Stormwater Multi-Application Reporting & Tracking System (SMARTS)

Patrick Otsuji
potsuji@waterboards.ca.gov
State Water Resources Control Board
Division of Water Quality
Storm Water Section
What is SMARTS?

- **Purpose:** Provide a platform where dischargers, regulators, and the public can enter, regulate, and/or comment on storm water data including NOIs, NOTs, compliance, and monitoring data.

- Internet-based

- Available 24/7

- Reports
  - SWRCB/RWQCB prioritize regulatory tasks (i.e. inspections, view trends in compliance, provide data to the Legislature).

- Public reports - NOI, Inspections, Violations, and Enforcement data.
SMARTS Users

• State & Regional Water Board Staff

• External Users
  • Legally Responsible Person (LRP)
  • Approved Signatory
  • Data Entry Person
  • General Public
User Rights

- LRP
- Primary Account Holder
- Approved Signatory
  Enter & Certify Data
- Data Entry Person
  Data Entry only
- General Public
  View Only
Application Process

- Register for SMARTS Account
- Link Approved Signatories/Data Entry Persons
  - Optional
- Start a New Notice of Intent
- Upload Attachments
- Enter Sampling/Monitoring data
- Notice of Termination
Create a SMARTS Account

https://smarts.waterboards.ca.gov
Create a SMARTS Account (con’t)

Select the Account Type

The first step in the user registration process is the selection of user account type that you want to establish. SMARTS currently allow Entry Person (DEP) and Approved Signatory (AS). Click on the following links to review the definitions of LRP, DEP and AS User account:

- Construction User Account Definitions
- Industrial User Account Definitions

Please select one of the following:

- Legally Responsible Person (LRP): I have read the definition of LRP and wish to establish a LRP User Account.
- Data Entry Person (DEP): I have read the definition of DEP and wish to establish a DEP User Account.
- Approved Signatory (AS): I have read the definition of AS and wish to establish a AS User Account.

If you have any questions or for further assistance, please contact SMARTS Help Desk at 1-866-563-3107 Monday thru Friday 8:00AM.
Create a SMARTS Account (con’t)

**Legally Responsible Person: Enter User Account Details**

The next step in the registration process is to provide your user account details. The User ID along with a Password will be sent to the e-mail address provided to SMARTS. Please verify that the e-mail address is valid and accurate.

**User Account Details: (An * indicates a required field)**

- **First Name:** John
- **Middle Name:** Doe
- **Last Name:**
- **E-mail:** stormwater@waterboards.ca.gov *(abc@xyz.com/abc@xyz.net)*
- **Re - Enter E-mail:** stormwater@waterboards.ca.gov *(abc@xyz.com/abc@xyz.net)*
- **Phone:** 916-341-5536 *(999-999-9999)*
- **User ID:** stormwater2012 *(Check for Availability)*
- **Password:**
  - System will generate the password and send you via E-mail.

**Business/Agency Details:**

- **Business Type:** Private Business
- **Business Name:** Metal Recycling Inc *(Do Not use Abbreviations, use Legal Business Name)*
- **Business Address:**
  - **USA Address:**
  - **International Address:**
- **Street Address:** 3737 Main Street Suite 500 *(Enter PO Box in Street Address field.)*
- **Address Line 2:**
- **City, State & Zip:** Riverside, California, 92501 *(Zip: 92501)*
- **Country:** USA
- **Federal Tax Id:** 68-0281986 *(99-99999999)*
Create a SMARTS Account (con’t)

Identification Verification Security Questions:
Please select and answer the Identification Verification Security questions below. These questions will be asked in the event you misplace your password.

Security question: What is your mother's maiden name?
Answer: Smith

Security question: What city were you born?
Answer: Riverside

Security question: What was your High School Mascot?
Answer: Lion

Security question: What is the name of your favorite childhood friend?
Answer: Steve

Security question: What was the color of your first car?
Answer: Black

Server Security Letters:
EZA XBC  EZA XBC

* I certify under penalty of law that this document and all attachments were prepared under the direction or supervision in accordance with a system designed to assure the information submitted. Based on my inquiry of the persons or persons who manage the system, or those persons directly responsible for gathering the information, knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

I also state that my signature is a true and accurate representation of my electronic signature and that any information I indicate I am electronically certifying contains my signature. I understand that this is equivalent of my handwritten signature. My signature on this form certifies that my electronic signature is for my own use, that I keep it confidential and that I will not delegate such authority. I will do so formally in writing and electronically notify the State Water Board using SMARTS2 of such delegation within 10 days of the delegatee's signature from unauthorized use, and that I will contact the State Water Board within two business days of discovery, if I suspect that my electronic signature has been lost, stolen or otherwise compromised.

