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## State Water Resources Control Board

February 1, 2021

Ms. Kimberly D. Bose, Secretary  
Federal Energy Regulatory Commission  
888 First Street, NE  
Washington, D.C. 20426  
**Sent via efiling**

### **South State Water Project Federal Energy Regulatory Commission Project No. 2426**

### **COMMENTS ON READY FOR ENVIRONMENTAL ANALYSIS AND PRELIMINARY TERMS AND CONDITIONS**

Dear Secretary Bose:

On December 2, 2020, the Federal Energy Regulatory Commission (FERC) issued a Notice of Ready for Environmental Analysis (REA) and an accompanying request for comments, protests, recommendations, and preliminary terms and conditions regarding a new license for the South State Water Project (Project), FERC Project No. 2426.

The Department of Water Resources and Los Angeles Department of Water and Power, which owns and operates the Project, submitted an *Application for New License Major Project* (Final License Application) on January 20, 2020. In accordance with a Memorandum of Understanding executed between the FERC and the State Water Resources Control Board (State Water Board) on November 19, 2013, State Water Board staff is providing the attached comments and preliminary terms and conditions in response to the FERC's Notice of REA.

During the current COVID-19 emergency, most Division staff are working from home. Accordingly, if you have questions regarding this letter the best means of contact is by email. Please contact me, the Project Manager, by email at [andrea.sellers@waterboards.ca.gov](mailto:andrea.sellers@waterboards.ca.gov). Written correspondence or inquiries should be directed to:

State Water Resources Control Board  
Division of Water Rights - Water Quality Certification Program  
Attention: Andrea Sellers  
P.O. Box 2000  
Sacramento, CA 95812-2000

Ms. Bose

February 1, 2021

Sincerely,

ORIGINAL SIGNED BY

Andrea Sellers, Environmental Scientist  
Water Quality Certification Unit  
Division of Water Rights

Enclosures: Attachment A – Preliminary Terms and Conditions for the South State Water Project

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ATTACHMENT A  
PRELIMINARY TERMS AND CONDITIONS  
FOR SOUTH STATE WATER PROJECT  
(FEDERAL ENERGY REGULATORY COMMISSION PROJECT NO. 2426)

In accordance with the Memorandum of Understanding (MOU) executed between the Federal Energy Regulatory Commission (FERC) and the State Water Resources Control Board (State Water Board) on November 19, 2013, and to the extent that information is available, State Water Board staff is providing water quality certification (certification) preliminary terms and conditions in response to the notice of Ready for Environmental Analysis (REA) issued by FERC for the South State Water Project (Project), FERC Project No. 2426. The Project is owned and operated by the Department of Water Resources (DWR) and Los Angeles Department of Water and Power (LADWP). This document is strictly preliminary in nature, and is being sent to further coordination of information needs and potential conditions between FERC and the State Water Board. As such, this document does not reflect a decision by the State Water Board to adopt any particular term or condition, nor does it limit the State Water Board's consideration of terms or conditions different from or in addition to those presented here.

**1. Minimum Instream Flows and Reservoir Levels**

The State Water Board will likely condition minimum instream flows and reservoir levels in light of the whole record. The whole record includes, but is not limited to, the: FERC record (including recommendations by resource agencies); final National Environmental Policy Act (NEPA) document; and final California Environmental Quality Act (CEQA) document. As such, it would be premature to set forth particular flow minimums at this time. Minimum instream flows will likely be specific to particular stream reaches, water-year types, and timeframes. Minimum instream flows will be set to protect sensitive beneficial uses in the particular reaches. Reservoir levels will be set to protect beneficial uses within and downstream of reservoirs, and will likely also vary with water-year type and timeframe.

**2. Ramping Rates**

Project operations will likely be subject to ramping rate specifications in order to protect beneficial uses from being unduly impaired by artificial flow fluctuations in Project-affected river and stream reaches. Ramping rates will be set to protect beneficial uses in specific reaches.

**3. Water Year Type Classification**

The State Water Board will likely determine the criteria to classify water year types for the Project-affected reaches.

**4. Streamflow and Reservoir Level Compliance**

The State Water Board will likely require the Licensee to develop and implement a Stream Flow and Reservoir Level Compliance Plan to document compliance with

streamflow and reservoir level requirements in the new FERC license. In addition to complying with the General Plan requirements in Condition 5, the Plan shall, at a minimum, include:

1. Locations where the Licensee monitors streamflow and reservoir levels;
2. Equipment to be used by the Licensee to monitor streamflow and reservoir levels in compliance with requirements of this certification;
3. A description of how the equipment used by the Licensee to monitor streamflow and reservoir levels in compliance with the requirements of this certification is deployed, set (e.g., frequency of data collection), operated, calibrated, and maintained;
4. A description of how data are retrieved from the equipment used by the Licensee to monitor compliance with the requirements in the license related to streamflow and reservoir levels, including frequency of data downloads, quality assurance/quality control procedures, and data storage; and
5. A description of how streamflow and reservoir level data is provided to the State Water Board.

