instream flow in the Shasta River by 45 cfs or alternative flow regime that achieves the same temperature reductions from May 15 to October 15.  
  Within five years of EPA approval of the TMDL (by January 26, 2012), water diverters shall provide a final report to the Regional Water Board, either individually or through the Shasta Valley RCD and its CRMP, on documenting dedicated cold water instream flow in the Shasta River in relation to the 45 cfs goal or alternative flow regime that achieves the same temperature reductions from May 15 to October 15.  
  This recommended flow measure does not alter or reallocate water rights in the Shasta or Klamath River watersheds, nor bind the Regional Water Board in future TMDLs, the State Water Board’s Division of Water Rights in any water rights decision, or state and federal courts.  
**RCD Actions:** The Shasta Valley RCD and its CRMP should:  
  • Assist water diverters in developing and implementing management practices that increase dedicated cold water instream flows in the Shasta River and tributaries.  
  • Assist water diverters in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the actions taken to increase dedicated cold water instream flows in the Shasta River.  
**State Actions:** CDFG will:  
  • Assist water diverters in developing and implementing management practices that increase dedicated cold water instream flows in the |
|                            | • Shasta Valley RCD   | |
|                            | • Shasta CRMP         | |
|                            | • CDFG                | |

**Note:**
- Water Use and Flow: Water diverters should participate in and implement applicable flow-related measures outlined in the following sources:
  1. *Policy for the Implementation and Enforcement of the Nonpoint Source Pollution Control Program* (NPS Policy) (SWRCB 2004 or as amended).

See Appendix C of this Action Plan for examples of flow related measures.

In addition, landowners may develop and implement management measures suitable for their site-specific conditions.

Within two years (by January 26, 2009), and again within four years of EPA approval of the TMDL (by January 26, 2011), water diverters shall report in writing to the Regional Water Board, either individually or through the Shasta Valley RCD and its CRMP, on the measures taken to increase the dedicated cold water instream flow in the Shasta River by 45 cfs or alternative flow regime that achieves the same temperature reductions from May 15 to October 15.

Within five years of EPA approval of the TMDL (by January 26, 2012), water diverters shall provide a final report to the Regional Water Board, either individually or through the Shasta Valley RCD and its CRMP, on documenting dedicated cold water instream flow in the Shasta River in relation to the 45 cfs goal or alternative flow regime that achieves the same temperature reductions from May 15 to October 15.

This recommended flow measure does not alter or reallocate water rights in the Shasta or Klamath River watersheds, nor bind the Regional Water Board in future TMDLs, the State Water Board’s Division of Water Rights in any water rights decision, or state and federal courts.

**RCD Actions:** The Shasta Valley RCD and its CRMP should:

- Assist water diverters in developing and implementing management practices that increase dedicated cold water instream flows in the Shasta River and tributaries.

- Assist water diverters in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the actions taken to increase dedicated cold water instream flows in the Shasta River.

**State Actions:** CDFG will:

- Assist water diverters in developing and implementing management practices that increase dedicated cold water instream flows in the Shasta River.
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Water Use and Flow (cont.)</td>
<td>CDFG (cont.)</td>
<td>Shasta River and tributaries.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Administer the Coho Recovery Strategy and the ITP (when approved).</td>
</tr>
<tr>
<td></td>
<td>Department of Water Resources (DWR)</td>
<td>• Assist in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the actions taken by the water diverters to increase dedicated cold water instream flows in the Shasta River.</td>
</tr>
<tr>
<td></td>
<td>Regional Water Board</td>
<td>DWR should:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Coordinate and assist water diverters in developing and implementing a monitoring program through a watermaster service to evaluate and document implementation and effectiveness of the actions taken by the water diverters to increase dedicated cold water instream flows in the Shasta River.</td>
</tr>
<tr>
<td></td>
<td>State Water Resources Control Board (State Water Board)</td>
<td>The Regional Water Board will:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• Work cooperatively with water diverters, the Shasta Valley RCD and its CRMP, CDFG and DWR, wholly or in part, to establish monitoring and reporting programs to gauge implementation and effectiveness of the actions taken by responsible parties.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• If the Executive Officer receives credible evidence that the Shasta River flows are diminishing, the Executive Officer shall promptly report this to the Regional and State Water Board.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>• If after five years, the Regional Water Board’s Executive Officer finds that the above measures have failed to be implemented or are otherwise ineffective, the Regional Water Board may recommend that the State Water Board consider seeking modifications to the decree (In re Waters of Shasta River and its Tributaries, No. 7035 (Super. Ct. Siskiyou County Dec. 29, 1932)), conducting proceedings under the public trust doctrine and/or conducting proceedings under the waste and unreasonable use provisions of the California Constitution and the California Water Code.</td>
</tr>
<tr>
<td>Irrigation Control Structures, Flashboard Dams, and other Minor Impoundments (Collectively referred to as minor impoundments)</td>
<td>Individual Irrigators</td>
<td>Irrigator(s) Actions:</td>
</tr>
<tr>
<td></td>
<td>Irrigation Districts</td>
<td>• Permanently remove minor impoundments in the Shasta River mainstem.</td>
</tr>
<tr>
<td></td>
<td>DWR</td>
<td>• Re-engineer existing impoundments to decrease surface area of impoundment.</td>
</tr>
<tr>
<td></td>
<td>Others owning, operating, managing, or anticipating construction of minor impoundments</td>
<td>• Not construct new impoundments unless they can be shown to have positive effects to the beneficial uses of water relative to water quality compliance and the support of beneficial uses, including the salmonid fishery, in the Shasta Valley.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Within one year of EPA approval of the TMDL (by January 26, 2008), report in writing to the Regional Water Board methods and management practices they shall implement that will reduce sediment oxygen demand rates by 50% from baseline behind all minor impoundments.</td>
</tr>
</tbody>
</table>
**Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)**

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Minor impoundments (cont.)</td>
<td>• Shasta Valley RCD</td>
<td><strong>RCD Actions:</strong> The Shasta Valley RCD and its CRMP should:</td>
</tr>
<tr>
<td></td>
<td>• Shasta CRMP</td>
<td>• Assist in developing and implementing minor impoundment removal, re-engineering or initial design work for compliance with water quality standards, the TMDLs, and the NPS Policy.</td>
</tr>
<tr>
<td></td>
<td>• CDFG</td>
<td>• Implement the recommended actions specified in the Shasta Watershed Restoration Plan and the ITP (when approved).</td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board</td>
<td>• Assist in developing and implementing a monitoring program to evaluate and document implementation and effectiveness of the actions taken to remove, re-engineer or limit construction of minor impoundments on the mainstem Shasta River.</td>
</tr>
<tr>
<td>Lake Shastina</td>
<td>• MWCD</td>
<td><strong>State Actions:</strong> CDFG will:</td>
</tr>
<tr>
<td></td>
<td>• City of Weed</td>
<td>• Assist in developing and implementing the removal, re-engineering, or limitation on the construction of minor impoundments in the Shasta River mainstem.</td>
</tr>
<tr>
<td></td>
<td>• County of Siskiyou</td>
<td>• Administer the Coho Recovery Strategy and the ITP (when approved).</td>
</tr>
<tr>
<td></td>
<td>• Caltrans</td>
<td>• Assist in the development and implementation of a monitoring program to evaluate and document the implementation and effectiveness of the actions taken to remove, re-engineer, or limit construction of minor impoundments on the mainstem Shasta River.</td>
</tr>
<tr>
<td></td>
<td>• Communities of Lake Shastina</td>
<td>The Regional Water Board will:</td>
</tr>
<tr>
<td></td>
<td>• U.S. Forest Service (USFS)</td>
<td>• Work with CDFG to establish monitoring and reporting elements of their programs in order to gage their effectiveness.</td>
</tr>
<tr>
<td></td>
<td>• U.S. Bureau of Land Management (BLM)</td>
<td>• Work with the Shasta Valley RCD and its CRMP to establish monitoring and reporting programs to gage the implementation and effectiveness of the Shasta Watershed Restoration Plan.</td>
</tr>
<tr>
<td></td>
<td>• Private timberland owners</td>
<td>• Include appropriate conditions in Clean Water Act water quality certification permits for minor impoundment removal or re-engineering activities that comply with water quality standards, the TMDL, and the NPS Policy.</td>
</tr>
</tbody>
</table>

Within 2 years of EPA approval of the TMDL (by January 26, 2009), the responsible parties shall complete a study of water quality conditions and factors affecting water quality conditions in Lake Shastina, and develop a plan for addressing factors affecting water quality conditions to bring Lake Shastina into compliance with water quality standards, the TMDLs, and the NPS Policy.

The study and plan shall be submitted to the Regional Water Board Executive Officer for review, comment and approval. Within 5 years of EPA approval of the TMDL (by January 26, 2012), the responsible parties shall begin implementing the plan.
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dwinnell Dam</td>
<td>• Montague Water Conservation District (MWCD)</td>
<td>Within 2 years of EPA approval of the TMDL (by January 26, 2009), the MWCD shall report in writing to the Regional Water Board on a plan to bring the discharge from Dwinnell Dam into compliance with water quality standards, the TMDLs, and the NPS Policy.</td>
</tr>
</tbody>
</table>
| City of Yreka Wastewater Treatment Facility (Yreka WWTF) | • City of Yreka • Regional Water Board | **Yreka Wastewater Treatment Facility Actions:** The Yreka WWTF shall comply with existing Regional Water Board Orders and Monitoring and Reporting Programs.  
**Regional Water Board Actions:** The Regional Water Board will:  
• Pursue aggressive compliance with Order No 96-69 and CAO No. R1-2004-0037.  
• Continue vigorous oversight and enforcement of Monitoring and Reporting Program No. R1-2003-0047 to ensure timely submittal of sampling and analytical results from the operators of the Yreka WWTF. |
| Urban and Suburban Runoff | • City of Yreka • City of Weed • City of Montague • Community of Edgewood • Communities of Lake Shastina • Other landowners with suburban runoff • Regional Water Board | **Actions:** The cities of Yreka, Weed, Montague, the communities of Lake Shastina, and other landowners with suburban runoff should identify possible pollutants, their sources, and volumes of polluted runoff from urban and suburban sources within their spheres of influence that may discharge, directly or indirectly, to waters of the Shasta River watershed.  
Cities and other landowners with suburban runoff should implement the applicable measures from the NPS Policy. See Appendix D of this Action Plan for examples of some of these applicable measures.  
Within two years of EPA approval of the TMDL (by Jan. 2009), cities and landowners with suburban runoff shall develop a plan to minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials and elevated temperature waste discharge from affecting waters of the Shasta River and its tributaries. The plan shall be submitted to the Regional Water Board’s Executive Officer for review, comment and approval. Within 5 years of EPA approval of the TMDL (by Jan. 2012), cities and landowners with suburban runoff shall begin implementing the plan.  
**State Actions:** The Regional Water Board will:  
• Work cooperatively with responsible parties to implement their plan, including appropriate management measures and reasonable time schedules which minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials and elevated temperature waste discharge from affecting waters of the Shasta River and its tributaries. |
| Activities on Federal Lands | • USFS | **USFS Actions:** The USFS should consistently implement the best management practices for timber harvest activities, grazing, and other activities included in the:  
• Klamath National Forest Land and Resource Management Plan (USFS 1995) or as amended as long as equivalent or better water quality protections are required.  
• Shasta-Trinity National Forest Land and Resource Management Plan (USFS 1995) or as amended as long as equivalent or better water quality protections are required.  
• Water Quality Management for Forest System Lands in California, Best Management Practices (USFS 2000) or as amended as long as applicable |
### Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Activities on Federal Lands (cont.)</td>
<td>• USFS (cont.)</td>
<td>equivalent or better water quality protections are required. See Appendix E of this Action Plan for some examples of these measures.</td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• BLM</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board</td>
<td></td>
</tr>
<tr>
<td>Timber Harvest Activities on Non-Federal Lands</td>
<td>• Private Parties Conducting Timber Harvest Activities</td>
<td>Timber Harvest Related Actions: Parties conducting timber harvest activities should employ land stewardship practices that minimize, control, and preferably prevent discharges of fine sediment, nutrients and other oxygen consuming materials from affecting waters of the Shasta River and tributaries. Landowners should also employ land stewardship practices and activities that minimize, control, and preferably prevent elevated solar radiation loads from affecting waters of the Shasta River and its Class I and II tributaries.</td>
</tr>
<tr>
<td></td>
<td>• California Department of Forestry (CDF)</td>
<td>State Actions: CDF will: <em>Ensure timber operations in the Shasta River watershed are in compliance with the water quality standards, the TMDLs, and NPS Policy.</em></td>
</tr>
<tr>
<td></td>
<td>• Regional Water Board</td>
<td>Regional Water Board Actions: The Regional Water Board shall use appropriate permitting and enforcement tools to regulate discharges from timber harvest activities in the Shasta River watershed, including, but not limited to: <em>Participation in the CDF timber harvest review and approval process.</em> <em>Use of general or specific WDRs and waivers of WDRs, if applicable, to regulate timber harvest activities on private lands in the Shasta River watershed.</em></td>
</tr>
</tbody>
</table>
4. IMPLEMENTATION PLANS

Table 4-14  Shasta River Dissolved Oxygen and Temperature TMDL Implementation Actions (cont.)

<table>
<thead>
<tr>
<th>Source or Land Use Activity</th>
<th>Responsible Parties</th>
<th>Actions to Address Dissolved Oxygen and Water Temperature Impairment</th>
</tr>
</thead>
</table>
| Timber Harvest Activities on Non-Federal Lands (cont.) | • Regional Water Board (cont.) | • Timber harvest activities on private lands in the Shasta River watershed are not eligible for Categorical Waiver C included in the Categorical Waiver of Waste Discharge Requirements for Discharges Related to Timber Harvest Activities on Non-Federal Lands in the North Coast Region (Order No. R1-2004-0016) simply through the adoption of this TMDL Action Plan. However, timber harvest activities on private lands in the Shasta River watershed may be eligible for Categorical Waivers A, B, D, E, and F, as appropriate.  
• If the California Forest Practice Rules (Title 14 CCR Chapters 4, 4.5 and 10) are changed in a manner that reduces water quality protections, the Regional Water Board shall require plan submitters to maintain the level of water quality protection provided by the 2006 Forest Practice Rules.  
See Appendix G of this Action Plan for select examples of 2006 Forest Practice Rules. |
| California Department of Transportation Activities (Caltrans) | • Caltrans  
• Regional Water Board | Caltrans Actions:  
Caltrans shall implement the requirements of its stormwater program.  
Regional Water Board Actions:  
Regional Water Board shall:  
• Within two years of EPA approval of the TMDL (by January 26, 2009), complete an initial evaluation of the Caltrans Stormwater Program.  
• After the initial two-year evaluation is completed, the Regional Water Board shall continue periodic reviews of the program to assure ongoing compliance. |

IX. Glossary

Adjusted Potential Effective Shade:  
The percentage of direct beam solar radiation attenuated and scattered before reaching the ground or stream surface from the potential vegetation conditions, reduced by 10% to account for natural disturbance such as fire, windthrow, disease, and earth movements that reduce actual riparian vegetation below the site potential.

Biochemical Oxygen Demand (CBOD):  
An analytical method used as an indicator for the concentration of biodegradable organic matter present in a sample of water. It measures the rate of uptake of oxygen by micro-organisms in the sample of water over a given period of time, and can be used to infer the general quality of the water and its degree of pollution.

Carbonaceous Deoxygenation:  
Refers to the consumption of oxygen by bacteria during the breakdown of (decomposition) of organic (carbon-containing) material.

Class I Tributary:  
This watercourse must have one of the following properties in order to be considered a Class I tributary, according to California Forest Practice Rules: (1) domestic supplies, including springs, on site and/or within 100 feet downstream of the operations area, and/or (2) fish are always or seasonally present onsite, includes habitat to sustain fish migration and spawning.

Class II Tributary:  
This watercourse must have one of the following properties in order to be considered a Class II tributary, according to California Forest Practice Rules: (1) fish always or seasonally present offsite within 1000 feet downstream, (2) is an aquatic habitat for nonfish aquatic species, and/or (3) excludes Class III waters that are tributary to Class I waters.

Compliance and Trend Monitoring:  
Monitoring intended to determine, on a watershed scale, if water quality standards are being met, and to track progress towards meeting water quality standards.
Dedicated Cold Water Instream Flow:
Water remaining in the stream in a manner that that the diverter, either individually or as a group, can ensure will result in water quality benefits. Temperature, length, and timing are factors to consider when determining the water quality benefits of an instream flow.

Implementation Monitoring:
Monitoring used to assess whether activities and control practices were carried out as planned. This type of monitoring can be as simple as photographic documentation, provided that the photographs are adequate to represent and substantiate the implementation of control practices.

Instream Effectiveness Monitoring:
Monitoring of instream conditions to assess whether pollution control practices are effective at keeping waste from being discharged to a water body. Instream effectiveness monitoring may be conducted upstream and downstream of the discharge point or before, during, and after the implementation of pollution control practices.

Irrigation Return Flows:
See Tailwater Return Flow.

Natural Potential Vegetation Conditions:
The most advanced seral stage that nature is capable of developing and making actual at a site in the absence of human interference. Seral stages are the series of plant communities that develop during ecological succession from bare ground to the climax community (e.g., fully mature, old-growth).

Nitrification:
The oxidation of an ammonium (NH$_4^+$) compound to nitrite (NO$_2^-$) and nitrate (NO$_3^-$), a process that consumes oxygen.

Nitrogenous Deoxygenation:
The conversion of organic nitrogen to ammonium (NH$_4^+$) and the subsequent oxidation of ammonium to nitrite (NO$_2^-$) and then to nitrate (NO$_3^-$), a process that consumes oxygen.

Nitrogenous Biochemical Oxygen Demand (NBOD):
A measure of the amount of oxygen consumed from the conversion of organic nitrogen to ammonium (NH$_4^+$) and the oxidation of ammonium to nitrite (NO$_2^-$) and subsequently (NO$_3^-$).

Nitrogenous Oxygen Demand:
The conversion of organic nitrogen to ammonium by bacteria, a process that consumes oxygen.

Potential Effective Riparian Shade:
That shade resulting from topography and natural potential vegetation that reduces the heat load reaching the stream. The difference between existing (baseline) and adjusted potential effective shade reflects the amount of effective riparian shade increase (i.e. reduced solar transmittance) that is necessary to achieve natural receiving water temperatures.

Potential Solar Radiation Transmittance:
Potential solar radiation transmittance is the amount of solar radiation that passes through the vegetation canopy and reaches the water surface, when natural potential vegetation conditions are achieved.

Reaeration:
The process whereby atmospheric oxygen is transferred to a waterbody.

Salmonids:
Fish species in the family Salmonidae, including but not limited to: salmon, trout, and char.

Sediment:
Any inorganic or organic earthen material, including, but not limited to: soil, silt, sand, clay, peat, and rock.

Sediment Oxygen Demand (SOD):
The consumption of oxygen by sediment and associated organisms (such as bacteria and invertebrates) through both the decomposition of organic matter and respiration by plants, bacteria, and invertebrates.

Solar Radiation Transmittance:
Solar radiation transmittance is defined as the amount of solar radiation that passes through the vegetation canopy and reaches the water surface. A value of 1.0 represents no shade; a value of 0.0 represents complete shade.

Tailwater Return Flow:
Water applied to a field for irrigation at rates that exceed soil infiltration and evaporation rates, resulting in runoff of irrigation water to a surface water body. Same as Irrigation Return Flows.

Water Quality Compliance Model Scenario:
A computer water quality model scenario developed by Regional Water Board staff that characterizes Shasta River watershed conditions under which the Basin Plan narrative temperature objective and numeric dissolved oxygen are met in the Shasta River.
4. IMPLEMENTATION PLANS

Shasta River TMDL Action Plan - Appendix A

Range and Riparian Land Management Measures

(1) Protect sensitive areas (including streambanks, lakes, wetlands, estuaries, and riparian zones) by (a) excluding livestock, (b) providing stream crossings or hardened access to watering areas, (c) providing alternative water locations away from surface water, (d) locating salt and additional shade, if needed, away from sensitive areas, or (e) use improved grazing management (e.g., herding) to reduce the physical disturbance and direct loading of animal waste and sediment caused by livestock; and

(2) Achieve the following on range, pasture and other grazing lands not addressed under (1) above: implement the range and pasture components of a Resource Management Systems (RMS) as defined in the United States Department of Agriculture (USDA) Natural Resource CS Field Office Technical Guide applying the progressive planning approach of the USDA NRCS to reduce erosion. **NPS Policy (MM 1E) (SWRCB, 2004)**

On properties owned by participants in the ITP, livestock fencing shall be in place on at least 90% of that person’s owned stream bank length where there is a potential to affect coho, or fencing shall be in active progress towards implementation along those streams with installation by January 1, 2008, and/or shall have CDFG approved livestock management measures in place that will provide similar protections to the streambanks and riparian zone. Livestock riparian exclusion fencing built after 3-30-05 needing to comply with the permit must be approved by SVRCD, will be expected to have a setback of at least 35 feet from normal high water line, and shall be maintained in good working order as long as the permit is in place and livestock are present. **Draft Shasta ITP (Minimization Measures B) (RCD, 2005)**

SVRCD will work with landowners and DFG on appropriate methodology and riparian species selection on a site by site basis. **Draft Shasta ITP (Minimization Measures C) (RCD, 2005)**

Grazing along the stream corridor may occur as a mechanism of riparian management and will be coordinated with the SVRCD, the landowners and CDFG staff. **Draft Shasta ITP (Table 1-1) (RCD, 2005)**

Planting of riparian vegetation along stream banks will be coordinated with the SVRCD, the landowners and CDFG staff. **Draft Shasta ITP (Table 1-1) (RCD, 2005)**

Address factors that contribute to high temperatures. **Coho Recovery Strategy (HM-5a, b) (CDFG, 2004)**

Promote coho salmon recovery by minimizing diversion entrainment, protecting riparian vegetation, and encouraging effective land use practices. **Coho Recovery Strategy (P-1 through P-7) (CDFG, 2004)**

Increase riparian vegetation. **Coho Recovery Strategy (HM-4a-d) (CDFG, 2004)**

Continue program of riparian fencing and native tree planting. **Shasta Watershed Restoration Plan (SRCRMP, 1997)**

---

Shasta River TMDL Action Plan - Appendix B

Tailwater Return Flow Management Measures

Develop and implement comprehensive nutrient management plans for areas where nutrient runoff is a problem affecting coastal waters and/or water bodies listed as impaired by nutrients. Such plans would include a plant tissue analysis to determine crop nutrient needs; crop nutrient budget; identification of the types, amounts, and timing of nutrients necessary to produce a crop based on realistic crop yield expectations; identification of hazards to the site and adjacent environment; soil sampling and tests to determine crop nutrient needs; and proper calibration of nutrient equipment. When manure from confined animal facilities is to be used as a soil amendment and/or is disposed of on land, the plan shall discuss steps to assure that subsequent irrigation of that land does not leach excess nutrients to surface or ground water. **NPS Policy (MM 1C) (SWRCB, 2004)**

Capture of additional tailwater from on-site or neighboring fields. **Draft Shasta ITP (Table 1-1) (RCD, 2005)**

The Shasta RCD will assist landowners/sub-permittees in designing and implementing tailwater capture systems that intercepts and reuses runoff from on-site and off-site properties in accordance to standards outlined by the NRCS. **Draft Shasta ITP (Table 1-1) (RCD, 2005)**
4. IMPLEMENTATION PLANS

<table>
<thead>
<tr>
<th>Tail water Return Flow Management Measures (cont.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conduct assessments of tailwater return flows, promote opportunities to eliminate, minimize, reclaim and reuse, where feasible. Coho Recovery Strategy (WUE-7a-c) (CDFG, 2004)</td>
</tr>
<tr>
<td>Manage tailwater return flows so that entrained constituents, such as fertilizers, fine sediment and suspended organic particles, and other oxygen consuming materials are not discharged to nearby watercourses. This could include modifications to irrigation systems that reuse tailwater by constructing off-stream retention basins, active (pumping) and or passive (gravity) tailwater recapture/redistribution systems. (U.C. Davis 1998; NRCS 1997)</td>
</tr>
<tr>
<td>Seek ways to reduce irrigation tailwater, or capture for reuse. Shasta Watershed Restoration Plan (SRCRMP, 1997)</td>
</tr>
</tbody>
</table>

Shasta River TMDL Action Plan - Appendix C

<table>
<thead>
<tr>
<th>Instream Flow Management Measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Promote effective irrigation while reducing pollutant delivery to surface and ground waters. Pursuant to this measure, irrigation water would be applied uniformly based on an accurate measurement of crop water needs and the volume of irrigation water applied, considering limitations raised by such issues as water rights, pollutant concentrations, water delivery restrictions, salt control, wetland, water supply and frost/freeze temperature management. Additional precautions would apply when chemicals are applied through irrigation. NPS Policy (MM 1F) (SWRCB, 2004)</td>
</tr>
<tr>
<td>All persons covered by the permit and diverting water from within the Shasta River watershed will be expected to support ongoing watermaster services (either by DWR or by some other entity should DWR cease to provide service) and pay their proportionate cost of that service to provide watermaster service in the Shasta Valley between April 1 and October 1 when instream flows are likely to be most critical to coho. Individual proportional costs for this activity are expected to continue to be collected by the County of Siskiyou via annual property taxes. Those participants exercising riparian rights and not subject to watermaster control will cooperate with the watermaster in assuring they are within their legal rights and will inform the watermaster of any changes in the quantities of water they will be diverting. Draft Shasta ITP (Avoidance Measures III. A. i.) (RCD, 2005)</td>
</tr>
<tr>
<td>DFG, DWR and the SVRCD shall develop and implement a management plan to coordinate and monitor irrigation season start up so as to minimize rapid deductions in instream flows. A draft Ramped Diversion Plan will be submitted to DFG by January 1, 2007 with a finalized plan submitted by January 1, 2008. Draft Shasta ITP (Avoidance Measures III. A. ii.) (RCD, 2005)</td>
</tr>
<tr>
<td>All persons covered by the ITP shall endorse continued efforts by DWR or other private watermaster organizations, to assure that flows year round shall not be allowed to fall below 20 cfs at the Shasta River near Montague (SRM) gage, a quantity that has been historically the watermaster’s minimum target for flow at that location, nor that flows at A-12 shall fall below 45 cfs at any time during the summer, a quantity that will assure that substantial cold water refugia areas are retained upstream of the point. Draft Shasta ITP (Avoidance Measures III. A. iii.) (RCD, 2005)</td>
</tr>
<tr>
<td>The SVRCD will develop a dry and critically dry year plan to assure that stranding, or elimination of needed cold water refugia areas does not occur during extremely dry years. The dry year plan will be developed by SVRCD and will insure that previously described flows at 50 cfs at A-12 and 20 cfs at Montague-Grenada Road are achieved. A draft Dry Year Plan will be completed by the SVRCD one year from the issuance of the permit. Draft Shasta ITP (Avoidance Measures III. F) (RCD, 2005)</td>
</tr>
<tr>
<td>The SVRCD will work with those entities seeking coverage under the ITP to assist them in their efforts to upgrade overall irrigation efficiency. Potential projects that may be implemented to improve flows include upgrade of water delivery systems to reduce waste, upgrade of water application systems, monitoring crop water requirements vs. soil moisture, etc. Draft Shasta ITP (Minimization Measures V. A. i.) (RCD, 2005)</td>
</tr>
<tr>
<td>Encourage the Shasta CRMP to develop a dry year water plan for the Shasta River. Shasta Coho Recovery Strategy (WM-1a) (CDFG, 2004)</td>
</tr>
</tbody>
</table>
## Instream Flow Management Measures (cont.)

<table>
<thead>
<tr>
<th>Measure</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Add additional oversight and more people to verify water use and better manage water in current watermaster service areas.</td>
<td><strong>Coho Recovery Strategy (WM-2a)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Institute a cooperative agreement between diverters to stage/stagger their irrigation starts and completions (ramped flows) to gradually change flows over several days.</td>
<td><strong>Coho Recovery Strategy (WM-3a)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>CRMP, CDFG, and voluntary landowner participation: agree to pull diversions for a limited time period to produce a pulsed flow downstream.</td>
<td><strong>Coho Recovery Strategy (WM-4a)</strong></td>
</tr>
<tr>
<td>CRMP, CDFG, and voluntary landowner participation: agree to pull diversions for a limited time period to produce a pulsed flow downstream.</td>
<td><strong>Coho Recovery Strategy (WM-4a)</strong></td>
</tr>
<tr>
<td>CRMP, CDFG, and voluntary landowner participation: agree to pull diversions for a limited time period to produce a pulsed flow downstream.</td>
<td><strong>Coho Recovery Strategy (WM-4a)</strong></td>
</tr>
<tr>
<td>Determine unused diversion rights and approach those diverters about providing flows for instream use without affecting the water rights of others.</td>
<td><strong>Coho Recovery Strategy (WM-5c)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>CRMP, CDFG, and voluntary landowner participation: agree to pull diversions for a limited time period to produce a pulsed flow downstream.</td>
<td><strong>Coho Recovery Strategy (WM-6a)</strong></td>
</tr>
<tr>
<td>Institute a cooperative agreement between diverters to stage/stagger their irrigation starts and completions (ramped flows) to gradually change flows over several days.</td>
<td><strong>Coho Recovery Strategy (WM-3a)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Determine unused diversion rights and approach those diverters about providing flows for instream use without affecting the water rights of others.</td>
<td><strong>Coho Recovery Strategy (WM-5c)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>For critical streams/reaches, diverters could rotate irrigations so diversions do not coincide when increased flows are critical for fish.</td>
<td><strong>Coho Recovery Strategy (WM-6a)</strong></td>
</tr>
<tr>
<td>Study and forecast correlation of stream flow with other parameters to predict weekly flow rates. Can be based on snow surveys, precipitation, aquifer condition, etc.</td>
<td><strong>Coho Recovery Strategy (WM-9)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Seek funding to conduct instream flow studies to determine flow-habitat relationships.</td>
<td><strong>Coho Recovery Strategy (WM-9)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Provide a structured process for willing participants to donate, sell, or lease water rights to provide improved stream flow.</td>
<td><strong>Coho Recovery Strategy (WA-1b, c, d &amp; WA-7a, b, c)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Acquire water rights that shall be dedicated to instream flow.</td>
<td><strong>Coho Recovery Strategy (WA-7)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Support preparation of a water balance study. Apply study results to water management, augmentations, and Habitat enhancement recommendations.</td>
<td><strong>Coho Recovery Strategy (WM-1b)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Study feasibility of building storage reservoirs to capture excess winter runoff (solely) for the benefit of coho salmon, not for irrigation augmentation.</td>
<td><strong>Coho Recovery Strategy (WA-2a &amp; WA-3b)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Identify and prioritize benefits and/or detriments to lining/piping surface ditch systems; promote ongoing diversion ditch maintenance.</td>
<td><strong>Coho Recovery Strategy (WUE-3; WUE-4)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Promote and/or retain water efficient irrigation practices.</td>
<td><strong>Coho Recovery Strategy (WUE-5a-e)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Prepare a comprehensive groundwater study to determine the current status of groundwater in the Shasta Valley and its relationship to surface flows.</td>
<td><strong>Coho Recovery Strategy (WM-10a)</strong> (CDFG, 2004)</td>
</tr>
<tr>
<td>Continue pulsed flow program to flush salmonids downstream during lethal water temperature conditions.</td>
<td><strong>Shasta Watershed Restoration Plan (I B-2)</strong> (SRCRMP, 1997)</td>
</tr>
<tr>
<td>Support creation of dedicated instream flows for fish and wildlife.</td>
<td><strong>Shasta Watershed Restoration Plan (I B-2)</strong> (SRCRMP, 1997)</td>
</tr>
<tr>
<td>Contemplate the impacts of readjudication of both surface and ground water.</td>
<td><strong>Shasta Watershed Restoration Plan (I B-9)</strong> (SRCRMP, 1997)</td>
</tr>
<tr>
<td>Continue pulse flows until water quality is improved.</td>
<td><strong>Shasta Watershed Restoration Plan (III B-3.e)</strong> (SRCRMP, 1997)</td>
</tr>
<tr>
<td>Seek funding for purchase of water for instream flows from willing sellers.</td>
<td><strong>Shasta Watershed Restoration Plan (III B-6)</strong> (SRCRMP, 1997)</td>
</tr>
<tr>
<td>Where other means of adequate protection (for fish) are unlikely, support the purchase of key (property) areas from voluntary sellers whose sale would protect remaining land uses in the Shasta Valley.</td>
<td><strong>Shasta Watershed Restoration Plan (III B-7)</strong> (SRCRMP, 1997)</td>
</tr>
</tbody>
</table>
### Urban and Suburban Runoff Management Measures

Develop a watershed protection program to

1. Avoid conversion, to the extent practicable, of areas that are particularly susceptible to erosion and sediment loss;
2. Preserve areas that provide important water quality benefits and/or are necessary to maintain riparian and aquatic biota;
3. Protect to the extent practicable the natural integrity of water bodies and natural drainage systems associated with site development – including roads, highways and bridges;
4. Limit increases of impervious surfaces; and
5. Provide education and outreach to address NPS pollution.

**NPS Policy (MM 3.1A) (SWRCB, 2004)**

Plan, design and develop sites to:

1. Protect areas that provide important water quality benefits necessary to maintain riparian and aquatic biota, and/or are particularly susceptible to erosion or sediment loss;
2. Limit increase in impervious areas;
3. Limit land disturbance activities such as clearing and grading and cut and fill to reduce sediment loss; and
4. Limit disturbance of natural drainage features and vegetation.

**NPS Policy (MM 3.1B) (SWRCB, 2004)**

By design or performance:

1. After construction has been completed and the site is permanently stabilized, reduce the average total suspended solids (TSS) loading by 80 percent (for purposes of this measure, an 80 percent TSS reduction is to be determined on an average annual basis); or
2. Reduce the post-development loading of TSS so that the average annual TSS loadings are no greater than pre-development loadings.
3. To the extent practicable, maintain post-development peak runoff rate and average volume at levels similar to pre-development levels.

**NPS Policy (MM 3.1C) (SWRCB, 2004)**

1. Limit application, generation, and mitigation of toxic substances;
2. Ensure the proper storage and disposal of toxic materials;
3. Apply nutrients at rates necessary to establish and maintain vegetation without causing nutrient runoff to surface waters; and
4. Prepare and implement, prior to the use or storage of toxic material on site, an effective, approved chemical control plan or similar administrative document that contains chemical control provisions (e.g. minimize use of toxic materials; ensure proper containment if toxic materials are to be used /stored on site).

**NPS Policy (MM 3.2.B) (SWRCB, 2004)**

Develop and implement watershed management programs to reduce runoff pollutant concentrations and volumes from existing development:

1. Identify priority local and/or regional watershed pollutant reduction opportunities (e.g. improve existing urban runoff control structures);
2. Specify a schedule for implementing appropriate controls:
3. Limit destruction of natural conveyance systems; and
4. Where appropriate, preserve, enhance, or establish buffers along surface waters and their tributaries.