* Fields preceded by red asterisk (**) are mandatory/required fields.

If you have any questions or for further assistance, please contact SMARTS Help Desk at 1-855-559-3107 Monday thru Friday 8:00AM - 5:00PM, or email smarts@waterboard
Create a SMARTS Account (con’t)

Acknowledgement

This completes your user registration process: Your user account has been successfully created in SMARTS.

Your User ID, Password, and instructions for logging into SMARTS have been sent to you via e-mail. Please check your e-mail account and log into SMARTS using the User ID and Password provided. If the e-mail is not received within a reasonable amount of time, please contact the Storm Water Help Desk at the phone number provided below.

To log into SMARTS, enter the following address into your browser or click on the link: https://smarts.waterboards.ca.gov/

If you have any questions or for further assistance, please contact SMARTS Help Desk at 1-866-563-3107 Monday thru Friday 8:00AM - 5:00PM, or email support@smarts.waterboards.ca.gov.

John Doe
Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

To complete your User Account Registration for the Storm Water Program, you must login at the State Water Boards website at: https://smarts.waterboards.ca.gov/ using the following User ID and Password.

User ID: stormwater2012
Password: Stc9F4

After you complete your User Account Registration, you will be able to manage your User Account, file a new NOI or annual report, update existing NOI information, etc. You will also be able to allow other people such as employees and/or consultants to have limited access to your account or to specific NOIs as a “Data Submitter”. To do this, the data submitters must first complete their own User Account Registration, and provide you their User IDs so you can link them to your organization.

If you have any questions, please contact SMARTS Help Center: 1-866-563-3107.
Create a SMARTS Account (con’t)

Log in & Reset Password

Change Password

Your password has expired, please update your password. This page allows you to reset your password.

User ID: stormwater2012
Name: John Doe
Old Password: ********
New Password: ***********
Confirm New Password: 

Update

Red *** are required fields
Main Menu

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

You have successfully changed your password.

- **Recertify Existing NOI(s)/Organizations:**
  Recertify/Claim of your NOI(s)/Facilities/ Organizations with SMARTS sent SCN.

- **Approved/Terminated NOIs:**
  View complete details of your applications, edit the allowed details, File a NOT for existing NOI and print the applicable documents such as NOI, NOT, receipt letters etc.

- **Pending Documents:**
  Documents that are In - preparation, Submitted to Water Board and Ready to Submit.

- **Apply for New Notice Of Intent (NOI):**
  Start a new Industrial, construction or Linear Notice Of Intent.

- **Annual Reports:**
  View the annual reports submitted earlier.

- **Change Password:**
  Change your user account's password.

- **Update User profile:**
  View and update your user account details.

- **Manage Legal Responsible Person/Approved Signatory/Data Entry Person:**
  Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **Public User Menu**
  View the public user Menu Screen.
Link Additional Users

Enter the User ID of the person to link to the LRP account

User Account Management

This page allows you to manage the Legal Responsible Person/Approved Signatory/Data Entry Person associated with your account.

Link New Legal Responsible Person/Approved Signatory/Data Entry Person to Your Organization:

The following are the Legal Responsible Person/Approved Signatory/Data Entry Person currently associated with your account. To view/edit/link the existing user account, click on User ID hyperlink.

<table>
<thead>
<tr>
<th>Name</th>
<th>User ID</th>
<th>Business Name</th>
<th>Role</th>
</tr>
</thead>
</table>

Search for User ID:

If you have questions regarding linking, please contact us at stormwater@waterboards.ca.gov or 1-866-563-3107.

© 2011 State of California Conditions of Use
Link Additional Users (con’t)

Select the Organization and Role to link the user to.

![User Account Management Details]

- **Name:** Steve Smith
- **User ID:** dsubmitter
- **Business Name:** Smith Consulting Services
- **Contact Phone:** 866-563-3107
- **Email:** stormwater@waterboards.ca.gov

**Your Organization(s) linked to dsubmitter**

<table>
<thead>
<tr>
<th>Organization Id</th>
<th>Organization Name</th>
<th>Role</th>
</tr>
</thead>
<tbody>
<tr>
<td>818055</td>
<td>618055 - Metal Recycling Inc</td>
<td>Data Entry Person</td>
</tr>
</tbody>
</table>

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.
Link Additional Users (con’t)

Click on Organization ID to activate the Application ID field. Then select the application/WDID number to link.