The Stream Flow and Reservoir Level Compliance Plan will be submitted to the Deputy Director for approval. The Deputy Director may require revisions to the plan. The Licensees should report any deviation from Stream Flow and Reservoir Level Compliance Plan to the Deputy Director within 24 hours of the deviation.

## **5. General Condition for Plans**

The State Water Board will likely include a general condition for certification-required plans. This general condition applies to Preliminary Conditions that requires the Licensee to develop a monitoring and/or implementation plan. The plans should include reporting and consultation requirements, and plan revision guidelines to adaptively manage and monitor beneficial uses affected by Project facilities, maintenance, and operations. Adaptive management components will be tailored to address project impacts throughout the life of the plan, and using the information gathered in plan implementation. Each plan is intended to cover the period between FERC's approval of the plan and issuance of a new license (i.e., through the term of the new license and any annual licenses issued by FERC until a new license is issued).

The Licensee should provide relevant state and federal agencies and interested groups with a minimum 30-day comment period on the plans, which did not receive agreement from relevant resource agencies during the relicensing process. The Licensee should file the final plan with the Deputy Director for approval along with documentation of consultation, comments received, and a description of how the final plan incorporates the comments or justification for excluding implementation of comments from the final plan. The Deputy Director may require modifications to the plan. Upon Deputy Director approval, the Licensee should file the approved final plan with FERC. When FERC approves the plan, the Licensee should implement the plan as approved by FERC.

## **6. Water Quality Monitoring Plan**

The State Water Board will likely require the Licensee, in consultation with the relevant resource agencies, to develop and implement a plan to monitor water quality. In addition to complying with the General Plan requirements in Condition 5, the Plan should, at a minimum, include monitoring sites at Project reservoirs and locations throughout Project-affected stream and river reaches. The monitoring sites should be adequately abundant and spatially distributed to provide data that measures potential impacts to water quality as a result of Project facilities or operations. Water quality monitoring should occur at intervals during the license term to document trends in time and changes in water quality related to operational changes and construction of new Project facilities that may impact water quality or designated beneficial uses of water. At a minimum, a preliminary assessment of constituents that will require monitoring include contaminants such as mercury, copper, and polychlorinated biphenyls, water chemistry, recreation related water quality, and bioaccumulation monitoring components. At any point monitoring indicates Project-influenced water quality conditions exceed or threaten to exceed water quality standards or prohibitions set forth in the *Water Quality Control Plan for the Los Angeles Region* or the *Water Quality Control Plan for the Lahontan Region* (Basin Plans), the Licensee should immediately notify the State Water Board, Los Angeles Regional Water Quality Control Board, and the Lahontan Regional Water Quality Control Board. Additionally, the State Water Board may include specific metrics or methods that would appear in or supplement the plan.

## **7. Water Temperature Monitoring Plan**

The State Water Board will likely require the Licensee, in consultation with the relevant resource agencies, to develop and implement a plan to monitor potential Project effects on water temperature by monitoring water temperature flowing into the Project area and in Project reservoirs, impoundments, as released, and within affected stream and river reaches. In addition to complying with the General Plan requirements in Condition 5, this plan should include an adequate number of sites to track the changes in water temperature entering impoundments, stored in impoundments, and released below impoundments. In flowing water, the Licensee should install and anchor appropriate devices to continuously record water temperature seasonally or throughout the year. In reservoirs, the Licensee should monitor water temperature and thermocline depth by profile sampling near the dam to determine reservoir stratification depths. Water temperature data will identify if Project operations or facilities are impacting thermal conditions for biota. Additionally, the State Water Board may include specific metrics or methods that would appear in or supplement the plan.

## **8. Aquatic Invasive Species Management Plan**

The State Water Board will likely require the Licensee, in consultation with relevant resource agencies, to develop and implement a plan to manage aquatic invasive species (AIS). The goal of this plan is to establish a framework with specific activities to minimize the spread and impact of AIS on native fauna and habitats. In

addition to complying with the General Plan requirements in Condition 5, this plan should identify and describe AIS currently established within the Project area, AIS with high potential to become established within the Project area, and the potential for AIS to spread in Project-affected waters. The Plan should include specific metrics or methods that would appear in or supplement the Plan, or include specific measures to be taken if new AIS are discovered in the Project area.