**NPS Policy (MM 3.3A) (SWRCB, 2004)**
### Recommended Interim Riparian Reserve Widths for Klamath National Forest and Shasta-Trinity National Forest Lands in the Shasta River Watershed

<table>
<thead>
<tr>
<th>RIPARIAN RESERVE TYPE</th>
<th>Riparian Reserve Widths</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fish-bearing streams</td>
<td>Include the stream and: area on each side from active channel edges to the top of inner gorge, or outer edge of 100 year flood plain, or to outer edge of riparian vegetation, or height of two site potential trees(^2), or 300 feet slope distance, whichever is greatest.</td>
</tr>
<tr>
<td>Perennial, non-fish bearing streams</td>
<td>Include the stream and: area on each side from active channel edges to the top of inner gorge, or outer edge of 100 year flood plain, or outer edge of riparian vegetation, or height of one site potential tree(^2), or 150 feet slope distance, whichever is greatest.</td>
</tr>
<tr>
<td>Lakes and natural ponds</td>
<td>Include the body of water and: area to the outer edge of riparian vegetation, or extent of seasonally saturated soil, or extent of unstable and potentially unstable areas, or height of one site potential tree(^2), or 300 feet slope distance, whichever is greatest.</td>
</tr>
<tr>
<td>Constructed ponds, reservoirs and wetlands &gt;1-acre in size</td>
<td>Include the body of water or wetland and: area to outer edges of riparian vegetation, or to seasonally saturated soil, or the extent of unstable and potentially unstable areas, or distance of one site potential tree, or 150 feet slope distance from wetland edge &gt;1 acre, or the maximum pool elevation of constructed ponds, reservoirs, whichever is greatest.</td>
</tr>
<tr>
<td>Seasonally flowing or intermittent streams(^3) wetlands &lt;1-acre in size, and unstable or potentially unstable areas</td>
<td>At a minimum include: extent of unstable and potentially unstable areas (includes earthflows), stream channel and extend to top of inner gorge, stream channel or wetland and area from the edges of the stream channel or wetland to outer edges of riparian vegetation, and extension from edges of stream channel to height of one site potential tree(^2), or 100 feet slope distance, whichever is greatest.</td>
</tr>
</tbody>
</table>

---

2. Site potential tree, depending on site class, is an average maximum height of the tallest dominant tree, \(\geq 200\) years old.
3. Intermittent stream defined as any nonpermanent flowing drainage feature with a definable channel having evidence of annual scour or deposition, includes ephemeral streams meeting these physical criteria.

---

### Grazing Standards and Guidelines for Shasta-Trinity and Klamath National Forests

- Adjust grazing practices to eliminate impacts that retard or prevent attainment of Aquatic Conservation Strategy objectives. If adjusting practices is not effective, eliminate grazing.
- Locate new livestock handling and/or management facilities outside Riparian Reserves. For existing livestock handling facilities inside the Riparian Reserve, ensure that Aquatic Conservation Strategy objectives are met. Where these objectives cannot be met, require relocation or removal of such facilities.
- Limit livestock trailing, bedding, watering, loading, and other handling efforts to those areas and times that will ensure Aquatic Conservation Strategy objectives are met.

From Shasta - Trinity LRMP
Shasta River TMDL Action Plan - Appendix F

<table>
<thead>
<tr>
<th>BLM Grazing Management Measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grazing management must provide an adequate cover and height of vegetation on the banks and overflow zones to promote natural stream function (sediment filtering, bank building, flood energy dissipation, aquifer recharge and water storage).</td>
</tr>
<tr>
<td>Control the timing of grazing to prevent damage to streambanks when they are most vulnerable to trampling.</td>
</tr>
<tr>
<td>Ensure sufficient vegetation during periods of high flow to protect streambanks, dissipate energy, and trap sediment.</td>
</tr>
<tr>
<td>Techniques that restrict livestock from riparian areas, including fencing or fence relocation, barriers such as thickets or brush windrows, water gaps in erosion-resistant stream reaches, hardened crossings or water access, and relocation of bed grounds and management facilities.</td>
</tr>
</tbody>
</table>


Shasta River TMDL Action Plan - Appendix G

<table>
<thead>
<tr>
<th>Examples of Select Management Measures for Timber Harvest Activities on Non-federal Lands from the 2006 California Forest Practice Rules</th>
</tr>
</thead>
<tbody>
<tr>
<td>Every timber operation shall be planned and conducted to prevent deleterious interference with watershed conditions that primarily limit the values set forth in “the rules” (e.g. sediment load increase where sediment is the limiting factor, thermal load increase where water temperature is the primary limiting factor, etc). Section 916.9, 936.9 (a)</td>
</tr>
<tr>
<td>Comply with the terms of a Total Maximum Daily Load that has been adopted to address factors that may be affected by timber operations, if a TMDL has been adopted, or not result in any measurable sediment load increase to watercourses of lakes. Section 916.9, 936.9 (a) (1)</td>
</tr>
<tr>
<td>Not result in any measurable stream flow reduction during critical low water periods except as part of an approved water drafting plan. Section 916.9, 936.9 (a) (4)</td>
</tr>
<tr>
<td>Protect maintain and restore the quality and quantity of vegetative canopy needed to: (a) provide shade to the watercourse or lake, (b) minimize daily and seasonal temperature fluctuations, and (c) maintain daily and seasonal temperature within the preferred range for anadromous salmonids. Section 916.9, 936.9 (a) (6)</td>
</tr>
<tr>
<td>Any timber operations or silvicultural prescriptions within 150 feet of any Class I watercourse or lake transition line or 100 feet of any Class II watercourse or lake transition line shall have protection, maintenance, or restoration of beneficial uses of water or the populations and habitat of anadromous salmonids or listed aquatic or riparian-associated species as significant objectives. Section 916.9, 936.9 (c)</td>
</tr>
<tr>
<td>The minimum WLPZ width for Class I waters shall be 150 feet from the watercourse or lake transition line. Section 916.9, 936.9 (f)</td>
</tr>
<tr>
<td>Within a WLPZ for Class I waters, at least 85 percent overstory canopy shall be retained within 75 feet of the watercourse or lake transition line. Section 916.9, 936.9 (g)</td>
</tr>
</tbody>
</table>
ACTION PLAN FOR THE KLAMATH RIVER TOTAL MAXIMUM DAILY LOADS ADDRESSING TEMPERATURE, DISSOLVED OXYGEN, NUTRIENT, AND MICROCYSTIN IMPAIRMENTS IN THE KLAMATH RIVER IN CALIFORNIA AND LOST RIVER IMPLEMENTATION PLAN

The Klamath River Basin in California, including all tributaries, comprises approximately 12,680 square miles (7,414,761 acres) and is located in Del Norte, Humboldt, Trinity, Siskiyou, and Modoc Counties.

This Action Plan for the Klamath River includes temperature, dissolved oxygen, nutrients, organic matter, and microcystin total maximum daily loads (TMDLs) for the Middle and Lower Hydrologic Areas of the Klamath River, and references the Lower Lost River TMDLs established by the United States Environmental Protection Agency (USEPA).

The Action Plan also contains an implementation plan applicable to actions within the entire Klamath River Basin (or watershed) in California, including the Lost River watershed. The implementation actions are necessary to achieve the TMDLs and attain temperature, dissolved oxygen, biostimulatory substances, and toxicity water quality standards, including the protection and restoration of the beneficial uses of water in the Klamath River Basin. The Klamath River TMDL Action Plan sets out the loads and conditions to be considered and incorporated into regulatory and non-regulatory actions in the Klamath River Basin. The Lost River Implementation Plan sets out the conditions to be considered and incorporated into regulatory and non-regulatory actions in the Lost River basin.

I. Problem Statement

In 1996, the Klamath River mainstem was listed as impaired for organic enrichment/low dissolved oxygen (DO) from Iron Gate Reservoir to the Scott River, and for nutrient and temperature impairment in the remainder of the basin pursuant to section 303(d) of the Clean Water Act. In 1998, the Klamath River watershed was listed for nutrient and temperature impairment from Iron Gate Reservoir to the Scott River, and the Klamath River mainstem was listed for organic enrichment/low dissolved oxygen in the reaches upstream of Iron Gate Reservoir and downstream of the Scott River. Iron Gate and Copco Reservoirs and the intervening reach of the Klamath River were listed for the blue-green algae toxin microcystin impairment in 2006. The 303(d) listings were confirmed in the Klamath River TMDL analysis.

Dissolved oxygen concentrations are regularly too low to comply with the Basin Plan dissolved oxygen objectives. Water temperature conditions regularly exceed temperature thresholds protective of salmonids. Low dissolved oxygen concentrations and elevated water temperatures in the Klamath River, its tributaries, Copco 1 and Copco 2, and Iron Gate Reservoirs, and seasonal algae blooms have resulted in degraded water quality conditions that do not meet applicable water quality objectives and that impair designated beneficial uses. The designated beneficial uses that are not fully supported include: cold freshwater habitat (COLD); rare, threatened, and endangered species (RARE); migration of aquatic organisms (MIGR); spawning, reproduction, and/or early development of fish (SPWN); commercial and sport fishing (COMM); Native American cultural use (CUL); subsistence fishing (FISH); and contact and non-contact water recreation (REC-1 and REC-2).

The designated beneficial uses associated with the cold freshwater salmonid fishery (COMM, COLD, RARE, MIGR, and SPWN) and Native American cultural use and subsistence fishing (CUL and FISH) are interrelated and are the designated beneficial uses most sensitive to the water quality impairments of the Klamath River. Important species in the Klamath River watershed include coho and Chinook salmon, trout, green sturgeon, eulachon, and Pacific lamprey.

II. Watershed Restoration Efforts

Throughout the Klamath River watershed in California, many individuals, groups, and agencies have been working to enhance and restore fish habitat and water quality. These groups include, but are not limited to the United States Forest Service (USFS), the United States Fish and Wildlife Service, NOAA-Fisheries, the United States Bureau of Reclamation (USBR), the Natural Resource Conservation Service, the Klamath River Basin Fisheries Task Force, the California Department of Fish and Game (CDFG), the California Department of Water Resources, the Klamath Tribe, Hoopa Valley Tribe, Karuk Tribe, and Yurok Tribe, the Quartz Valley Indian Reservation, the Resighini...
Rancheria, the Five Counties Salmonid Conservation Program, local Resource Conservation Districts, local and national environmental and conservation groups, local irrigation districts, local watershed groups, and private timber companies. Their past and present efforts have improved water quality conditions in the Klamath River and its tributaries.

On February 18, 2010, participants in the Klamath settlement process signed the Klamath Basin Restoration Agreement (KBRA) and Klamath Hydroelectric Settlement Agreement (KHSA). The KBRA is intended to result in effective and durable solutions which will: 1) restore and sustain natural fish production and provide for full participation in ocean and river harvest opportunities of fish species throughout the Klamath Basin; 2) establish reliable water and power supplies which sustain agricultural uses, communities, and National Wildlife Refuges; and 3) contribute to the public welfare and the sustainability of all Klamath Basin communities.

The KHSA lays out the process for additional studies, environmental review, and a decision by the Secretary of the Interior (Secretarial Determination) regarding whether removal of four dams owned by PacifiCorp: 1) will advance restoration of the salmonid fisheries of the Klamath Basin; and 2) is in the public interest, which includes but is not limited to consideration of potential impacts on affected local communities and tribes. The four dams are Iron Gate, J.C. Boyle, Copco 1 and Copco 2 dams on the Klamath River. The KHSA includes provisions for the interim operation of the dams and the process to transfer, decommission, and remove the dams (Summary of Klamath Basin Settlement Agreements, 2010).

III. Temperature

A. Klamath River Temperature Source Analysis

The Klamath River Watershed Temperature TMDL addresses the heat loads that arise from seven sources:

1. Conditions of Klamath River water crossing the Oregon-California border (Stateline).
2. Thermal discharges from Copco 2 and Iron Gate Reservoirs.
3. The impoundment of water in the Copco 1, Copco 2, and Iron Gate Reservoirs.
4. Temperature effects of Iron Gate Hatchery.
5. Temperature effects of major tributaries on Klamath River temperatures.
7. Effects of excess anthropogenic sediment loads.

B. Klamath River Temperature TMDL

The Klamath River Temperature TMDL is set equal to the loading capacity. The loading capacity is the maximum amount of pollutant loading that can occur while still achieving water quality objectives and protecting beneficial uses. For the temperature TMDL the water quality objective of concern is the temperature objective, which prohibits the alteration of the natural receiving water temperature unless such alteration does not adversely affect beneficial uses. The loading capacity provides a reference for calculating the amount of pollutant load reduction needed to bring a water body into compliance with standards. The starting point for the load allocation analysis is the equation that describes the total maximum daily load or loading capacity:

$$TMDL = Loading\; Capacity = \Sigma WLAs + \Sigma LAs + Natural\; Background + MOS$$

where $\Sigma$ = the sum, WLAs = waste load allocations, LAs = load allocations, and MOS = margin of safety. Waste load allocations are contributions of a pollutant from point sources, while load allocations are contributions from human-caused (anthropogenic) nonpoint sources.

This TMDL allocates no temperature increases year-round, thus the load and waste load allocations are zero, and the Temperature TMDL is:

Temperature TMDL

= Loading Capacity

= 0 increase over natural temperatures

= 0 anthropogenic heat load at Stateline

+ 0 heat load discharge from Copco 2 and Iron Gate Reservoirs

+ 0 heat load discharge from Iron Gate Hatchery

+ 0 heat load discharge from tributaries

+ 0 heat load from excess solar radiation

+ 0 heat load from anthropogenic sediment loads

= natural background

Excess sediment is defined herein as soil, silt, sand, clay or other similar material rock, and/or sediments (e.g. sand silt, sand, or clay) discharged to waters of the state in an amount that could be deleterious to beneficial uses or cause a nuisance.

Natural temperatures are those water temperatures that exist in the absence of anthropogenic influences, and are equal to natural background.
4. IMPLEMENTATION PLANS

C. Klamath River Temperature Load Allocations

In accordance with the Clean Water Act, the Klamath River Temperature TMDL is allocated to the sources of elevated temperature in the watershed. The Iron Gate Fish Hatchery is the one point-source heat load in the Klamath River watershed. The interstate water quality objective for temperature prohibits the discharge of thermal waste to the Klamath River, and therefore the waste load allocation for Iron Gate Hatchery is set to zero, as monthly average temperatures. The TMDL addresses elevated temperatures from natural and nonpoint anthropogenic sources. The nonpoint sources include: (1) excess solar radiation, expressed as its inverse, shade; (2) heat loads associated with increased sediment loads; (3) heat loading from impoundments; and (4) heat loads from Oregon. The assigned load allocations for temperature are expressed in Table 4-15.

D. Klamath River Temperature Margin of Safety, Seasonal Variations, and Critical Conditions

The Klamath River Temperature TMDL for California relies on an implicit margin of safety. The intrastate water quality objective for temperature allows for temperature increases of up to 5°F if beneficial uses of water are not adversely affected. For much of the year the in-stream temperature of the Klamath River is too hot to accommodate more heat loading without beneficial uses of water being adversely affected. There are periods in the winter and spring months, however, when temperature increases of up to 5°F may occur without beneficial uses of water being adversely affected. The timing of those periods changes from year to year and is difficult to predict. Therefore, this TMDL takes a conservative approach, allocating no temperature increases year-round. This conservative approach constitutes an implicit margin of safety.

To account for annual and seasonal variability, the Klamath River Temperature TMDL analysis evaluated temperatures and thermal processes throughout the calendar year. The seasonal variability is accounted for in the load allocations for temperature, described above, which do not allow for temperature increases during any part of the year.

IV. Dissolved Oxygen

A. Klamath River Dissolved Oxygen Source Analysis

The Klamath River dissolved oxygen (DO) source analysis quantified nutrient and organic matter pollutant loads from fourteen geographic areas or entities (called “source areas”) within the Klamath River Basin. Each source area has a different combination of source categories - processes at work which contribute to the load from that source area. The geographic source areas are generally grouped as follows:

- Stateline: Waters entering California from Oregon at Stateline, which includes the Williamson and Sprague River watersheds, Upper Klamath Lake, the Lost River watershed that drains the USBR’s Klamath Project and includes one municipal point source in California, municipal and industrial point sources to the Klamath River in Table 4-15: Temperature Load Allocations

<table>
<thead>
<tr>
<th>Source</th>
<th>Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Excess Solar Radiation (expressed as effective shade)</td>
<td>The shade provided by topography and full potential vegetation conditions at a site, with an allowance for natural disturbances such as floods, wind throw, disease, landslides, and fire.</td>
</tr>
<tr>
<td>Increased Sediment Loads</td>
<td>Zero temperature increase caused by substantial human-caused sediment-related channel alteration.</td>
</tr>
<tr>
<td>Impoundment Discharges</td>
<td>Zero temperature increase above natural temperatures.</td>
</tr>
<tr>
<td>Reservoirs</td>
<td>See dual temperature - dissolved oxygen allocation, below in Section IV. C.</td>
</tr>
<tr>
<td>Klamath River at Stateline</td>
<td>Zero increase above natural temperatures.</td>
</tr>
</tbody>
</table>

24 These allocations are assigned to the Klamath River Middle and Lower Hydrologic Areas. Major tributaries are not assigned temperature allocations because the Scott, Shasta and Salmon River watersheds already have assigned allocations, and the Lost and Trinity Rivers are not listed as impaired for temperature.

25 Substantial human-caused sediment-related channel alteration: A human-caused alteration of stream channel dimensions that increases channel width, decreases depth, or removes riparian vegetation to a degree that alters stream temperature dynamics and is caused by increased sediment loading.

26 The temperature allocations for the discharges from Copco 1 and 2 and Iron Gate Reservoirs are based on the natural increase in water temperature within the river reaches occupied by those reservoirs, and assessed based on monthly average temperatures.
Oregon, and Klamath River waters passing through Keno and JC Boyle Reservoirs. Oregon’s Klamath River TMDL source analysis evaluates the contributions from these discrete sources on the water quality of the Klamath River in Oregon.

- Klamath Hydroelectric Project facilities in California: Copco 1 and 2 and Iron Gate Reservoirs – Copco 1 and 2 Reservoirs are treated as a single source for the purposes of this TMDL.

- Iron Gate Hatchery.

- Tributaries: Four individual rivers (Shasta, Scott, Salmon, and Trinity rivers) are included as discrete source areas, while groups of smaller creeks are combined into six additional source areas (Stateline to Iron Gate Dam reach tributaries, Iron Gate Dam to Shasta River, Shasta River to Scott River, Scott River to Salmon River, Salmon River to Trinity River, and Trinity River to Turwar Creek).

B. Klamath River Dissolved Oxygen TMDL

The TMDLs addressing dissolved oxygen and nutrient-related water quality impairments, including microcystin, are closely interrelated because of the strong relationship between biostimulatory conditions, decomposition of organic matter, and resulting dissolved oxygen conditions. The Klamath River TMDLs for California are calculated to attain and maintain Site Specific Objectives (SSOs) for DO in the Klamath River in California. The SSOs for DO and associated DO load allocations are the primary driver in establishing the nutrient and organic matter loading capacity for the river reaches of the Klamath River in California. Stateline and tributary allocations for the nutrients (total nitrogen (TN) and total phosphorus (TP)) and organic matter (CBOD) were set to ensure that the site-specific DO objectives are met in the river reaches in California. Thus, achievement of the Klamath River Nutrient and Organic Matter TMDL constitutes achievement of the Klamath River Dissolved Oxygen TMDL, except in Copco 1 and 2 and Iron Gate Reservoirs, which were assigned additional nutrient load allocations, as described below.

C. Klamath River Dissolved Oxygen Load Allocations

Achievement of the nutrient and organic matter allocations at Stateline and the tributary nutrient and organic matter allocations will not result in compliance with the DO and temperature load allocations within Copco 1, Copco 2, and Iron Gate Reservoirs during periods of thermal stratification. Therefore, additional dissolved oxygen load allocations are assigned to the reservoirs for the period of May through October to ensure compliance with the SSOs for DO and temperature objectives within the reservoirs, and ensure support of the cold freshwater habitat (COLD) beneficial use.

The temperature and DO allocations for waters within Copco 1, Copco 2, and Iron Gate Reservoirs are dual allocations, wherein achievement of the water quality objective for temperature must coincide with dissolved oxygen conditions compliant with the SSOs for DO, and vice versa. Allocations for dissolved oxygen and temperature equate to a “compliance lens” where both DO and temperature conditions meet objectives for water temperature and DO and are therefore protective of the COLD and MIGR beneficial uses.

The allocation applies during the critical period of May 1st through October 31st and requires that DO concentrations be consistent with the SSOs for DO included in Table 3-1a and overlap temperatures consistent with natural water temperatures at the point of entry to the reservoirs within a lens throughout the reservoir, or alternative in-reservoir temperature and DO conditions that provide equal or better protection of COLD and MIGR.

The volume of each reservoir’s compliance lens is equal to the average hydraulic depth of the river in a free-flowing state for the width and length of the reservoir. The depth at which the compliance lens occurs within the reservoirs will vary, as will the instantaneous mass of DO required to meet the DO objective.

D. Klamath River Dissolved Oxygen Margin of Safety, Seasonal Variations, and Critical Conditions

To account for annual and seasonal variability, the Klamath River Dissolved Oxygen TMDL analysis evaluated DO processes throughout the calendar year. The seasonal variability is accounted for in the load allocations for nutrients and organic matter which are set to ensure that the site-specific DO objectives are met in the river reaches in California.

27 The allocations for organic matter are expressed as CBOD, and refer to CBOD-ultimate. The water quality models represent CBOD as organic matter; it is converted to CBOD-ultimate for TMDL allocation calculations.
The margin of safety for the Dissolved Oxygen TMDL is an implicit margin of safety as described in Section V.D.

V. Nutrient and Organic Matter

A. Klamath River Nutrient and Organic Matter Source Analysis

The Klamath River Nutrient, Organic Matter, and Dissolved Oxygen TMDLs rely on a single source analysis. That source analysis is described in Section IV.A above.

B. Klamath River Nutrient and Organic Matter TMDLs

The nutrient TMDLs are expressed in terms of total phosphorus (TP) and total nitrogen (TN). The organic matter TMDL is expressed in terms of carbonaceous biochemical oxygen demand or CBOD\textsuperscript{28}.

The nutrient and organic matter TMDLs for the Klamath River in California are equal to the sum of waste load allocations, load allocations, and natural background loads for each parameter. The only waste load allocation assigned for these TMDLs is to the Iron Gate Hatchery. The contribution of natural background TP, TN, and CBOD loads are incorporated into the load allocations for each source area. Accordingly, the TMDL equations for TP, TN, and CBOD take the form of the following equation:

$$\text{TP, TN, and CBOD TMDLs} = \text{Loading Capacity} = \Sigma \text{WLAs} + \Sigma \text{LAs}$$

where $\Sigma$ = the sum, WLAs = waste load allocations, and LAs = load allocations.

The TP TMDL for the Klamath River in California equals 1,845 pounds per day. The TN TMDL for the Klamath River in California equals 14,985 pounds per day. The CBOD TMDL for the Klamath River in California equals 143,019 pounds per day.

C. Klamath River Nutrient and Organic Matter Load Allocations

The loading capacity and associated load and waste load allocations for TP, TN, and organic matter (CBOD) for the Klamath River in California, including Copco 1, Copco 2, and Iron Gate Reservoirs, are expressed in lbs/day, and are presented in Table 4-16.

D. Klamath River Nutrient, Organic Matter, and Microcystin Margin of Safety, Seasonal Variations, and Critical Conditions

The Klamath River Dissolved Oxygen, Nutrient and Organic Matter, and Microcystin TMDLs rely on an implicit margin of safety. An implicit margin of safety was deemed appropriate because uncertainty was reduced in the analysis by applying a comprehensive, dynamic numerical model. The model takes advantage of available data collected over multiple years, and through a series of mathematical computations represents the cause-effect relationship between discrete sources and water quality conditions throughout the Klamath's riverine, reservoir, and estuarine portions. By representing conditions in great detail spatially and temporally, the model effectively considers a spectrum of conditions that may be overlooked by a simpler analysis. It was determined that the largest source of uncertainty in this system is the highly variable and dominant loading from Upper Klamath Lake rather than the numeric water quality model.

Conservative assumptions that make up the implicit margin of safety are as follows:

- The numeric model used to predict the impact of allocations assumes that sediment oxygen demand (SOD) does not improve in the riverine sections following upstream load reductions. The magnitude of SOD will likely decrease with the decrease of organic loading allocated by the TMDL, and result in increased DO concentrations over time.

- Predicted conditions in the Klamath River are strongly influenced by the predicted variable conditions of the Upper Klamath Lake TMDL. Conservative allocations were set by using a combination of the predicted conditions. The timing of the allocations within Oregon is based on the scenario which represents the greatest loading from Upper Klamath Lake (i.e. results in the longest period of water quality not meeting numeric criterion). The magnitudes of the allocations are based on median loading conditions from Upper Klamath Lake. This is

\textsuperscript{28} CBOD is converted to CBOD-ultimate for TMDL allocation calculations. CBOD-ultimate is a measurement of oxygen consumed after sixty to ninety days of incubation.
Table 4-16: Nutrient and Organic Matter Load Allocations (lbs/day)

<table>
<thead>
<tr>
<th>Source Area</th>
<th>Daily TP Load Allocations (lbs.)</th>
<th>Daily TN Load Allocations (lbs.)</th>
<th>Daily CBOD Load Allocations (lbs.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stateline</td>
<td>245+</td>
<td>3,139+</td>
<td>19,067+</td>
</tr>
<tr>
<td>Upstream of Copco 1 Reservoir</td>
<td>(61)+</td>
<td>(330)+</td>
<td>(5,713)+</td>
</tr>
<tr>
<td>Stateline to Iron Gate Dam inputs</td>
<td>22+</td>
<td>339+</td>
<td>1,793+</td>
</tr>
<tr>
<td>∆ Iron Gate Hatchery</td>
<td>0+</td>
<td>0+</td>
<td>0+</td>
</tr>
<tr>
<td>Tributaries between Iron Gate Dam and the Shasta River</td>
<td>49+</td>
<td>317+</td>
<td>3,039+</td>
</tr>
<tr>
<td>Shasta River</td>
<td>75+</td>
<td>220+</td>
<td>2,406+</td>
</tr>
<tr>
<td>Tributaries between Shasta River and the Scott River</td>
<td>17+</td>
<td>97+</td>
<td>871+</td>
</tr>
<tr>
<td>Scott River</td>
<td>87+</td>
<td>1,279+</td>
<td>13,608+</td>
</tr>
<tr>
<td>Tributaries between Scott River and the Salmon River</td>
<td>187+</td>
<td>1,050+</td>
<td>9,423+</td>
</tr>
<tr>
<td>Salmon River</td>
<td>193+</td>
<td>1,583+</td>
<td>18,428+</td>
</tr>
<tr>
<td>Tributaries between Salmon River and the Trinity River</td>
<td>90+</td>
<td>504+</td>
<td>4,519+</td>
</tr>
<tr>
<td>Trinity River</td>
<td>762+</td>
<td>5,783+</td>
<td>66,571+</td>
</tr>
<tr>
<td>Tributaries between Trinity River and the Turwar Creek</td>
<td>179+</td>
<td>1,004+</td>
<td>9,007+</td>
</tr>
<tr>
<td>Total Maximum Daily Load</td>
<td>1,845</td>
<td>14,985</td>
<td>143,019</td>
</tr>
</tbody>
</table>

conservative because allocations are based on the difference from a baseline condition. The closer the concentration or temperature is to the numeric criteria, the less loading is necessary to cause a measurable degradation.

- An empirical analysis suggests that the TMDL model may underestimate nutrient loss and retention within the Klamath River. The underestimate does not appear to be large. However, this potential underestimate results in more conservative allocations upstream.

- The year chosen for developing the water quality models and establishing the TMDL was selected because it included periods of critical low flow and poor water quality conditions, which results in more stringent load allocations.

- Allocations to nonpoint sources are for all nutrients (TN, TP, and CBOD), not just the predicted limiting nutrient.

- Year 2000 flows are less than more recent flow requirements (i.e., USBR Klamath Project Operations and PacifiCorp Klamath Hydro Project Biological Opinion flows).

VI. Microcystin

A. Klamath River Microcystin Source Analysis

The sources of microcystin in the Klamath River were identified and quantified as part of one source analysis that addressed dissolved oxygen, nutrients and organic matter, and microcystin together, as described in Section IV.A above.

B. Load Allocations

The microcystin impairment is addressed by total phosphorus (TP) and total nitrogen (TN) load allocations, or alternative pollutant load reductions and/or alternative management measures or offsets, assigned to the owner(s) or operator(s) of Copco 1, Copco 2, and Iron Gate Reservoirs in order to achieve the in-reservoir chlorophyll-a, *Microcystis aeruginosa*, and microcystin target conditions protective of beneficial uses. The TP and TN load allocations that apply to PacifiCorp at a location upstream of Copco 1 equal:
4. IMPLEMENTATION PLANS

§ 67,048 pounds TP/year (184 lbs/day);
§ 1,025,314 pounds TN/year (2,809 lbs/day);

and equate to the following annual reductions below
the nutrient allocations at Stateline (to be achieved
above Copco 1 Reservoir):

§ 22,367 pounds TP/year (61 lbs/day);
§ 120,577 pounds TN/year (330 lbs/day).

C. Klamath River Microcystin Margin of Safety,
Seasonal Variations, and Critical Conditions

The margin of safety, seasonal variations, and
critical conditions for the Microcystin TMDL are
addressed in Section V.D above.

VII. USEPA-Approved Lower Lost River TMDL

The source analysis, TMDL, load allocations, and
discussion of the margin of safety, seasonal
variations, and critical conditions for dissolved
oxygen and pH impairments in the Lower Lost River
are found in the Lost River, California. Total
Maximum Daily Loads for Nitrogen and Biochemical
Oxygen Demand to Address Dissolved Oxygen and
pH Impairments that was established by the United
States Environmental Protection Agency on
December 30, 2008. The Lost River TMDL applies to
the portion of the Lost River in the Mount Dome
Hydrologic Subarea and the Tule Lake Hydrologic
Subarea, together known as the Lower Lost River.

VIII. Klamath River and Lost River
Implementation Plan

This implementation plan describes the specific
actions that the Regional Water Board and other
responsible parties shall implement to achieve the
Klamath River and Lower Lost River TMDLs and
meet temperature, dissolved oxygen, biostimulatory,
and toxicity water quality standards in the Klamath
River Basin. The implementation plan addresses
sources of impairment throughout the Klamath River
Basin, which includes the Lost River, the Shasta
River, the Scott River, the Salmon River, the Trinity
River, and all other tributary basins. The
implementation plan gives consideration to the
existing TMDL implementation plans in the Salmon,
Scott, and Shasta basins.

The implementation plan includes a prohibition on
unauthorized discharges that violate water quality
objectives, guidance on the control of sediment
waste discharges, a Thermal Refugia Protection
Policy, and implementation actions that are assigned
to specific responsible parties as presented in Table
4-18.

A. Coordination with Oregon

Achieving compliance with the Klamath River
TMDLs in both California and Oregon requires a
coordinated approach that involves state and federal
agencies as well as responsible parties in both
states. The Regional Water Board, Oregon
Department of Environmental Quality (ODEQ), and
USEPA Regions 9 and 10 have signed a
Memorandum of Agreement (MOA) for implementing
the Klamath River Basin TMDLs. The process will
accommodate short-term measures working in
concert with longer-term programs to achieve full
compliance. This plan encourages implementation of
large scale, engineered projects designed to reduce
nutrient loads to the Klamath River in Oregon and
California. Critical participants in this effort include
the U.S. Bureau of Reclamation (USBR) and U.S.
Fish and Wildlife Service; both federal agencies that
have control over discharges from the Lost River
basin that impact water quality in the mainstem
Klamath River. Regional Water Board, ODEQ, and
USEPA are working to develop a Klamath Basin
water quality improvement tracking and accounting
program. The cooperation and participation of
PacifiCorp has been instrumental in supporting this
endeavor. As planned, this program would provide a
mechanism to allow for collaboration among basin
stakeholders on common projects and calculates
credit towards meeting regulatory requirements
through offsite mitigation.

B. Nonpoint Source Implementation

The implementation actions described in Table 4-18
are necessary to implement the 2004 Statewide
Nonpoint Source Pollution Control Program (NPS
Policy). The NPS Policy requires the Regional Water
Board to regulate all nonpoint source discharges of
waste through some combination of regulatory tools
that include Waste Discharge Requirements
(WDRs), conditional waivers of WDRs, and Basin
Plan prohibitions. For all currently unregulated
nonpoint source discharges, the implementation
plan directs the Regional Water Board to develop
one or more regulatory tools as needed to control
nonpoint source discharges of waste and implement
the TMDLs. The implementation plan encourages
and builds upon on-going, proactive restoration and

29 The 2004 Policy for the Implementation and Enforcement of
the Nonpoint Source Pollution Control Program (NPS
Policy).
4. IMPLEMENTATION PLANS

enhancement efforts in the watershed to the extent possible. Responsible parties that manage large land areas in the Klamath River Basin, such as the United States Forest Service, California Department of Transportation, and the Klamath River counties, are currently implementing land management programs that overlap several watersheds. For these parties, the Regional Water Board intends to implement a consistent regionwide approach that streamlines compliance with all existing and future TMDLs and makes efficient use of staff resources. With these goals in mind, the implementation plan seeks to coordinate actions with the existing land management programs with the requirements of the Klamath TMDLs and regionwide nonpoint source program objectives whenever possible.

C. Prohibition of Discharges in Violation of Water Quality Objectives in the Klamath River Basin

Discharges of waste that violate any narrative or numerical water quality objective that are not authorized by waste discharge requirements or other order or action by the Regional or State Water Board are prohibited.

D. Guidance to Control Excess Sediment Discharges

Parties conducting land use activities in the Klamath Basin that have the potential to discharge excess sediment are encouraged to implement the following sequential measures:

1. **Prevent**: Plan, design, and implement the project or activity in such a way that no excess sediment discharge occurs or could occur to waters of the state.

2. **Minimize**: If the discharge or threatened discharge of excess sediment cannot be fully prevented, then plan, design, and implement the project in such a way that discharges to waters of the state are minimized to the maximum extent possible.

Parties responsible for existing sediment sources should implement the following measures:

1. **Inventory**: Identify sources of excess sediment discharge or threatened discharge and quantify the discharge or threatened discharge from the source(s).

2. **Prioritize**: Prioritize efforts to control the inventoried sediment sources based on, but not limited to, severity of threat to water quality and beneficial uses, the feasibility of source control, and source site accessibility.

3. **Schedule**: Develop a schedule to implement the cleanup of excess sediment discharge sites.

4. **Implement**: Develop and implement feasible sediment control practices to prevent, minimize, and control the discharge.

5. **Monitor and Adapt**: Use monitoring results to direct adaptive management in order to refine excess sediment control practices and implementation schedules.

This guidance is suggestive only and in no way limits the enforcement authority of the Regional Water Board under applicable law.

E. Thermal Refugia Protection Policy

The Thermal Refugia Protection Policy provides enhanced protection of thermal refugia along the mainstem Klamath River and in the lower Scott River. Thermal refugia are typically identified as areas of cool water created by inflowing tributaries, springs, seeps, upwelling hyporheic flow, and/or groundwater in an otherwise warm stream channel offering refuge habitat to cold-water fish and other cold water aquatic species. The refugia created by tributaries in the Klamath River Basin are typically in the plumes and pools of cold water that form in the mainstem at the tributary confluence. Refugia can also exist in tributary streams themselves. Thermal refugia are essential to the support of the cold water fishery because they moderate naturally elevated temperatures in the mainstem Klamath River.