![User Account Management Details]

<table>
<thead>
<tr>
<th>User Account details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name: Steve Smith</td>
</tr>
<tr>
<td>User ID: dsbmitter</td>
</tr>
<tr>
<td>Business Name: Smith Consulting Services</td>
</tr>
<tr>
<td>Contact Phone: 865-563-3107</td>
</tr>
<tr>
<td>Email: <a href="mailto:stormwater@waterboards.ca.gov">stormwater@waterboards.ca.gov</a></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Your Organization(s) linked to dsbmitter</th>
</tr>
</thead>
<tbody>
<tr>
<td>Organization ID</td>
</tr>
<tr>
<td>618055</td>
</tr>
</tbody>
</table>

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.

![Facility/Site(s) linked to selected Organization]

<table>
<thead>
<tr>
<th>Application ID</th>
<th>WDID</th>
<th>Operator And Address</th>
<th>Facility And Address</th>
<th>Role</th>
<th>Update</th>
<th>Delink</th>
</tr>
</thead>
<tbody>
<tr>
<td>Select</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Link WDID: Link All WDIDs of Selected Organization
Link Additional Users (con’t)

The application is successfully linked to the person when it appears in the table.

<table>
<thead>
<tr>
<th>Organization Id</th>
<th>Organization Name</th>
<th>Role</th>
<th>Update</th>
<th>Delink</th>
</tr>
</thead>
<tbody>
<tr>
<td>613055</td>
<td>Metal Recycling Inc</td>
<td>Data Entry Person</td>
<td>Update</td>
<td>Delink</td>
</tr>
</tbody>
</table>

Click on the Organization Id to view the list of WDIDs associated with the respective organization and assign role to the user with respect to the WDID.

<table>
<thead>
<tr>
<th>Application Id</th>
<th>WDID</th>
<th>Operator And Address</th>
<th>Facility And Address</th>
<th>Role</th>
<th>Update</th>
<th>Delink</th>
</tr>
</thead>
<tbody>
<tr>
<td>425432</td>
<td>8 33MR000001</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>Data Entry Person</td>
<td>Update</td>
<td>Delink</td>
</tr>
</tbody>
</table>

Select WDID | Link All WDIDs of Selected Organization
Link Additional Users (con’t)

To update linked users to add/remove applications or update roles, click on the User ID to view the details screen.
Welcome to Storm Water Multiple Application Reporting and Tracking System - SMATS

You have successfully changed your password.

- **Recertify Existing NOI(s)/Organizations:**
  Recertify/Claim of your NOI(s)/Facilities/Organizations with SMATS sent SCN.

- **Approved/Terminated NOIs:**
  View complete details of your applications, edit the allowed details, File a NOT for existing NOI and print the applicable documents such as NOI, NOT, receipt letters etc.

- **Pending Documents:**
  Documents that are in-preparation, Submitted to Water Board and Ready to Submit.

- **Apply for New Notice Of Intent (NOI):**
  Start a new Industrial, construction or Linear Notice Of Intent.

- **Annual Reports:**
  View the annual reports submitted earlier.

- **Change Password:**
  Change your user account’s password.

- **Update User profile:**
  View and update your user account details.

- **Manage Legal Responsible Person/Approved Signatory/Data Entry Person:**
  Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **Public User Menu**
  View the public user Menu Screen.
Start a New Application (con’t)

Select the permit type

Start New Storm Water Notice Of Intent

Please click on the appropriate link to start an NOI

Select Permit Type

- Construction Storm Water General Permit
- Caltrans Construction Projects
- Region 8 MS4 Capitol Improvement Projects
- Region 8 Industrial Scrap Metal Permit
- Lake Tahoe Construction Permit
Start a New Application (con’t)

Select the Organization

Please select the owner/operator of the new NOI from the following Businesses which you represent.

<table>
<thead>
<tr>
<th>Select</th>
<th>Address</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
</tr>
<tr>
<td></td>
<td>Business not found in the list. I would like to register a new business</td>
</tr>
</tbody>
</table>

© 2011 State of California
Enter the Operator contact information

| Operator/Organization Name: Metal Recycling, Inc. |
| Street Address: 3737 Main Street Suite 500 |
| City/State/Zip: Riverside, CA 92501 |
| Type: Private Business |
| Federal Tax ID: 69-0281996 |

Fields marked with * are mandatory fields.
Start a New Application (con’t)