## **9. Drought Management Plan**

The State Water Board will likely require the Licensee, in consultation with the relevant resource agencies and interested parties, to develop and implement a plan that outlines overarching guidance for operations during multi-year drought conditions. The plan should include an anticipated schedule to initiate State Water Board and Ecological Group consultation regarding any potential drought-related FERC license or certification variances. If particular conditions are likely to require variance in extended drought periods, the State Water Board may include a drought management term in such conditions.

## **10. Erosion and Sediment Control Plan**

The State Water Board will likely require the Licensee, in consultation with the relevant resource agencies, to develop and implement a plan to minimize undesirable erosion or sedimentation conditions near streams and reservoirs caused from Project operations and maintenance. In addition to complying with the General Plan requirements in Condition 5, this should contain erosion and sediment reduction protocols for ground-disturbing activities that include, but are not be limited to: routine operations; maintenance; new construction; emergencies within the Project affected area; management of historic properties and integrated vegetation; transportation; and recreation. Protocols should abide by applicable regulations including the National Pollutant Discharge Elimination System and reduce impacts to water quality within the Project area.

## **11. Hazardous Material Plan**

The State Water Board will likely require the Licensee, in consultation with the relevant resource agencies, to develop and implement a plan for storage, use, transportation, and disposal of hazardous materials in the Project area. In addition to complying with the General Plan requirements in Condition 5, this plan should discuss appropriate measures and equipment required to prevent the extent of any hazardous material spill. This plan should also include protocols to prevent adverse impacts to beneficial uses in the event that hazardous materials are spilled. On-site containment for hazardous-chemical storage should be placed away from watercourses and include secondary containment and appropriate management as specified in California Code of Regulations, title 27, section 20320. Protocols and methods in this plan should abide by the Construction General Permit Order 2009-0009-DWQ. Additionally, the State Water Board may include specific metrics or methods that would appear in or supplement the plan.

## **12. Ecological Group**

The State Water Board will likely require the Licensee to organize an Ecological Group and host Ecological Group meetings. Ecological Group meetings should convene once per year on a defined date and additional Ecological Group meetings may be held, as appropriate. Ecological Group Meetings should aim to protect water quality and beneficial uses listed in the Basin Plans. At a minimum, the Ecological Group should be composed of the Forest Service, United States Army Corps of Engineers, National Marine Fisheries Service, United States Fish and Wildlife Service, California Department of Fish and Wildlife, Bureau of Land Management, appropriate Tribes, and the State Water Board.

The purpose of the meetings should be to provide a forum for stakeholders to be informed of water quality impacted by the Project. Discussion topics may include, but are not limited to, monitoring reports and other data from the previous calendar year, license noncompliance, recommendations or revisions to license required monitoring or implementation plans, and scheduled Project facility maintenance.

At least 30 days prior to the Ecological Group Meeting, the Licensee should make available to the Ecological Group reports and information from the previous calendar year required by the certification, or implementation plans and other relevant meeting material. Within 30 days following each Ecological Group Meeting, the Licensee should file a meeting summary with FERC and the State Water Board.

## **13. Newly Identified Impacts**

The State Water Board reserves the authority to require additional conditions and revise current conditions upon the identification of newly-identified Project-related potential impacts to water quality or upon a new listing of aquatic or riparian species, newly identified presence of listed species, or the presence of anadromous fish within the Project-affected area, in order to ensure adequate protection of Basin Plan objectives and beneficial uses. This includes the authority to reopen conditions to address changes in the way the Project affects objectives beneficial uses due to climate change.

The State Water Board also reserves the authority to require the Licensee to develop, in consultation with appropriate resource agencies, and conduct studies whenever new Project-related potential water quality impacts or newly-listed or newly present listed aquatic or riparian species within the Project-affected area are identified or introduced. Such studies should be designed to determine and recommend appropriate measures to minimize new Project-related impacts and impacts or newly-listed species within the Project-affected area.

**The following conditions will likely apply to this Project in order to protect water quality and beneficial uses over the term of the Project's license and any annual extensions.**