1. **Discharge Restriction In and Around Thermal Refugia**

Parties conducting activities associated with suction dredging in the Klamath Basin are restricted from discharging waste in and around known thermal refugia within a specified instream buffer unless that activity is regulated by a separate regulatory mechanism such as WDRs, waiver(s) of WDRs, and/or a 401 water quality certification. The restriction applies April 15 to September 15 to protect thermal refugia when they are typically functioning in the mainstem Klamath River. The known thermal refugia locations are designated in Table 4-17 below.
Table 4-17: Tributaries to the Klamath River

Known to Provide Thermal Refugia In and Around Their Confluence.

<table>
<thead>
<tr>
<th>Tributaries</th>
<th>Aikens Creek</th>
<th>Halverson Creek</th>
<th>Pine Creek</th>
</tr>
</thead>
<tbody>
<tr>
<td>Aubrey Creek</td>
<td>Hopkinson Creek</td>
<td>Portuguese Creek</td>
<td></td>
</tr>
<tr>
<td>Barkhouse Creek</td>
<td>Horse Creek</td>
<td>Red Cap Creek</td>
<td></td>
</tr>
<tr>
<td>Beaver Creek</td>
<td>Humbug Creek</td>
<td>Reynolds Creek</td>
<td></td>
</tr>
<tr>
<td>Blue Creek</td>
<td>Hunter Creek</td>
<td>Roach Creek</td>
<td></td>
</tr>
<tr>
<td>Bluff Creek</td>
<td>Ikes Creek</td>
<td>Rock Creek</td>
<td></td>
</tr>
<tr>
<td>Bogus Creek</td>
<td>Independence Creek</td>
<td>Rogers Creek</td>
<td></td>
</tr>
<tr>
<td>Boise Creek</td>
<td>Indian Creek</td>
<td>Rosaleno Creek</td>
<td></td>
</tr>
<tr>
<td>Boulder Creek 1</td>
<td>Irving Creek</td>
<td>Sandy Bar Creek</td>
<td></td>
</tr>
<tr>
<td>Cade Creek</td>
<td>Kelsey Creek 1</td>
<td>Salt Creek</td>
<td></td>
</tr>
<tr>
<td>Camp Creek</td>
<td>King Creek</td>
<td>Seiad Creek</td>
<td></td>
</tr>
<tr>
<td>Canyon Creek 1</td>
<td>Kohi Creek</td>
<td>Slate Creek</td>
<td></td>
</tr>
<tr>
<td>Cappell Creek</td>
<td>Kuntz Creek</td>
<td>Stanshaw Creek</td>
<td></td>
</tr>
<tr>
<td>Cheenitch Creek</td>
<td>Ladds Creek</td>
<td>Swillup Creek</td>
<td></td>
</tr>
<tr>
<td>China Creek</td>
<td>Little Horse Creek</td>
<td>Ten Eyck Creek</td>
<td></td>
</tr>
<tr>
<td>Clear Creek</td>
<td>Little Humbug Creek</td>
<td>Thompson Creek</td>
<td></td>
</tr>
<tr>
<td>Coon Creek</td>
<td>Little Grider Creek</td>
<td>Thomas Creek</td>
<td></td>
</tr>
<tr>
<td>Crawford Creek (Humboldt Co.)</td>
<td>Lumgery Creek</td>
<td>Ti Creek</td>
<td></td>
</tr>
<tr>
<td>Crawford Creek (Siskiyou Co.)</td>
<td>McGarvey Creek</td>
<td>Titus Creek</td>
<td></td>
</tr>
<tr>
<td>Dillon Creek</td>
<td>Mill Creek</td>
<td>Tom Martin Creek</td>
<td></td>
</tr>
<tr>
<td>Doggett Creek</td>
<td>Miners Creek</td>
<td>Trinity River</td>
<td></td>
</tr>
<tr>
<td>Dona Creek</td>
<td>McKinney Creek</td>
<td>Tully Creek</td>
<td></td>
</tr>
<tr>
<td>Donahue Flat Creek</td>
<td>Nantucket Creek</td>
<td>Ukonom Creek</td>
<td></td>
</tr>
<tr>
<td>Elk Creek</td>
<td>Negro Creek</td>
<td>Ullathorne Creek</td>
<td></td>
</tr>
<tr>
<td>Elliot Creek</td>
<td>Oak Flat Creek</td>
<td>Walker Creek</td>
<td></td>
</tr>
<tr>
<td>Empire Creek</td>
<td>O’Neil Creek</td>
<td>West Grider Creek</td>
<td></td>
</tr>
<tr>
<td>Fort Goff Creek</td>
<td>Pecwan Creek</td>
<td>Whitmore Creek</td>
<td></td>
</tr>
<tr>
<td>Grider Creek</td>
<td>Pearch Creek</td>
<td>Wilson Creek</td>
<td></td>
</tr>
</tbody>
</table>

1 Scott River tributary

The default instream buffer for all thermal refugia in the Klamath Basin is 500 feet from the tributary confluence with the mainstem river in both the upstream and downstream direction and also upstream into the tributary.

Some thermal refugia require larger instream buffers than the default 500 feet and these site specific buffer lengths are given below. The larger buffers are needed in tributaries where fish have been found over 500 feet upstream of the tributary confluence or where the cold-water plume that creates the refugia extends for a distance greater than 500 feet downstream of the tributary confluence with the Klamath River.

A 3,000 foot buffer length is required in the following tributary creeks upstream of their confluence with the mainstem Klamath River:

- Aubrey, Beaver, Clear, Dillon, Elk, Empire, Fort Goff, Grider, Horse, Indian, King, Little Horse, Little Humbug, Mill, Nantucket, O’Neil, Portuguese, Reynolds, Rock, Sandy Bar, Seiad, Stanshaw, Swillup, Thompson, Ti, and Titus.

A 1,500 foot buffer length is required in the mainstem Klamath River downstream of the confluence with the following tributary creeks:

- Aubrey, Beaver, Clear, Dillon, Elk, Grider, Horse, Indian, King, Little Horse, Little Humbug, Mill, Nantucket, O’Neil, Portuguese, Reynolds, Rock, Sandy Bar, Seiad, Stanshaw, Swillup, Thompson, Ti, and Titus.

2. Revising the Thermal Refugia List and Buffer Designations

The list of thermal refugia locations and/or buffer length designations may be revised through a public process. Persons proposing modification should...
submit supporting evidence to the Executive Officer. The Regional Water Board may add or remove thermal refugia and/or buffer length designations after public notice and opportunity for public comment, and upon final approval of a Basin Plan amendment. The current list and maps showing locations of thermal refugia and designated buffer lengths will be maintained on the Regional Water Board website at: www.waterboards.ca.gov/northcoast/water_issues/programs/tmdls/klamath_river/.

3. Policy Directives and Recommendations

a. Regional Water Board staff shall place heightened scrutiny on permits and 401 water quality certifications for activities that have the potential to impact the function of thermal refugia.

b. The State Water Resources Control Board and the California Department of Fish and Game should restrict discharges associated with suction dredging activities as specified by this policy. This directive in no way limits the permitting agency from implementing more stringent requirements.

c. State Water Resources Control Board staff shall consider the impact of increased diversions in tributaries that provide thermal refugia when issuing water rights permits to divert surface water in the Klamath River Basin in California.

d. It is recommended that large landowners and land managers in the Klamath River Basin prioritize restoration and water quality control efforts in tributary watersheds that provide or otherwise create thermal refugia.

e. In the event that suction dredging is determined to be a point source discharge, the prohibition on point source discharges to the Klamath River shall not apply to suction dredging activities except within the instream buffer lengths designated by this policy.

F. Individual Implementation Plan Actions

The implementation plan actions are organized into Table 4-18 by source or land use activity and by the responsible party(ies) considered appropriate to implement TMDL actions. Responsible parties may find that more than one implementation action is applicable to their circumstances. For each action in Table 4-18, there is a corresponding timeframe, within which the responsible party is expected to implement the action. Action items are fully independent of each other and require 100% implementation within each Source or Land Use category identified in Table 4-18.

IX. Enforcement

The Regional Water Board shall take enforcement actions for violations of this implementation plan where elements of the plan are enforceable restrictions, such as application of the waste discharge prohibitions, or as required under a specific permit or order, as appropriate. Enforcement implementation is ongoing. Nothing in this plan precludes actions to enforce any directly applicable prohibition or provisions found elsewhere in the Basin Plan or to require clean up and abatement of existing sources of pollution where appropriate.

X. Monitoring

A. Compliance Monitoring

Monitoring is an important component in determining the effectiveness of the TMDL implementation measures taken by the responsible parties. It is also important in determining the responsible parties’ progress towards meeting the TMDL allocations. Monitoring by responsible parties shall be conducted upon the request of the Regional Water Board Executive Officer in conjunction with existing and/or proposed activities that have the potential to contribute to the TMDL impairments in the Klamath River Basin. Monitoring may involve implementation, upslope effectiveness, photo documentation, instream and near-stream effectiveness, and/or instream water quality monitoring. The Regional Water Board Executive Officer will base the decision to require monitoring on site-specific conditions, the size and location of the responsible parties’ ownership, and/or the type and intensity of land uses being conducted or proposed. If monitoring is required, the Regional Water Board’s Executive Officer will direct the responsible party to develop a monitoring plan and may describe specific monitoring requirements to include in the plan.
Table 4-18: Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use</th>
<th>Implementation Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stateline Allocations</td>
<td>Action: Work together to implement and monitor measures that will achieve compliance with the Klamath and Lost River TMDLs in Oregon and California as specified in the Klamath River/Lost River TMDL Implementation Memorandum of Agreement (MOA). The MOA includes commitments such as:</td>
</tr>
<tr>
<td>Regional Water Board</td>
<td>§ Work to develop and implement a joint adaptive management program, including joint time frames for reviewing progress and considering adjustments to TMDLs.</td>
</tr>
<tr>
<td>Oregon (ODEQ)</td>
<td>§ Work with the Klamath Basin Monitoring Program (KBMP) and other appropriate entities to develop and implement basinwide monitoring programs designed to track progress, fill in data gaps, and provide a feedback loop for management actions on both sides of the common state border.</td>
</tr>
<tr>
<td>USEPA 9 and 10</td>
<td>§ Work jointly with common implementation parties (e.g., USBR, U.S. Forest Service, USFWS, BLM, PacifiCorp, and the Klamath Water Users Association (KWUA) to develop effective implementation plans and achieve water quality standards.</td>
</tr>
<tr>
<td></td>
<td>§ Explore engineered treatment options such as treatment wetlands, algae harvesting, and wastewater treatment systems to reduce nutrient loads to the Klamath River and encourage implementation of these options where feasible.</td>
</tr>
<tr>
<td></td>
<td>§ Work to develop and implement a basinwide water quality tracking and accounting program that would establish a framework to track water quality improvements, facilitate planning and coordinated TMDL implementation, and enable appropriate water quality offsets or trades.</td>
</tr>
<tr>
<td>Timeline</td>
<td>Ongoing</td>
</tr>
</tbody>
</table>

| U.S. Bureau of Reclamation’s Klamath Project | Action: Develop and implement a Management Agency Agreement (MAA) between USBR, USFWS, TID, and the Regional Water Board that addresses the water quality impacts of the USBR’s Klamath Project. The MAA should include the following action items: |
| Regional Water Board | § Complete a water quality study based on best available science to characterize the seasonal and annual nutrient and organic matter loading through USBR’s Klamath Project and refuges. The study should be completed in time to inform the development of a water quality management plan described in the following bullet. |
| US Bureau of Reclamation (USBR) | § Based on the results of the water quality study, develop a water quality management plan to meet and/or offset the Lower Lost River and Klamath River TMDL allocations. The plan should be submitted to the Regional Water Board for approval by June 28, 2012. |
| US Fish and Wildlife Service (USFWS) | § Include a schedule with interim milestones for meeting the TMDL allocations and targets. |
| Tulelake Irrigation District (TID) | § Coordinate implementation actions with other responsible parties discharging pollutants within USBR’s Klamath Project and refuges. |
| | § Develop a monitoring and reporting program with the Regional Water Board to evaluate the effectiveness of management measures and track progress towards meeting the Lower Lost River and Klamath River TMDL allocations and targets. |
| | § Coordinate with the Klamath River water quality improvement tracking and accounting program in implementing offset projects. |
| | § Periodically report to the Regional Water Board on actions taken to implement the TMDL and progress towards meeting the TMDL allocations and targets. |
| Timeline | Complete the MAA by June 28, 2011. |
### Table 4-18: Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use Activity and Responsible Party</th>
<th>Implementation Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Klamath Hydroelectric Project</strong>&lt;br&gt;PacifiCorp</td>
<td>Action&lt;br&gt;Submit a proposed implementation plan that incorporates timelines and contingencies pursuant to the KHSA. In the event that the KHSA does not move forward, the implementation plan should specify that the Federal Energy Regulatory Commission (FERC) 401 water quality certification process shall resume. Section 6.3.2 of the KHSA describes TMDL implementation to include a timeline for implementing management strategies, water quality-related measures in Appendix D, and Facilities Removal as the final measure. PacifiCorp may propose the use of offsite pollutant reduction measures (i.e., offsets or “trades”) to meet the allocations and targets in the context of the Interim measures 10 and 11 of the KHSA. The implementation plan should identify appropriate intervals whereby PacifiCorp will provide the Regional Water Board updates on the status and progress of the plan, and provide adequate time for review so that select project(s) are ready for construction by the date of the Secretarial Determination. The implementation plan must provide for Regional Water Board review of site specific environmental assessments of dam removal before the Regional Water Board’s approval of that approach as a final TMDL compliance measure.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;By February 26, 2010.&lt;br&gt;<strong>Action</strong>&lt;br&gt;Implement measures to meet and/or offset TMDL allocations and targets as prescribed in the approved implementation plan.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;As required by the approved implementation plan.</td>
</tr>
<tr>
<td><strong>Klamath Hydroelectric Project</strong>&lt;br&gt;State Water Resources Control Board</td>
<td>Action&lt;br&gt;If applicable, process the 401 water quality certification for the FERC relicensing of the Klamath Hydroelectric Project to meet Basin Plan requirements, including Klamath River TMDL allocations and targets. This Action Plan is not intended to constrain the discretion of the State Water Board to determine, as appropriate, time periods required for various studies, options for interim requirements, and methods for final compliance.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;Pursuant to the FERC licensing process timeline.</td>
</tr>
<tr>
<td><strong>Iron Gate Hatchery</strong>&lt;br&gt;Regional Water Board</td>
<td>Action&lt;br&gt;Revise NPDES Permit No. CA0006688 and WDR No. R1-2000-17 to incorporate revised effluent limits to implement the TMDL wasteload allocations, and the recalculated site-specific objectives for dissolved oxygen, and to require that the responsible parties implement measures to improve the water quality of discharges from the Iron Gate Hatchery to meet TMDL allocations and targets on a compliance schedule.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;Bring revised permit to the Regional Water Board for consideration by December 2011.</td>
</tr>
<tr>
<td><strong>Iron Gate Hatchery</strong>&lt;br&gt;PacifiCorp&lt;br&gt;CDFG</td>
<td>Action&lt;br&gt;Implement measures to improve the water quality of discharges from the Iron Gate Hatchery to meet and/or offset the Klamath River TMDL wasteload allocations and targets.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;As specified in the revised NPDES permit.</td>
</tr>
<tr>
<td><strong>Tulelake Wastewater Treatment Plant</strong>&lt;br&gt;Regional Water Board</td>
<td>Action&lt;br&gt;Revise NPDES Permit No. CA0023272 and WDR No. R1-2004-0075 to include a compliance schedule and ensure that the discharge requirements are consistent with the Basin Plan requirements and the Lower Lost River TMDL wasteload allocations.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;Bring revised permit to the Regional Water Board for consideration by June 2012.</td>
</tr>
<tr>
<td><strong>Tulelake Wastewater Treatment Plant</strong>&lt;br&gt;City of Tulelake</td>
<td>Action&lt;br&gt;Implement measures to improve the water quality of discharges from Tulelake Wastewater Treatment Plant to meet the Lower Lost River TMDL wasteload allocations.&lt;br&gt;&lt;br&gt;<strong>Timeline</strong>&lt;br&gt;As specified in the revised NPDES permit.</td>
</tr>
</tbody>
</table>
4. IMPLEMENTATION PLANS

Table 4-18: Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use Activity and Responsible Party</th>
<th>Implementation Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Trinity River Restoration Plan (TRRP)</strong></td>
<td>Action: Develop general Waste Discharge Requirements/401 water quality certification for TRRP mechanical restoration.</td>
</tr>
<tr>
<td>Regional Water Board</td>
<td>Timeline: 2010</td>
</tr>
<tr>
<td>US Bureau of Reclamation</td>
<td>Timeline: Ongoing</td>
</tr>
<tr>
<td><strong>Road Construction and Maintenance on County Lands</strong></td>
<td>Action: The Regional Water Board shall consider adopting a resolution and accompanying waiver for maintenance of county roads certifying the Five Counties Salmonid Conservation Program (5C Program) if it complies with the TMDL and attains standards in accordance with California Impaired Waters Guidance.</td>
</tr>
<tr>
<td>Regional Water Board</td>
<td>Timeline: December 2010</td>
</tr>
<tr>
<td><strong>Road Construction and Maintenance of State Highway Facilities</strong></td>
<td>Action: Implement the measures outlined above to control the discharge of excess sediment from their facilities and comply with the Klamath TMDL allocations even if measures are not incorporated into the statewide Caltrans permit.</td>
</tr>
<tr>
<td>Caltrans</td>
<td>Action: Implement measures to meet the excess solar radiation allocation, even if measures are not incorporated into the statewide Caltrans permit.</td>
</tr>
<tr>
<td></td>
<td>Action: Fully assess all barriers and potential barriers to migration caused by Caltrans road and highway facilities along the mainstem Klamath River and in the tributary watersheds identified in the Thermal Refugia Protection Policy. Develop a priority ranking and time schedule for modifying the identified fish passage barriers to accommodate free passage of fish upstream and downstream.</td>
</tr>
<tr>
<td></td>
<td>Timeline: Caltrans shall submit annual reports to the Regional Water Board documenting progress in implementing the above measures.</td>
</tr>
<tr>
<td><strong>Road Construction and Maintenance on County Lands</strong></td>
<td>Action: Implement measures through the 5C Program.</td>
</tr>
<tr>
<td>Del Norte, Humboldt, Siskiyou, and Trinity Counties</td>
<td>Timeline: Pursuant to the 5C Program timelines.</td>
</tr>
</tbody>
</table>

---

In any resolution certifying that another entity’s program will comply with the TMDL and attain standards, the Regional Water Board must demonstrate in the resolution that the implementing program is consistent with the assumptions and requirements of the TMDL, that sufficient mechanisms exist to provide reasonable assurances that the program will address the impairment in a reasonable period of time, and that sufficient mechanisms exist to ensure that the program will be enforced, or that the Regional Water Board has sufficient confidence that the program will be implemented such that further regulatory action would be unnecessary and redundant. (A Process for Addressing Impaired Waters in California, SWRCB Resolution No. 2005-0050 (June 2005) found on page 6-10.)
### Table 4-18: Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use Activity and Responsible Party</th>
<th>Implementation Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Road Construction and Maintenance of State Highway Facilities</strong>&lt;br&gt;State Water Resources Control Board&lt;br&gt;Regional Water Board</td>
<td><strong>Action</strong>&lt;br&gt;Incorporate the following measures into the NPDES Statewide Storm Water Permit and Waste Discharge Requirements for the State of California, Department of Transportation (Caltrans permit) to address sediment sources from road and highway facilities under Caltrans control:&lt;br&gt;- <strong>Inventory</strong>: Identify sources of excess sediment discharge or threatened discharge and quantify the discharge or threatened discharge from the source(s).&lt;br&gt;- <strong>Prioritize</strong>: Prioritize efforts to control the inventoried sediment sources based on, but not limited to, severity of threat to water quality and beneficial uses, the feasibility of source control, and source site accessibility.&lt;br&gt;- <strong>Schedule</strong>: Develop a schedule to implement the cleanup of excess sediment discharge sites.&lt;br&gt;- <strong>Implement</strong>: Develop and implement feasible sediment control practices to prevent, minimize, and control the discharge.&lt;br&gt;- <strong>Monitor and Adapt</strong>: Use monitoring results to direct adaptive management in order to refine excess sediment control practices and implementation schedules.</td>
</tr>
<tr>
<td><strong>Agricultural Activities on Non-Federal Lands</strong>&lt;br&gt;Regional Water Board</td>
<td><strong>Action</strong>&lt;br&gt;Develop a conditional waiver of WDRs for discharges associated with agricultural activities, including grazing and irrigated agriculture, in the Klamath River Basin. The conditional waiver shall require compliance with the Klamath River TMDL load allocations where they apply and will serve as the means of compliance with the Lower Lost River TMDL load allocations associated with agricultural sources.</td>
</tr>
<tr>
<td><strong>Agricultural Activities on Non-Federal Lands</strong>&lt;br&gt;Responsible Parties (Any party conducting grazing activities or activities associated with irrigated agriculture that discharge waste or have the potential to discharge waste on non-federal land in the Klamath River Basin)</td>
<td><strong>Action</strong>&lt;br&gt;The Regional Water Board recommends the following actions:&lt;br&gt;- <strong>Document past projects and current practices that address sources of pollution from their operations.</strong>&lt;br&gt;- <strong>Organize into watershed groups to report to the Regional Water Board as a group as part of the future waiver program.</strong>&lt;br&gt;- <strong>Participate in the development of the conditional waiver through a Technical Advisory Group that will convene to develop the draft waiver by December 2011.</strong>&lt;br&gt;- <strong>Attend water quality training on implementing management practices and/or water quality management plan development.</strong></td>
</tr>
<tr>
<td><strong>Timber Harvest Activities on Non-Federal Lands</strong>&lt;br&gt;Regional Water Board</td>
<td><strong>Action</strong>&lt;br&gt;The Regional Water Board shall adopt individual watershed-wide and ownership WDRs, in lieu of the general WDR or conditional waiver of WDRs, to achieve the TMDL load allocations and water quality standards as appropriate.</td>
</tr>
</tbody>
</table>

**Timeline**<br>The revised permit is anticipated to be adopted by the State Water Resources Control Board by August 2010, with USEPA adoption anticipated by December 2010.

**Regional Water Board staff shall propose the conditional waiver for Regional Water Board consideration by December 2012.**

**Regional Water Board staff shall make recommendations for additional measures to ensure the water quality objective for temperature is achieved during the timber harvest review process, if necessary.**

**Ongoing**
Table 4-18: Implementation Actions

<table>
<thead>
<tr>
<th>Source or Land Use Activity and Responsible Party</th>
<th>Implementation Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Timber Harvest Activities on Non-Federal Lands</strong></td>
<td>Action</td>
</tr>
<tr>
<td>Responsible Parties (Any party conducting timber harvest activities that discharge waste or have the potential to discharge waste in the Klamath River Basin.)</td>
<td>Timeline</td>
</tr>
<tr>
<td><strong>All Activities on USFS Lands</strong></td>
<td>Action</td>
</tr>
<tr>
<td>Regional Water Board</td>
<td>Timeline</td>
</tr>
<tr>
<td><strong>All Activities on Lands Managed by the USFS</strong></td>
<td>Action</td>
</tr>
<tr>
<td>USFS</td>
<td>Timeline</td>
</tr>
</tbody>
</table>

B. Basin-Wide Monitoring

Basin-wide TMDL monitoring will be coordinated with other monitoring efforts in the Klamath River watershed. The overall goal of TMDL monitoring is to track progress towards meeting the water quality standards and the TMDL allocations. Monitoring results will also be used to reassess the effectiveness and appropriateness of the Action Plan and to make revisions as necessary.

The objectives of the monitoring plan include:
- Assessment of water quality standards attainment.
- Verification of pollution source allocations.
- Calibration or modification of the model used in the TMDL analysis.
- Evaluation of progress towards meeting TMDL allocations.
- Evaluation of point and nonpoint source control implementation and effectiveness.
- Evaluation of instream water quality.
- Evaluation of temporal and spatial trends in water quality.
- Evaluation of the risk to public health related to cyanobacteria and cyanotoxin exposure.
- Evaluation of the functionality of thermal refugia in the Klamath River Basin.
- Provide data for the development of the Klamath River Basin water quality improvement tracking and accounting program.

The Klamath River TMDL monitoring plan is complimentary to other basinwide monitoring programs in the Klamath River Basin including the Klamath Basin Monitoring Program and the Klamath Hydroelectric Settlement Agreement Interim Measure 12 Water Quality Monitoring Plan.

XI. Reassessment and Adaptive Management

The Regional Water Board will review, reassess, and make any necessary revisions to this implementation plan. Regional Water Board staff will report to the Regional Water Board at least yearly on the status and progress of implementation activities, and the attainment of the Klamath TMDLs. Every five years, Regional Water Board staff will conduct a comprehensive and formal assessment of the effectiveness of the implementation plan. During reassessment, the Regional Water Board will consider how effective the requirements of the TMDL implementation plan are at meeting the TMDLs, achieving water quality objectives, and protecting the beneficial uses of water in the Klamath River Basin.

The success of the TMDL will be assessed based on water quality trends in the Klamath River Basin and the degree to which responsible parties are meeting the TMDL load allocations. The monitoring program is designed to track water quality trends and
timelines for meeting target water quality conditions. Progress towards meeting TMDL allocations and targets will be reported by the responsible parties pursuant to monitoring requirements in WDRs, waivers, and other mechanisms. The assessment of responsible party compliance with the TMDL will be based on compliance with applicable WDRs and waivers, water quality certifications and other orders, individual implementation plans, and management agency agreements.

A. Responsible Party Compliance

The items that will be evaluated in the annual and five-year reassessments are shown below in relation to the responsible parties named in the implementation plan.

**USBR, USFWS and TID**
- Timely completion of the MAA and implementation of the MAA measures.
- Water quality monitoring of nutrient and organic matter reductions to meet the load allocations in the Lower Lost River and Klamath River TMDLs in California and Oregon.

**PacifiCorp**
- Reductions in nutrients and organic matter entering the reservoirs.
- Reductions in chlorophyll a concentrations in the reservoirs.
- Effectiveness of temperature and nutrient offset projects as calculated through tracking and accounting program ratios.

**USFS**
- Reporting through waiver monitoring and reporting program on progress to meet TMDL allocations and targets.

**Timber Harvest**
- Reporting through waivers and WDRs for timber harvest projects.

**Agriculture**
- Development of agricultural waiver.
- Implementation and reporting per the waiver program.

**County Roads**
- Compliance with 5 C Program.

**State Roads**
- Adherence to Guidance for Control of Excess Sediment Discharges.
- Incorporation of TMDL implementation measures into Statewide permit.
- Assess migration barriers.
5. PLANS AND POLICIES

INTRODUCTION

The Regional Water Board is required to implement the provisions of several statewide plans and policies. These are listed below, and full copies are included in the Appendix Section of this Plan, unless otherwise indicated.

STATE WATER BOARD PLANS

Thermal Plan

The "Water Quality Control Plan for the Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California" adopted by the State Water Resources Control Board on May 18, 1972, specifies water quality objectives, effluent quality limits, and discharge prohibitions related to thermal characteristics of interstate waters and waste discharges.

Ocean Plan

The "Water Quality Control Plan for Ocean Waters of California" was adopted by the State Water Board on July 6, 1972 and revised in 1978, 1983, 1988, and 1990. This plan establishes beneficial uses and water quality objectives for waters of the Pacific Ocean adjacent to the California Coast outside of enclosed bays, estuaries, and coastal lagoons. Also, the Ocean Plan prescribes effluent quality requirements and management principles for waste discharges and specifies certain waste discharge prohibitions.

The Ocean Plan also provides that the State Water Board shall designate Areas of Special Biological Significance and requires wastes to be discharged at locations which will assure maintenance of natural water quality conditions in these areas.

Nonpoint Source Management Plan

On November 15, 1988, the State Water Board adopted the Nonpoint Source Management Plan pursuant to Section 319 of the Clean Water Act. This plan establishes the framework for statewide nonpoint source activities. The plan identifies nonpoint source control programs and milestones for their accomplishment. The plan emphasizes cooperation with local governments and other agencies to promote the voluntary implementation of Best Management Practices and remedial projects in a three-tiered approach: 1) voluntary implementation, 2) regulatory-based encouragement, and 3) effluent limitations. A copy of the Nonpoint Source Management Plan is not included in the Appendix Section of this Plan. A copy of the Nonpoint Source Management Plan may be requested by contacting the North Coast Regional Water Quality Control Board.

STATE WATER BOARD POLICIES

Policy With Respect to Maintaining High Quality Waters in California (Resolution No. 68-16)

On October 28, 1968, the State Water Board adopted Resolution No. 68-16, "Statement of Policy with Respect to Maintaining High Quality of Waters in California". While requiring the continued maintenance of existing high quality waters, the policy provides conditions under which a change in water quality is allowable. A change must:

- be consistent with maximum benefit to the people of the state;
- not unreasonably affect present and anticipated beneficial uses of water; and
- not result in water quality less than that prescribed in water quality control plans or policies.

Sources of Drinking Water Policy (Resolution No. 88-63)

On May 19, 1988, the State Water Board adopted Resolution No. 88-63, a Policy Entitled "Sources of Drinking Water". This policy was set forth to provide full protection of current and potential sources of drinking water as well as realistic standards for the waters of the State. The policy states that all surface
waters and ground waters are to be considered suitable or potentially suitable, for municipal or domestic water supply, and should be so designated by the regional water boards, with specific exceptions. The policy affirms the authority of the regional water boards to amend the use designations contained in their basin plans, as long as consistency with all applicable regulations adopted by the U.S. Environmental Protection Agency is maintained.

**Bays and Estuaries Policy**

The "Water Quality Control Policy for the Enclosed Bays and Estuaries of California" adopted by the State Water Board on May 16, 1974, provides water quality principles and guidelines for the prevention of water quality degradation and to protect the beneficial uses of waters. Decisions by the Regional Water Board are required to be consistent with the provisions of this policy. This policy does not apply to wastes from vessels or land runoff except as specifically indicated for siltation and combined sewer flows.

**Power Plant Cooling Policy**

The "Water Quality Control Policy on the Use and Disposal of Inland Waters Used for Power Plant Cooling" was adopted by the State Water Board on June 19, 1975. This policy describes the State Water Board's position on power plant cooling, specifying that fresh inland waters should be used for cooling only when other alternatives are environmentally undesirable or economically unsound.

**Reclamation Policy**

On January 6, 1977, the State Water Board adopted Resolution No. 77-1, "Policy with Respect to Water Reclamation in California". This policy requires the regional water boards to conduct reclamation surveys and specifies reclamation actions to be implemented by the State and regional water boards as well as other agencies.

**Shredder Waste Disposal Policy**

On March 19, 1987, the State Water Board adopted Resolution No. 87-22, "Policy on the Disposal of Shredder Waste". This policy describes specific conditions to be enforced by the Regional Water Board with regards to disposal of mechanically destructed car bodies, old appliances, or other similar castoffs at landfills.
6. SURVEILLANCE AND MONITORING

The effectiveness of a water quality control plan cannot be judged without the information supplied by a strong and systematic surveillance and monitoring program. The overall objectives of an adequate water quality surveillance and monitoring program are:

1. To measure achievement of the plan’s water quality objectives.
2. To measure effects of water quality changes on beneficial uses.
3. To measure water quality background conditions and long-term trends.
4. To locate and identify sources of water pollution that pose a threat to the environment.
5. To help relate receiving water quality to mass emissions of pollutants by waste dischargers.
6. To provide data for determining waste discharger compliance with permit conditions.
7. To measure waste loads discharged to a receiving water body and identify the limits of their effect as a necessary step in the development of waste load allocations.
8. To provide documentation to support enforcement of permit conditions required of waste dischargers.
9. To provide data needed to carry on the continuing planning process.
10. To measure the effects of water rights decisions on water quality to guide the State Water Board in its responsibility to regulate unappropriated water for the control of quality.
11. To provide a clearinghouse for water quality data gathered by other agencies and private parties cooperating in the program.
12. To report on water quality conditions as required by federal and state regulations or requested by others.

STATEWIDE MONITORING PROGRAMS

Toxic Substances Monitoring Program

The Toxic Substances Monitoring Program (TSMP) was initiated in 1976 by the State Water Board to provide a uniform statewide approach to the detection and evaluation of toxic substances in organisms found in fresh, estuarine, and marine waters of the State. The California Department of Fish and Game (DFG) carries out the statewide TSMP for the State Water Board under an interagency agreement by collecting and analyzing fish and other aquatic organisms from selected sampling stations. Station selection is based primarily on requests from the regional water boards, but requests from other agencies are also considered. In many instances, the regional water boards request that stations be monitored to meet specific monitoring needs. If no problems are found, or if a problem has been sufficiently studied, that station is dropped to make way for new stations elsewhere. In this way the program can monitor as many locations as possible over time. In addition, a number of stations are sampled on a regular basis to monitor trends or changes in the levels of toxic substances over time.

In the North Coast Region, sampling under TSMP has led to information indicating potential threats to human health and wildlife. Sampling priorities are directed towards areas of immediate concern.

State Mussel Watch Program

The California State Mussel Watch (SMW) Program is a long-term monitoring program administered by the State Water Board. Actual sampling and analysis are performed by the Department of Fish and Game. SMW provides the State Water Board and the six coastal regional water boards with an indication of geographical and temporal (year-to-year) trends in toxic pollutants along the California coast.

Mussels (the common bay mussel, *Mytilus edulis*, and the California mussel, *M. californianus*) have been shown to be efficient bioaccumulators of many toxic substances in their water environment. Further, the sedentary nature of mussels, whether native or transplanted, permits a time integrated sampling of...
6. SURVEILLANCE AND MONITORING

Toxic pollutants at one location. The merits of employing mussels as water quality indicators are well established in the scientific literature, previous SMW reports, and other scientific publications. The North Coast Region will continue to participate in existing SMW monitoring and the development of freshwater applications.

The North Coast Region has been involved in developing freshwater applications of SMW methodology, using freshwater clams, *Corbicula sp.* The North Coast Region has required that some discharges be monitored using these techniques. There are current plans to expand the use of these organisms as indicators in sensitive areas.

In the North Coast Region sampling under the SMW program has led to the detection and mitigation of controllable releases of toxic substances. Sampling priorities are directed toward areas of immediate concern.

**Bay Protection and Toxic Cleanup Program**

The Bay Protection and Toxic Cleanup Program (BPTCP) is a statewide program for the investigation of coastal waters. Specific goals of the BPTCP include: (1) protection of existing and future beneficial uses of bay and estuarine waters; (2) identification and characterization of toxic hot spots; (3) planning for the prevention of further pollution and the remediation of existing hot spots; and (4) development and maintenance of a comprehensive information source (database) to provide for future assessment and regulatory efforts, accessible public information, and to facilitate management decisions.

In the North Coast Region, monitoring under BPTCP is directed toward areas of known or potential contamination.

**Water Quality Assessment**

The Water Quality Assessment (WQA) is a catalog of the state's water bodies and their water quality condition. The WQA identifies the water quality condition as good, intermediate, impaired, or unknown. The data used to categorize water bodies in the WQA are obtained from the various monitoring programs described in this section. All regional water boards adopt their regional WQA at public meetings and submit them to the State Water Board for inclusion in the state WQA. In addition, for impaired and high priority waters, fact sheets are prepared to provide additional detail. The State Water Board intends the WQA to be updated on a regular basis, generally every two years.

The WQA serves many different purposes. The WQA, a public document, reports the condition of the state's water bodies in a summary format. The lists of impaired water bodies included in the WQA satisfy several Clean Water Act listing requirements.