Enter the Facility details

```html
<table>
<thead>
<tr>
<th>Operator Info</th>
<th>Facility Info</th>
<th>Addl. Facility Info</th>
<th>Billing Info</th>
<th>Attachments</th>
<th>Certification</th>
<th>Print</th>
<th>Status History</th>
</tr>
</thead>
<tbody>
<tr>
<td>Site Information</td>
<td>Same as Operator Info</td>
<td>Clear Info</td>
<td>If Different, enter below</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Facility Name: Metal Recycling Inc</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Street Address: 3737 Main Street Suite 600</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Address Line 2:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>City: Riverside - Riverside - Region 8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>County: Riverside</td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>Regional Board: Region 8 - Santa Ana</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>State/Zip: CA 92501</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Site Size:</td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Percent of Site Imperviousness:</td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
</tr>
<tr>
<td>SIC Codes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Primary SIC Code: Select</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Secondary SIC Code: Select</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Tertiary SIC Code:</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Contact First Name: John
Contact Last Name: Doe
Phone: 916-341-5536 * Ext: (999-999-9999)
Emergency Phone: | | | | | | | |
E-mail: stormwater@waterboards.ca.gov * (abc@xyz.com)
Latitude: 33.98244 * Longitude: -117.37409 * 2 (Decimal degrees or 5 significant digits: Ex. 99.99999) |
```

Fields marked with * are mandatory fields.

Start a New Application (con’t)

Enter additional facility information

- Does your facility’s storm water flow directly or indirectly into waters of the US such as river, lake, ocean, etc?
  - Directly
  - Indirectly

- Name of the receiving water:
  - [Input Field]

- Qualified SWPPP Developer
  - First Name: [Input Field]
  - Last Name: [Input Field]

Fields marked with * are mandatory fields.
Start a New Application (con’t)

Enter the Billing Address information.

```plaintext
<table>
<thead>
<tr>
<th>Billing Information</th>
<th>Same as Operator</th>
<th>Same as Facility</th>
<th>Clear Billing Info</th>
<th>If different enter below.</th>
<th>Bill.Month: Bill.Hold:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Billing Name:</td>
<td>Metal Recycling Inc</td>
<td></td>
<td></td>
<td>Contact First Name:</td>
<td>John</td>
</tr>
<tr>
<td>Street Address:</td>
<td>3737 Main Street Suite 500</td>
<td>*</td>
<td></td>
<td>Contact Last Name:</td>
<td>Doe</td>
</tr>
<tr>
<td>Address Line 2:</td>
<td></td>
<td></td>
<td></td>
<td>Title:</td>
<td></td>
</tr>
<tr>
<td>City/State/Zip:</td>
<td>Riverside, CA, 92501</td>
<td>*</td>
<td></td>
<td>Phone:</td>
<td>916-341-5535, Ext: (999-999-9999)</td>
</tr>
<tr>
<td>E-mail:</td>
<td><a href="mailto:stormwater@waterboards.ca.gov">stormwater@waterboards.ca.gov</a></td>
<td></td>
<td></td>
<td></td>
<td><a href="mailto:abc@xyz.com">abc@xyz.com</a></td>
</tr>
</tbody>
</table>
```
Start a New Application (con’t)

- Please click on Upload Attachment button to upload the corresponding files.
- SMARIT File Upload
  - WID: NA
  - Owner: Metal Recycling Inc
  - Address: 3737 Main Street Suite 500 Riverside, CA 92501
  - File Details:
    - Attachment Type: SWPPP
    - Attachment Title: SWPPP table of contents
    - Description: enter if necessary
    - File Name: D:\Desktop\gpp_r_factor.pdf
    - File Description: File size should be less than 75MB. Those greater than 75MB will not be uploaded. MS Office 2003, PDF, and Picture files are accepted. (PDF is recommended)
  - Estimated upload times:
    - 5 MB: 3 - 5 min
    - 25 MB: 15 - 20 min
    - 75 MB (max size): 25 - 30 min

- Attached files: The following are the current documents related to the NOI. Click on the link to view them.
Start a New Application (con’t)

Refresh screen to view uploaded attachments
Start a New Application (con’t)

Run the completion check and fix any errors.

Before certifying your Notice of Intent, the system must verify that all required sections have been completed. To perform the check, click on "Perform Completion Check".

Error Message:
SITE/FACILITY information (PRIMARY SIC CODE) may not be complete!
NOI Application checked for completeness and appears to be incomplete.
You cannot certify this NOI now. Please correct the errors shown above.

Fields marked with * are mandatory fields.
Start a New Application (con’t)

Print out the Fee Statement and mail with a check for $1359 to the Water Boards.

To submit the application click Certify Notice of Intent.
March 22, 2012

Fee Statement
Application Id # 425432

Facility/Site
Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

Thank you for submitting the Permit Registration Documents (PRD) for the facility/site referenced above. Before a WDID number is assigned an Application Fee of $1,359.00 must be received by May 21, 2012. If the Storm Water Section does not receive your application fee of $1,359.00 by May 21, 2012 your PRDs will be returned.