- 14.** Unless otherwise specified in the certification or at the request of the State Water Board, data and/or reports must be submitted electronically in a format accepted by the State Water Board to facilitate the incorporation of this information into public reports and the State Water Board's water quality database systems in compliance with California Water Code section 13167.
- 15.** The State Water Board's approval authority includes the authority to withhold approval or to require modification of a proposal or plan prior to approval. The State Water Board may take enforcement action if DWR and LADWP fails to provide or implement a required plan in a timely manner.
- 16.** The State Water Board reserves the authority to add to or modify the conditions of a certification to incorporate changes in technology, sampling, or methodologies and/or load allocations developed in a total maximum daily load developed by the State Water Board, Los Angeles Regional Water Quality Control Board, or the Lahontan Regional Water Quality Control Board.
- 17.** Future changes in climate projected to occur during the license term may significantly alter the baseline assumptions used to develop the conditions in a certification. The State Water Board reserves authority to modify or add conditions in a certification to require additional monitoring and/or other measures, as needed, to verify that Project operations meet water quality objectives and protect the beneficial uses assigned to the Project-affected stream reaches.
- 18.** A certification requires compliance with all applicable requirements of the Basin Plan. The Applicant must notify the State Water Board, the Los Angeles Regional Water Quality Control Board, and the Lahontan Regional Water Quality Control Board within 24 hours of any unauthorized discharge to surface waters.
- 19.** The State Water Board reserves the authority to add to or modify the conditions of this certification: (1) if monitoring results indicate that continued operation of the Project could violate water quality objectives or impair the beneficial uses; (2) to coordinate the operations of this Project and other hydrologically connected water development projects, where coordination of operations is reasonably necessary to achieve water quality objectives or protect beneficial uses of water; or (3) to implement any new or revised water quality objectives and implementation plans adopted or approved pursuant to the Porter-Cologne Water Quality Act, or section 303 of the Clean Water Act.
- 20.** Notwithstanding any more specific conditions in a certification, the Project should be operated in a manner consistent with all water quality standards and implementation plans adopted or approved pursuant to the Porter-Cologne Water Quality Control Act or section 303 of the Clean Water Act. The Applicant must take all reasonable measures to protect the beneficial uses listed in the Basin Plans.
- 21.** A certification does not authorize any act which results in the taking of a threatened, endangered, or candidate species or any act, which is now prohibited, or becomes prohibited in the future, under either California Endangered Species

Act (Fish & G. Code §§ 2050-2097) or the Endangered Species Act (ESA) (16 U.S.C. §§ 1531 - 1544). If a “take” will result from any act authorized under a certification or water rights held by DWR and LADWP, DWR and LADWP must obtain authorization for the take prior to any construction or operation of the portion of the Project that may result in a take. DWR and LADWP is responsible for meeting all requirements of the applicable ESAs for the Project authorized under a certification.

- 22.** In the event of any violation or threatened violation of the conditions of a certification, the violation or threatened violation is subject to any remedies, penalties, process or sanctions as provided for under applicable state or federal law. For the purposes of section 401(d) of the Clean Water Act, the applicability of any state law authorizing remedies, penalties, process or sanctions for the violation or threatened violation constitutes a limitation necessary to assure compliance with the water quality standards and other pertinent requirements incorporated into a certification.
- 23.** In response to a suspected violation of any condition of a certification, the State Water Board may require the holder of any federal permit or license subject to a certification to furnish, under penalty of perjury, any technical or monitoring reports the State Water Board deems appropriate, provided that the burden, including costs, of the reports should bear a reasonable relationship to the need for the reports and the benefits to be obtained from the reports. The State Water Board may add to or modify the conditions of a certification as appropriate to ensure compliance.
- 24.** No construction should commence until all necessary federal, state, and local approvals have been obtained.
- 25.** The Applicant must submit any change and/ or proposed change to the Project, including Project operation, technology changes or upgrades, or methodology, which would have a significant or material effect on the findings, conclusions, or conditions of this certification, to the State Water Board for prior review and written approval. The State Water Board should determine significance and may require consultation with state and federal agencies. If the State Water Board is not notified of a change to the Project, it will be considered a violation of this certification. If such a change would also require submission to FERC, the change must first be submitted and approved by the State Water Board.
- 26.** The Applicant must provide State Water Board staff access to Project sites to document compliance with this certification.
- 27.** The State Water Board should provide notice and an opportunity to be heard in exercising its authority to add or to modify any of the conditions of this certification.
- 28.** A certification is subject to modification or revocation upon administrative or judicial review, including review and amendment pursuant to Water Code Section 13330 and California Code of Regulations, title 23, division 3, chapter 28, article 6 (commencing with section 3867).

- 29.** A certification is not intended and should not be construed to apply to any activity involving a hydroelectric facility and requiring a FERC license or an amendment to a FERC license unless the pertinent certification application was filed pursuant to California Code of Regulations, title 23, section 3855, subdivision (b) and that application specifically identified that a FERC license or amendment to a FERC license for a hydroelectric facility was being sought.
- 30.** Nothing in a certification should be construed as State Water Board approval of the validity of any water rights, including pre-1914 claims. The State Water Board has separate authority under the Water Code to investigate and take enforcement action, if necessary, to prevent any unauthorized or threatened unauthorized diversions of water.
- 31.** Certification is conditioned upon total payment of any fee required under California Code of Regulations, title 23, chapter 28.