**Water Quality Inventory**

The 305(b) Report, also known as the National Water Quality Inventory Report, is a summary of all states' water quality reports compiled by the U.S. Environmental Protection Agency. The report is prepared biennially from information the states are required to submit pursuant to Section 305(b)(1) of the Clean Water Act.

The State Water Board prepares the state report using information taken from the WQA. The state 305(b) Report includes: (a) a description of the water quality of major navigable waters in the state during the preceding years; (b) an analysis of the extent to which significant navigable waters provide for the protection and propagation of a balanced population of shellfish, fish, and wildlife, and allow recreational activities in and on the water; (c) an analysis of the extent to which elimination of the discharge of pollutants has been achieved; and (d) an estimate of the environmental impact, the economic and social costs necessary to achieve the "no pollutant discharge" objective of the CWA, the economic and social benefits of such achievement, and the date of such achievement; and (e) a description of the nature and extent of nonpoint sources of pollutants and recommendations as to the programs which must be taken to control them, with estimates of cost.

**Inland Surface Waters Toxicity Testing Program**

This program was started in 1990, the most recent program to be initiated by the State Water Board. The goal of the program is to evaluate the extent,
magnitude, nature, and sources of toxicity in surface waters. Emphasis is on those waters where toxicity is associated with unregulated discharges such as runoff from agriculture, mining, or urban areas. As part of this program a toxicity testing facility at the University of California, Davis, was established to conduct State and Regional Water Board studies. The Regional Water Board performs the sampling of the water bodies in the Region and supplies the testing facility with the samples.

The toxicity testing measures the combined effects of toxicants in the water and is not used to separate and identify a specific toxic substance. Toxicity is determined by using water column samples from a water body under lab conditions. Appropriate test organisms are observed for their response by using growth, reproduction, or mortality as indicators in both acute and chronic tests.

6. SURVEILLANCE AND MONITORING

National Pollutant Discharge Elimination System (NPDES) permits and waste discharge requirements is collected and screened for overall assessment of operations and instances of compliance and noncompliance. Self-monitoring reports are submitted by the discharger as required by the permit conditions.

Compliance Monitoring

Compliance monitoring is carried out by the Regional Water Board staff to check the discharger self-monitoring work and to provide data for enforcement actions. Its scope depends on the number and complexity of waste discharge requirements (NPDES and other permits) issued by the Regional Water Board. Waste discharge requirements may or may not include specific discharger self-monitoring and reporting requirements.

Each discharger is periodically visited by Regional Water Board personnel on both announced and unannounced "facility inspections". The intent of announced visits is to work with the discharger through personal contact and communication to review his procedures in order to assure quality control. The intent of the unannounced inspections is to survey the operation, inspect the waste facilities, discharge area, and collect check or reference samples.

Complaint Investigations

Complaint investigations are carried out by Regional Water Board staff in response to complaints of citizens and public or governmental agencies regarding the discharge of pollutants or creation of nuisance conditions. Regional Water Board responsibilities may include field and telephone investigations, documentation of observed conditions (reports, letters, photographs), and enforcement actions as appropriate.

Special Studies/Intensive Surveys

Special studies and intensive surveys are usually performed to obtain detailed information about a specific water quality problem. They usually involve localized, intermittent sampling at a higher than normal frequency. Special situations requiring
6. SURVEILLANCE AND MONITORING

Intensive monitoring range from studies of industrial discharges to watershed-wide inventories to characterize water quality conditions. Special studies and intensive surveys are conducted on an as-needed basis and often involve coordination with other regulatory and governmental agencies.

Aerial Surveillance

Aerial surveillance is used primarily to gather photographic records of discharges and water quality conditions. Aerial surveillance is particularly effective because of the overall view of a watershed or facility that is obtained and because many facilities can be observed in a short period of time.

Water Quality Models

Water quality models are useful tools to:

- provide a framework for organizing knowledge about a water body;
- reveal gaps in the knowledge and data on a water body;
- formulate baseline and trend monitoring programs;
- simulate water quality changes in response to point and nonpoint discharges to receiving waters; and
- assess potential conformance to proposed and existing water quality objectives.

Water quality models currently available to the staff of the North Coast Region include: a Water Quality Model for the Russian River, prepared by the Center for Environmental and Water Resources Engineering, Department of Civil Engineering, University of California, Davis, and; a Santa Rosa Plains Ground Water Model, prepared by the California Department of Water Resources.

Groundwater Monitoring

Regional Water Board staff investigate the quality of groundwater in response to complaints, as a part of the Well Investigation Program, and through other specifically-funded groundwater quality investigations.

Most of the groundwater investigations in the Region are performed by dischargers, by order of the Regional Water Board. This type of discharger-funded groundwater investigation falls within discharger self-monitoring addressed earlier in this section.

Groundwater has been impaired at various locations regionwide particularly as a result of agricultural, industrial, and commercial chemical handling, storage, and disposal practices. Particular problems are known to exist in several groundwater basins within the Region, including the Santa Rosa Plains, Smith River Plain, and Eureka Plain. Monitoring contract funds have been requested in recent years for the acquisition of data with which to more effectively understand and address the impairment of these and other groundwater basins. Very little funding has been available for this purpose, and data is suggestive of more extensive problems. Further groundwater data will continue to be sought by the North Coast Region through all avenues to address problems resulting from contamination by pesticides, nitrate, solvents, fuel, and other chemicals.

Nonpoint Source Investigations

Nonpoint source investigations are conducted on an as-needed basis and as funding allows. Typical sources of funding include Clean Water Act 205(j), 208, and 319(h) funds. The objectives of nonpoint source investigations are to identify the location(s) of the nonpoint source pollutant sources; develop information on the quantity, strength, character and variability of nonpoint source pollutants; evaluate the impact on receiving water quality and biota; provide information useful in management of nonpoint source pollutants; and to monitor the results of any control plan. Investigations are typically undertaken on a statewide priority basis.

Laboratory Support and Quality Assurance

In response to federal requirements, the State Water Board has developed a Quality Assurance Program to ensure that data generated from environmental measurement studies are technically sound and legally defensible. The State Water Board Quality Assurance Program Plan (QAPP) summarizes procedures to be followed by the State Water Board and Regional Water Boards in administering state and federally funded programs that involve measurement.
of environmental parameters. The QAPP applies to
special water quality studies involving surface,
ground, or marine waters, State Mussel Watch
Program, State Toxic Substances Monitoring
Program, as well as to surveillance and compliance
monitoring of discharges.

Dischargers must use laboratories approved by the
Regional Water Board's Executive Officer and/or
certified by the State Department of Health Services.
The Regional Water Board's contract laboratories
have approved quality assurance/quality control
programs, and Regional Water Board staff follow a
standard chain of custody process in the collection,
transport, and handling of samples.

The methods employed for sample collection,
handling, preservation, transport, analysis, and results
reporting must be such that the results of the
analyzed sample accurately represent the conditions
in the sampled water body. Federal regulations
require the establishment of criteria and standard
methods to assure that quality is maintained
throughout the work from sample collection to
reporting of the results.

6. SURVEILLANCE AND MONITORING

Briefly, these regulations require that (a) physical and
professional capabilities be adequate to perform the
analysis for all parameters in the sampling plan;
(b) sample collection, handling, and preservation be
conducted according to U.S. EPA manuals;
(c) time-sensitive samples be transported and
analyzed within specific holding times; (d) sample
integrity be provided for a legal chain of custody of
samples collected for support of enforcement actions;
(e) analytical methods be in accordance with
standardized methods; and (f) analytical quality
control procedures be established for intra-laboratory
checking of reference samples. Laboratory records
including reference sample results, are to be available
for U.S. EPA review.
6. SURVEILLANCE AND MONITORING

APPENDIX SECTION

Not Currently Available on the Web
# APPENDIX 1

## Summary of Basin Plan Amendments - North Coast Region

<table>
<thead>
<tr>
<th>Order No.</th>
<th>Action</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Amendment</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Resolution No.</strong></td>
<td></td>
</tr>
<tr>
<td>80-17</td>
<td>Amending the Water Quality Control Plans for the Klamath River Basin (1A) and the North Coastal Basin (1B) to Incorporate Water Conservation into the Policy on the Control of Water Quality with Respect to Individual Waste Treatment and Disposal Practices. Dec. 4, 1980 Approved by State Board Res. No. 81-018 on Feb. 19, 1981.</td>
</tr>
<tr>
<td>80-20</td>
<td>Amending the Water Quality Control Plan for the Klamath River Basin (1A) to Prohibit the Discharge of Waste from Individual Disposal Systems in the Campbell Tract Area, Siskiyou County. Dec. 4, 1980. Approved by State Board Res. No. 81-023.</td>
</tr>
<tr>
<td>81-2</td>
<td>Amending the Water Quality Control Plan for the North Coastal Basin (1A) and the North Coastal Basin (1B) to Incorporate New Policy for the Utilization of Mounds for Individual Wastewater Disposal. May 28, 1981. Approved by State Board Res. No. 81-085 on Aug. 20, 1981.</td>
</tr>
</tbody>
</table>
APPENDIX 1
Summary of Basin Plan Amendments - North Coast Region

Resolution No.


83-3  Amending the Policy on the Control of Water Quality with Respect to Individual Waste Treatment and Disposal Practices which is Contained in the Water Quality Control Plans for the Klamath River Basin (1A) and the North Coastal Basin (1B). April 28, 1983. Approved by State Board Res. No. 83-061.

83-8  Amending the Policy on the Control of Water Quality with Respect to Individual Waste Treatment and Disposal Practices which is contained in the Water Quality Control Plans for the Klamath River Basin (1A) and the North Coastal Basin (1B). July 28, 1983. Approved by State Board Res. No. 83-061.


APPENDIX 1
Summary of Basin Plan Amendments - North Coast Region

Resolution No.


91-61 Amending Section 3 Table 5 and Section 4 of the Water Quality Control Plan for the North Coast Region to include a Site-Specific Temperature Objective and an Interim Action Plan for the Trinity River. Approved by State Board Res. No. 91-94 on September 26, 1991.


APPENDIX 1
Summary of Basin Plan Amendments - North Coast Region

Resolution No.


R1-2004-0087 Amending the Water Quality Control Plan for the North Coast Region to include the Sediment TMDL Implementation Policy. Adopted by the Regional Board on November 29, 2004, in Resolution No. R1-2004-0087. For remaining adoption and approval dates see Resolution No. 2005-0113.


APPENDIX 1
Summary of Basin Plan Amendments - North Coast Region

Resolution No.

R1-2004-0011  Update to Chapters 3 and 4 of Water Quality Control Plan for the North Coast Region to include the Schedule of Compliance Amendment. Adopted by the Regional Water Board on March 24, 2004. Adopted by the State Water Board with minor changes on November 18, 2004. Approved by the State Office of Administrative Law on August 18, 2005. A portion of the Amendment was approved by the United States Environmental Protection Agency (USEPA) on February 27, 2006. Additional portions of the Amendment approved by the USEPA on November 29, 2006.


State Water Resources Control Board

WATER QUALITY CONTROL PLAN
FOR CONTROL OF TEMPERATURE IN THE COASTAL AND INTERSTATE WATERS AND ENCLOSED BAYS AND ESTUARIES OF CALIFORNIA

DEFINITION OF TERMS

1. Thermal Waste - Cooling water and industrial process water used for the purpose of transporting waste heat.

2. Elevated Temperature Waste - Liquid, solid, or gaseous material including thermal waste discharged at a temperature higher than the natural temperature of receiving water. Irrigation return water is not considered elevated temperature waste for the purpose of this plan.

3. Natural Receiving Water Temperature - The temperature of the receiving water at locations, depths, and times which represent conditions unaffected by any elevated temperature waste discharge or irrigation return waters.

4. Interstate Waters - All rivers, lakes, artificial impoundments, and other waters that flow across or form a part of the boundary with other states or Mexico.

5. Coastal Waters - Waters of the Pacific Ocean outside of enclosed bays and estuaries which are within the territorial limits of California.

6. Enclosed Bays - Indentations along the coast which enclose an area of oceanic water within distinct headlands or harbor works. Enclosed bays will include all bays where the narrowest distance between headlands or outermost harbor works is less than 75 percent of the greatest dimension of the enclosed portion of the bay. This definition includes but is not limited to the following: Humboldt Bay, Bodega Harbor, Tomales Bay, Drakes Estero, San Francisco Bay, Morro Bay, Los Angeles Harbor, Upper and Lower Newport Bay, Mission Bay, and San Diego Bay.

7. Estuaries and Coastal Lagoons - Waters at the mouths of streams which serve as mixing zones for fresh and ocean water during a major portion of the year. Mouths of streams which are temporarily separated from the ocean by sandbars shall be considered as estuaries. Estuarine waters will generally be considered to extend from a bay or the open ocean to the upstream limit of tidal action but may be considered to

---

1 This plan revises and supersedes the policy adopted by the State Board on January 7, 1971, and revised October 13, 1971, and June 5, 1972.
extend seaward if significant mixing of fresh and saltwater occurs in the open coastal waters. The waters described by this definition include but are not limited to the Sacramento-San Joaquin Delta as defined by Section 12220 of the California Water Code, Suisun Bay, Carquinez Strait downstream to Carquinez Bridge and appropriate areas of Smith River, Klamath River, Mad River, Eel River, Noyo River, and Russian River.

8. **Cold Interstate Waters** - Streams and lakes having a range of temperatures generally suitable for trout and salmon including but not limited to the following: Lake Tahoe, Truckee River, West Fork Carson River, East Fork Carson River, West Walker River and Lake Topaz, East Walker River, Minor California-Nevada Interstate Waters, Klamath River, Smith River, Goose Lake, and Colorado River from the California-Nevada stateline to the Needles-Topoc Highway Bridge.

9. **Warm Interstate Waters** - Interstate streams and lakes having a range of temperature generally suitable for warm water fishes such as bass and catfish. This definition includes but is not limited to the following: Colorado River from the Needles-Topoc Highway Bridge to the northerly international boundary of Mexico, Tijuana River, New River, and Alamo River.

10. **Existing Discharge** - Any discharge (a) which is presently taking place, or (b) for which waste discharge requirements have been established and construction commenced prior to the adoption of this plan, or (c) any material change in an existing discharge for which construction has commenced prior to the adoption of this plan. Commencement of construction shall include execution of a contract for onsite construction or for major equipment which is related to the condenser cooling system.

    Major thermal discharges under construction which are included within this definition are:

    A. Diablo Canyon Units 1 and 2, Pacific Gas and Electric Company.

    B. Ormond Beach Generating Station Units 1 and 2, Southern California Edison Company.

    C. Pittsburg No. 7 Generating Plant, Pacific Gas and Electric Company.

    D. South Bay Generating Plant Unit 4 and Encina Unit 4, San Diego Gas and Electric Company.

11. **New Discharge** - Any discharge (a) which is not presently taking place unless waste discharge requirements have been established and construction as defined in Paragraph 10 has commenced prior to adoption of this plan or (b) which is presently
taking place and for which a material change is proposed but no construction as defined in Paragraph 10 has commenced prior to adoption of this plan.

12. **Planktonic Organism** - Phytoplankton, zooplankton and the larvae and eggs of worms, molluscs, and arthropods, and the eggs and larval forms of fishes.

13. **Limitations or Additional Limitations** - Restrictions on the temperature, location, or volume of a discharge, or restrictions on the temperature of receiving water in addition to those specifically required by this plan.

**SPECIFIC WATER QUALITY OBJECTIVES**

1. **Cold Interstate Waters**
   
   A. Elevated temperature waste discharges into cold interstate waters are prohibited.

2. **Warm Interstate Waters**
   
   A. Thermal waste discharges having a maximum temperature greater than 5°F above natural receiving water temperature are prohibited.

   B. Elevated temperature wastes shall not cause the temperature of warm interstate waters to increase by more than 5°F above natural temperature at any time or place.

   C. Colorado River - Elevated temperature wastes shall not cause the temperature of the Colorado River to increase above the natural temperature by more than 5°F or the temperature of Lake Havasu to increase by more than 3°F provided that such increases shall not cause the maximum monthly temperature of the Colorado River to exceed the following:

<table>
<thead>
<tr>
<th>Month</th>
<th>Temperature</th>
</tr>
</thead>
<tbody>
<tr>
<td>January</td>
<td>60°F</td>
</tr>
<tr>
<td>February</td>
<td>65°F</td>
</tr>
<tr>
<td>March</td>
<td>70°F</td>
</tr>
<tr>
<td>April</td>
<td>75°F</td>
</tr>
<tr>
<td>May</td>
<td>82°F</td>
</tr>
<tr>
<td>June</td>
<td>86°F</td>
</tr>
<tr>
<td>July</td>
<td>90°F</td>
</tr>
<tr>
<td>August</td>
<td>90°F</td>
</tr>
<tr>
<td>September</td>
<td>90°F</td>
</tr>
<tr>
<td>October</td>
<td>82°F</td>
</tr>
<tr>
<td>November</td>
<td>72°F</td>
</tr>
<tr>
<td>December</td>
<td>65°F</td>
</tr>
</tbody>
</table>

   D. **Lost River** - Elevated temperature wastes discharged to the Lost River shall not cause the temperature of the receiving water to increase by more than 2°F.
when the receiving water temperature is less than 62°F, and 0°F when the receiving water temperature exceeds 62°F.

E. Additional limitations shall be imposed when necessary to assure protection of beneficial uses.

3. Coastal Waters

A. Existing discharges

(1) Elevated temperature wastes shall comply with limitations necessary to assure protection of the beneficial uses and areas of special biological significance.

B. New discharges

(1) Elevated temperature wastes shall be discharged to the open ocean away from the shoreline to achieve dispersion through the vertical water column.

(2) Elevated temperature wastes shall be discharged a sufficient distance from areas of special biological significance to assure the maintenance of natural temperature in these areas.

(3) The maximum temperature of thermal waste discharges shall not exceed the natural temperature of receiving waters by more than 20°F.

(4) The discharge of elevated temperature wastes shall not result in increases in the natural water temperature exceeding 4°F at (a) the shoreline, (b) the surface of any ocean substrate, or (c) the ocean surface beyond 1,000 feet from the discharge system. The surface temperature limitation shall be maintained at least 50 percent of the duration of any complete tidal cycle.

(5) Additional limitations shall be imposed when necessary to assure protection of beneficial uses.

4. Enclosed Bays

A. Existing discharges

(1) Elevated temperature waste discharges shall comply with limitations necessary to assure protection of beneficial uses.
B. New discharges

(1) Elevated temperature waste discharges shall comply with limitations necessary to assure protection of beneficial uses. The maximum temperature of waste discharges shall not exceed the natural temperature of the receiving waters by more than 20°F.

(2) Thermal waste discharges having a maximum temperature greater than 4°F above the natural temperature of the receiving water are prohibited.

5. Estuaries

A. Existing discharges

(1) Elevated temperature waste discharges shall comply with the following:

   a. The maximum temperature shall not exceed the natural receiving water temperature by more than 20°F.

   b. Elevated temperature waste discharges either individually or combined with other discharges shall not create a zone, defined by water temperatures of more than 1°F above natural receiving water temperature, which exceeds 25 percent of the cross-sectional area of a main river channel at any point.

   c. No discharge shall cause a surface water temperature rise greater than 4°F above the natural temperature of the receiving waters at any time or place.

   d. Additional limitations shall be imposed when necessary to assure protection of beneficial uses.

(2) Thermal waste discharges shall comply with the provisions of 5A (1) above and, in addition, the maximum temperature of thermal waste discharges shall not exceed 86°F.

B. New discharges

(1) Elevated temperature waste discharges shall comply with item 5A(1) above.
(2) Thermal waste discharges having a maximum temperature greater than
4°F above the natural temperature of the receiving water are prohibited.

(3) Additional limitations shall be imposed when necessary to assure
protection of beneficial uses.

GENERAL WATER QUALITY PROVISIONS

1. Additional limitations shall be imposed in individual cases if necessary for the
protection of specific beneficial uses and areas of special biological significance.
When additional limitations are established, the extent of surface heat dispersion will
be delineated by a calculated 1 1/2°F isotherm which encloses an appropriate
dispersion area. The extent of the dispersion area shall be:

   A. Minimized to achieve dispersion through the vertical water column rather than
      at the surface or in shallow water.

   B. Defined by the Regional Board for each existing and proposed discharge after
      receipt of a report prepared in accordance with the implementation section of
      this plan.

2. The cumulative effects of elevated temperature waste discharges shall not cause
temperatures to be increased except as provided in specific water quality objectives
contained herein.

3. Areas of special biological significance shall be designated by the State Board after
public hearing by the Regional Board and review of its recommendations.

4. Regional Boards may, in accordance with Section 316(a) of the Federal Water
Pollution Control Act of 1972, and subsequent federal regulations including 40 CFR
122, grant an exception to Specific Water Quality Objectives in this Plan. Prior to
becoming effective, such exceptions and alternative less stringent requirements must
receive the concurrence of the State Board.

5. Natural water temperature will be compared with waste discharge temperature by
near-simultaneous measurements accurate to within 1°F. In lieu of near-simultaneous
measurements, measurements may be made under calculated conditions of constant
waste discharge and receiving water characteristics.
1. The State Water Resources Control Board and the California Regional Water Quality Control Boards will administer this plan by establishing waste discharge requirements for discharges of elevated temperature wastes.

2. This plan is effective as of the date of adoption by the State Water Resources Control Board and the sections pertaining to temperature control in each of the policies and plans for the individual interstate and coastal waters shall be void and superseded by all applicable provisions of this plan.

3. Existing and future dischargers of thermal waste shall conduct a study to define the effect of the discharge on beneficial uses and, for existing discharges, determine design and operating changes which would be necessary to achieve compliance with the provisions of this plan.

4. Waste discharge requirements for existing elevated temperature wastes shall be reviewed to determine the need for studies of the effect of the discharge on beneficial uses, changes in monitoring programs and revision of waste discharge requirements.

5. All waste discharge requirements shall include a time schedule which assures compliance with water quality objectives by July 1, 1977, unless the discharger can demonstrate that a longer time schedule is required to complete construction of necessary facilities; or, in accordance with any time schedule contained in guidelines promulgated pursuant to Section 304(b) of the Federal Water Pollution Control Act.

6. Proposed dischargers of elevated temperature wastes may be required by the Regional Board to submit such studies prior to the establishment of waste discharge requirements. The Regional Board shall include in its requirements appropriate postdischarge studies by the discharger.

7. The scope of any necessary studies shall be as outlined by the Regional Board and shall be designed to include the following as applicable to an individual discharge:

   A. Existing conditions in the aquatic environment.
   
   B. Effects of the existing discharge on beneficial uses.
   
   C. Predicted conditions in the aquatic environment with waste discharge facilities designed and operated in compliance with the provisions of this plan.
   
   D. Predicted effects of the proposed discharge on beneficial uses.
   
   E. An analysis of costs and benefits of various design alternatives.
F. The extent to which intake and outfall structures are located and designed so that the intake of planktonic organisms is at a minimum, waste plumes are prevented from touching the ocean substrate or shorelines, and the waste is dispersed into an area of pronounced along-shore or offshore currents.

8. All waste discharge requirements adopted for discharges of elevated temperature wastes shall be monitored in order to determine compliance with effluent or receiving water temperature (or heat) requirements.

Furthermore, for significant thermal discharges as determined by the Regional Board or State, Regional Boards shall require expanded monitoring programs, to be carried out either on a continuous or periodic basis, designed to assess whether the source continues to provide adequate protection to beneficial uses (including the protection and propagation of a balanced indigenous community of fish, shellfish, and wildlife, in and on the body of water into which the discharge is made). When periodic expanded monitoring programs are specified, the frequency of the program shall reflect the probable impact of the discharge.

9. The State Board or Regional Board may require a discharger(s) to pay a public agency or other appropriate person an amount sufficient to carry out the expanded monitoring program required pursuant to paragraph 8 above if:

A. The discharger has previously failed to carry out monitoring programs in a manner satisfactory to the State Board or Regional Board, or;

B. More than a single facility, under separate ownerships, may significantly affect the thermal characteristics of the body of water, and the owners of such facilities are unable to reach agreement on a cooperative program within a reasonable time period specified by the State Board or Regional Board.
State of California
Arnold Schwarzenegger, Governor

California Environmental Protection Agency
Alan Lloyd, Ph.D., Secretary

State Water Resources Control Board
1001 I Street
Sacramento, CA 95814
(916) 341-5250
Homepage: http://www.waterboards.ca.gov

Tam M. Doduc, Chair
Gerald “Jerry” Secundy, Vice Chair
Richard Katz, Member
Arthur Baggett, Member

Celeste Cantú, Executive Director

Tom Howard, Deputy Director

Cover drawing by:
Zev Labinger, 1997
State of California
STATE WATER RESOURCES CONTROL BOARD

2005

CALIFORNIA OCEAN PLAN
WATER QUALITY CONTROL PLAN
OCEAN WATERS OF CALIFORNIA

Effective February 14, 2006


The energy challenge facing California is real. Every Californian needs to take immediate action to reduce energy consumption. For a list of simple ways you can reduce demand and cut your energy costs, see our Web-site at http://www.fypower.org/.
WHEREAS:


2. The State Water Board is responsible for reviewing Ocean Plan water quality standards and for modifying and adopting standards in accordance with Section 303(c)(1) of the federal Clean Water Act and Section 13170.2 of the California Water Code (CWC).


5. State Water Board staff is proposing an amendment to the Ocean Plan regarding water contact bacterial standards as the first issue to be considered for this Triennial Review.

6. The State Water Board prepared and circulated a draft Functional Equivalent Document (FED) in accordance with the provisions of the California Environmental Quality Act and Title 14, California Code of Regulations 15251(g).

7. The State Water Board held a public hearing in Sacramento on October 6, 2004. The State Water Board determined that the bacterial issue needed more consideration and deferred a decision until the January 2005 workshop.

8. On December 16, 2004, the U.S. Environmental Protection Agency (USEPA) adopted the Water Quality Standards for Coastal and Great Lakes Recreation Waters; Final Rule. This rule establishes enterococcus criteria for California’s coastal waters, including bays and estuaries.

9. The State Water Board staff has prepared a draft Final FED, an Attachment to this resolution, which includes the specific proposed amendment to the Ocean Plan and responses to the comments received at the hearing. The proposed amendments are identical to USEPA’s geometric mean and single sample maximum criteria.
10. Amendments to the Ocean Plan do not become effective until approved by the Office of Administrative Law (OAL) and USEPA.

THEREFORE BE IT RESOLVED THAT THE STATE WATER BOARD:

1. Revises the bacterial water quality objectives for ocean waters in Chapter II, Section B of the Ocean Plan as shown in the Attachment (Final FED Amendment of the Water Quality Control Plan Ocean Waters of California).

2. Approves the draft Final FED as part of the Attachment to the resolution.

3. Authorizes the State Water Board’s Executive Director to sign the Certificate of Fee Exemption.

4. Authorizes the State Water Board staff to submit the amended Ocean Plan to OAL and USEPA for final approval.

CERTIFICATION

The undersigned, Clerk to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Board held on January 20, 2005.

Debbie Irvin
Clerk to the Board
STATE WATER BOARD
RESOLUTION NO. 2005 - 0035
ADOPTION OF THE PROPOSED AMENDMENTS TO
THE CALIFORNIA OCEAN PLAN
(OCEAN PLAN)

WHEREAS:


2. The State Water Board is responsible for reviewing Ocean Plan water quality standards and for modifying and adopting standards in accordance with Section 303(c)(1) of the federal Clean Water Act and Section 13170.2 of the California Water Code (CWC).

3. The State Water Board held scoping meetings regarding four potential Ocean Plan amendments on January 23, 2004 and February 3, 2004. These included the following proposed revisions: a) Choice of Indicator Organisms for Water-Contact Bacterial Standards, b) Establishing a Fecal Coliform Standard for Shellfish Harvesting Areas, c) Reclassifying Areas of Special Biological Significance (ASBS) to State Water Quality Protection Areas (SWQPAs) and establishing implementation provisions for discharges into SWQPAs, and d) Reasonable Potential: Determining the likelihood that the concentration of a pollutant would cause or contribute to an exceedance of water quality standards.

4. The State Water Board held a public hearing for the Triennial Review of the Ocean Plan on May 24, 2004 to receive additional public comment on other potential revisions of the Ocean Plan.

5. The State Water Board prepared and circulated a draft Functional Equivalent Document (FED) in accordance with the provisions of the California Environmental Quality Act and Title 14, California Code of Regulations 15251(g). The draft FED addressed Water-Contact Bacterial Standards and Reasonable Potential.

6. The State Water Board held a public hearing in Sacramento on October 6, 2004. The State Water Board received comments on the proposed bacterial and reasonable potential amendments. Staff informed the Board that the reasonable potential issue needed to undergo an external scientific peer review, pursuant to California Health and Safety Code section 57004. The State Water Board also determined that the bacterial issue needed more consideration and deferred a decision until the January 2005 workshop.

7. On January 20, 2005, the State Water Board adopted the modified bacterial water quality objectives for ocean waters in Chapter II, Section B of the Ocean Plan.
8. The State Water Board has received and considered the results of two external scientific peer reviews of the reasonable potential proposal. The peer reviews indicate that the proposed rule is based upon sound scientific knowledge, methods, and practices.

9. Assembly Bill 2800 (Chapter 385, Statutes of 2000) added sections to the Public Resources Code (PRC) that are relevant to ASBS, including Section 36750 of the PRC, which classified ASBS as SWQPAs as of January 1, 2003 without State Water Board action.

10. Senate Bill 512 (SB) (Chapter 854, Statutes of 2004) amended the marine managed areas portion of the PRC, effective January 1, 2005, to clarify that ASBS are a subset of SWQPAs and require special protection as determined by the State Water Board pursuant to the Ocean Plan and the Water Quality Control Plan for Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California (California Thermal Plan).

11. The classification of ASBS as a subset of SWQPAs does not change the ASBS designated use for these areas. Waste discharges to ASBS are still prohibited under the Ocean Plan unless an exception is granted.

12. After consideration of public comments received at the scoping meetings and based on SB 512, the State Water Board now proposes only minor changes to the Ocean Plan regarding ASBS and exceptions.

13. The State Water Board staff has prepared a Final FED, covering the reasonable potential and the ASBS and exception issues, which is an Attachment to this resolution. The Final FED includes the specific proposed amendments to the Ocean Plan. The State Water Board has carefully considered all testimony and comments received on these issues.

14. On April 6, 2005, the State Water Board held a public hearing to consider the draft Final FED, the amendments regarding ASBS and exceptions, and changes in the reasonable potential amendments since the October 6, 2004 public hearing.

15. Amendments to the Ocean Plan do not become effective until approved by the Office of Administrative Law (OAL) and the U.S. Environmental Protection Agency.

THEREFORE BE IT RESOLVED THAT:

The State Water Board:

1. Deletes the existing Ocean Plan language in Chapter III, Section G(2) that allows discharger certification in lieu of monitoring and adds general reasonable potential language in Chapter III Section C of the Ocean Plan, and adds the reasonable potential analysis procedure language in a new Ocean Plan Appendix VI, as shown on the Attachment to this Resolution.
2. Incorporates the Classification of ASBS as SWQPAs, according to the PRC, renames certain ASBS to coincide with name changes in other corresponding Marine Managed Areas, clarifies that all exceptions are subject to Triennial Review, and adds a new Appendix VII with a Table VII–1 listing exceptions to the Ocean Plan, as shown on the Attachment to this Resolution.

3. Approves the Final FED attached to the resolution.

4. Authorizes the Executive Director to sign the Certificate of Fee Exemption.

5. Authorizes staff to submit the amended Ocean Plan to the Office of Administrative Law and the USEPA for final approval.

CERTIFICATION

The undersigned, Clerk to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Board held on April 21, 2005.

Debbie Irvin
Clerk to the Board
# CALIFORNIA OCEAN PLAN

## TABLE OF CONTENTS

### INTRODUCTION

A. Purpose and Authority ................................................................. 1  
B. Principles .................................................................................. 1  
C. Applicability ........................................................................... 1

### I. BENEFICIAL USES

A. Identification of Beneficial Uses .............................................. 3

### II. WATER QUALITY OBJECTIVES

A. General Provisions .................................................................. 4  
B. Bacterial Characteristics ............................................................ 4  
C. Physical Characteristics ............................................................ 5  
D. Chemical Characteristics .......................................................... 6  
E. Biological Characteristics .......................................................... 10  
F. Radioactivity ............................................................................ 10

### III. PROGRAM OF IMPLEMENTATION

A. General Provisions .................................................................. 11  
B. Table A Effluent Limitations ...................................................... 12  
C. Implementation Provisions for Table B ..................................... 13  
D. Implementation Provisions for Bacterial Characteristics .......... 20  
E. Implementation Provisions For Areas of Special Biological Significance .................................................. 20  
F. Revision of Waste Discharge Requirements .................................. 21  
G. Monitoring Program ................................................................. 22  
H. Discharge Prohibitions ............................................................... 23  
I. State Board Exceptions to Plan Requirements ............................. 23

### APPENDICES

Appendix I: Definition of Terms .................................................. 24  
Appendix II: Minimum* Levels ..................................................... 28  
Appendix III: Standard Monitoring Procedures ............................ 33  
Appendix IV: Procedures for the Nomination and Designation of Areas of Special Biological Significance .................................................................................................................. 37  
Appendix V: State Water Quality Protection Areas, Areas of Special Biological Significance .................................................................................................................. 39  
Appendix VI: Reasonable Potential Analysis Procedure for determining which Table B Objectives require effluent limitations .................................................. 41  
Appendix VII: Exceptions to the California Ocean Plan .................. 45
LIST OF TABLES

Table A  Effluent Limitations ........................................................................................................12
Table B  Water Quality Objectives ..............................................................................................7 to 10
Table C  Background Seawater Concentrations .......................................................................14
Table D  Conservative Estimates of Chronic Toxicity ...............................................................22

Appendix II
Table II-1  Minimum* Levels – Volatile Chemicals ...................................................................28
Table II-2  Minimum* Levels – Semi Volatile Chemicals ...........................................................29 to 30
Table II-3  Minimum* Levels – Inorganics ................................................................................31
Table II-4  Minimum* Levels – Pesticides and PCBs .................................................................32

Appendix III
Table III-1  Approved Tests - Chronic Toxicity (TUc) ...............................................................35

Appendix V
Table V-1  State Water Quality Protection Areas, Areas of Special Biological Significance ........................................................................................................39 to 40

Appendix VI
Table VI-1  Tolerance factors $g^{(95\%,95\%)}$ for calculating normal distribution one-sided upper 95 percent tolerance bounds for the 95th percentile ..................................................43

Appendix VII
Table VII-1  Exceptions to the Ocean Plan .................................................................................45

LIST OF FIGURES

Figure VI-1  Reasonable potential analysis flow chart .............................................................44
CALIFORNIA OCEAN PLAN
WATER QUALITY CONTROL PLAN FOR
OCEAN WATERS OF CALIFORNIA

INTRODUCTION

A. Purpose and Authority

1. In furtherance of legislative policy set forth in Section 13000 of Division 7 of the California Water Code (CWC) (Stats. 1969, Chap. 482) pursuant to the authority contained in Section 13170 and 13170.2 (Stats. 1971, Chap. 1288) the State Water Resources Control Board hereby finds and declares that protection of the quality of the ocean* waters for use and enjoyment by the people of the State requires control of the discharge of waste* to ocean* waters in accordance with the provisions contained herein. The Board finds further that this plan shall be reviewed at least every three years to guarantee that the current standards are adequate and are not allowing degradation* to marine species or posing a threat to public health.