Please make checks payable to: SWRCB
Mail this Fee Statement with an original signature and $1,359.00 to:

Regular Mailing Address:
SWRCB
Storm Water Section
PO Box 1977
Sacramento, CA 95812-1977

Overnight Mailing Address:
SWRCB
Storm Water Section
1001 I Street – 15th Floor
Sacramento, CA 95814

I certify under penalty of law that this document and all attachments were prepared under direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, complete, and complete. I am aware that there are significant penalties for submitting false information including the possibility of a fine and imprisonment for knowing violations.

Signature
On screen and email conformation the NOI was submitted.

<table>
<thead>
<tr>
<th>NOTICE OF INTENT - Certification</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Notice of Intent (NOI) is organized into different sections. Please complete all applicable sections before submitting the form. If you want to complete the NOI at a later time, please click on &quot;Save &amp; Exit&quot;.</td>
</tr>
</tbody>
</table>

- **WOBID:** Operator: Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501
- **Status:** Submitted to Water Board 03/22/2012
- **Certified Date:** 03/22/2012
- **Processed Date:** NOT Effective Date

Your electronic "Notice of Intent" has been successfully received by the State Water Resources Control Board's database. Your confirmation information for this certification is as follows:

- **Application Id:** 426432
- **Type:** Region 8 - Scrap Metal Permit
- **Submission/Certify Date:** 03/22/2012
- **Certifier Name:** John Doe
- **Certifier Title:**

Please print out this screen as proof of certification. You will not be allowed to make any further changes to the certified report. If you need to correct any information you must contact your Regional Board representative. All records must be retained for 3 years from the date of the report or monitoring activity.

- **Submission Date:** 03/22/2012

Your NOTICE OF INTENT with the above details was submitted to the Water Boards.

Water Boards will be reviewing the submitted documents. To view the status of this application, please login to your account at [http://smarts.waterboards.ca.gov/](http://smarts.waterboards.ca.gov/).

If you have any questions, please contact the SMARTS Help Center at stormwater@waterboards.ca.gov or 1-866-583-3107. You can also contact the Regional Water Board at r8_stormwater@waterboards.ca.gov.

Thank you,
Storm Water Section
Start a New Application (con’t)

Check status of submitted application.

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  Documents that are in preparation, Submitted to Water Board and Ready to Submit.

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  View the annual reports submitted earlier.

- **Change Password:**
  Change your user account's password.

- **Update User profile:**
  View and update your user account details.

- **Manage Legal Responsible Person / Approved Signatory / Data Entry Person:**
  Link / de-link your Legal Responsible Person / Approved Signatory / Data Entry Person's NOI's and manage their privileges with respect to each of the NOIs.

- **Public User Menu**
  View the public user menu screen.
Pending Applications

Select In-Progress & Submitted NOI/NOTs.

- **In-Progress & Submitted NOIs/NOTs:** NOIs/NOTs that are In preparation or Submitted to Water Board.

- **Ready to Submit NOIs/NOTs/Annual/Adhoc/COIs:** NOIs/NOTs/Annual/Adhoc/COIs whose data entry, perform completion check is complete and are Ready to Submit to Water Board by the LRP/AS.
Pending Applications (con’t)

### Pending NOI/NOT

The following are NOIs and NOTs that are associated with your user account. Please click on the identifier of the record to view/edit details.

<table>
<thead>
<tr>
<th>Application Id</th>
<th>WDID</th>
<th>Type</th>
<th>Waiver</th>
<th>Lines</th>
<th>Status</th>
<th>Status Date</th>
<th>Operator Name and Address</th>
<th>Facility Name and Address</th>
<th>Entry By</th>
</tr>
</thead>
<tbody>
<tr>
<td>123456</td>
<td>789</td>
<td>Region B - Scrap Metal Permit</td>
<td>N</td>
<td>N</td>
<td>Submitted to Water Board</td>
<td>03/22/2012</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500</td>
<td>Riverside CA 92501</td>
<td>discharger</td>
</tr>
</tbody>
</table>

**NOT List**

<table>
<thead>
<tr>
<th>NOT ID</th>
<th>WDID</th>
<th>NOI Type</th>
<th>Waiver</th>
<th>Linear</th>
<th>Status</th>
<th>Status Date</th>
<th>Facility Info</th>
<th>Termination Reason</th>
<th>Entry By</th>
<th>Delete NOT</th>
</tr>
</thead>
</table>

No NOTs found for your search criteria!
Pending Applications (con’t)

NOI application approved email conformation.

From: <smarts@waterboards.ca.gov>
To: Stormwater
CC: r8_stormwater@waterboards.ca.gov
Subject: Your submitted Notice of Intent Review - Status update

Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

WDID: 8 33MR000001
ApplicationId: 425432
Permit Type: Region 8 - Scrap Metal Permit
Status: Active
Submission Date: 03/22/2012

Your NOTICE OF INTENT is Active.

Login to your account at: https://smarts.waterboards.ca.gov/

If you have any questions, please contact the SMARTS Help Center at stormwater@waterboards.ca.gov or 1-866-563-3107. You can also contact the Regional Water Board at r8_stormwater@waterboards.ca.gov.

Thank you,
Storm Water Section
Print Receipt Letters

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

You have successfully changed your password.

- **Recertify Existing NOI(s)/Organizations:**
  Recertify/Claim of your NOI(s)/Facilities/Organizations with SMARTS sent SCN.

- **Approved/Terminated NOIs:**
  View complete details of your applications, edit the allowed details. File a NOT for existing NOI and print the applicable documents such as NOI, NOT, receipt letters etc.

- **Pending Documents:**
  Documents that are in preparation, Submitted to Water Board and Ready to Submit.

- **Apply for New Notice Of Intent (NOI):**
  Start a new Industrial, construction or Linear Notice Of Intent.

- **Annual Reports:**
  View the annual reports submitted earlier.

- **Change Password:**
  Change your user account's password.

- **Update User profile:**
  View and update your user account details.

- **Manage Legal Responsible Person/Approved Signatory/Data Entry Person:**
  Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **Public User Menu**
  View the public user Menu Screen.
Print Receipt Letters (con’t)

Select the Application ID to open the NOI.

Active/Terminated NOIs

<table>
<thead>
<tr>
<th>Application Id</th>
<th>WDIO</th>
<th>Type</th>
<th>Waiver</th>
<th>Linear</th>
<th>Status</th>
<th>Status Date</th>
<th>Operator Name and Address</th>
<th>Facility Name and Address</th>
<th>Entry By</th>
<th>File</th>
</tr>
</thead>
<tbody>
<tr>
<td>525432</td>
<td>633M0000001</td>
<td>Region 6 - Scrap Metal Permit</td>
<td>N</td>
<td>N</td>
<td>Active</td>
<td>03/22/2012</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>discharger</td>
<td>N2T</td>
</tr>
</tbody>
</table>
Print Receipt Letters (con’t)

Click on the Print tab.

NOTE OF INTENT - Owner Information

The Notice of Intent (NOI) is organized into different sections. Please complete all applicable sections before submitting the form. If you want to complete the NOI at a later time, please click on “Save & Exit.”

WDD: 8 33MR000001
Operator: Metal Recycling Inc
3737 Main Street Suite 500 Riverside CA 92501

Status: Active
Certified Date: 03/22/2012
Processed Date: 03/22/2012

Business Type: Region S - Scrap Metal
Facility: Metal Recycling Inc
3737 Main Street Suite 500 Riverside CA 92501

Certification:
Status History
NOTs
COIs

Operator/Owner Information
Populate Contact Info

Operator/Owner Name: Metal Recycling Inc
Street Address: 3737 Main Street Suite 500
Address Line 2:
City/State/Zip: Riverside CA 92501
Type: Private Business
Federal Tax ID: 58-0281996

Contact First Name: John
Contact Last Name: Doe
Title:
Phone: 916-341-5636 * Ext: (939-999-9999)
E-mail: stormwater@waterboards.ca.gov *(abc@xyz.com)

Save & Exit  Save & Continue

Note: By clicking on the other tabs you can update the contact information. If you need to update the Facility address click on the COI – Change of Information tab.
Print Receipt Letters (con’t)

Click on the Receipt Letter button

File Download
Do you want to open or save this file?

Name: NoiForm.pdf
Type: Adobe Acrobat Document
From: smarts.waterboards.ca.gov

Open  Save  Cancel

While files from the Internet can be useful, some files can potentially harm your computer. If you do not trust the source, do not open or save this file. What’s the risk?
Approved Date: March 22, 2012

John Doe
Metal Recycling Inc
3737 Main Street Suite 500
Riverside CA 92501

RECEIPT OF YOUR NOTICE OF INTENT (NOI)
The State Water Resources Control Board has received and processed your NOI to comply with the terms of the Sector-Specific General Permit for Storm Water Runoff Associated with Industrial Activities from Scrap Metal Recycling Facilities within the Santa Ana Region. Accordingly, you are required to comply with the permit requirements.
The Waste Discharger Identification (WDID) number is: 8 33MR0000. Please use this number in any future communication regarding this permit.

OPERATOR: 
FACILITY INFORMATION:
Metal Recycling Inc
Metal Recycling Inc
3737 Main Street Suite 500

COUNTRY: Riverside
SIC/NAIC CODES: 5093

Upon cessation of industrial activities or if the ownership changes, you are required to submit a Notice of Termination (NOT) electronically to the Santa Ana Regional Water Quality Control Board. Until a NOT is filed, you will continue and are responsible to pay the annual fee invoiced each January.