B. Principles

   a. In the adoption and amendment of water quality control plans, it is the intent of this Board that each plan will provide for the attainment and maintenance of the water quality standards of downstream waters.
   b. To the extent there is a conflict between a provision of this plan and a provision of another statewide plan or policy, or a regional water quality control plan (basin plan), the more stringent provision shall apply except where pursuant to Chap. III.I of this Plan, the SWRCB has approved an exception to the Plan requirements.

C. Applicability

1. This plan is applicable, in its entirety, to point source discharges to the ocean*.
   Nonpoint sources of waste* discharges to the ocean* are subject to Chapter I Beneficial Uses, Chapter II - WATER QUALITY OBJECTIVES (wherein compliance with water quality objectives shall, in all cases, be determined by direct measurements in the receiving waters) and Chapter III - PROGRAM OF IMPLEMENTATION Parts A.2, D, E, and H.

2. This plan is not applicable to discharges to enclosed* bays and estuaries* or inland waters, nor is it applicable to vessel wastes, or the control of dredged* material.

3. Provisions regulating the thermal aspects of waste* discharged to the ocean* are set forth in the Water Quality Control Plan for the Control of Temperature in the Coastal and Interstate Waters and Enclosed* Bays and Estuaries* of California.

* See Appendix I for definition of terms.
4. Within this Plan, references to the State Board or SWRCB shall mean the State Water Resources Control Board. References to a Regional Board or RWQCB shall mean a California Regional Water Quality Control Board. References to the Environmental Protection Agency, USEPA, or EPA shall mean the federal Environmental Protection Agency.

* See Appendix I for definition of terms.
I. BENEFICIAL USES

A. The beneficial uses of the ocean* waters of the State that shall be protected include industrial water supply; water contact and non-contact recreation, including aesthetic enjoyment; navigation; commercial and sport fishing; mariculture*; preservation and enhancement of designated Areas* of Special Biological Significance (ASBS); rare and endangered species; marine habitat; fish migration; fish spawning and shellfish* harvesting.

* See Appendix I for definition of terms.
II. WATER QUALITY OBJECTIVES

A. General Provisions

1. This chapter sets forth limits or levels of water quality characteristics for ocean* waters to ensure the reasonable protection of beneficial uses and the prevention of nuisance. The discharge of waste* shall not cause violation of these objectives.

2. The Water Quality Objectives and Effluent Limitations are defined by a statistical distribution when appropriate. This method recognizes the normally occurring variations in treatment efficiency and sampling and analytical techniques and does not condone poor operating practices.

3. Compliance with the water quality objectives of this chapter shall be determined from samples collected at stations representative of the area within the waste field where initial* dilution is completed.

B. Bacterial Characteristics

1. Water-Contact Standards

Both the SWRCB and the California Department of Health Services (DHS) have established standards to protect water contact recreation in coastal waters from bacterial contamination. Subsection a of this section contains bacterial objectives adopted by the SWRCB for ocean waters used for water contact recreation. Subsection b describes the bacteriological standards adopted by DHS for coastal waters adjacent to public beaches and public water contact sports areas in ocean waters.

a. SWRCB Water-Contact Standards

(1) Within a zone bounded by the shoreline and a distance of 1,000 feet from the shoreline or the 30-foot depth contour, whichever is further from the shoreline, and in areas outside this zone used for water contact sports, as determined by the Regional Board (i.e., waters designated as REC-1), but including all kelp* beds, the following bacterial objectives shall be maintained throughout the water column:

30-day Geometric Mean – The following standards are based on the geometric mean of the five most recent samples from each site:

i. Total coliform density shall not exceed 1,000 per 100 ml;
ii. Fecal coliform density shall not exceed 200 per 100 ml; and
iii. Enterococcus density shall not exceed 35 per 100ml.

Single Sample Maximum:

i. Total coliform density shall not exceed 10,000 per 100 ml;
ii. Fecal coliform density shall not exceed 400 per 100ml;
iii. Enterococcus density shall not exceed 104 per 100 ml; and

* See Appendix I for definition of terms.
iv. Total coliform density shall not exceed 1,000 per 100 ml when the fecal coliform/total coliform ratio exceeds 0.1.

(2) The “Initial* Dilution Zone” of wastewater outfalls shall be excluded from designation as "kelp* beds” for purposes of bacterial standards, and Regional Boards should recommend extension of such exclusion zone where warranted to the SWRCB (for consideration under Chapter III.H.). Adventitious assemblages of kelp plants on waste discharge structures (e.g., outfall pipes and diffusers) do not constitute kelp* beds for purposes of bacterial standards.

b. DHS Standards

DHS has established minimum protective bacteriological standards for coastal waters adjacent to public beaches and for public water-contact sports areas in ocean waters. These standards are found in the California Code of Regulations, title 17, section 7958, and they are identical to the objectives contained in subsection a. above. When a public beach or public water-contact sports area fails to meet these standards, DHS or the local public health officer may post with warning signs or otherwise restrict use of the public beach or public water-contact sports area until the standards are met. The DHS regulations impose more frequent monitoring and more stringent posting and closure requirements on certain high-use public beaches that are located adjacent to a storm drain that flows in the summer.

For beaches not covered under AB 411 regulations, DHS imposes the same standards as contained in Title 17 and requires weekly sampling but allows the county health officer more discretion in making posting and closure decisions.

2. Shellfish* Harvesting Standards

a. At all areas where shellfish* may be harvested for human consumption, as determined by the Regional Board, the following bacterial objectives shall be maintained throughout the water column:

(1) The median total coliform density shall not exceed 70 per 100 ml, and not more than 10 percent of the samples shall exceed 230 per 100 ml.

C. Physical Characteristics

1. Floating particulates and grease and oil shall not be visible.

2. The discharge of waste* shall not cause aesthetically undesirable discoloration of the ocean* surface.

3. Natural* light shall not be significantly* reduced at any point outside the initial* dilution zone as the result of the discharge of waste*.

* See Appendix I for definition of terms.
4. The rate of deposition of inert solids and the characteristics of inert solids in ocean sediments shall not be changed such that benthic communities are degraded.

D. Chemical Characteristics

1. The dissolved oxygen concentration shall not at any time be depressed more than 10 percent from that which occurs naturally, as the result of the discharge of oxygen demanding waste materials.

2. The pH shall not be changed at any time more than 0.2 units from that which occurs naturally.

3. The dissolved sulfide concentration of waters in and near sediments shall not be significantly increased above that present under natural conditions.

4. The concentration of substances set forth in Chapter II, Table B, in marine sediments shall not be increased to levels which would degrade indigenous biota.

5. The concentration of organic materials in marine sediments shall not be increased to levels that would degrade marine life.

6. Nutrient materials shall not cause objectionable aquatic growths or degrade indigenous biota.

7. Numerical Water Quality Objectives
   a. Table B water quality objectives apply to all discharges within the jurisdiction of this Plan.
   b. Table B Water Quality Objectives

* See Appendix I for definition of terms.
### TABLE B
**WATER QUALITY OBJECTIVES**

<table>
<thead>
<tr>
<th>Units of Measurement</th>
<th>Limiting Concentrations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>6-Month Median</td>
</tr>
</tbody>
</table>

**OBJECTIVES FOR PROTECTION OF MARINE AQUATIC LIFE**

<table>
<thead>
<tr>
<th>Substance</th>
<th>Units</th>
<th>6-Month Median</th>
<th>Daily Maximum</th>
<th>Instantaneous Maximum</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arsenic</td>
<td>ug/l</td>
<td>8.</td>
<td>32.</td>
<td>80.</td>
</tr>
<tr>
<td>Cadmium</td>
<td>ug/l</td>
<td>1.</td>
<td>4.</td>
<td>10.</td>
</tr>
<tr>
<td>Chromium (Hexavalent)</td>
<td>ug/l</td>
<td>2.</td>
<td>8.</td>
<td>20.</td>
</tr>
<tr>
<td>(see below, a)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Copper</td>
<td>ug/l</td>
<td>3.</td>
<td>12.</td>
<td>30.</td>
</tr>
<tr>
<td>Lead</td>
<td>ug/l</td>
<td>2.</td>
<td>8.</td>
<td>20.</td>
</tr>
<tr>
<td>Mercury</td>
<td>ug/l</td>
<td>0.04</td>
<td>0.16</td>
<td>0.4</td>
</tr>
<tr>
<td>Nickel</td>
<td>ug/l</td>
<td>5.</td>
<td>20.</td>
<td>50.</td>
</tr>
<tr>
<td>Selenium</td>
<td>ug/l</td>
<td>15.</td>
<td>60.</td>
<td>150.</td>
</tr>
<tr>
<td>Silver</td>
<td>ug/l</td>
<td>0.7</td>
<td>2.8</td>
<td>7.</td>
</tr>
<tr>
<td>Zinc</td>
<td>ug/l</td>
<td>20.</td>
<td>80.</td>
<td>200.</td>
</tr>
<tr>
<td>Cyanide</td>
<td>ug/l</td>
<td>1.</td>
<td>4.</td>
<td>10.</td>
</tr>
<tr>
<td>(see below, b)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Chlorine Residual</td>
<td>ug/l</td>
<td>2.</td>
<td>8.</td>
<td>60.</td>
</tr>
<tr>
<td>(For intermittent chlorine</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>sources see below, c)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Ammonia</td>
<td>ug/l</td>
<td>600.</td>
<td>2400.</td>
<td>6000.</td>
</tr>
<tr>
<td>(expressed as nitrogen)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Acute* Toxicity</td>
<td>TUa</td>
<td>N/A</td>
<td>0.3</td>
<td>N/A</td>
</tr>
<tr>
<td>Chronic* Toxicity</td>
<td>TUc</td>
<td>N/A</td>
<td>1.</td>
<td>N/A</td>
</tr>
<tr>
<td>Phenolic Compounds</td>
<td>ug/l</td>
<td>30.</td>
<td>120.</td>
<td>300.</td>
</tr>
<tr>
<td>(non-chlorinated)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chlorinated Phenolics</td>
<td>ug/l</td>
<td>1.</td>
<td>4.</td>
<td>10.</td>
</tr>
<tr>
<td>Endosulfan</td>
<td>ug/l</td>
<td>0.009</td>
<td>0.018</td>
<td>0.027</td>
</tr>
<tr>
<td>Endrin</td>
<td>ug/l</td>
<td>0.002</td>
<td>0.004</td>
<td>0.006</td>
</tr>
<tr>
<td>HCH*</td>
<td>ug/l</td>
<td>0.004</td>
<td>0.008</td>
<td>0.012</td>
</tr>
<tr>
<td>Radioactivity</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* See Appendix I for definition of terms.

Reference to Section 30253 is prospective, including future changes to any incorporated provisions of federal law, as the changes take effect.

*Not to exceed limits specified in Title 17, Division 1, Chapter 5, Subchapter 4, Group 3, Article 3, Section 30253 of the California Code of Regulations.*
### Table B Continued

<table>
<thead>
<tr>
<th>Chemical</th>
<th>30-day Average (ug/l)</th>
<th>Decimal Notation</th>
<th>Scientific Notation</th>
</tr>
</thead>
<tbody>
<tr>
<td>acrolein</td>
<td>220.</td>
<td>2.2 x 10^2</td>
<td></td>
</tr>
<tr>
<td>antimony</td>
<td>1,200.</td>
<td>1.2 x 10^3</td>
<td></td>
</tr>
<tr>
<td>bis(2-chloroethoxy) methane</td>
<td>4.4</td>
<td>4.4 x 10^0</td>
<td></td>
</tr>
<tr>
<td>bis(2-chloroisopropyl) ether</td>
<td>1,200.</td>
<td>1.2 x 10^3</td>
<td></td>
</tr>
<tr>
<td>chlorobenzene</td>
<td>570.</td>
<td>5.7 x 10^2</td>
<td></td>
</tr>
<tr>
<td>chromium (III)</td>
<td>190,000.</td>
<td>1.9 x 10^5</td>
<td></td>
</tr>
<tr>
<td>di-n-butyl phthalate</td>
<td>3,500.</td>
<td>3.5 x 10^3</td>
<td></td>
</tr>
<tr>
<td>dichlorobenzenes*</td>
<td>5,100.</td>
<td>5.1 x 10^3</td>
<td></td>
</tr>
<tr>
<td>diethyl phthalate</td>
<td>33,000.</td>
<td>3.3 x 10^4</td>
<td></td>
</tr>
<tr>
<td>dimethyl phthalate</td>
<td>820,000.</td>
<td>8.2 x 10^5</td>
<td></td>
</tr>
<tr>
<td>4,6-dinitro-2-methylphenol</td>
<td>220.</td>
<td>2.2 x 10^2</td>
<td></td>
</tr>
<tr>
<td>2,4-dinitrophenol</td>
<td>4.0</td>
<td>4.0 x 10^0</td>
<td></td>
</tr>
<tr>
<td>ethylbenzene</td>
<td>4,100.</td>
<td>4.1 x 10^3</td>
<td></td>
</tr>
<tr>
<td>fluoranthene</td>
<td>15.</td>
<td>1.5 x 10^1</td>
<td></td>
</tr>
<tr>
<td>hexachlorocyclopentadiene</td>
<td>58.</td>
<td>5.8 x 10^1</td>
<td></td>
</tr>
<tr>
<td>nitrobenzene</td>
<td>4.9</td>
<td>4.9 x 10^0</td>
<td></td>
</tr>
<tr>
<td>thallium</td>
<td>2.</td>
<td>2. x 10^0</td>
<td></td>
</tr>
<tr>
<td>toluene</td>
<td>85,000.</td>
<td>8.5 x 10^4</td>
<td></td>
</tr>
<tr>
<td>tributyltin</td>
<td>0.0014</td>
<td>1.4 x 10^-3</td>
<td></td>
</tr>
<tr>
<td>1,1,1-trichloroethane</td>
<td>540,000.</td>
<td>5.4 x 10^5</td>
<td></td>
</tr>
</tbody>
</table>

### OBJECTIVES FOR PROTECTION OF HUMAN HEALTH – CARCINOGENS

<table>
<thead>
<tr>
<th>Chemical</th>
<th>30-day Average (ug/l)</th>
<th>Decimal Notation</th>
<th>Scientific Notation</th>
</tr>
</thead>
<tbody>
<tr>
<td>acrylonitrile</td>
<td>0.10</td>
<td>1.0 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>aldrin</td>
<td>0.000022</td>
<td>2.2 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>benzene</td>
<td>5.9</td>
<td>5.9 x 10^0</td>
<td></td>
</tr>
<tr>
<td>benzidine</td>
<td>0.000069</td>
<td>6.9 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>beryllium</td>
<td>0.033</td>
<td>3.3 x 10^-2</td>
<td></td>
</tr>
<tr>
<td>bis(2-chloroethyl) ether</td>
<td>0.045</td>
<td>4.5 x 10^-2</td>
<td></td>
</tr>
<tr>
<td>bis(2-ethylhexyl) phthalate</td>
<td>3.5</td>
<td>3.5 x 10^-3</td>
<td></td>
</tr>
<tr>
<td>carbon tetrachloride</td>
<td>0.90</td>
<td>9.0 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>chlordane*</td>
<td>0.000023</td>
<td>2.3 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>chlorodibromomethane</td>
<td>8.6</td>
<td>8.6 x 10^0</td>
<td></td>
</tr>
</tbody>
</table>

* See Appendix I for definition of terms.
Table B Continued

<table>
<thead>
<tr>
<th>Chemical</th>
<th>30-day Average (ug/l)</th>
<th>Decimal Notation</th>
<th>Scientific Notation</th>
</tr>
</thead>
<tbody>
<tr>
<td>chloroform</td>
<td>130.</td>
<td>1.3 x 10^2</td>
<td></td>
</tr>
<tr>
<td>DDT*</td>
<td>0.00017</td>
<td>1.7 x 10^-4</td>
<td></td>
</tr>
<tr>
<td>1,4-dichlorobenzene</td>
<td>18.</td>
<td>1.8 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>3,3′-dichlorobenzidine</td>
<td>0.0081</td>
<td>8.1 x 10^-3</td>
<td></td>
</tr>
<tr>
<td>1,2-dichloroethane</td>
<td>28.</td>
<td>2.8 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>1,1-dichloroethylene</td>
<td>0.9</td>
<td>9 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>dichlorobromomethane</td>
<td>6.2</td>
<td>6.2 x 10^-6</td>
<td></td>
</tr>
<tr>
<td>dichloromethane</td>
<td>450.</td>
<td>4.5 x 10^-2</td>
<td></td>
</tr>
<tr>
<td>1,3-dichloropropene</td>
<td>8.9</td>
<td>8.9 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>dieldrin</td>
<td>0.00004</td>
<td>4.0 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>2,4-dinitrotoluene</td>
<td>2.6</td>
<td>2.6 x 10^-6</td>
<td></td>
</tr>
<tr>
<td>1,2-diphenylhydrazine</td>
<td>0.16</td>
<td>1.6 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>halomethanes*</td>
<td>130.</td>
<td>1.3 x 10^-2</td>
<td></td>
</tr>
<tr>
<td>heptachlor</td>
<td>0.00005</td>
<td>5 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>heptachlor epoxide</td>
<td>0.00002</td>
<td>2 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>hexachlorobenzene</td>
<td>0.00021</td>
<td>2.1 x 10^-4</td>
<td></td>
</tr>
<tr>
<td>hexachlorobutadiene</td>
<td>14.</td>
<td>1.4 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>hexachloroethane</td>
<td>2.5</td>
<td>2.5 x 10^-6</td>
<td></td>
</tr>
<tr>
<td>isophorone</td>
<td>730.</td>
<td>7.3 x 10^-2</td>
<td></td>
</tr>
<tr>
<td>N-nitrosodimethylamine</td>
<td>7.3</td>
<td>7.3 x 10^-6</td>
<td></td>
</tr>
<tr>
<td>N-nitrosodi-N-propylamine</td>
<td>0.38</td>
<td>3.8 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>N-nitrosodiphenylamine</td>
<td>2.5</td>
<td>2.5 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>PAHs*</td>
<td>0.0088</td>
<td>8.8 x 10^-3</td>
<td></td>
</tr>
<tr>
<td>PCBs*</td>
<td>0.000019</td>
<td>1.9 x 10^-5</td>
<td></td>
</tr>
<tr>
<td>TCDD equivalents*</td>
<td>0.0000000039</td>
<td>3.9 x 10^-9</td>
<td></td>
</tr>
<tr>
<td>1,1,2,2-tetrachloroethane</td>
<td>2.3</td>
<td>2.3 x 10^-9</td>
<td></td>
</tr>
<tr>
<td>tetrachloroethylene</td>
<td>2.0</td>
<td>2.0 x 10^-9</td>
<td></td>
</tr>
<tr>
<td>toxaphene</td>
<td>0.00021</td>
<td>2.1 x 10^-4</td>
<td></td>
</tr>
<tr>
<td>trichloroethylene</td>
<td>27.</td>
<td>2.7 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>1,1,2-trichloroethane</td>
<td>9.4</td>
<td>9.4 x 10^-9</td>
<td></td>
</tr>
<tr>
<td>2,4,6-trichlorophenol</td>
<td>0.29</td>
<td>2.9 x 10^-1</td>
<td></td>
</tr>
<tr>
<td>vinyl chloride</td>
<td>36.</td>
<td>3.6 x 10^-1</td>
<td></td>
</tr>
</tbody>
</table>

* See Appendix I for definition of terms.
Table B Notes:

a) Dischargers may at their option meet this objective as a total chromium objective.

b) If a discharger can demonstrate to the satisfaction of the Regional Board (subject to EPA approval) that an analytical method is available to reliably distinguish between strongly and weakly complexed cyanide, effluent limitations for cyanide may be met by the combined measurement of free cyanide, simple alkali metal cyanides, and weakly complexed organometallic cyanide complexes. In order for the analytical method to be acceptable, the recovery of free cyanide from metal complexes must be comparable to that achieved by the approved method in 40 CFR PART 136, as revised May 14, 1999.

c) Water quality objectives for total chlorine residual applying to intermittent discharges not exceeding two hours, shall be determined through the use of the following equation:

\[
\log y = -0.43 \log x + 1.8
\]

where: \(y\) = the water quality objective (in ug/l) to apply when chlorine is being discharged; \(x\) = the duration of uninterrupted chlorine discharge in minutes.

E. Biological Characteristics

1. Marine communities, including vertebrate, invertebrate, and plant species, shall not be degraded*.

2. The natural taste, odor, and color of fish, shellfish*, or other marine resources used for human consumption shall not be altered.

3. The concentration of organic materials in fish, shellfish* or other marine resources used for human consumption shall not bioaccumulate to levels that are harmful to human health.

F. Radioactivity

1. Discharge of radioactive waste* shall not degrade* marine life.

* See Appendix I for definition of terms.
III. PROGRAM OF IMPLEMENTATION

A. General Provisions

1. Effective Date

   a. The Water Quality Control Plan, Ocean Waters of California, California Ocean Plan was adopted and has been effective since 1972. There have been multiple amendments of the Ocean Plan since its adoption.

2. General Requirements For Management Of Waste Discharge To The Ocean*

   a. Waste* management systems that discharge to the ocean* must be designed and operated in a manner that will maintain the indigenous marine life and a healthy and diverse marine community.

   b. Waste discharged* to the ocean* must be essentially free of:
      (1) Material that is floatable or will become floatable upon discharge.
      (2) Settleable material or substances that may form sediments which will degrade* benthic communities or other aquatic life.
      (3) Substances which will accumulate to toxic levels in marine waters, sediments or biota.
      (4) Substances that significantly* decrease the natural* light to benthic communities and other marine life.
      (5) Materials that result in aesthetically undesirable discoloration of the ocean* surface.

   c. Waste* effluents shall be discharged in a manner which provides sufficient initial* dilution to minimize the concentrations of substances not removed in the treatment.

   d. Location of waste* discharges must be determined after a detailed assessment of the oceanographic characteristics and current patterns to assure that:
      (1) Pathogenic organisms and viruses are not present in areas where shellfish* are harvested for human consumption or in areas used for swimming or other body-contact sports.
      (2) Natural water quality conditions are not altered in areas designated as being of special biological significance or areas that existing marine laboratories use as a source of seawater.
      (3) Maximum protection is provided to the marine environment.

* See Appendix I for definition of terms.
e. Waste* that contains pathogenic organisms or viruses should be discharged a sufficient distance from shellfishing* and water-contact sports areas to maintain applicable bacterial standards without disinfection. Where conditions are such that an adequate distance cannot be attained, reliable disinfection in conjunction with a reasonable separation of the discharge point from the area of use must be provided. Disinfection procedures that do not increase effluent toxicity and that constitute the least environmental and human hazard should be used.

3. Areas of Special Biological Significance

a. ASBS* shall be designated by the SWRCB following the procedures provided in Appendix IV. A list of ASBS* is available in Appendix V.

4. Combined Sewer Overflow: Not withstanding any other provisions in this plan, discharges from the City of San Francisco’s combined sewer system are subject to the US EPA’s Combined Sewer Overflow Policy.

B. Table A Effluent Limitations

<table>
<thead>
<tr>
<th>TABLE A EFFLUENT LIMITATIONS</th>
<th>Limiting Concentrations</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Unit of Measurement</td>
</tr>
<tr>
<td>Grease and Oil mg/l</td>
<td>25.</td>
</tr>
<tr>
<td>Suspended Solids MI/l</td>
<td>1.0</td>
</tr>
<tr>
<td>Settleable Solids ML/l</td>
<td>1.0</td>
</tr>
<tr>
<td>Turbidity NTU</td>
<td>75.</td>
</tr>
<tr>
<td>PH Units</td>
<td></td>
</tr>
</tbody>
</table>

Table A Notes:
+ Suspended Solids: Dischargers shall, as a 30-day average, remove 75% of suspended solids from the influent stream before discharging wastewaters to the ocean*, except that the effluent limitation to be met shall not be lower than 60 mg/l. Regional Boards may recommend that the SWRCB (Chapter IIIJ), with the concurrence of the Environmental Protection Agency, adjust the lower effluent concentration limit (the 60 mg/l above) to suit the environmental and effluent characteristics of the discharge. As a further consideration in making such recommendation for adjustment, Regional Boards should evaluate effects on existing and potential water* reclamation projects.

If the lower effluent concentration limit is adjusted, the discharger shall remove 75% of suspended solids from the influent stream at any time the influent concentration exceeds four times such adjusted effluent limit.

1. Table A effluent limitations apply only to publicly owned treatment works and industrial discharges for which Effluent Limitations Guidelines have not been established pursuant to Sections 301, 302, 304, or 306 of the Federal Clean Water Act.

* See Appendix I for definition of terms.
2. Table A effluent limitations shall apply to a discharger’s total effluent, of whatever origin (i.e., gross, not net, discharge), except where otherwise specified in this Plan.

3. The SWRCB is authorized to administer and enforce effluent limitations established pursuant to the Federal Clean Water Act. Effluent limitations established under Sections 301, 302, 306, 307, 316, 403, and 405 of the aforementioned Federal Act and administrative procedures pertaining thereto are included in this plan by reference. Compliance with Table A effluent limitations, or Environmental Protection Agency Effluent Limitations Guidelines for industrial discharges, based on Best Practicable Control Technology, shall be the minimum level of treatment acceptable under this plan, and shall define reasonable treatment and waste control technology.

C. Implementation Provisions for Table B

1. Effluent concentrations calculated from Table B water quality objectives shall apply to a discharger’s total effluent, of whatever origin (i.e., gross, not net, discharge), except where otherwise specified in this Plan.

2. If the Regional Water Board determines, using the procedures in Appendix VI, that a pollutant is discharged into ocean* waters at levels which will cause, have the reasonable potential to cause, or contribute to an excursion above a Table B water quality objective, the Regional Water Board shall incorporate a water quality-based effluent limitation in the Waste Discharge Requirement for the discharge of that pollutant.

3. Effluent limitations shall be imposed in a manner prescribed by the State Water Board such that the concentrations set forth below as water quality objectives shall not be exceeded in the receiving water upon completion of initial* dilution, except that objectives indicated for radioactivity shall apply directly to the undiluted waste* effluent.

4. Calculation of Effluent Limitations

a. Effluent limitations for water quality objectives listed in Table B, with the exception of acute* toxicity and radioactivity, shall be determined through the use of the following equation:

   Equation 1: \[ Ce = Co + Dm \times (Co - Cs) \]

   where:

   \[ Ce \] = the effluent concentration limit, ug/l
   \[ Co \] = the concentration (water quality objective) to be met at the completion of initial* dilution, ug/l
   \[ Cs \] = background seawater concentration (see Table C below), ug/l
   \[ Dm \] = minimum probable initial* dilution expressed as parts seawater per part wastewater.

* See Appendix I for definition of terms.
b. Determining a Mixing Zone for the Acute* Toxicity Objective

The mixing zone for the acute* toxicity objective shall be ten percent (10%) of the distance from the edge of the outfall structure to the edge of the chronic mixing zone (zone of initial dilution). There is no vertical limitation on this zone. The effluent limitation for the acute* toxicity objective listed in Table B shall be determined through the use of the following equation:

\[
\text{Equation 2: } Ce = Ca + (0.1) Dm (Ca)
\]

where:

- \( Ca \) = the concentration (water quality objective) to be met at the edge of the acute mixing zone.
- \( Dm \) = minimum probable initial* dilution expressed as parts seawater per part wastewater (This equation applies only when \( Dm > 24 \)).


c. Toxicity Testing Requirements based on the Minimum Initial* Dilution Factor for Ocean Waste Discharges

(1) Dischargers shall conduct acute* toxicity testing if the minimum initial* dilution of the effluent is greater than 1,000:1 at the edge of the mixing zone.

(2) Dischargers shall conduct either acute* or chronic* toxicity testing if the minimum initial* dilution ranges from 350:1 to 1,000:1 depending on the specific discharge conditions. The RWQCB shall make this determination.

(3) Dischargers shall conduct chronic* toxicity testing for ocean waste discharges with minimum initial* dilution factors ranging from 100:1 to 350:1. The RWQCBs may require that acute toxicity testing be conducted in addition to chronic as necessary for the protection of beneficial uses of ocean waters.

(4) Dischargers shall conduct chronic toxicity testing if the minimum initial* dilution of the effluent falls below 100:1 at the edge of the mixing zone.

d. For the purpose of this Plan, minimum initial* dilution is the lowest average initial* dilution within any single month of the year. Dilution estimates shall be based on

* See Appendix I for definition of terms.
observed waste flow characteristics, observed receiving water density structure, and the assumption that no currents, of sufficient strength to influence the initial dilution process, flow across the discharge structure.

e. The Executive Director of the SWRCB shall identify standard dilution models for use in determining Dm, and shall assist the Regional Board in evaluating Dm for specific waste discharges. Dischargers may propose alternative methods of calculating Dm, and the Regional Board may accept such methods upon verification of its accuracy and applicability.

f. The six-month median shall apply as a moving median of daily values for any 180-day period in which daily values represent flow weighted average concentrations within a 24-hour period. For intermittent discharges, the daily value shall be considered to equal zero for days on which no discharge occurred.

g. The daily maximum shall apply to flow weighted 24 hour composite samples.

h. The instantaneous maximum shall apply to grab sample determinations.

i. If only one sample is collected during the time period associated with the water quality objective (e.g., 30-day average or 6-month median), the single measurement shall be used to determine compliance with the effluent limitation for the entire time period.

j. Discharge requirements shall also specify effluent limitations in terms of mass emission rate limits utilizing the general formula:

Equation 3: \[ \text{lbs/day} = 0.00834 \times \text{Ce} \times \text{Q} \]

where:

\[ \text{Ce} = \text{the effluent concentration limit, ug/l} \]
\[ \text{Q} = \text{flow rate, million gallons per day (MGD)} \]

k. The six-month median limit on daily mass emissions shall be determined using the six-month median effluent concentration as Ce and the observed flow rate Q in millions of gallons per day. The daily maximum mass emission shall be determined using the daily maximum effluent concentration limit as Ce and the observed flow rate Q in millions of gallons per day.

l. Any significant change in waste flow shall be cause for reevaluating effluent limitations.

5. Minimum Levels

For each numeric effluent limitation, the Regional Board must select one or more Minimum Levels (and their associated analytical methods) for inclusion in the permit. The “reported” Minimum Level is the Minimum Level (and its associated analytical method) chosen by the discharger for reporting and compliance determination from the Minimum Levels included in their permit.

* See Appendix I for definition of terms.
a. Selection of Minimum* Levels from Appendix II

The Regional Board must select all Minimum* Levels from Appendix II that are below the effluent limitation. If the effluent limitation is lower than all the Minimum* Levels in Appendix II, the Regional Board must select the lowest Minimum* Level from Appendix II.

b. Deviations from Minimum* Levels in Appendix II

The Regional Board, in consultation with the State Water Board’s Quality Assurance Program, must establish a Minimum* Level to be included in the permit in any of the following situations:

1. A pollutant is not listed in Appendix II.
2. The discharger agrees to use a test method that is more sensitive than those described in 40 CFR 136 (revised May 14, 1999).
3. The discharger agrees to use a Minimum* Level lower than those listed in Appendix II.
4. The discharger demonstrates that their calibration standard matrix is sufficiently different from that used to establish the Minimum* Level in Appendix II and proposes an appropriate Minimum* Level for their matrix.
5. A discharger uses an analytical method having a quantification practice that is not consistent with the definition of Minimum* Level (e.g., US EPA methods 1613, 1624, 1625).

6. Use of Minimum* Levels

a. Minimum* Levels in Appendix II represent the lowest quantifiable concentration in a sample based on the proper application of method-specific analytical procedures and the absence of matrix interferences. Minimum* Levels also represent the lowest standard concentration in the calibration curve for a specific analytical technique after the application of appropriate method-specific factors.

Common analytical practices may require different treatment of the sample relative to the calibration standard. Some examples are given below:

<table>
<thead>
<tr>
<th>Substance or Grouping</th>
<th>Method-Specific Treatment</th>
<th>Most Common Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Volatile Organics</td>
<td>No differential treatment</td>
<td>1</td>
</tr>
<tr>
<td>Semi-Volatile Organics</td>
<td>Samples concentrated by extraction</td>
<td>1000</td>
</tr>
<tr>
<td>Metals</td>
<td>Samples diluted or concentrated</td>
<td>½, 2, and 4</td>
</tr>
<tr>
<td>Pesticides</td>
<td>Samples concentrated by extraction</td>
<td>100</td>
</tr>
</tbody>
</table>

b. Other factors may be applied to the Minimum* Level depending on the specific sample preparation steps employed. For example, the treatment typically applied when there are matrix effects is to dilute the sample or sample aliquot by a factor of ten. In such cases, this additional factor must be applied during the computation of the reporting limit. Application of such factors will alter the reported Minimum* Level.

c. Dischargers are to instruct their laboratories to establish calibration standards so that the Minimum* Level (or its equivalent if there is differential treatment of

* See Appendix I for definition of terms.
samples relative to calibration standards) is the lowest calibration standard. At no time is the discharger to use analytical data derived from *extrapolation* beyond the lowest point of the calibration curve. In accordance with Section 4b, above, the discharger’s laboratory may employ a calibration standard lower than the Minimum* Level in Appendix II.

7. Sample Reporting Protocols

   a. Dischargers must report with each sample result the reported Minimum* Level (selected in accordance with Section 4, above) and the laboratory’s current MDL*.

   b. Dischargers must also report the results of analytical determinations for the presence of chemical constituents in a sample using the following reporting protocols:

       (1) Sample results greater than or equal to the reported Minimum* Level must be reported “as measured” by the laboratory (i.e., the measured chemical concentration in the sample).

       (2) Sample results less than the reported Minimum* Level, but greater than or equal to the laboratory’s MDL*, must be reported as “Detected, but Not Quantified”, or DNQ. The laboratory must write the estimated chemical concentration of the sample next to DNQ as well as the words “Estimated Concentration” (may be shortened to “Est. Conc.”).

       (3) Sample results less than the laboratory’s MDL* must be reported as “Not Detected”, or ND.

8. Compliance Determination

   Sufficient sampling and analysis shall be required to determine compliance with the effluent limitation.

   a. Compliance with Single- Constituent Effluent Limitations

       Dischargers are out of compliance with the effluent limitation if the concentration of the pollutant (see Section 7c, below) in the monitoring sample is greater than the effluent limitation and greater than or equal to the reported Minimum* Level.

   b. Compliance with Effluent Limitations expressed as a Sum of Several Constituents

       Dischargers are out of compliance with an effluent limitation which applies to the sum of a group of chemicals (e.g., PCB’s) if the sum of the individual pollutant concentrations is greater than the effluent limitation. Individual pollutants of the group will be considered to have a concentration of zero if the constituent is reported as ND or DNQ.

   c. Multiple Sample Data Reduction

       The concentration of the pollutant in the effluent may be estimated from the result of a single sample analysis or by a measure of central tendency (arithmetic mean, geometric mean, median, etc.) of multiple sample analyses when all sample results are quantifiable (i.e., greater than or equal to the reported Minimum* Level). When one or more sample results are reported as ND or DNQ, the central tendency concentration of the pollutant shall be the median (middle) value of the

* See Appendix I for definition of terms.
multiple samples. If, in an even number of samples, one or both of the middle values is ND or DNQ, the median will be the lower of the two middle values.

d. Powerplants and Heat Exchange Dischargers

Due to the large total volume of powerplant and other heat exchange discharges, special procedures must be applied for determining compliance with Table B objectives on a routine basis. Effluent concentration values (Ce) shall be determined through the use of equation 1 considering the minimal probable initial* dilution of the combined effluent (in-plant waste streams plus cooling water flow). These concentration values shall then be converted to mass emission limitations as indicated in equation 3. The mass emission limits will then serve as requirements applied to all inplant waste* streams taken together which discharge into the cooling water flow, except that limits for total chlorine residual, acute* (if applicable per Section (3)(c)) and chronic* toxicity and instantaneous maximum concentrations in Table B shall apply to, and be measured in, the combined final effluent, as adjusted for dilution with ocean water. The Table B objective for radioactivity shall apply to the undiluted combined final effluent.