If you have any questions regarding permit requirements, please contact your Regional Water Board at 951-782-4130. Please visit the storm water web site at http://www.waterboards.ca.gov/santaana/water_issues/programs/stormwater/index.shtml to view related storm water scrap metal information.

Sincerely,

Santa Ana Regional Water Quality Control Board

California Environmental Protection Agency
Annual Report/Monitoring

Welcome to Storm Water Multiple Application Reporting and Tracking System - SMARTS

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- **Manage Legal Responsible Person/Approved Signatory/Data Entry Person:**
  Link, de-link your Legal Responsible Person/Approved Signatory/Data Entry Person your NOIs and manage their privileges with respect to each of the NOIs.

- **Public User Menu**
  View the public user Menu Screen.
Annual Report/Monitoring (con’t)

Select the Reporting Year & search

Storm Water Annual Report Monitoring (SWARM) Facility / Site Search

Choose a reporting year from the Reporting Period drop down and click on the search button to continue.

Reporting Period: 2011 - 2012

Verify the Reporting Period before you begin working on the Annual Report. Search for the correct Reporting Period using the above criteria.

Industrial Annual Reports

No Records Found

Construction Annual Reports

<table>
<thead>
<tr>
<th>Facility Name</th>
<th>WDID</th>
<th>Site Address</th>
<th>Report Period</th>
<th>Status</th>
<th>Receipt Date</th>
<th>Entry By</th>
<th>Remand</th>
<th>Delete</th>
<th>Required?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Test Construction Site</td>
<td>6S34C350043</td>
<td>1001 1st St Sec</td>
<td>07/01/2011-06/30/2012</td>
<td>In-Progress</td>
<td>07/01/2011-06/30/2012</td>
<td>N</td>
<td></td>
<td></td>
<td>Y</td>
</tr>
<tr>
<td>Text</td>
<td>6S34C353260</td>
<td>1001 1st St Sec</td>
<td>07/01/2011-06/30/2012</td>
<td>Future</td>
<td>07/01/2011-06/30/2012</td>
<td>Y</td>
<td></td>
<td></td>
<td>Y</td>
</tr>
</tbody>
</table>

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### Annual Report/Monitoring (con’t)

#### Answer narrative questions

<table>
<thead>
<tr>
<th>Sample and Analysis Details</th>
<th>Sampling and Analysis Details</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>E1.</strong> How many storm events did you sample?:</td>
<td></td>
</tr>
<tr>
<td>If less than 2, enter explanation:</td>
<td></td>
</tr>
<tr>
<td><strong>E2.</strong> Did you collect storm water samples from the first storm of the wet season that produced a discharge during scheduled facility operating hours?</td>
<td>Select</td>
</tr>
<tr>
<td>If no, enter explanation:</td>
<td></td>
</tr>
<tr>
<td><strong>E3.</strong> How many storm water discharge locations are at your facility?</td>
<td></td>
</tr>
<tr>
<td><strong>E4.</strong> For each storm event sampled, did you collect and analyze a sample from each of the facility’s storm water discharge locations?</td>
<td>Select if “Yes”, proceed to E.6.</td>
</tr>
<tr>
<td><strong>E5.</strong> Was sample collection or analysis reduced in accordance with Section B.7.d of the General Permit?</td>
<td>Select</td>
</tr>
<tr>
<td>If yes, enter explanation:</td>
<td></td>
</tr>
<tr>
<td>Date facility's drainage areas were last evaluated:</td>
<td></td>
</tr>
<tr>
<td><strong>E6.</strong> Were all samples collected during the first hour of discharge?</td>
<td>Select</td>
</tr>
<tr>
<td>If no, enter explanation:</td>
<td></td>
</tr>
<tr>
<td><strong>E7.</strong> Was all storm water sampling preceded by three (3) working days without a storm water discharge?</td>
<td>Select</td>
</tr>
<tr>
<td>If no, enter explanation:</td>
<td></td>
</tr>
<tr>
<td><strong>E8.</strong> Were there any discharges of stormwater that had been temporarily stored or contained? (such as from a pond)</td>
<td>Select if “No”, proceed to E.10.</td>
</tr>
<tr>
<td><strong>E9.</strong> Did you collect and analyze samples of temporarily stored or contained storm water discharges from two storm events? (or one storm event if you checked item D.2.i or D.2.iii above)</td>
<td>Select</td>
</tr>
</tbody>
</table>
Create monitoring locations
Annual Report/Monitoring (con’t)