9. Pollutant Minimization Program

a. Pollutant Minimization Program Goal

The goal of the Pollutant Minimization Program is to reduce all potential sources of a pollutant through pollutant minimization (control) strategies, including pollution prevention measures, in order to maintain the effluent concentration at or below the effluent limitation.

Pollution prevention measures may be particularly appropriate for persistent bioaccumulative priority pollutants where there is evidence that beneficial uses are being impacted. The completion and implementation of a Pollution Prevention Plan, required in accordance with CA Water Code Section 13263.3 (d) will fulfill the Pollution Minimization Program requirements in this section.

b. Determining the need for a Pollutant Minimization Program

1. The discharger must develop and conduct a Pollutant Minimization Program if all of the following conditions are true:
   (a) The calculated effluent limitation is less than the reported Minimum* Level
   (b) The concentration of the pollutant is reported as DNQ
   (c) There is evidence showing that the pollutant is present in the effluent above the calculated effluent limitation.

2. Alternatively, the discharger must develop and conduct a Pollutant Minimization Program if all of the following conditions are true:
   (a) The calculated effluent limitation is less than the Method Detection Limit*.
   (b) The concentration of the pollutant is reported as ND.
   (c) There is evidence showing that the pollutant is present in the effluent above the calculated effluent limitation.

* See Appendix I for definition of terms.
c. Regional Boards may include special provisions in the discharge requirements to require the gathering of evidence to determine whether the pollutant is present in the effluent at levels above the calculated effluent limitation. Examples of evidence may include:

1. health advisories for fish consumption,
2. presence of whole effluent toxicity,
3. results of benthic or aquatic organism tissue sampling,
4. sample results from analytical methods more sensitive than methods included in the permit (in accordance with Section 4b, above).
5. the concentration of the pollutant is reported as DNQ and the effluent limitation is less than the MDL.

d. Elements of a Pollutant Minimization Program

The Regional Board may consider cost-effectiveness when establishing the requirements of a Pollutant Minimization Program. The program shall include actions and submittals acceptable to the Regional Board including, but not limited to, the following:

1. An annual review and semi-annual monitoring of potential sources of the reportable pollutant, which may include fish tissue monitoring and other bio-uptake sampling;
2. Quarterly monitoring for the reportable pollutant in the influent to the wastewater treatment system;
3. Submittal of a control strategy designed to proceed toward the goal of maintaining concentrations of the reportable pollutant in the effluent at or below the calculated effluent limitation;
4. Implementation of appropriate cost-effective control measures for the pollutant, consistent with the control strategy; and,
5. An annual status report that shall be sent to the Regional Board including:
   (a) All Pollutant Minimization Program monitoring results for the previous year;
   (b) A list of potential sources of the reportable pollutant;
   (c) A summary of all action taken in accordance with the control strategy; and,
   (d) A description of actions to be taken in the following year.

10. Toxicity Reduction Requirements

a. If a discharge consistently exceeds an effluent limitation based on a toxicity objective in Table B, a toxicity reduction evaluation (TRE) is required. The TRE shall include all reasonable steps to identify the source of toxicity. Once the source(s) of toxicity is identified, the discharger shall take all reasonable steps necessary to reduce toxicity to the required level.

* See Appendix I for definition of terms.
b. The following shall be incorporated into waste discharge requirements: (1) a requirement to conduct a TRE if the discharge consistently exceeds its toxicity effluent limitation, and (2) a provision requiring a discharger to take all reasonable steps to reduce toxicity once the source of toxicity is identified.

D. Implementation Provisions for Bacterial Characteristics

1. Water-Contact Monitoring

a. Weekly samples shall be collected from each site. The geometric mean shall be calculated using the five most recent sample results.

b. If a single sample exceeds any of the single sample maximum (SSM) standards, repeat sampling at that location shall be conducted to determine the extent and persistence of the exceedance. Repeat sampling shall be conducted within 24 hours of receiving analytical results and continued until the sample result is less than the SSM standard or until a sanitary survey is conducted to determine the source of the high bacterial densities.

i) Total coliform density will not exceed 10,000 per 100 ml; or
ii) Fecal coliform density will not exceed 400 per 100 ml; or
iii) Total coliform density will not exceed 1,000 per 100 ml when the ratio of fecal/total coliform exceeds 0.1;
iv) enterococcus density will not exceed 104 per 100 ml.

When repeat sampling is required because of an exceedance of any one single sample density, values from all samples collected during that 30-day period will be used to calculate the geometric mean.

c. It is state policy that the geometric mean bacterial objectives are strongly preferred for use in water body assessment decisions, for example, in developing the Clean Water Act section 303(d) list of impaired waters, because the geometric mean objectives are a more reliable measure of long-term water body conditions. In making assessment decisions on bacterial quality, single sample maximum data must be considered together with any available geometric mean data. The use of only single sample maximum bacterial data is generally inappropriate unless there is a limited data set, the water is subject to short-term spikes in bacterial concentrations, or other circumstances justify the use of only single sample maximum data.

d. For monitoring stations outside of the defined water-contact recreation zone (REC-1), samples will be analyzed for total coliform only.

E. Implementation Provisions For Areas* of Special Biological Significance (ASBS)

1. Waste* shall not be discharged to areas designated as being of special biological significance. Discharges shall be located a sufficient distance from such designated areas to assure maintenance of natural water quality conditions in these areas.

* See Appendix I for definition of terms.
2. Regional Boards may approve waste discharge requirements or recommend certification for limited-term (i.e. weeks or months) activities in ASBS*. Limited-term activities include, but are not limited to, activities such as maintenance/repair of existing boat facilities, restoration of sea walls, repair of existing storm water pipes, and replacement/repair of existing bridges. Limited-term activities may result in temporary and short-term changes in existing water quality. Water quality degradation shall be limited to the shortest possible time. The activities must not permanently degrade water quality or result in water quality lower than that necessary to protect existing uses, and all practical means of minimizing such degradation shall be implemented.

F. Revision of Waste* Discharge Requirements

1. The Regional Board shall revise the waste* discharge requirements for existing* discharges as necessary to achieve compliance with this Plan and shall also establish a time schedule for such compliance.

2. The Regional Boards may establish more restrictive water quality objectives and effluent limitations than those set forth in this Plan as necessary for the protection of beneficial uses of ocean* waters.

3. Regional Boards may impose alternative less restrictive provisions than those contained within Table B of the Plan, provided an applicant can demonstrate that:
   a. Reasonable control technologies (including source control, material substitution, treatment and dispersion) will not provide for complete compliance; or
   b. Any less stringent provisions would encourage water* reclamation;

4. Provided further that:
   a. Any alternative water quality objectives shall be below the conservative estimate of chronic* toxicity, as given in Table D, and such alternative will provide for adequate protection of the marine environment;
   b. A receiving water quality toxicity objective of 1 TUh is not exceeded; and
   c. The State Board grants an exception (Chapter III. I.) to the Table B limits as established in the Regional Board findings and alternative limits.

* See Appendix I for definition of terms.
TABLE D
CONSERVATIVE ESTIMATES OF CHRONIC TOXICITY

<table>
<thead>
<tr>
<th>Constituent</th>
<th>Estimate of Chronic Toxicity (ug/l)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Arsenic</td>
<td>19</td>
</tr>
<tr>
<td>Cadmium</td>
<td>8</td>
</tr>
<tr>
<td>Hexavalent Chromium</td>
<td>18</td>
</tr>
<tr>
<td>Copper</td>
<td>5</td>
</tr>
<tr>
<td>Lead</td>
<td>22</td>
</tr>
<tr>
<td>Mercury</td>
<td>0.4</td>
</tr>
<tr>
<td>Nickel</td>
<td>48</td>
</tr>
<tr>
<td>Silver</td>
<td>3</td>
</tr>
<tr>
<td>Zinc</td>
<td>51</td>
</tr>
<tr>
<td>Cyanide</td>
<td>10</td>
</tr>
<tr>
<td>Total Chlorine Residual</td>
<td>10.0</td>
</tr>
<tr>
<td>Ammonia</td>
<td>4000.0</td>
</tr>
<tr>
<td>Phenolic Compounds (non-chlorinated)</td>
<td>a) (see below)</td>
</tr>
<tr>
<td>Chlorinated Phenolics</td>
<td>a)</td>
</tr>
<tr>
<td>Chlorinated Pesticides and PCB’s</td>
<td>b)</td>
</tr>
</tbody>
</table>

Table D Notes:

a) There are insufficient data for phenolics to estimate chronic toxicity levels. Requests for modification of water quality objectives for these waste* constituents must be supported by chronic toxicity data for representative sensitive species. In such cases, applicants seeking modification of water quality objectives should consult the Regional Water Quality Control Board to determine the species and test conditions necessary to evaluate chronic effects.

b) Limitations on chlorinated pesticides and PCB’s shall not be modified so that the total of these compounds is increased above the objectives in Table B.

G. Monitoring Program

1. The Regional Water Boards shall require dischargers to conduct self-monitoring programs and submit reports necessary to determine compliance with the waste* discharge requirements, and may require dischargers to contract with agencies or persons acceptable to the Regional Water Board to provide monitoring reports. Monitoring provisions contained in waste discharge requirements shall be in accordance with the Monitoring Procedures provided in Appendices III and VI.

2. The Regional Water Board may require monitoring of bioaccumulation of toxicants in the discharge zone. Organisms and techniques for such monitoring shall be chosen by the Regional Water Board on the basis of demonstrated value in waste* discharge monitoring.

* See Appendix I for definition of terms.
H. Discharge Prohibitions

1. Hazardous Substances

   a. The discharge of any radiological, chemical, or biological warfare agent or high-level radioactive waste* into the ocean* is prohibited.

2. Areas Designated for Special Water Quality Protection

   a. Waste* shall not be discharged to designated Areas* of Special Biological Significance except as provided in Chapter III. E. Implementation Provisions For Areas of Special Biological Significance.

3. Sludge

   a. Pipeline discharge of sludge to the ocean* is prohibited by federal law; the discharge of municipal and industrial waste* sludge directly to the ocean*, or into a waste* stream that discharges to the ocean*, is prohibited by this Plan. The discharge of sludge digester supernatant directly to the ocean*, or to a waste* stream that discharges to the ocean* without further treatment, is prohibited.

   b. It is the policy of the SWRCB that the treatment, use and disposal of sewage sludge shall be carried out in the manner found to have the least adverse impact on the total natural and human environment. Therefore, if federal law is amended to permit such discharge, which could affect California waters, the SWRCB may consider requests for exceptions to this section under Chapter III. H. of this Plan, provided further that an Environmental Impact Report on the proposed project shows clearly that any available alternative disposal method will have a greater adverse environmental impact than the proposed project.

4. By-Passing

   a. The by-passing of untreated wastes* containing concentrations of pollutants in excess of those of Table A or Table B to the ocean* is prohibited.

I. State Board Exceptions to Plan Requirements

1. The State Water Board may, in compliance with the California Environmental Quality Act, subsequent to a public hearing, and with the concurrence of the Environmental Protection Agency, grant exceptions where the Board determines:

   a. The exception will not compromise protection of ocean* waters for beneficial uses, and,

   b. The public interest will be served.

2. All exceptions issued by the State Water Board and in effect at the time of the Triennial Review will be reviewed at that time. If there is sufficient cause to re-open or revoke any exception, the State Water Board may direct staff to prepare a report and to schedule a public hearing. If after the public hearing the State Water Board decides to re-open, revoke, or re-issue a particular exception, it may do so at that time.

* See Appendix I for definition of terms.
APPENDIX I

DEFINITION OF TERMS

ACUTE TOXICITY

a. Acute Toxicity (TUa)

Expressed in Toxic Units Acute (TUa)

\[ \text{TUa} = \frac{100}{96\text{-hr LC 50\%}} \]

b. Lethal Concentration 50\% (LC 50)

LC 50 (percent waste giving 50\% survival of test organisms) shall be determined by static or continuous flow bioassay techniques using standard marine test species as specified in Appendix III, Chapter II. If specific identifiable substances in wastewater can be demonstrated by the discharger as being rapidly rendered harmless upon discharge to the marine environment, but not as a result of dilution, the LC 50 may be determined after the test samples are adjusted to remove the influence of those substances.

When it is not possible to measure the 96-hour LC 50 due to greater than 50\% survival of the test species in 100\% waste, the toxicity concentration shall be calculated by the expression:

\[ \text{TUa} = \frac{\log (100 - S)}{1.7} \]

where:
S = percentage survival in 100\% waste. If S > 99, TUa shall be reported as zero.

AREAS OF SPECIAL BIOLOGICAL SIGNIFICANCE (ASBS) are those areas designated by the State Water Board as ocean areas requiring protection of species or biological communities to the extent that alteration of natural water quality is undesirable. All Areas of Special Biological Significance are also classified as a subset of STATE WATER QUALITY PROTECTION AREAS.

CHLORDANE shall mean the sum of chlordane-alpha, chlordane-gamma, chlordene-alpha, chlordene-gamma, nonachlor-alpha, nonachlor-gamma, and oxychlordane.

CHRONIC TOXICITY: This parameter shall be used to measure the acceptability of waters for supporting a healthy marine biota until improved methods are developed to evaluate biological response.

a. Chronic Toxicity (TUc)

Expressed as Toxic Units Chronic (TUc)

\[ \text{TUc} = \frac{100}{\text{NOEL}} \]

* See Appendix I for definition of terms.
b. No Observed Effect Level (NOEL)

The NOEL is expressed as the maximum percent effluent or receiving water that causes no observable effect on a test organism, as determined by the result of a critical life stage toxicity test listed in Appendix II.

**DDT** shall mean the sum of 4,4′DDT, 2,4′DDT, 4,4′DDE, 2,4′DDE, 4,4′DDD, and 2,4′DDD.

**DEGRADE:** Degradation shall be determined by comparison of the waste field and reference site(s) for characteristic species diversity, population density, contamination, growth anomalies, debility, or supplanting of normal species by undesirable plant and animal species. Degradation occurs if there are significant differences in any of three major biotic groups, namely, demersal fish, benthic invertebrates, or attached algae. Other groups may be evaluated where benthic species are not affected, or are not the only ones affected.

**DICHLOROBENZENES** shall mean the sum of 1,2- and 1,3-dichlorobenzene.

**DOWNSTREAM OCEAN WATERS** shall mean waters downstream with respect to ocean currents.

**DREDGED MATERIAL:** Any material excavated or dredged from the navigable waters of the United States, including material otherwise referred to as “spoil”.

**ENCLOSED BAYS** are indentations along the coast which enclose an area of oceanic water within distinct headlands or harbor works. Enclosed bays include all bays where the narrowest distance between headlands or outermost harbor works is less than 75 percent of the greatest dimension of the enclosed portion of the bay. This definition includes but is not limited to: Humboldt Bay, Bodega Harbor, Tomales Bay, Drakes Estero, San Francisco Bay, Morro Bay, Los Angeles Harbor, Upper and Lower Newport Bay, Mission Bay, and San Diego Bay.

**ENDOSULFAN** shall mean the sum of endosulfan-alpha and -beta and endosulfan sulfate.

**ESTUARIES AND COASTAL LAGOONS** are waters at the mouths of streams that serve as mixing zones for fresh and ocean waters during a major portion of the year. Mouths of streams that are temporarily separated from the ocean by sandbars shall be considered as estuaries. Estuarine waters will generally be considered to extend from a bay or the open ocean to the upstream limit of tidal action but may be considered to extend seaward if significant mixing of fresh and salt water occurs in the open coastal waters. The waters described by this definition include but are not limited to the Sacramento-San Joaquin Delta as defined by Section 12220 of the California Water Code, Suisun Bay, Carquinez Strait downstream to Carquinez Bridge, and appropriate areas of the Smith, Klamath, Mad, Eel, Noyo, and Russian Rivers.

**HALOMETHANES** shall mean the sum of bromoform, bromomethane (methyl bromide) and chloromethane (methyl chloride).

**HCH** shall mean the sum of the alpha, beta, gamma (lindane) and delta isomers of hexachlorocyclohexane.

* See Appendix I for definition of terms.
**INITIAL DILUTION** is the process which results in the rapid and irreversible turbulent mixing of wastewater with ocean water around the point of discharge.

For a submerged buoyant discharge, characteristic of most municipal and industrial wastes that are released from the submarine outfalls, the momentum of the discharge and its initial buoyancy act together to produce turbulent mixing. Initial dilution in this case is completed when the diluting wastewater ceases to rise in the water column and first begins to spread horizontally.

For shallow water submerged discharges, surface discharges, and nonbuoyant discharges, characteristic of cooling water wastes and some individual discharges, turbulent mixing results primarily from the momentum of discharge. Initial dilution, in these cases, is considered to be completed when the momentum induced velocity of the discharge ceases to produce significant mixing of the waste, or the diluting plume reaches a fixed distance from the discharge to be specified by the Regional Board, whichever results in the lower estimate for initial dilution.

**KELP BEDS,** for purposes of the bacteriological standards of this plan, are significant aggregations of marine algae of the genera *Macrocystis* and *Nereocystis.* Kelp beds include the total foliage canopy of *Macrocystis* and *Nereocystis* plants throughout the water column.

**MARICULTURE** is the culture of plants and animals in marine waters independent of any pollution source.

**MATERIAL:** (a) In common usage: (1) the substance or substances of which a thing is made or composed (2) substantial; (b) For purposes of this Ocean Plan relating to waste disposal, dredging and the disposal of dredged material and fill, MATERIAL means matter of any kind or description which is subject to regulation as waste, or any material dredged from the navigable waters of the United States. See also, DREDGED MATERIAL.

**MDL** (Method Detection Limit) is the minimum concentration of a substance that can be measured and reported with 99% confidence that the analyte concentration is greater than zero, as defined in 40 CFR PART 136 Appendix B.

**MINIMUM LEVEL (ML)** is the concentrations at which the entire analytical system must give a recognizable signal and acceptable calibration point. The ML is the concentration in a sample that is equivalent to the concentration of the lowest calibration standard analyzed by a specific analytical procedure, assuming that all the method-specified sample weights, volumes and processing steps have been followed.

**NATURAL LIGHT:** Reduction of natural light may be determined by the Regional Board by measurement of light transmissivity or total irradiance, or both, according to the monitoring needs of the Regional Board.

**OCEAN WATERS** are the territorial marine waters of the State as defined by California law to the extent these waters are outside of enclosed bays, estuaries, and coastal lagoons. If a discharge outside the territorial waters of the State could affect the quality of the waters of the State, the discharge may be regulated to assure no violation of the Ocean Plan will occur in ocean waters.

* See Appendix I for definition of terms.
PAHs (polynuclear aromatic hydrocarbons) shall mean the sum of acenaphthylene, anthracene, 1,2-benzanthracene, 3,4-benzofluoranthene, benzo[k]fluoranthene, 1,12-benzoperylene, benzo[a]pyrene, chrysene, dibenzo[ah]anthracene, fluorene, indeno[1,2,3-cd]pyrene, phenanthrene and pyrene.

PCBs (polychlorinated biphenyls) shall mean the sum of chlorinated biphenyls whose analytical characteristics resemble those of Aroclor-1016, Aroclor-1221, Aroclor-1232, Aroclor-1242, Aroclor-1248, Aroclor-1254 and Aroclor-1260.

SHELLFISH are organisms identified by the California Department of Health Services as shellfish for public health purposes (i.e., mussels, clams and oysters).

SIGNIFICANT difference is defined as a statistically significant difference in the means of two distributions of sampling results at the 95 percent confidence level.

STATE WATER QUALITY PROTECTION AREAS (SWQPAs) are nonterrestrial marine or estuarine areas designated to protect marine species or biological communities from an undesirable alteration in natural water quality. All Areas of Special Biological Significance (ASBS) that were previously designated by the State Water Board in Resolutions 74-28, 74-32, and 75-61 are now also classified as a subset of State Water Quality Protection Areas and require special protections afforded by this Plan.

TCDD EQUIVALENTS shall mean the sum of the concentrations of chlorinated dibenzodioxins (2,3,7,8-CDDs) and chlorinated dibenzofurans (2,3,7,8-CDFs) multiplied by their respective toxicity factors, as shown in the table below.

<table>
<thead>
<tr>
<th>Isomer Group</th>
<th>Toxicity Equivalence Factor</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,3,7,8-tetra CDD</td>
<td>1.0</td>
</tr>
<tr>
<td>2,3,7,8-penta CDD</td>
<td>0.5</td>
</tr>
<tr>
<td>2,3,7,8-hexa CDDs</td>
<td>0.1</td>
</tr>
<tr>
<td>2,3,7,8-hepta CDD</td>
<td>0.01</td>
</tr>
<tr>
<td>octa CDD</td>
<td>0.001</td>
</tr>
<tr>
<td>2,3,7,8 tetra CDF</td>
<td>0.1</td>
</tr>
<tr>
<td>1,2,3,7,8 penta CDF</td>
<td>0.05</td>
</tr>
<tr>
<td>2,3,4,7,8 penta CDF</td>
<td>0.5</td>
</tr>
<tr>
<td>2,3,7,8 hexa CDFs</td>
<td>0.1</td>
</tr>
<tr>
<td>2,3,7,8 hepta CDFs</td>
<td>0.01</td>
</tr>
<tr>
<td>octa CDF</td>
<td>0.001</td>
</tr>
</tbody>
</table>

WASTE: As used in this Plan, waste includes a discharger’s total discharge, of whatever origin, i.e., gross, not net, discharge.

WATER RECLAMATION: The treatment of wastewater to render it suitable for reuse, the transportation of treated wastewater to the place of use, and the actual use of treated wastewater for a direct beneficial use or controlled use that would not otherwise occur.

* See Appendix I for definition of terms.
## APPENDIX II

### MINIMUM* LEVELS

The Minimum* Levels identified in this appendix represent the lowest concentration of a pollutant that can be quantitatively measured in a sample given the current state of performance in analytical chemistry methods in California. These Minimum* Levels were derived from data provided by state-certified analytical laboratories in 1997 and 1998 for pollutants regulated by the California Ocean Plan and shall be used until new values are adopted by the SWRCB. There are four major chemical groupings: volatile chemicals, semi-volatile chemicals, inorganics, pesticides & PCB’s. “No Data” is indicated by “--”.

### TABLE II-1

#### MINIMUM* LEVELS – VOLATILE CHEMICALS

<table>
<thead>
<tr>
<th>Volatile Chemicals</th>
<th>CAS Number</th>
<th>GC Method^a</th>
<th>GCMS Method^b</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acrolein</td>
<td>107028</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>Acrylonitrile</td>
<td>107131</td>
<td>2</td>
<td>2</td>
</tr>
<tr>
<td>Benzene</td>
<td>71432</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Bromoform</td>
<td>75252</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Carbon Tetrachloride</td>
<td>56235</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Chlorobenzene</td>
<td>108907</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Chlorodibromomethane</td>
<td>124481</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Chloroform</td>
<td>67663</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,2-Dichlorobenzene (volatile)</td>
<td>95501</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,3-Dichlorobenzene (volatile)</td>
<td>541731</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,4-Dichlorobenzene (volatile)</td>
<td>106467</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Dichlorobromomethane</td>
<td>75274</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,1-Dichloroethane</td>
<td>75343</td>
<td>0.5</td>
<td>1</td>
</tr>
<tr>
<td>1,2-Dichloroethane</td>
<td>107062</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,1-Dichloroethylene</td>
<td>75354</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Dichloromethane</td>
<td>75092</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,3-Dichloropropene (volatile)</td>
<td>542756</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Ethyl benzene</td>
<td>100414</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Methyl Bromide</td>
<td>74839</td>
<td>1.</td>
<td>2</td>
</tr>
<tr>
<td>Methyl Chloride</td>
<td>74873</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,1,2,2-Tetrachloroethane</td>
<td>79345</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Tetrachloroethylene</td>
<td>127184</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Toluene</td>
<td>108883</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,1,1-Trichloroethane</td>
<td>71556</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>1,1,2-Trichloroethane</td>
<td>79005</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Trichloroethylene</td>
<td>79016</td>
<td>0.5</td>
<td>2</td>
</tr>
<tr>
<td>Vinyl Chloride</td>
<td>75014</td>
<td>0.5</td>
<td>2</td>
</tr>
</tbody>
</table>

**Table II-1 Notes**

- a) **GC Method** = Gas Chromatography
- b) **GCMS Method** = Gas Chromatography / Mass Spectrometry
- *To determine the lowest standard concentration in an instrument calibration curve for these techniques, use the given ML (see Chapter III, “Use of Minimum* Levels”).
### Table II-2
**MINIMUM* LEVELS – SEMI VOLATILE CHEMICALS**

<table>
<thead>
<tr>
<th>Semi-Volatile Chemicals</th>
<th>CAS Number</th>
<th>GC Method</th>
<th>GCMS Method</th>
<th>HPLC Method</th>
<th>COLOR Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>Acenaphthylene</td>
<td>208968</td>
<td>--</td>
<td>10</td>
<td>0.2</td>
<td>--</td>
</tr>
<tr>
<td>Anthracene</td>
<td>120127</td>
<td>--</td>
<td>10</td>
<td>2</td>
<td>--</td>
</tr>
<tr>
<td>Benzidine</td>
<td>92875</td>
<td>--</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Benzo(a)anthracene</td>
<td>56553</td>
<td>--</td>
<td>10</td>
<td>2</td>
<td>--</td>
</tr>
<tr>
<td>Benzo(a)pyrene</td>
<td>50328</td>
<td>--</td>
<td>10</td>
<td>2</td>
<td>--</td>
</tr>
<tr>
<td>Benzo(b)fluoranthene</td>
<td>205992</td>
<td>--</td>
<td>10</td>
<td>10</td>
<td>--</td>
</tr>
<tr>
<td>Benzo(g,h,i)perylene</td>
<td>191242</td>
<td>--</td>
<td>5</td>
<td>0.1</td>
<td>--</td>
</tr>
<tr>
<td>Benzo(k)fluoranthene</td>
<td>207089</td>
<td>--</td>
<td>10</td>
<td>2</td>
<td>--</td>
</tr>
<tr>
<td>Bis 2-(1-Chloroethoxy) methane</td>
<td>111911</td>
<td>--</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Bis(2-Chloroethyl)ether</td>
<td>111444</td>
<td>10</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Bis(2-Chloroisopropyl)ether</td>
<td>39638329</td>
<td>10</td>
<td>2</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Bis(2-Ethylhexyl) phthalate</td>
<td>117817</td>
<td>10</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2-Chlorophenol</td>
<td>95578</td>
<td>2</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Chrysene</td>
<td>218019</td>
<td>--</td>
<td>10</td>
<td>5</td>
<td>--</td>
</tr>
<tr>
<td>Di-n-butyl phthalate</td>
<td>84742</td>
<td>--</td>
<td>10</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Dibenzo(a,h)anthracene</td>
<td>53703</td>
<td>--</td>
<td>10</td>
<td>0.1</td>
<td>--</td>
</tr>
<tr>
<td>1,2-Dichlorobenzene (semivolatile)</td>
<td>95504</td>
<td>2</td>
<td>2</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>1,3-Dichlorobenzene (semivolatile)</td>
<td>541731</td>
<td>2</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>1,4-Dichlorobenzene (semivolatile)</td>
<td>106467</td>
<td>2</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>3,3-Dichlorobenzidine</td>
<td>91941</td>
<td>--</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2,4-Dichlorobenzene</td>
<td>120832</td>
<td>1</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>1,3-Dichloropropene</td>
<td>542756</td>
<td>--</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Diethyl phthalate</td>
<td>84662</td>
<td>10</td>
<td>2</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Dimethyl phthalate</td>
<td>131113</td>
<td>10</td>
<td>2</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2,4-Dimethylphenol</td>
<td>105679</td>
<td>1</td>
<td>2</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2,4-Dinitrophenol</td>
<td>51285</td>
<td>5</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2,4-Dinitrotoluene</td>
<td>121142</td>
<td>10</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>1,2-Diphenylhydrazine</td>
<td>122667</td>
<td>--</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Fluoranthene</td>
<td>206440</td>
<td>10</td>
<td>1</td>
<td>0.05</td>
<td>--</td>
</tr>
<tr>
<td>Fluorene</td>
<td>86737</td>
<td>--</td>
<td>10</td>
<td>0.1</td>
<td>--</td>
</tr>
<tr>
<td>Hexachlorobenzene</td>
<td>118741</td>
<td>5</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Hexachlorobutadiene</td>
<td>87683</td>
<td>5</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Hexachlorocyclopentadiene</td>
<td>77474</td>
<td>5</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
</tbody>
</table>

Table II-2 continued on next page...
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Hexachloroethane</td>
<td>67721</td>
<td></td>
<td>5</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Indeno(1,2,3-cd)pyrene</td>
<td>193395</td>
<td></td>
<td>--</td>
<td>10</td>
<td>0.05</td>
<td>--</td>
</tr>
<tr>
<td>Isophorone</td>
<td>78591</td>
<td></td>
<td>10</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2-methyl-4,6-dinitrophenol</td>
<td>534521</td>
<td></td>
<td>10</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>3-methyl-4-chlorophenol</td>
<td>59507</td>
<td></td>
<td>5</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>N-nitrosodi-n-propylamine</td>
<td>621647</td>
<td></td>
<td>10</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>N-nitrosodimethylamine</td>
<td>62759</td>
<td></td>
<td>10</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>N-nitrosodiphenylamine</td>
<td>86306</td>
<td></td>
<td>1</td>
<td>--</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Nitrobenzene</td>
<td>98953</td>
<td></td>
<td>10</td>
<td>1</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>2-Nitrophenol</td>
<td>88755</td>
<td></td>
<td>--</td>
<td>10</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>4-Nitrophenol</td>
<td>100027</td>
<td></td>
<td>5</td>
<td>10</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Pentachlorophenol</td>
<td>87865</td>
<td></td>
<td>1</td>
<td>5</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Phenanthrene</td>
<td>85018</td>
<td></td>
<td>--</td>
<td>5</td>
<td>0.05</td>
<td>--</td>
</tr>
<tr>
<td>Phenol</td>
<td>108952</td>
<td></td>
<td>1</td>
<td>1</td>
<td>--</td>
<td>50</td>
</tr>
<tr>
<td>Pyrene</td>
<td>129000</td>
<td></td>
<td>--</td>
<td>10</td>
<td>0.05</td>
<td>--</td>
</tr>
<tr>
<td>2,4,6-Trichlorophenol</td>
<td>88062</td>
<td></td>
<td>10</td>
<td>10</td>
<td>--</td>
<td>--</td>
</tr>
</tbody>
</table>

**Table II-2 Notes:**

a) GC Method = Gas Chromatography  
b) GCMS Method = Gas Chromatography / Mass Spectrometry  
c) HPLC Method = High Pressure Liquid Chromatography  
d) COLOR Method = Colorimetric  

* To determine the lowest standard concentration in an instrument calibration curve for this technique, multiply the given ML by 1000 (see Chapter III, “Use of Minimum* Levels”).
### Table II-3
**MINIMUM* LEVELS - INORGANICS**

<table>
<thead>
<tr>
<th>Inorganic Substances</th>
<th>CAS Number</th>
<th>COLOR Method</th>
<th>DCP Method</th>
<th>FAA Method</th>
<th>GFAA Method</th>
<th>HYDRIDE Method</th>
<th>ICP Method</th>
<th>ICPMS Method</th>
<th>SPGFAA Method</th>
<th>CVAA Method</th>
</tr>
</thead>
<tbody>
<tr>
<td>Antimony</td>
<td>7440360</td>
<td>--</td>
<td>1000.</td>
<td>10.</td>
<td>5.</td>
<td>0.5</td>
<td>50.</td>
<td>0.5</td>
<td>5.</td>
<td>--</td>
</tr>
<tr>
<td>Arsenic</td>
<td>7440382</td>
<td>20.</td>
<td>1000.</td>
<td>--</td>
<td>2.</td>
<td>1.</td>
<td>10.</td>
<td>2.</td>
<td>2.</td>
<td>--</td>
</tr>
<tr>
<td>Beryllium</td>
<td>7440417</td>
<td>--</td>
<td>1000.</td>
<td>20.</td>
<td>0.5</td>
<td>--</td>
<td>2.</td>
<td>0.5</td>
<td>1.</td>
<td>--</td>
</tr>
<tr>
<td>Cadmium</td>
<td>7440439</td>
<td>--</td>
<td>1000.</td>
<td>10.</td>
<td>0.5</td>
<td>--</td>
<td>10.</td>
<td>0.2</td>
<td>0.5</td>
<td>--</td>
</tr>
<tr>
<td>Chromium (total)</td>
<td>--</td>
<td>--</td>
<td>1000.</td>
<td>50.</td>
<td>2.</td>
<td>--</td>
<td>10.</td>
<td>0.5</td>
<td>1.</td>
<td>--</td>
</tr>
<tr>
<td>Chromium (VI)</td>
<td>18540299</td>
<td>10.</td>
<td>--</td>
<td>5.</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Copper</td>
<td>7440508</td>
<td>--</td>
<td>1000.</td>
<td>20.</td>
<td>5.</td>
<td>--</td>
<td>10.</td>
<td>0.5</td>
<td>2.</td>
<td>--</td>
</tr>
<tr>
<td>Cyanide</td>
<td>57125</td>
<td>5.</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
</tr>
<tr>
<td>Lead</td>
<td>7439921</td>
<td>--</td>
<td>10000.</td>
<td>20.</td>
<td>5.</td>
<td>--</td>
<td>5.</td>
<td>0.5</td>
<td>2.</td>
<td>--</td>
</tr>
<tr>
<td>Mercury</td>
<td>7439976</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>--</td>
<td>0.5</td>
<td>--</td>
<td>0.2</td>
</tr>
<tr>
<td>Nickel</td>
<td>7440020</td>
<td>--</td>
<td>1000.</td>
<td>50.</td>
<td>5.</td>
<td>--</td>
<td>20.</td>
<td>1.</td>
<td>5.</td>
<td>--</td>
</tr>
<tr>
<td>Selenium</td>
<td>7782492</td>
<td>--</td>
<td>1000.</td>
<td>--</td>
<td>5.</td>
<td>1.</td>
<td>10.</td>
<td>2.</td>
<td>5.</td>
<td>--</td>
</tr>
<tr>
<td>Silver</td>
<td>7440224</td>
<td>--</td>
<td>1000.</td>
<td>10.</td>
<td>1.</td>
<td>--</td>
<td>10.</td>
<td>0.2</td>
<td>2.</td>
<td>--</td>
</tr>
<tr>
<td>Thallium</td>
<td>7440280</td>
<td>--</td>
<td>1000.</td>
<td>10.</td>
<td>2.</td>
<td>--</td>
<td>10.</td>
<td>1.</td>
<td>5.</td>
<td>--</td>
</tr>
<tr>
<td>Zinc</td>
<td>7440666</td>
<td>--</td>
<td>1000.</td>
<td>20.</td>
<td>--</td>
<td>--</td>
<td>20.</td>
<td>1.</td>
<td>10.</td>
<td>--</td>
</tr>
</tbody>
</table>

**Table II-3 Notes**

- a) COLOR Method = Colorimetric
- b) DCP Method = Direct Current Plasma
- c) FAA Method = Flame Atomic Absorption
- d) GFAA Method = Graphite Furnace Atomic Absorption
- e) HYDRIDE Method = Gaseous Hydride Atomic Absorption
- f) ICP Method = Inductively Coupled Plasma
- g) ICPMS Method = Inductively Coupled Plasma / Mass Spectrometry
- h) SPGFAA Method = Stabilized Platform Graphite Furnace Atomic Absorption (i.e., US EPA 200.9)
- i) CVAA Method = Cold Vapor Atomic Absorption

* To determine the lowest standard concentration in an instrument calibration curve for these techniques, use the given ML (see Chapter III, “Use of Minimum* Levels”).
### TABLE II-4
**MINIMUM* LEVELS – PESTICIDES AND PCBs**

<table>
<thead>
<tr>
<th>Pesticides – PCB’s</th>
<th>CAS Number</th>
<th>Minimum* Level (ug/L)</th>
<th>GC Method<em>a,</em></th>
</tr>
</thead>
<tbody>
<tr>
<td>Aldrin</td>
<td>309002</td>
<td>0.005</td>
<td></td>
</tr>
<tr>
<td>Chlordane</td>
<td>57749</td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>4,4’-DDD</td>
<td>72548</td>
<td>0.05</td>
<td></td>
</tr>
<tr>
<td>4,4’-DDE</td>
<td>72559</td>
<td>0.05</td>
<td></td>
</tr>
<tr>
<td>4,4’-DDT</td>
<td>50293</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>Dieldrin</td>
<td>60571</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>a-Endosulfan</td>
<td>959988</td>
<td>0.02</td>
<td></td>
</tr>
<tr>
<td>b-Endosulfan</td>
<td>33213659</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>Endosulfan Sulfate</td>
<td>1031078</td>
<td>0.05</td>
<td></td>
</tr>
<tr>
<td>Endrin</td>
<td>72208</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>Heptachlor</td>
<td>76448</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>Heptachlor Epoxide</td>
<td>1024573</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>a-Hexachlorocyclohexane</td>
<td>319846</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>b-Hexachlorocyclohexane</td>
<td>319857</td>
<td>0.005</td>
<td></td>
</tr>
<tr>
<td>d-Hexachlorocyclohexane</td>
<td>319868</td>
<td>0.005</td>
<td></td>
</tr>
<tr>
<td>g-Hexachlorocyclohexane (Lindane)</td>
<td>58899</td>
<td>0.02</td>
<td></td>
</tr>
<tr>
<td>PCB 1016</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1221</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1232</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1242</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1248</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1254</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>PCB 1260</td>
<td>--</td>
<td>0.5</td>
<td></td>
</tr>
<tr>
<td>Toxaphene</td>
<td>8001352</td>
<td>0.5</td>
<td></td>
</tr>
</tbody>
</table>

**Table II-4 Notes**

- a) GC Method = Gas Chromatography
- * To determine the lowest standard concentration in an instrument calibration curve for this technique, multiply the given ML by 100 (see Chapter III, “Use of Minimum* Levels”).
APPENDIX III

STANDARD MONITORING PROCEDURES

The purpose of this appendix is to provide direction to the Regional Boards on the implementation of the California Ocean Plan and to ensure the reporting of useful information. It is not feasible to cover all circumstances and conditions that could be encountered by all dischargers. Therefore, this appendix should be considered as the basic component of any discharger monitoring program. Regional Boards can deviate from the procedures required in the appendix only with the approval of the State Water Resources Control Board unless the Ocean Plan allows for the selection of alternate protocols by the Regional Boards. If no direction is given in this appendix for a specific provision of the Ocean Plan, it is within the discretion of the Regional Board to establish the monitoring requirements for the provision.

The following text is referenced by applicable chapter in the Ocean Plan. All references to 40 CFR PART 136 are to the revised edition of May 14, 1999.

Ocean Plan Chapter II. B. Bacterial Standards:

For all bacterial analyses, sample dilutions should be performed so the range of values extends from 2 to 16,000. The detection methods used for each analysis shall be reported with the results of the analysis.

Detection methods used for coliforms (total and fecal) shall be those presented in Table 1A of 40 CFR PART 136, unless alternate methods have been approved in advance by US EPA pursuant to 40 CFR PART 136.

Detection methods used for enterococcus shall be those presented in EPA publication EPA 600/4-85/076, Test Methods for Escherichia coli and Enterococci in Water By Membrane Filter Procedure or any improved method determined by the Regional Board to be appropriate.

Ocean Plan Chapter II. H Table B. Compliance with Table B Objectives:

Procedures, calibration techniques, and instrument/reagent specifications used to determine compliance with Table B shall conform to the requirements of federal regulations (40 CFR PART 136). All methods shall be specified in the monitoring requirement section of waste discharge requirements.

Where methods are not available in 40 CFR PART 136, the Regional Boards shall specify suitable analytical methods in waste discharge requirements. Acceptance of data should be predicated on demonstrated laboratory performance.

Laboratories analyzing monitoring data shall be certified by the Department of Health Services, in accordance with the provisions of Section 13176 CWC, and must include quality assurance quality control data with their reports.

The State or Regional Board may, subject to EPA approval, specify test methods which are more sensitive than those specified in 40 CFR PART 136. Total chlorine residual is likely to be a method detection limit effluent limitation in many cases. The limit of detection of total chlorine residual in standard test methods is less than or equal to 20 ug/l.
Monitoring for the substances in Table B shall be required periodically. For discharges less than 1 MGD (million gallons per day), the monitoring of all the Table B parameters should consist of at least one complete scan of the Table B constituents one time in the life of the waste discharge requirements. For discharges between 1 and 10 MGD, the monitoring frequency shall be at least one complete scan of the Table B substances annually. Discharges greater than 10 MGD shall be required to monitor at least semiannually.

Compliance monitoring for the acute toxicity objective (TUa) in Table B shall be determined using an US EPA approved protocol as provided in 40 CFR PART 136. Acute toxicity monitoring requirements in permits prepared by the Regional Boards shall use marine test species instead of freshwater species when measuring compliance.

The Regional Board shall require the use of critical life stage toxicity tests specified in this Appendix to measure TUc. Other species or protocols will be added to the list after SWRCB review and approval. A minimum of three test species with approved test protocols shall be used to measure compliance with the toxicity objective. If possible, the test species shall include a fish, an invertebrate, and an aquatic plant. After a screening period, monitoring can be reduced to the most sensitive species. Dilution and control water should be obtained from an unaffected area of the receiving waters. The sensitivity of the test organisms to a reference toxicant shall be determined concurrently with each bioassay test and reported with the test results.

Use of critical life stage bioassay testing shall be included in waste discharge requirements as a monitoring requirement for all discharges greater than 100 MGD by January 1, 1991 at the latest. For other major dischargers, critical life stage bioassay testing shall be included as a monitoring requirement one year before the waste discharge requirement is scheduled for renewal.

The tests presented in Table III-1 shall be used to measure TUc. Other tests may be added to the list when approved by the State Board.
## TABLE III-1
### APPROVED TESTS – CHRONIC TOXICITY (TUc)

<table>
<thead>
<tr>
<th>Species</th>
<th>Effect</th>
<th>Tier</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>giant kelp, <em>Macrocystis pyrifera</em></td>
<td>percent germination; germ tube length</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>red abalone, <em>Haliotis rufescens</em></td>
<td>Abnormal shell development</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>oyster, <em>Crassostrea gigas</em>; mussels, <em>Mytilus spp.</em></td>
<td>Abnormal shell development; percent survival</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>urchin, <em>Strongylocentrotus purpuratus</em>; sand dollar, <em>Dendraster excentricus</em></td>
<td>Percent normal development</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>urchin, <em>Strongylocentrotus purpuratus</em>; sand dollar, <em>Dendraster excentricus</em></td>
<td>Percent fertilization</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>shrimp, <em>Holmesimysis costata</em></td>
<td>Percent survival; growth</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>shrimp, <em>Mysidopsis bahia</em></td>
<td>Percent survival; growth; fecundity</td>
<td>2</td>
<td>2,4</td>
</tr>
<tr>
<td>topsmelt, <em>Atherinops affinis</em></td>
<td>Larval growth rate; percent survival</td>
<td>1</td>
<td>1,3</td>
</tr>
<tr>
<td>Silversides, <em>Menidia beryllina</em></td>
<td>Larval growth rate; percent survival</td>
<td>2</td>
<td>2,4</td>
</tr>
</tbody>
</table>

**Table III-1 Notes**

The first tier test methods are the preferred toxicity tests for compliance monitoring. A Regional Board can approve the use of a second tier test method for waste discharges if first tier organisms are not available.
Protocol References


APPENDIX IV
PROCEDURES FOR THE NOMINATION AND DESIGNATION OF AREAS* OF SPECIAL BIOLOGICAL SIGNIFICANCE (ASBS).

1. Any person may nominate areas of ocean waters for designation as ASBS by the SWRCB. Nominations shall be made to the appropriate RWQCB and shall include:

   (a) Information such as maps, reports, data, statements, and photographs to show that:

      (1) Candidate areas are located in ocean waters as defined in the “Ocean Plan”.

      (2) Candidate areas are intrinsically valuable or have recognized value to man for scientific study, commercial use, recreational use, or esthetic reasons.

      (3) Candidate areas need protection beyond that offered by waste discharge restrictions or other administrative and statutory mechanisms.

   (b) Data and information to indicate whether the proposed designation may have a significant effect on the environment.

      (1) If the data or information indicate that the proposed designation will have a significant effect on the environment, the nominee must submit sufficient information and data to identify feasible changes in the designation that will mitigate or avoid the significant environmental effects.

2. The SWRCB or a RWQCB may also nominate areas for designation as ASBS on their own motion.

3. A RWQCB may decide to (a) consider individual ASBS nominations upon receipt, (b) consider several nominations in a consolidated proceeding, or (c) consider nominations in the triennial review of its water quality control plan (basin plan). A nomination that meets the requirements of 1. above may be considered at any time but not later than the next scheduled triennial review of the appropriate basin plan or Ocean Plan.

4. After determining that a nomination meets the requirements of paragraph 1. above, the Executive Officer of the affected RWQCB shall prepare a Draft Nomination Report containing the following:

   (a) The area or areas nominated for designation as ASBS.

   (b) A description of each area including a map delineating the boundaries of each proposed area.

   (c) A recommendation for action on the nomination(s) and the rationale for the recommendation. If the Draft Nomination Report recommends approval of the proposed designation, the Draft Nomination Report shall comply with the CEQA documentation requirements for a water quality control plan amendment in Section 3777, Title 23, California Code of Regulations.
5. The Executive Officer shall, at a minimum, seek informal comment on the Draft Nomination Report from the SWRCB, Department of Fish and Game, other interested state and federal agencies, conservation groups, affected waste dischargers, and other interested parties. Upon incorporation of responses from the consulted agencies, the Draft Nomination Report shall become the Final Nomination Report.

6. (a) If the Final Nomination Report recommends approval of the proposed designation, the Executive Officer shall ensure that processing of the nomination complies with the CEQA consultation requirements in Section 3778, Title 23, California Code of Regulations and proceed to step 7 below.

(b) If the Final Nomination Report recommends against approval of the proposed designation, the Executive Officer shall notify interested parties of the decision. No further action need be taken. The nominating party may seek reconsideration of the decision by the RWQCB itself.

7. The RWQCB shall conduct a public hearing to receive testimony on the proposed designation. Notice of the hearing shall be published three times in a newspaper of general circulation in the vicinity of the proposed area or areas and shall be distributed to all known interested parties 45 days in advance of the hearing. The notice shall describe the location, boundaries, and extent of the area or areas under consideration, as well as proposed restrictions on waste discharges within the area.

8. The RWQCB shall respond to comments as required in Section 3779, Title 23, California Code of Regulations, and 40 C.F.R. Part 25 (July 1, 1999).

9. The RWQCB shall consider the nomination after completing the required public review processes required by CEQA.

(a) If the RWQCB supports the recommendation for designation, the board shall forward to the SWRCB its recommendation for approving designation of the proposed area or areas and the supporting rationale. The RWQCB submittal shall include a copy of the staff report, hearing transcript, comments, and responses to comments.

(b) If the RWQCB does not support the recommendation for designation, the Executive Officer shall notify interested parties of the decision, and no further action need be taken.

10. After considering the RWQCB recommendation and hearing record, the SWRCB may approve or deny the recommendation, refer the matter to the RWQCB for appropriate action, or conduct further hearing itself. If the SWRCB acts to approve a recommended designation, the SWRCB shall amend Appendix V, Table V-1, of this Plan. The amendment will go into effect after approval by the Office of Administrative Law and US EPA. In addition, after the effective date of a designation, the affected RWQCB shall revise its water quality control plan in the next triennial review to include the designation.

11. The SWRCB Executive Director shall advise other agencies to whom the list of designated areas is to be provided that the basis for an ASBS designation is limited to protection of marine life from waste discharges.
APPENDIX V
STATE WATER QUALITY PROTECTION AREAS
AREAS OF SPECIAL BIOLOGICAL SIGNIFICANCE

<table>
<thead>
<tr>
<th>No.</th>
<th>ASBS Name</th>
<th>Date Designated</th>
<th>SWRCB Resolution No.</th>
<th>Region No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Jughandle Cove</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>2.</td>
<td>Del Mar Landing</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>3.</td>
<td>Gerstle Cove</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>4.</td>
<td>Bodega</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>5.</td>
<td>Saunders Reef</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>6.</td>
<td>Trinidad Head</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>7.</td>
<td>King Range</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>8.</td>
<td>Redwoods National Park</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>1</td>
</tr>
<tr>
<td>10.</td>
<td>Farallon Islands</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>2</td>
</tr>
<tr>
<td>11.</td>
<td>Duxbury Reef</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>2</td>
</tr>
<tr>
<td>12.</td>
<td>Point Reyes Headlands</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>2</td>
</tr>
<tr>
<td>13.</td>
<td>Double Point</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>2</td>
</tr>
<tr>
<td>15.</td>
<td>Año Nuevo</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>3</td>
</tr>
<tr>
<td>16.</td>
<td>Point Lobos</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>3</td>
</tr>
<tr>
<td>17.</td>
<td>San Miguel, Santa Rosa, and Santa Cruz Islands</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>3</td>
</tr>
<tr>
<td>18.</td>
<td>Julia Pfeiffer Burns</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>3</td>
</tr>
<tr>
<td>20.</td>
<td>Salmon Creek Coast</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>3</td>
</tr>
<tr>
<td>21.</td>
<td>San Nicolas Island and Begg Rock</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>22.</td>
<td>Santa Barbara and Anacapa Islands</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>23.</td>
<td>San Clemente Island</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
</tbody>
</table>

Table V-1 Continued on next page...
### Table V-1 (Continued)

**Areas of Special Biological Significance**
*(Designated or Approved by the State Water Resources Control Board)*

<table>
<thead>
<tr>
<th>No.</th>
<th>ASBS Name</th>
<th>Date Designated</th>
<th>SWRCB Resolution No.</th>
<th>Region No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>24</td>
<td>Laguna Point to Latigo Point</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>25</td>
<td>Northwest Santa Catalina Island</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>26</td>
<td>Western Santa Catalina Island</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>27</td>
<td>Farnsworth Bank</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>28</td>
<td>Southeast Santa Catalina</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>4</td>
</tr>
<tr>
<td>29</td>
<td>La Jolla</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>9</td>
</tr>
<tr>
<td>30</td>
<td>Heisler Park</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>9</td>
</tr>
<tr>
<td>31</td>
<td>San Diego-Scripps</td>
<td>March 21, 1974,</td>
<td>74-28</td>
<td>9</td>
</tr>
<tr>
<td>32</td>
<td>Robert E. Badham</td>
<td>April 18, 1974</td>
<td>74-32</td>
<td>8</td>
</tr>
<tr>
<td>33</td>
<td>Irvine Coast</td>
<td>April 18, 1974</td>
<td>74-32</td>
<td>8,9</td>
</tr>
<tr>
<td>34</td>
<td>Carmel Bay</td>
<td>June 19, 1975</td>
<td>75-61</td>
<td>3</td>
</tr>
</tbody>
</table>
APPENDIX VI

Reasonable Potential Analysis Procedure for determining which Table B Objectives require effluent limitations

In determining the need for an effluent limitation, the Regional Water Board shall use all representative information to characterize the pollutant discharge using a scientifically defensible statistical method that accounts for the averaging period of the water quality objective, accounts for and captures the long-term variability of the pollutant in the effluent, accounts for limitations associated with sparse data sets, accounts for uncertainty associated with censored data sets, and (unless otherwise demonstrated) assumes a lognormal distribution of the facility-specific effluent data.

The purpose of the following procedure (see also Figure VI-1) is to provide direction to the Regional Water Boards for determining if a pollutant discharge causes, has the reasonable potential to cause, or contributes to an excursion above Table B water quality objectives in accordance with 40 CFR 122.44 (d)(1)(iii). The Regional Water Board may use an alternative approach for assessing reasonable potential such as an appropriate stochastic dilution model that incorporates both ambient and effluent variability. The permit fact sheet or statement of basis will document the justification or basis for the conclusions of the reasonable potential assessment. This appendix does not apply to permits or any portion of a permit where the discharge is regulated through best management practices (BMP) unless such discharge is also subject to numeric effluent limitations.

**Step 1**: Identify $C_o$, the applicable water quality objective from Table B for the pollutant.

**Step 2**: Does information about the receiving water body or the discharge support a reasonable potential assessment (RPA) without characterizing facility-specific effluent monitoring data? If yes, go to **Step 13** to conduct an RPA based on best professional judgment (BPJ). Otherwise, proceed to **Step 3**.

**Step 3**: Is facility-specific effluent monitoring data available? If yes, proceed to **Step 4**. Otherwise, go to **Step 13**.

**Step 4**: Adjust all effluent monitoring data $C_e$, including censored (ND or DNQ) values to the concentration $X$ expected after complete mixing. For Table B pollutants use $X = (C_e + D_m C_s) / (D_m + 1)$; for acute toxicity use $X = C_e / (0.1 D_m + 1)$; where $D_m$ is the minimum probable initial dilution expressed as parts seawater per part wastewater and $C_s$ is the background seawater concentration from Table C. For ND values, $C_e$ is replaced with “<MDL;” for DNQ values $C_e$ is replaced with “<ML.” Go to **Step 5**.

**Step 5**: Count the total number of samples $n$, the number of censored (ND or DNQ) values, $c$ and the number of detected values, $d$, such that $n = c + d$.

Is any detected pollutant concentration after complete mixing greater than $C_o$? If yes, the discharge causes an excursion of $C_o$; go to **Endpoint 1**. Otherwise, proceed to **Step 6**.

**Step 6**: Does the effluent monitoring data contain three or more detected observations ($d \geq 3$)? If yes, proceed to **Step 7** to conduct a parametric RPA. Otherwise, go to **Step 11** to conduct a nonparametric RPA.
Step 7: Conduct a parametric RPA. Assume data are lognormally distributed, unless otherwise demonstrated. Does the data consist entirely of detected values \( c/n = 0 \)? If yes,
- calculate summary statistics \( M_L \) and \( S_L \), the mean and standard deviation of the natural logarithm transformed effluent data expected after complete mixing, \( \ln(X) \),
- go to Step 9.
Otherwise, proceed to Step 8.

Step 8: Is the data censored by 80% or less \( c/n < 0.8 \)? If yes,
- calculate summary statistics \( M_L \) and \( S_L \) using the censored data analysis method of Helsel and Cohn (1988),
- go to Step 9.
Otherwise, go to Step 11.

Step 9: Calculate the UCB i.e., the one-sided, upper 95 percent confidence bound for the 95th percentile of the effluent distribution after complete mixing. For lognormal distributions, use \( \text{UCB}_{(0.95, 0.95)} = \exp(M_L + S_L \cdot g'(0.95, 0.95)) \), where \( g' \) is a normal tolerance factor obtained from the table below (Table VI-1). Proceed to Step 10.

Step 10: Is the UCB greater than \( C_o \)? If yes, the discharge has a reasonable potential to cause an excursion of \( C_o \); go to Endpoint 1. Otherwise, the discharge has no reasonable potential to cause an excursion of \( C_o \); go to Endpoint 2.

Step 11: Conduct a non-parametric RPA. Compare each data value \( X \) to \( C_o \). Reduce the sample size \( n \) by 1 for each tie (i.e., inconclusive censored value result) present. An adjusted ND value having \( C_o < \text{MDL} \) is a tie. An adjusted DNQ value having \( C_o < \text{ML} \) is also a tie.

Step 12: Is the adjusted \( n > 15 \)? If yes, the discharge has no reasonable potential to cause an excursion of \( C_o \); go to Endpoint 2. Otherwise, go to Endpoint 3.

Step 13: Conduct an RPA based on BPJ. Review all available information to determine if a water quality-based effluent limitation is required, notwithstanding the above analysis in Steps 1 through 12, to protect beneficial uses. Information that may be used includes: the facility type, the discharge type, solids loading analysis, lack of dilution, history of compliance problems, potential toxic impact of discharge, fish tissue residue data, water quality and beneficial uses of the receiving water, CWA 303(d) listing for the pollutant, the presence of endangered or threatened species or critical habitat, and other information.

Is data or other information unavailable or insufficient to determine if a water quality-based effluent limitation is required? If yes, go to Endpoint 3. Otherwise, go to either Endpoint 1 or Endpoint 2 based on BPJ.

Endpoint 1: An effluent limitation must be developed for the pollutant. Effluent monitoring for the pollutant, consistent with the monitoring frequency in Appendix III, is required.

Endpoint 2: An effluent limitation is not required for the pollutant. Appendix III effluent monitoring is not required for the pollutant; the Regional Board, however, may require occasional monitoring for the pollutant or for whole effluent toxicity as appropriate.
**Endpoint 3:** The RPA is inconclusive. Monitoring for the pollutant or whole effluent toxicity testing, consistent with the monitoring frequency in Appendix III, is required. An existing effluent limitation for the pollutant shall remain in the permit, otherwise the permit shall include a reopener clause to allow for subsequent modification of the permit to include an effluent limitation if the monitoring establishes that the discharge causes, has the reasonable potential to cause, or contributes to an excursion above a Table B water quality objective.

Appendix VI References:


Table VI-1: Tolerance factors \( g'_{(95,95,n)} \) for calculating normal distribution one-sided upper 95 percent tolerance bounds for the 95\(^{\text{th}}\) percentile (Hahn & Meeker 1991)

<table>
<thead>
<tr>
<th>( n )</th>
<th>( g'_{(95,95,n)} )</th>
<th>( n )</th>
<th>( g'_{(95,95,n)} )</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>26.260</td>
<td>21</td>
<td>2.371</td>
</tr>
<tr>
<td>3</td>
<td>7.656</td>
<td>22</td>
<td>2.349</td>
</tr>
<tr>
<td>4</td>
<td>5.144</td>
<td>23</td>
<td>2.328</td>
</tr>
<tr>
<td>5</td>
<td>4.203</td>
<td>24</td>
<td>2.309</td>
</tr>
<tr>
<td>6</td>
<td>3.708</td>
<td>25</td>
<td>2.292</td>
</tr>
<tr>
<td>7</td>
<td>3.399</td>
<td>26</td>
<td>2.275</td>
</tr>
<tr>
<td>8</td>
<td>3.187</td>
<td>27</td>
<td>2.260</td>
</tr>
<tr>
<td>9</td>
<td>3.031</td>
<td>28</td>
<td>2.246</td>
</tr>
<tr>
<td>10</td>
<td>2.911</td>
<td>29</td>
<td>2.232</td>
</tr>
<tr>
<td>11</td>
<td>2.815</td>
<td>30</td>
<td>2.220</td>
</tr>
<tr>
<td>12</td>
<td>2.736</td>
<td>35</td>
<td>2.167</td>
</tr>
<tr>
<td>13</td>
<td>2.671</td>
<td>40</td>
<td>2.125</td>
</tr>
<tr>
<td>14</td>
<td>2.614</td>
<td>50</td>
<td>2.065</td>
</tr>
<tr>
<td>15</td>
<td>2.566</td>
<td>60</td>
<td>2.022</td>
</tr>
<tr>
<td>16</td>
<td>2.524</td>
<td>120</td>
<td>1.899</td>
</tr>
<tr>
<td>17</td>
<td>2.486</td>
<td>240</td>
<td>1.819</td>
</tr>
<tr>
<td>18</td>
<td>2.453</td>
<td>480</td>
<td>1.766</td>
</tr>
<tr>
<td>19</td>
<td>2.423</td>
<td>( \infty )</td>
<td>1.645</td>
</tr>
<tr>
<td>20</td>
<td>2.396</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Figure VI-1: Reasonable potential analysis flow chart

1. Identify water quality criterion, Co

2. Does information support an RPA w/o characterizing the effluent?

3. Is facility-specific pollutant effluent monitoring data available?

4. Adjust all data to conc. expected after mixing using dilution & ambient concentration.

5. Count n, c, d. Is any detected value greater than Co?

6. Three or more detected observations, (d ≥ 3)?

7. Parametric RPA. Assume lognormal distribution

8. Is data censored by 80% or less, (c/n < 0.8)?

9. Calculate summary statistics, M L & S L

10. Calculate a statistically-based UCB

11. Compare each data value to Co. Reduce n for ties

12. Is adjusted n > 15?

13. RPA based on BPJ and other available information

Endpoint 1. Develop Effluent Limit with Appx III monitoring

Endpoint 2. Effluent Limit and Appx III monitoring not required. Possible occasional monitoring.

Endpoint 3. Appx III monitoring required. Retain existing Effluent Limit
APPENDIX VII
EXCEPTIONS TO THE CALIFORNIA OCEAN PLAN

**TABLE VII-1**
EXCEPTIONS TO THE OCEAN PLAN
(GRANTED BY THE STATE WATER RESOURCES CONTROL BOARD)

<table>
<thead>
<tr>
<th>Year</th>
<th>Resolution</th>
<th>Applicable Provision</th>
<th>Discharger</th>
</tr>
</thead>
<tbody>
<tr>
<td>1977</td>
<td>77-11</td>
<td>Discharge Prohibition, ASBS #23</td>
<td>US Navy San Clemente Island</td>
</tr>
<tr>
<td>1983</td>
<td>83-78</td>
<td>Discharge Prohibition, ASBS #7</td>
<td>Humboldt County Resort Improvement District No.1</td>
</tr>
<tr>
<td>1984</td>
<td>84-78</td>
<td>Discharge Prohibition, ASBS #34</td>
<td>Carmel Sanitary District</td>
</tr>
<tr>
<td>1990</td>
<td>90-105</td>
<td>Discharge Prohibition, ASBS #21</td>
<td>US Navy San Nicolas Island</td>
</tr>
<tr>
<td>2004</td>
<td>2004-0052</td>
<td>Discharge Prohibition, ASBS #31</td>
<td>UC Scripps Institution of Oceanography</td>
</tr>
</tbody>
</table>
WATER QUALITY CONTROL POLICY
FOR THE
ENCLOSED BAYS AND ESTUARIES
OF CALIFORNIA
AS ADOPTED BY RESOLUTION NO. 95-84
ON NOVEMBER 16, 1995

STATE OF CALIFORNIA
STATE WATER RESOURCES CONTROL BOARD
(Amendments shown on page 2, Chapter 1.B, 1.b in underscore)
STATE WATER RESOURCES CONTROL BOARD
RESOLUTION NO. 95-84

ADOPTION OF AN AMENDMENT TO THE
WATER QUALITY CONTROL POLICY FOR THE ENCLOSED BAYS
AND ESTUARIES OF CALIFORNIA

WHEREAS:


2. Section 13143 of the California Water Code provides that State policy for water quality control may be revised.

3. The San Francisco Bay Regional Water Quality Control Board (SFBRWQCB) proposed that the Policy be amended to allow discharges from ground water cleanup projects to San Francisco Bay south of the Dumbarton Bridge when reclamation or other disposal methods are unavailable or not appropriate and when other SWRCB and SFBRWQCB plans, policies, and regulations are met.

4. At the time of SWRCB adoption of the Policy, ground water cleanup projects were not widely undertaken and, there is no evidence that discharges from these projects were considered in the development of the Policy.

5. Appropriate ground water cleanup projects should be encouraged.

6. The discharges from ground water cleanup projects could be allowed where reclamation is not feasible and the need to dispose of treated ground water outweighs the need to prohibit the discharge south of the Dumbarton Bridge.

7. SWRCB staff prepared public notices and documents and followed procedures satisfying environmental documentation requirements in accordance with the California Environmental Quality Act (Public Resources Code 21000 et seq.) and other State and Federal statutes and regulations.

8. The SWRCB held a public hearing regarding the proposed amendments on November 2, 1995.

9. Amendments to SWRCB policies do not become effective until regulatory provisions are approved by the Office of Administrative Law (OAL).
THEREFORE BE IT RESOLVED THAT

The SWRCB:

1. Approves the following amendment to the Policy:

Add to the end of Chapter I.B., 1b.:
Exceptions to this provision may be granted to allow discharges south of the Dumbarton Bridge of treated ground water from ground water cleanup projects. Prior to allowing such a discharge, the Regional Board must make the following findings:

1. That the discharge will comply with all applicable State and Regional Board plans, policies and regulations.

2. That the reclamation or other reuse of the treated ground water prior to discharge is not practicable.

3. That there is no other feasible location to discharge the treated ground water.

4. That the need to dispose of treated ground water outweighs the need to prohibit the discharge south of the Dumbarton Bridge.

2 The SFBRWQCB shall continue to implement provisions of existing State and Federal laws regarding the discharge of toxic pollutants. In particular, the SFBRWQCB shall issue National Pollutant Discharge Elimination System permits in compliance with the Porter-Cologne Water Quality Control Act and applicable State and Federal regulation, including, but not limited to, 40 CFR, Section 122.44(d).

3 Within three years after Department of Fish and Game (DFG) notifies the SFBRWQCB that specific water bodies support threatened or endangered species and that scientific evidence indicates that certain existing water quality objectives for these water bodies do not adequately protect such species, the SFBRWQCB shall determine, in consultation with DFG, whether these objectives are adequately protective. In cases where such existing objectives do not provide adequate protection for threatened and endangered species, the SFBRWQCB shall develop and adopt adequately protective site-specific objectives for these constituents.

4 Has determined after careful consideration of all comments testimony, and written reports, that while the proposed amendment may have some impacts on the environment, those impacts are not significant and will not result in degradation of water quality.
5. Authorizes the SWRCB staff to submit the approved amendment to the U.S. Environmental Protection Agency and regulatory provisions to OAL for approval.

CERTIFICATION

The undersigned, Administrative Assistant to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on November 16, 1995.

Maureen Marché
Administrative Assistant to the Board
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>INTRODUCTION</td>
<td>1</td>
</tr>
<tr>
<td>CHAPTER I . . . . . . . . . . . . . .</td>
<td>2</td>
</tr>
<tr>
<td>Principles for Management of Water Quality</td>
<td></td>
</tr>
<tr>
<td>in Enclosed Bays and Estuaries</td>
<td></td>
</tr>
<tr>
<td>CHAPTER II . . . . . . . . . . . . .</td>
<td>5</td>
</tr>
<tr>
<td>Quality Requirements for Waste Discharges</td>
<td></td>
</tr>
<tr>
<td>CHAPTER III . . . . . . . . . . . .</td>
<td>6</td>
</tr>
<tr>
<td>Discharge Prohibitions</td>
<td></td>
</tr>
<tr>
<td>CHAPTER IV . . . . . . . . . . . . .</td>
<td>7</td>
</tr>
<tr>
<td>General Provisions</td>
<td></td>
</tr>
<tr>
<td>FOOTNOTES . . . . . . . . . . . . . .</td>
<td>9</td>
</tr>
<tr>
<td>RESOLUTION NO. 74-43</td>
<td>11</td>
</tr>
</tbody>
</table>
INTRODUCTION

The purpose of this policy is to provide water quality principles and guidelines to prevent water quality degradation and to protect the beneficial uses of waters of enclosed bays and estuaries. Decisions on water quality control plans, waste discharge requirements, construction grant projects, water rights permits, and other specific water quality control implementing actions of the State and Regional Boards shall be consistent with the provisions of this policy.

The Board declares its intent to determine from time to time the need for revision this policy.

This policy does not apply to wastes from vessels or land runoff except as specifically indicated for siltation (Chapter III 4.) and combined sewer flows (Chapter III 7.)
CHAPTER I.
PRINCIPLES FOR MANAGEMENT OF
WATER QUALITY IN ENCLOSED BAYS AND ESTUARIES

A. It is the policy of the State Board that the discharge of municipal wastewaters and industrial process waters (exclusive of cooling waste discharges) to enclosed bays and estuaries, other than the San Francisco Bay-Delta system, shall be phased out at the earliest practicable date. Exceptions to this provision may be granted by a Regional Board only when the Regional Board finds that the wastewater in question would consistently be treated and discharged in such a manner that it would enhance the quality of receiving waters above that which would occur in the absence of the discharge.

B. With regard to the waters of the San Francisco Bay-Delta system, the State Board finds and directs as follows:

1.a. There is a considerable body of scientific evidence and opinion which suggests the existence of biological degradation due to long-term exposure to toxicants which have been discharged to the San Francisco Bay-Delta system. Therefore, implementation of a program which controls toxic effects through a combination of source control for toxic materials, upgraded wastewater treatment, and improved dilution of wastewaters shall proceed as rapidly as is practicable with the objective of providing full protection to the biota and the beneficial uses of Bay-Delta waters in a cost-effective manner.

1.b. A comprehensive understanding of the biological effects of wastewater discharge on San Francisco Bay, as a whole, must await the results of further scientific study. There is, however, sufficient evidence at this time to indicate that the continuation of wastewater discharges to the southern reach of San Francisco Bay, south of the Dumbarton Bridge, is an unacceptable condition. The State Board and the San Francisco Bay Regional Board shall take such action as is necessary to assure the elimination of wastewater discharges to waters of the San Francisco Bay, south of Dumbarton Bridge, at the earliest practicable date. Exceptions to this provision may be granted to allow discharges south of the Dumbarton Bridge of treated ground water from ground water cleanup projects. Prior to allowing such a discharge, the Regional Board must make the following findings:
1. That the discharge will comply with all applicable State and Regional Board plans, policies and regulations.

2. That the reclamation or other reuse of the treated ground water prior to discharge is not practicable.

3. That there is no other feasible location to discharge the treated ground water.

4. That the need to dispose of treated ground water outweighs the need to prohibit the discharge south of the Dumbarton Bridge.

1.c In order to prevent excessive investment which would unduly impact the limited funds available to California for construction of publicly owned treatment works, construction of such works shall proceed in a staged fashion, and each stage shall be fully evaluated by the State and Regional Boards to determine the necessity for additional expenditures. Monitoring requirements shall be established to evaluate any effects on water quality, particularly changes in species diversity and abundance, which may result from the operation of each stage of planned facilities and source control programs. Such a staged construction program, in combination with an increased monitoring effort, will result in the most cost-effective and rapid progress toward a goal of maintaining and enhancing water quality in the San Francisco Bay-Delta system.

2. Where a waste discharger has an alternative of in-bay or ocean disposal and where both alternatives offer a similar degree of environmental and public health protection, prime consideration shall be given to the alternative which offers the greater degree of flexibility for the implementation of economically feasible wastewater reclamation options.

C. The following policies apply to all of California's enclosed bays and estuaries:

1. Persistent or cumulative toxic substances shall be removed from the waste to the maximum extent practicable through source control or adequate treatment prior to discharge.