Enter the sampling results

<table>
<thead>
<tr>
<th>Parameter</th>
<th>ND Entry Result Qualifier</th>
<th>Result</th>
<th>Unit Conversion Units</th>
<th>Analytical Method</th>
<th>Method Detection Limit</th>
<th>Analyzed By</th>
</tr>
</thead>
<tbody>
<tr>
<td>Electrical Conductivity @ 25 Deg C</td>
<td>= ✔</td>
<td></td>
<td>umhos/cm</td>
<td>A2510B</td>
<td></td>
<td>LAB</td>
</tr>
<tr>
<td>Oil and Grease</td>
<td>= ✔</td>
<td></td>
<td>mg/L</td>
<td>A6520B</td>
<td></td>
<td>LAB</td>
</tr>
<tr>
<td>pH</td>
<td>= ✔</td>
<td></td>
<td>SU</td>
<td>A4500HB</td>
<td></td>
<td>LAB</td>
</tr>
<tr>
<td>Total Suspended Solids (TSS)</td>
<td>= ✔</td>
<td></td>
<td>mg/L</td>
<td>A2540D</td>
<td></td>
<td>LAB</td>
</tr>
</tbody>
</table>

Select Parameter

Save & Stay  Save & Add New Event  Save & Back To List  Delete Event
Notice of Termination
Notice of Termination (con’t)

Click on File NOT

<table>
<thead>
<tr>
<th>Application Id</th>
<th>WDID</th>
<th>Type</th>
<th>Waiver</th>
<th>Linear</th>
<th>Status</th>
<th>Status Date</th>
<th>Operator Name and Address</th>
<th>Facility Name and Address</th>
<th>Entry By</th>
<th>File NOT</th>
</tr>
</thead>
<tbody>
<tr>
<td>425432</td>
<td>833MR000001</td>
<td>Region 8 - Scrap Metal Permit</td>
<td>N</td>
<td>N</td>
<td>Active</td>
<td>03/22/2012</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>Metal Recycling Inc 3737 Main Street Suite 500 Riverside CA 92501</td>
<td>discharger</td>
<td>NOT</td>
</tr>
</tbody>
</table>

Current Page: 1 Total Pages: 1
Notice of Termination (con’t)

Fill out the form and upload attachments.

<table>
<thead>
<tr>
<th>Field</th>
<th>Details</th>
</tr>
</thead>
<tbody>
<tr>
<td>Organization Name</td>
<td></td>
</tr>
<tr>
<td>Contact Person (First Name)</td>
<td></td>
</tr>
<tr>
<td>Street Address</td>
<td></td>
</tr>
<tr>
<td>Last Name</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Phone</td>
<td></td>
</tr>
<tr>
<td>Email</td>
<td></td>
</tr>
<tr>
<td>Date of Closure</td>
<td></td>
</tr>
<tr>
<td>Are you moving to a new location in California?</td>
<td>Yes/No</td>
</tr>
<tr>
<td>If Yes, start date at new location</td>
<td></td>
</tr>
<tr>
<td>Will you file new NEC or NOI?</td>
<td>Yes/No</td>
</tr>
<tr>
<td>Business Name</td>
<td></td>
</tr>
<tr>
<td>First Name</td>
<td></td>
</tr>
<tr>
<td>Street Address</td>
<td></td>
</tr>
<tr>
<td>Last Name</td>
<td></td>
</tr>
<tr>
<td>Title</td>
<td></td>
</tr>
<tr>
<td>Phone</td>
<td></td>
</tr>
<tr>
<td>Date of Evaluation</td>
<td></td>
</tr>
<tr>
<td>Planned date of next evaluation</td>
<td></td>
</tr>
<tr>
<td>Light Industry Erection: Exposure of industrial activities, materials, and equipment to storm water has been eliminated (Applies only to certain facilities - see instructions).</td>
<td></td>
</tr>
<tr>
<td>No Storm Water Discharge: Storm water does not discharge to waters of the United States because:</td>
<td></td>
</tr>
</tbody>
</table>
  - The storm water is retained on site (such as in evaporation or percolation ponds) |
  - The storm water is discharged to either a municipal sanitary or municipal combined sewer system |
  - The storm water is retained off site (such as in evaporation or percolation ponds) |
SMARTS Help Desk

• Santa Ana Regional Water Board
  – Michelle Beckwith
  – r8_stormwater@waterboards.ca.gov
  – (951) 782-4433

• State Water Resources Control Board
  – stormwater@waterboards.ca.gov
  – (866) 563-3107
Questions on Presentation

• Patrick Otsuji
• potsuji@waterboards.ca.gov
• (916) 341-5292