2. Bay or estuarine outfall and diffuser systems shall be designed to achieve the most rapid initial dilution practicable to minimize concentrations of substances not removed by source control or treatment.
3. Wastes shall not be discharged into or adjacent to areas where the protection of beneficial uses requires spatial separation from waste fields.

4. Waste discharges shall not cause a blockage of zones of passage required for the migration of anadromous fish.

5. Nonpoint sources of pollutants shall be controlled to the maximum practicable extent.
CHAPTER II.
QUALITY REQUIREMENTS FOR
WASTE DISCHARGES

1. In addition to any requirements of this policy, effluent
limitations shall be as specified pursuant to Chapter 5.5 of
the Porter-Cologne Water Quality Control Act, and
Regional Boards shall limit the mass emissions of substances
as necessary to meet such limitations. Regional Boards may
set more restrictive mass emission rates and concentration
standards than those which are referenced in this policy to
reflect dissimilar tolerances to wastewater constituents
among different receiving water bodies.

2. All dischargers of thermal wastes or elevated temperature
wastes to enclosed bays and estuaries which are permitted
pursuant to this policy shall comply with the "Water Quality
Control Plan for Control of Temperature in the Coastal and
Interstate Waters and Enclosed Bays and Estuaries of
California", State Water Resources Control Board, 1972, and
with amendments and supplements thereto.

3. Radiological limits for waste discharges (for which
regulatory responsibility is not preempted by the
Federal Government) shall be at least as restrictive as
limitations indicated in Section 30269, and Section 30355,
Appendix A, Table II of the California Administrative Code.

4. Dredge spoils to be disposed of in bay and estuarine waters
must comply with federal criteria for determining the
acceptability of dredged spoils to marine waters, and must be
certified by the State Board of Regional Boards as in
compliance with State Plans and Policies.
CHAPTER III. DISCHARGE PROHIBITIONS

New discharges of municipal wastewaters and industrial process waters (exclusive of cooling water discharges) to enclosed bays and estuaries, other than the San Francisco Bay-Delta system, which are not consistently treated and discharged in a manner that would enhance the quality of receiving waters above that which would occur in the absence of the discharge, shall be prohibited.

The discharge of municipal and industrial waste sludge and untreated sludge digester supernatant, centrate, or filtrate to enclosed bays and estuaries shall be prohibited.

3 The deposition of rubbish or refuse into surface waters or at any place where they would be eventually transported to enclosed bays or estuaries shall be prohibited.

4 The direct or indirect discharge of silt, sand, soil clay, or other earthen materials from onshore operations including mining, construction, agriculture, and lumbering, in quantities which unreasonably affect or threaten to affect beneficial uses shall be prohibited.

5 The discharge of materials of petroleum origin in sufficient quantities to be visible or in violation of waste discharge requirements shall be prohibited, except when such discharges are conducted for scientific purposes. Such testing must be approved by the Executive Officer of the Regional Board and the Department of Fish and Game.

6 The discharge of any radiological, chemical, or biological warfare agent or high-level radioactive waste shall be prohibited.

7 The discharge or by-passing of untreated waste to bays and estuaries shall be prohibited.
CHAPTER IV
GENERAL PROVISIONS

A. Effective Date

This policy is in effect as of the date of adoption by the State Water Resources Control Board.

B. Review and Revision of Plans, Policies and Waste Discharge Requirements

Provisions of existing or proposed policies or water quality control plans adopted by the State or Regional Boards for enclosed bays or estuaries shall be amended to conform with the applicable provisions of this policy.

Each appropriate Regional Board shall review and revise the waste discharge requirements with appropriate time schedules for existing discharges to achieve compliance with this policy and applicable water quality objectives. Each Regional Board affected by this policy shall set forth for each discharge allowable mass emission rates for each applicable effluent characteristic included in waste discharge requirements.

Regional Boards shall finalize waste discharge requirements as rapidly as is consistent with the National Pollutant Discharge Elimination System Permit Program.

C. Administration of Clean Water Grants Program

The Clean Water Grants Program shall require that the environmental impact report for any existing or proposed wastewater discharge to enclosed bays and estuaries, other than the San Francisco Bay-Delta system, shall evaluate whether or not the discharge would enhance the quality of receiving waters above that which would occur in the absence of the discharge.

The Clean Water Grants Program shall require that each study plan and project report (beginning with F.Y. 1974-75 projects) for a proposed wastewater treatment or conveyance facility within the San Francisco Bay-Delta system shall contain an evaluation of the degree to which the proposed project represents a necessary and cost-effective stage in a program leading to compliance with an objective of full protection of the biota and beneficial uses of Bay-Delta waters.
D. **Administration of Water Rights**

Any applicant for a permit to appropriate from a water course which is tributary to an enclosed by or estuary may be required to present to the State Board an analysis of the anticipated effects of the proposed appropriation on water quality and beneficial uses of the effected bay or estuary.

E. **Monitoring Program**

The Regional Board shall require dischargers to conduct self-monitoring programs and submit reports as necessary to determine compliance with waste discharge requirements and to evaluate the effectiveness of wastewater control programs. Such monitoring programs shall comply with applicable sections of the State Board's Administrative Procedures, and any additional guidelines which may be issued by the Executive Officer of the State Board.
FOOTNOTES

1/ Enclosed bays are indentations along the coast which enclose an area of oceanic water within distinct headlands or harbor works. Enclosed bays include all bays where the narrowest distance between headlands or outer most harbor works is less than 75 percent of the greatest dimension of the enclosed portion of the bay. This definition includes, but is not limited to: Humboldt Bay, Bodega Harbor, Tomales Bay, Drakes Estero, San Francisco Bay, Morro Bay, Los Angeles-Long Beach Harbor, Upper and Lower Newport Bay, Mission Bay, and San Diego Bay.

Estuaries, including coastal lagoons, are waters at the mouths of streams which serve as mixing zones for fresh and ocean waters. Mouths of streams which are temporarily separated from the ocean by sandbars shall be considered as estuaries. Estuarine waters will generally be considered to extend from a bay or the open ocean to a point upstream where there is no significant mixing of fresh water and seawater. Estuarine waters shall be considered to extend seaward if significant mixing of fresh and saltwater occurs in the open coastal waters. Estuarine waters include, but are not limited to, the Sacramento-San Joaquin Delta, as defined by Section 12220 of the California Water Code, Suisun Bay, Carquinez Strait downstream to Carquinez Bridge, and appropriate areas of the Smith, Klamath, Mad, Eel, Noyo, and Russian Rivers.

2/ For the purpose of this policy, treated ballast waters and innocuous nonmunicipal wastewater such as clear brines, washwater, and pool drains are not necessarily considered industrial process wastes, and may be allowed by Regional Boards under discharge requirements that provide protection to the beneficial uses of the receiving water.

3/ Undiluted wastewaters covered under this exception provision shall not produce less than 90 percent survival, 50 percent of the time, and not less than 70 percent survival, 10 percent of the time of a standard test species in a 96-hour static or continuous flow bioassay test using undiluted waste. Maintenance of these levels of survival shall not by themselves constitute sufficient evidence that the discharge satisfies the criteria of enhancing the quality of the receiving water above that which occur in the absence of the discharge. Full and uninterrupted protection for the beneficial uses of the receiving water must be maintained. A Regional Board may require physical, chemical, bioassay, and bacteriological assessment of treated wastewater quality prior to authorizing release to the bay or estuary of concern.
4/ Initial dilution zone is defined as the volume of water near the point of discharge within which the waste immediately mixes with the bay or estuarine water due to the momentum of the waste discharge and the difference in density between the waste and receiving water.

5/ A new discharge is a discharge for which a Regional Board has not received a report of waste discharge prior to the date of adoption of this policy, and which was not in existence prior to the date of adoption of this policy.

6/ Rubbish and refuse include any cans, bottles, paper, plastic, vegetable matter, or dead animals or dead fish deposited or caused to be deposited by man.

7/ The prohibition does not apply to cooling water streams which comply with the "Water Quality Control Plan for the Control of Temperature in Coastal and Interstate Waters and Enclosed Bays and Estuaries of California" - State Water Resources Control Board.
STATE WATER RESOURCES CONTROL BOARD
RESOLUTION NO. 74-43

WATER QUALITY CONTROL POLICY FOR THE
ENCLOSED BAYS AND ESTUARIES OF CALIFORNIA

WHEREAS:

1. The Board finds it necessary to promulgate water quality principles, guidelines, effluent quality requirements, and prohibitions to govern the disposal of waste into the enclosed bays and estuaries of California;

2. The Board, after review and analysis of testimony received at public hearings, has determined that it is both feasible and desirable to require that the discharge of municipal wastewaters and industrial process waters to enclosed bays and estuaries (other than the San Francisco Bay-Delta system) should only be allowed when a discharge enhances the quality of the receiving water above that which would occur in the absence of the discharge;

3. The Board has previously promulgated requirements for the discharge of thermal and elevated temperature wastes to enclosed bays and estuaries (Water Quality Control Plan for Control of Temperature in the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California - SWRCB, 1972);

4. The Board, after review and analysis of testimony received at public hearings, has determined that implementation of a program which controls toxic effects through a combination of source control for toxic materials, upgraded waste treatment, and improved dilution of wastewaters, will result in timely and cost-effective progress toward an objective of providing full protection to the biota and beneficial uses of San Francisco Bay-Delta waters;

5. The Board intends to implement monitoring programs to determine the effects of source control programs, upgraded treatment, and improved dispersion of wastewaters on the condition of the biota and beneficial uses of San Francisco Bay-Delta waters.

THEREFORE, BE IT RESOLVED, that

1. The Board hereby adopts the "Water Quality Control Policy for the Enclosed Bays and Estuaries of California".

2. The Board hereby directs all affected California Regional Water Quality Control Boards to implement the provisions of the policy.
3. The Board hereby declares its intent to determine from time to time the need for revising the policy to assure that it reflects current knowledge of water quality objectives necessary to protect beneficial uses of bay and estuarine waters and that it is based on latest technological improvements.

CERTIFICATION

The undersigned, Executive Officer of the State Water Resources Control Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on May 16, 1974.

Bill B. Dendy
Executive Officer
STATE WATER RESOURCES CONTROL BOARD

RESOLUTION NO. 68-16

STATEMENT OF POLICY WITH RESPECT TO
MAINTAINING HIGH QUALITY OF WATERS IN CALIFORNIA

WHEREAS the California Legislature has declared that it is the policy of the State that the granting of permits and licenses for unappropriated water and the disposal of wastes into the waters of the State shall be so regulated as to achieve highest water quality consistent with maximum benefit to the people of the State and shall be controlled so as to promote the peace, health, safety and welfare of the people of the State; and

WHEREAS water quality control policies have been and are being adopted for waters of the State; and

WHEREAS the quality of some waters of the State is higher than that established by the adopted policies and it is the intent and purpose of this Board that such higher quality shall be maintained to the maximum extent possible consistent with the declaration of the Legislature;

NOW, THEREFORE, BE IT RESOLVED:

1. Whenever the existing quality of water is better than the quality established in policies as of the date on which such policies become effective, such existing high quality will be maintained until it has been demonstrated to the State that any change will be consistent with maximum benefit to the people of the State, will not unreasonably affect present and anticipated beneficial use of such water and will not result in water quality less than that prescribed in the policies.

2. Any activity which produces or may produce a waste or increased volume or concentration of waste and which discharges or proposes to discharge to existing high quality waters will be required to meet waste discharge requirements which will result in the best practicable treatment or control of the discharge necessary to assure that (a) a pollution or nuisance will not occur and (b) the highest water quality consistent with maximum benefit to the people of the State will be maintained.

3. In implementing this policy, the Secretary of the Interior will be kept advised and will be provided with such information as he will need to discharge his responsibilities under the Federal Water Pollution Control Act.
BE IT FURTHER RESOLVED that a copy of this resolution be forwarded to the Secretary of the Interior as part of California's water quality control policy submission.

CERTIFICATION

The undersigned, Executive Officer of the State Water Resources Control Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on October 24, 1968.

Dated: October 28, 1968

Kerry W. Mulligan
Executive Officer
State Water Resources Control Board
APPENDIX 6-B

Federal Antidegradation Policy
Sec. 131.12  Antidegradation policy.

(a) The State shall develop and adopt a statewide antidegradation policy and identify the methods for implementing such policy pursuant to this subpart. The antidegradation policy and implementation methods shall, at a minimum, be consistent with the following:

1. Existing instream water uses and the level of water quality necessary to protect the existing uses shall be maintained and protected.

2. Where the quality of the waters exceed levels necessary to support propagation of fish, shellfish, and wildlife and recreation in and on the water, that quality shall be maintained and protected unless the State finds, after full satisfaction of the intergovernmental coordination and public participation provisions of the State’s continuing planning process, that allowing lower water quality is necessary to accommodate important economic or social development in the area in which the waters are located. In allowing such degradation or lower water quality, the State shall assure water quality adequate to protect existing uses fully. Further, the State shall assure that there shall be achieved the highest statutory and regulatory requirements for all new and existing point sources and all cost-effective and reasonable best management practices for nonpoint source control.

3. Where high quality waters constitute an outstanding National resource, such as waters of National and State parks and wildlife refuges and waters of exceptional recreational or ecological significance, that water quality shall be maintained and protected.

4. In those cases where potential water quality impairment associated with a thermal discharge is involved, the antidegradation policy and implementing method shall be consistent with section 316 of the Act.
STATE WATER RESOURCES CONTROL BOARD

RESOLUTION NO. 88-63

ADOPTION OF POLICY ENTITLED

"SOURCES OF DRINKING WATER"

WHEREAS

1. California Water Code Section 13140 provides that the State Board shall formulate and adopt State Policy for Water Quality Control; and,

2. California Water Code Section 13240 provides that Water Quality Plans "shall conform" to any State Policy for Water Quality Control; and,

3. The Regional Boards can conform the Water Quality Control Plans to this policy by amending the plans to incorporate the policy; and,

4. The State Board must approve any conforming amendments pursuant to Water Code Section 13245; and,

5. "Sources of drinking water" shall be defined in the Water Quality Control Plans as those water bodies with beneficial uses designated as suitable, or potentially suitable, for municipal or domestic water supply (MUN); and,

6. The Water Quality Control Plans do not provide sufficient detail in the description of water bodies designated MUN to judge clearly what is, or is not, a source of drinking water for various purposes.

THEREFORE BE IT RESOLVED:

All surface and ground waters of the State are considered to be suitable, or potentially suitable, for municipal or domestic water supply and should be so designated by the Regional Boards with the exception of:

1. Surface and ground waters where:

   a. The total dissolved solids (TDS) exceed 3,000 mg/L (5,000 uS/cm, electrical conductivity) and it is not reasonably expected by Regional Boards to supply a public water system, or

   b. There is contamination, either by natural processes or by human activity (unrelated to the specific pollution incident), that cannot reasonably be treated for domestic use using either Best Management Practices or best economically
achievable treatment practices, or

c. The water source does not provide sufficient water to supply a single well capable of producing an average, sustained yield of 200 gallons per day.

2. Surface Waters Where:

a. The water is in systems designed or modified to collect or treat municipal or industrial wastewaters, process waters, mining wastewaters, or storm water runoff, provided that the discharge from such systems is monitored to assure compliance with all relevant water quality objectives as required by the Regional Boards; or,

b. The water is in systems designed or modified for the primary purpose of conveying or holding agricultural drainage waters, provided that the discharge from such systems is monitored to assure compliance with all relevant water quality objectives as required by the Regional Boards.

3. Ground water where:

The aquifer is regulated as a geothermal energy producing source or has been exempted administratively pursuant to 40 Code of Federal Regulations, Section 146.4 for the purpose of underground injection of fluids associated with the production of hydrocarbon or geothermal energy, provided that these fluids do not constitute a hazardous waste under 40 CFR, Section 261.3.

4. Regional Board Authority to Amend Use Designations:

Any body of water which has a current specific designation previously assigned to it by a Regional Board in Water Quality Control Plans may retain that designation at the Regional Board's discretion. Where a body of water is not currently designated as MUN but, in the opinion of a Regional Board, is presently or potentially suitable for MUN, the Regional Board shall include MUN in the beneficial use designation.

The Regional Boards shall also assure that the beneficial uses of municipal and domestic supply are designated for protection wherever those uses are presently being attained, and assure that any changes in beneficial use designations for waters of the State are consistent with all applicable regulations adopted by the Environmental Protection Agency.

The Regional Boards shall review and revise the Water Quality Control Plans to incorporate this policy.

1 This policy does not affect any determination of what is a potential source of drinking water for the limited purposes of maintaining a surface impoundment after June 30, 1988, pursuant to Section 25208.4 of the Health and Safety Code.

CERTIFICATION

The undersigned, Administrative assistant to the Board, does hereby certify that the foregoing is a full, true, and correct copy of a policy duly and regularly adopted at a meeting of the State Water Resources Control Board held on May 19, 1988.
/s/

Maureen Marché
Administrative Assistant to the Board
WATER QUALITY CONTROL POLICY

on the

USE and DISPOSAL of INLAND WATERS

USED for POWERPLANT COOLING

ADOPTED JUNE 19, 1975
# TABLE OF CONTENTS

<table>
<thead>
<tr>
<th>Section</th>
<th>Page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Resolution No. 75-58</td>
<td>i</td>
</tr>
<tr>
<td>Introduction</td>
<td>1</td>
</tr>
<tr>
<td>Definitions</td>
<td>2</td>
</tr>
<tr>
<td>Basis of Policy</td>
<td>3</td>
</tr>
<tr>
<td>Principles</td>
<td>4</td>
</tr>
<tr>
<td>Discharge Prohibitions</td>
<td>5</td>
</tr>
<tr>
<td>Implementation</td>
<td>6</td>
</tr>
</tbody>
</table>
CALIFORNIA STATE WATER RESOURCES CONTROL BOARD

STATE WATER RESOURCES CONTROL BOARD
RESOLUTION NO. 75-58

WATER QUALITY CONTROL POLICY ON THE USE AND DISPOSAL OF INLAND WATERS USED FOR POWERPLANT COOLING

WHEREAS:

1. Basin Planning conducted by the State Board has shown that there is presently no available water for new allocations in some basins.

2. Projected future water demands, when compared to existing developed water supplies, indicate that general freshwater shortages will occur in many areas of the State prior to the year 2000.

3. The improper disposal of powerplant cooling waters may have an adverse impact on the quality of inland surface and groundwaters.

4. It is believed that further development of water in the Central Valley will reduce the quantity of water available to meet Delta outflow requirements and protect Delta water quality standards.

THEREFORE, BE IT RESOLVED, that

1. The Board hereby adopts the “Water Quality Control Policy on the Use and Disposal of Inland Waters Used for Powerplant Cooling”.

2. The Board hereby directs all affected California Regional Water Quality Control Boards to implement the applicable provisions of the policy.

3. The Board hereby directs staff to coordinate closely with the State Energy Resources Conservation and Development Commission and other involved state and local agencies as this policy is implemented.

CERTIFICATION

The undersigned, Executive Officer of the State Water Resources Control Board, does hereby certify that the forgoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the State Water Resources Control Board held on June 19, 1975.

Bill B. Dendy
Executive Officer
WATER QUALITY CONTROL POLICY
ON THE USE AND DISPOSAL OF INLAND
WATERS USED FOR POWERPLANT COOLING

Introduction

The purpose of this policy is to provide consistent statewide water quality principles and guidance for adoption of discharge requirements, and implementation actions for powerplants which depend upon inland waters for cooling. In addition, this policy should be particularly useful in guiding planning of new power generating facilities so as to protect beneficial uses of the State’s water resources and to keep the consumptive use of freshwater for powerplant cooling to that minimally essential for the welfare of the citizens of the State.

This policy has been prepared to be consistent with federal, state, and local planning and regulatory statutes, the Warren-Alquist State Energy Resources Conservation and Development Act, Water Code Section 237 and the Waste Water Reuse Law of 1974.

Section 25216.3 of the Warren-Alquist Act states:

“(a) The commission shall compile relevant local, regional, state, and federal land use, public safety, environmental, and other standards to be met in designing, siting, and operating facilities in the State: except as provided in subdivision (d) of Section 25402, adopt standards, except for air and water quality,…..”

Water Code Section 237 and Section 462 of the Waste Water Reuse Law, direct the Department of Water Resources to:

237. “…either independently or in cooperation with any person or any county, state, federal, or other agency, including, but not limited to, the State Energy Resources Conservation and Development Commission, shall conduct studies and investigations on the need and availability of water for thermal electric powerplant cooling purposes, and shall report thereon to the Legislature from time to time…."

462. “…conduct studies and investigations on the availability and quality of waste water and uses of reclaimed waste water for beneficial purposes including, but not limited to … and cooling for thermal electric powerplants.”

Decisions on waste discharge requirements, water rights permits, water quality control plans, and other specific water quality control implementing actions by the State and Regional Boards shall be consistent with provisions of this policy.

The Board declares its intent to determine from time to time the need for revising this policy.
Definitions

1. **Inland Water** – all waters within the territorial limits of California exclusive of the waters of the Pacific Ocean outside of enclosed bays, estuaries, and coastal lagoons.

2. **Fresh Inland Waters** – those inland waters which are suitable for use as a source of domestic, municipal, or agricultural water supply and which provide habitat for fish and wildlife.

3. **Salt Sinks** – areas designated by the Regional Water Quality Control Boards to receive saline waste discharges.

4. **Brackish Waters** – includes all waters with a salinity range of 1,000 to 30,000 mg/l and a chloride concentration range of 250 to 12,000 mg/l. The application of the term “brackish” to a water is not intended to imply that such water is no longer suitable for industrial or agricultural purposes.

5. **Steam-Electric Power Generating Facilities** – electric power generating facilities utilizing fossil or nuclear-type fuel or solar heating in conjunction with a thermal cycle employing the steam-water system as the thermodynamic medium and for the purposes of this policy is synonymous with the word “powerplant”.

6. **Blowdown** – the minimum discharge of either boiler water or recirculating cooling water for the purpose of limiting the buildup of concentrations of materials in excess of desirable limits established by best engineering practice.

7. **Closed Cycle Systems** – a cooling water system from which there is no discharge of wastewater other than blowdown.

8. **Once-Through Cooling** – a cooling water system in which there is no recirculation of the cooling water after its initial use.

9. **Evaporative Cooling Facilities** – evaporative towers, cooling ponds, or cooling canals, which utilize evaporation as a means of wasting rejected heat to the atmosphere.

10. **Thermal Plan** – “Water Quality Control Plan for Control of Temperature In the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California”.

Basis of Policy

1. The State Board believes it is essential that every reasonable effort be made to conserve energy supplies and reduce energy demands to minimize adverse effects on water supply and water quality and at the same time satisfy the State’s energy requirements.

2. The increasing concern to limit changes to the coastal environment and the potential hazards of earthquake activity along the coast has led the electric utility industry to consider siting steam-electric generating plants inland as an alternative to proposed coastal locations.

3. Although many of the impacts of coastal powerplants on the marine environmental are still not well understood, it appears the coastal marine environment is less susceptible than inland waters to the water quality impacts associated with powerplant cooling. Operation of existing coastal powerplants indicate that these facilities either meet the standards of the State’s Thermal Plan and Ocean Plan or could do so readily with appropriate technological modifications. Furthermore, coastal locations provide for application of a wide range of cooling technologies which do not require the consumptive use of inland waters and therefore would not place an additional burden on the State’s limited supply of inland waters. These technologies include once-through cooling which is appropriate for most coastal sites, potential use of saltwater cooling towers, or use of brackish water where more stringent controls are required for environmental considerations at specific sites.

4. There is a limited supply of inland water resources in California. Basin planning conducted by the State Board has shown that there is no available water for new allocations in some basins. Projected future water demands when compared to existing developed water supplies indicate that general fresh-water shortages will occur in many areas of the State prior to the year 2000. The use of inland waters for powerplant cooling needs to be carefully evaluated to assure proper future allocation of inland waters considering all other beneficial uses. The loss of inland waters considering all other beneficial uses. The loss of inland waters through evaporation in powerplant cooling facilities may be considered an unreasonable use of inland waters when general shortages occur.

5. The Regional Boards have adopted water quality objectives including temperature objectives for all surface waters in the State.

6. Disposal of once-through cooling waters from powerplants to inland water is incompatible with maintaining the water quality objectives of the State Board’s “Thermal Plan” and “Water Quality Control Plans.”

7. The improper disposal of blowdown from evaporative cooling facilities may have an adverse impact on the quality of inland surface and ground waters and on fish and wildlife.
8. An important consideration in the increased use of inland water for powerplant cooling or for any other purpose in the Central Valley Region is the reduction in the available quantity of water to meet the Delta outflow requirements necessary to protect Delta water quality objectives and standards. Additionally, existing contractual agreements to provide future water supplies to the Central Valley, the South Coastal Basin, and other areas using supplemental water supplies are threatening to further reduce the Central Valley outflow necessary to protect the Delta environment.

9. The California Constitution and the California Water Code declare that the right to use water from a natural stream or watercourse is limited to such water as shall be reasonably required for beneficial use and does not extend to the waste or unreasonable use or unreasonable method of use or unreasonable method of diversion. Section 761, Article 17.2, Subchapter 2, Chapter 3, Title 23, California Administrative Code provides that permits or licenses for the appropriation of water will contain a term which will subject the permit or license to the continuing authority of the State Board to prevent waste, unreasonable use, unreasonable method of use, or unreasonable method of diversion of said water.

10. The Water Code authorizes the State Board to prohibit the discharge of wastes to surface and ground waters of the State.

Principles

1. It is the Board’s position that from a water quantity and quality standpoint the source of powerplant cooling water should come from the following sources in this order of priority depending on site specifics such as environmental, technical and economic feasibility consideration: (1) wastewater being discharged to the ocean, (2) ocean, (3) brackish water from natural sources or irrigation return flow, (4) inland wastewaters of low TDS, and (5) other inland waters.

2. Where the Board has jurisdiction, use of fresh inland waters for powerplant cooling will be approved by the Board only when it is demonstrated that the use of other water supply sources or other methods of cooling would be environmentally undesirable or economically unsound.

3. In considering issuance of a permit or license to appropriate water for powerplant cooling, the Board will consider the reasonableness of the proposed water use when compared with other present and future needs for the water source and when viewed in the context of alternative water sources that could be used for the purpose. The Board will give great weight to the results of studies made pursuant to the Warren-Alquist State Energy Resources Conservation and Development Act and carefully evaluate studies by the Department of Water Resources made pursuant to Sections 237 and 462, Division 1 of the California Water Code.
4. The discharge of blowdown water from cooling towers or return flows from once-through cooling shall not cause a violation of water quality objectives or waste discharge requirements established by the Regional Boards.

5. The use of unlined evaporation ponds to concentrate salts from blowdown waters will be permitted only at salt sinks approved by the Regional and State Boards. Proposals to utilize unlined evaporation ponds for final disposal of blowdown waters must include studies of alternative methods of disposal. These studies must show that the geologic strata underlying the proposed ponds or salt sink will protect usable groundwater.

6. Studies of availability of inland waters for use in powerplant cooling facilities to be constructed in Central Valley basins, the South Coastal Basins or other areas which receive supplemental water from Central Valley streams as for all major new uses must include an analysis of the impact of such use on Delta outflow and Delta water quality objectives. The studies associated with powerplants should include an analysis of the cost and water use associated with the use of alternative cooling facilities employing dry, or wet/dry modes of operation.

7. The State Board encourages water supply agencies and power generating utilities and agencies to study the feasibility of using wastewater for powerplant cooling. The State Board encourages the use of wastewater for powerplant cooling where it is appropriate. Furthermore, Section 25601(d) of the Warren-Alquist Energy Resources Conservation and Development Act directs the Commission to study, “expanded use of wastewater as cooling water and other advances in powerplant cooling” and Section 462 of the Waste Water Reuse Law directs the Department of Water Resources to “…conduct studies and investigations on the availability and quality of waste water and uses of reclaimed waste water for beneficial purposes including, but not limited to… and cooling for thermal electric powerplants.”

**Discharge Prohibitions**

1. The discharge to land disposal sites of blowdown waters from inland powerplant cooling facilities shall be prohibited except to salt sinks or to lined facilities approved by the Regional and State Boards for the reception of such wastes.

2. The discharge of wastewaters from once-through inland powerplant cooling facilities shall be prohibited unless the discharger can show that such a practice will maintain the existing water quality and aquatic environment of the State’s water resources.

3. The Regional Boards may grant exceptions to these discharge prohibitions on a case-by-case basis in accordance with exception procedures included in the “Water Quality Control Plan for Control of Temperature In the Coastal and Interstate Waters and Enclosed Bays and Estuaries of California.”
Implementation

1. Regional Water Quality Control Boards will adopt waste discharge requirements for discharges from powerplant cooling facilities which specify allowable mass emission rates and/or concentrations of effluent constituents for the blowdown waters. Waste discharge requirements for powerplant cooling facilities will also specify the water quality conditions to be maintained in the receiving waters.

2. The discharge requirements shall contain a monitoring program to be conducted by the discharger to determine compliance with waste discharge requirements.

3. When adopting waste discharge requirements for powerplant cooling facilities the Regional Boards shall consider other environmental factors and may require an environmental impact report, and shall condition the requirement in accordance with Section 2718, Subchapter 17, Chapter 3, Title 23, California Administrative Code.

4. The State Board shall include a term in all permits and licenses for appropriation of water for use in powerplant cooling that requires the permittee or licensee to conduct ongoing studies of the environmental desirability and economic feasibility of changing facility operations to minimize the use of fresh inland waters. Study results will be submitted to the State Board at intervals as specified in the permit term.

5. Petitions by the appropriator to change the nature of the use of appropriated water in an existing permit or license to allow the use of inland water for powerplant cooling may have an impact on the quality of the environment and as such require the preparation of an environmental impact statement or a supplement to an existing statement regarding, among other factors, an analysis of the reasonableness of the proposed use.

6. Applications to appropriate inland waters for powerplant cooling purpose shall include results of studies comparing the environmental impact of alternative inland sites as well as alternative water supplies and cooling facilities. Studies of alternative coastal sites must be included in the environmental impact report. Alternatives to be considered in the environmental impact report, including but not limited to sites, water supply, and cooling facilities, shall be mutually agreed upon by the prospective appropriator and the State Board staff. These studies should include comparisons of environmental impact and economic and social benefits and costs in conformance with the Warren-Alquist State Energy Resources Conservation and Development Act, the California Coastal Zone Plan, the California Environmental Quality Act and the National Environmental Policy Act.
STATE WATER RESOURCES CONTROL BOARD

RESOLUTION NO. 77-1

POLICY WITH RESPECT TO WATER
RECLAMATION IN CALIFORNIA

WHEREAS:

1. The California Constitution provides that the water resources of the State be put to beneficial use to the fullest extent of which they are capable, and that waste or unreasonable use or unreasonable method of use of water be prevented, and that conservation of such waters is to be exercised with a view to the reasonable and beneficial use thereof in the interest of the people and for the public welfare;

2. The California Legislature has declared that the State Water Resources Control Board and each Regional Water Quality Control Board shall be the principal state agencies with primary responsibility for the coordination and control of water quality;

3. The California Legislature has declared that the people of the State have a primary interest in the development of facilities to reclaim water containing waste to supplement existing surface and underground water supplies;

4. The California Legislature has declared that the State shall undertake all possible steps to encourage the development of water reclamation facilities so that reclaimed water may be made available to help meet the growing water requirements of the State;

5. The Board has reviewed the document entitled "Policy and Action Plan for Water Reclamation in California", dated December 1976. This document recommends a variety of actions to encourage the development of water reclamation facilities and the use of reclaimed water. Some of these actions require direct implementation by the Board; others require implementation by the Executive Officer and the Regional Boards. In addition, this document recognizes that action by many other state, local, and federal agencies and the California State Legislature would also encourage construction of water reclamation facilities and the use of reclaimed water. Accordingly, the Board recommends for its consideration a number of actions intended to coordinate with the program of this Board;

6. The Board must concentrate its efforts to encourage and promote reclamation in water-short areas of the State where reclaimed water can supplement or replace other water supplies without interfering with water rights or instream beneficial uses or placing an unreasonable burden on present water supply systems; and
7. In order to coordinate the development of reclamation potential in California, the Board must develop a data collection, research, planning, and implementation program for water reclamation and reclaimed water uses.

THEREFORE, BE IT RESOLVED:

1. That the State Board adopt the following Principles:

   I. The State Board and the Regional Boards shall encourage, and consider or recommend for funding, water reclamation projects which meet Condition 1, 2, or 3 below and which do not adversely impact vested water rights or unreasonably impair instream beneficial uses or place an unreasonable burden on present water supply systems;

      (1) Beneficial use will be made of wastewaters that would otherwise be discharged to marine or brackish receiving waters or evaporation ponds,

      (2) Reclaimed water will replace or supplement the use of fresh water or better quality water,

      (3) Reclaimed water will be used to preserve, restore, or enhance instream beneficial uses which include, but are not limited to, fish, wildlife, recreation and esthetics associated with any surface water or wetlands.

   II. The State Board and the Regional Boards shall (1) encourage reclamation and reuse of water in water-short areas of the State, (2) encourage water conservation measures which further extend the water resources of the State, and (3) encourage other agencies, in particular the Department of Water Resources, to assist in implementing this policy.

   III. The State Board and the Regional Boards recognize the need to protect the public health including potential vector problems and the environment in the implementation of reclamation projects.

   IV. In implementing the foregoing Principles, the State Board or the Regional Boards, as the case may be, shall take appropriate actions, recommend legislation, and recommend actions by other agencies in the areas of (1) planning, (2) project funding, (3) water rights, (4) regulation and enforcement, (5) research and demonstration, and (6) public involvement and information.

2. That, in order to implement the foregoing Principles, the State Board:

   (a) Approves Planning Program Guidance Memorandum No. 9, "PLANNING FOR WASTEWATER RECLAMATION",

   (b) Adopts amendments and additions to Title 23, California Administrative Code Sections 654.4, 761, 764.9, 783, 2101, 2102, 2107, 2109, 2109.1, 2109.2, 2119, 2121, 2133(b)(2), and 2133(b)(3),

   (c) Approves Grants Management Memorandum No. 9.01, "WASTEWATER
RECLAMATION",

(d) Approves the Division of Planning and Research, Procedures and Criteria for the Selection of Wastewater Reclamation Research and Demonstration Projects,

(e) Approves "GUIDELINES FOR REGULATION OF WATER RECLAMATION",

(f) Approves the Plan of Action contained in Part III of the document identified in Finding Five above,

(g) Directs the Executive Officer to establish an Interagency Water Reclamation Policy Advisory Committee. Such Committee shall examine trends, analyze implementation problems, and report annually to the Board the results of the implementation of this policy, and

(h) Authorizes the Chairperson of the Board and directs the Executive Officer to implement the foregoing Principles and the Plan of Action contained in Part III of the document identified in Finding Five above, as appropriate.

3. That not later than July 1, 1978, the Board shall review this policy and actions taken to implement it, along with the report prepared by the Interagency Water Reclamation Policy Advisory Committee, to determine whether modifications to this policy are appropriate to more effectively encourage water reclamation in California.

4. That the Chairperson of the Board shall transmit to the California Legislature a complete copy of the "Policy and Action Plan for Water Reclamation in California".

CERTIFICATION

The undersigned, Executive Officer of the State Water Resources Control Board, does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a special meeting of the State Water Resources Control Board held on January 6, 1977.

Dated: January 6, 1977

/signed/

Bill B. Dendy

Executive Officer